

# DUNE Recombination Measurement with ProtoDUNE

Abbey Waldron

RAL Seminar

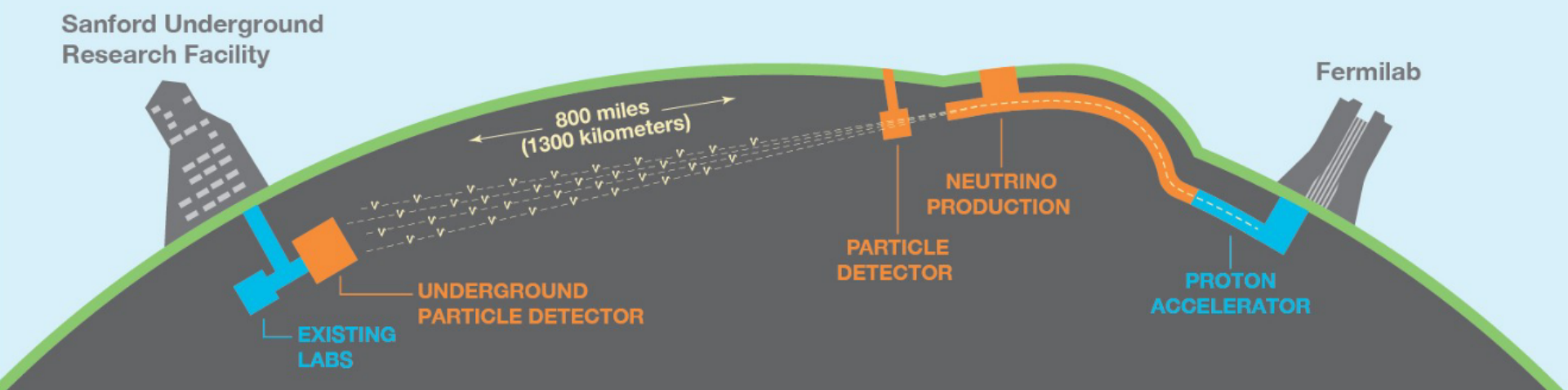
May 1st, 2024



# Overview

- DUNE's Physics Goals
- ProtoDUNE
- Measuring electron-ion recombination at ProtoDUNE

# DUNE

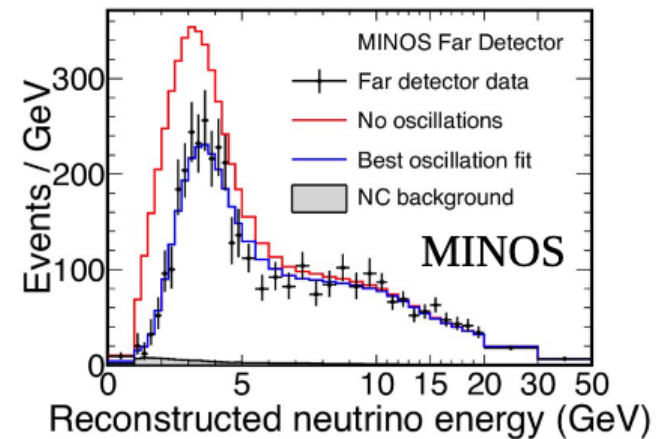
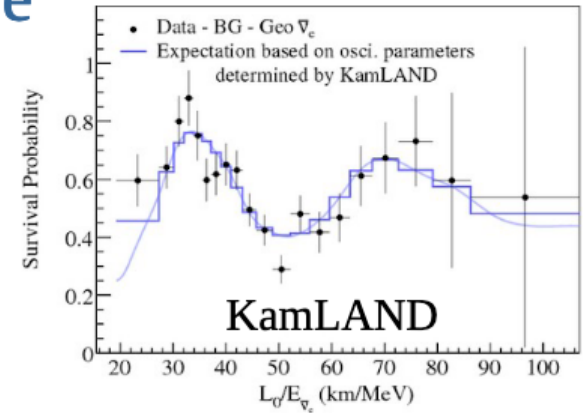
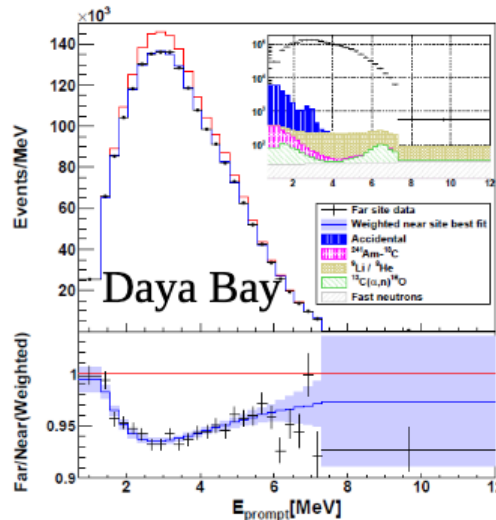
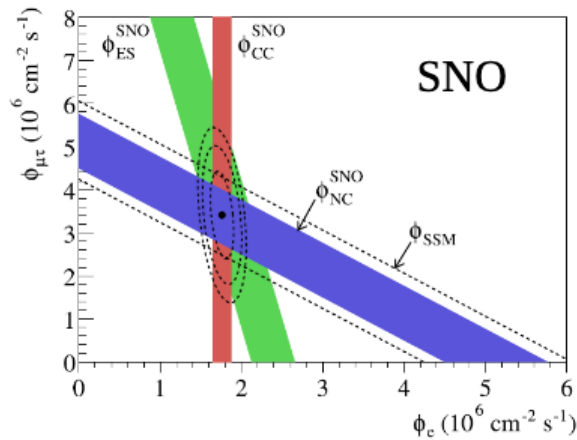


- Next-generation international neutrino & underground science experiment hosted in the United States (37 countries + CERN)
- High intensity neutrino beam, near detector complex at Fermilab
- Large, deep underground LArTPC far detectors at SURF
- Precision neutrino oscillation measurements, MeV-scale neutrino physics, broad program of physics searches beyond the Standard Model



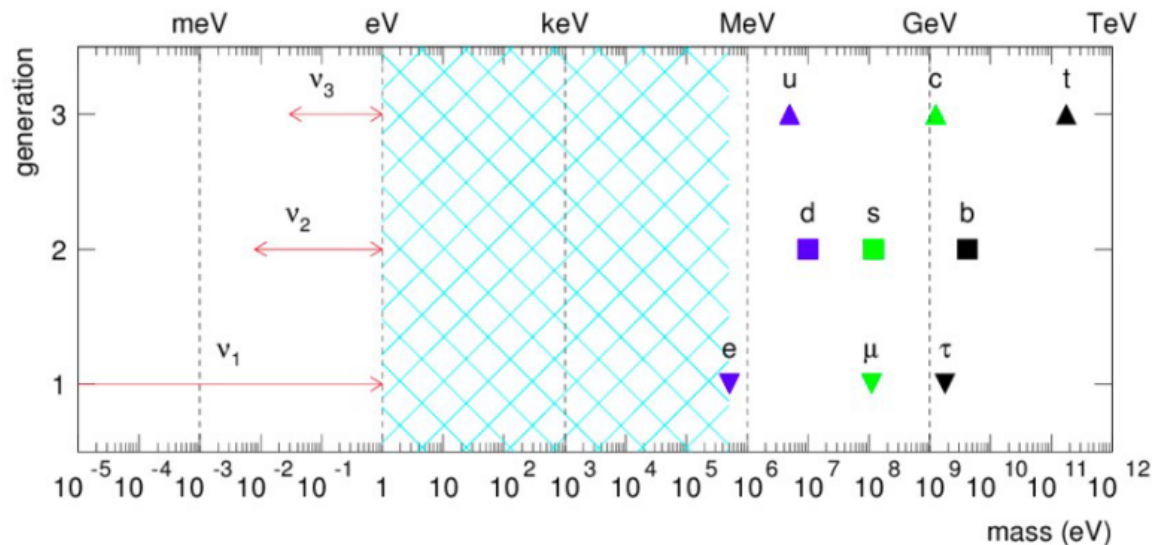
# Neutrino oscillation: motivation

- We know neutrinos oscillate... but what is the origin of neutrino mixing? Is there an underlying flavor symmetry?



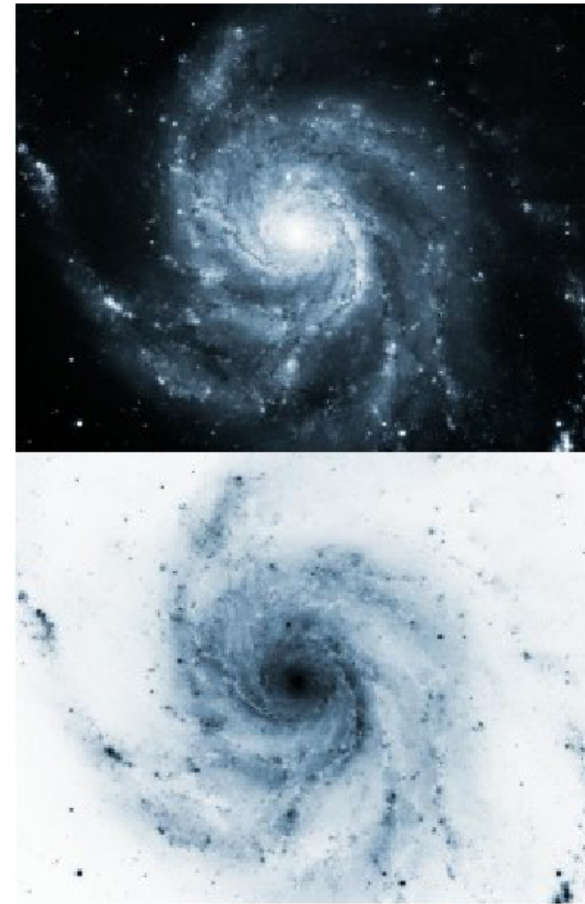
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- We know neutrinos have mass... but what is the origin of neutrino mass? Why are the neutrinos so light?



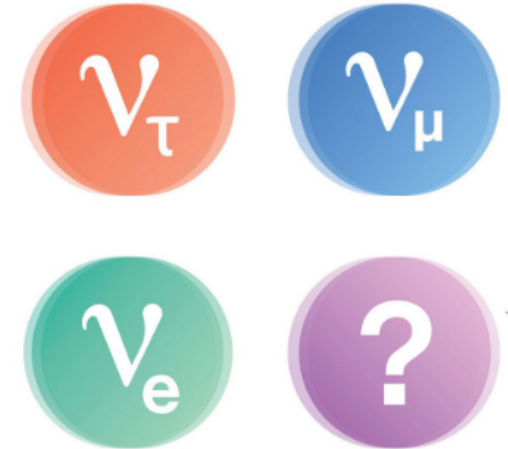
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# Neutrino oscillation: motivation

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- We know neutrinos have mass... but what is the origin of neutrino mass? Why are the neutrinos so light?
- We know there is a baryon asymmetry... but is leptogenesis a viable explanation?
- We know there are at least three neutrino states... but are there exactly three? Is the  $\nu$ SM complete? Is the PMNS matrix unitary?



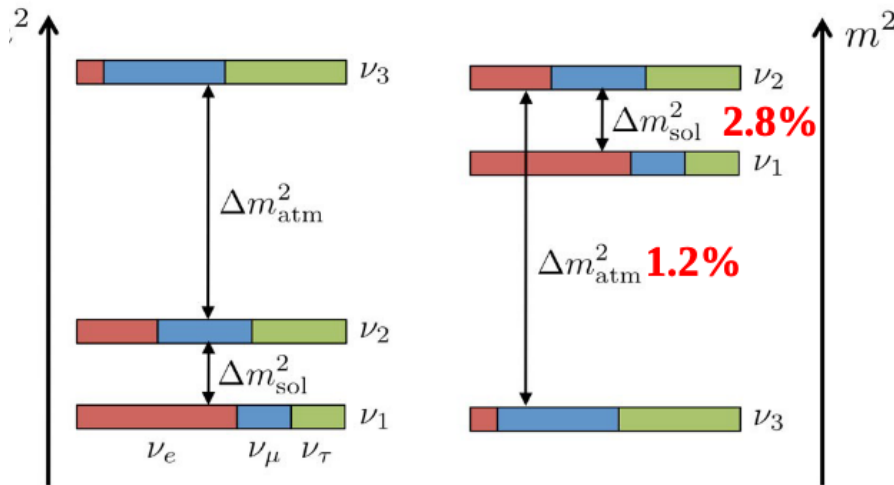
$$U_{\text{PMNS}}^{\text{Extended}} = \begin{pmatrix} \overbrace{\begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix}}^{U_{\text{PMNS}}^{3 \times 3}} & \cdots & \begin{pmatrix} U_{en} \\ U_{\mu n} \\ U_{\tau n} \\ \vdots \\ U_{s_n n} \end{pmatrix} \end{pmatrix}$$

Phys. Rev. D 93, 113009 (2016)

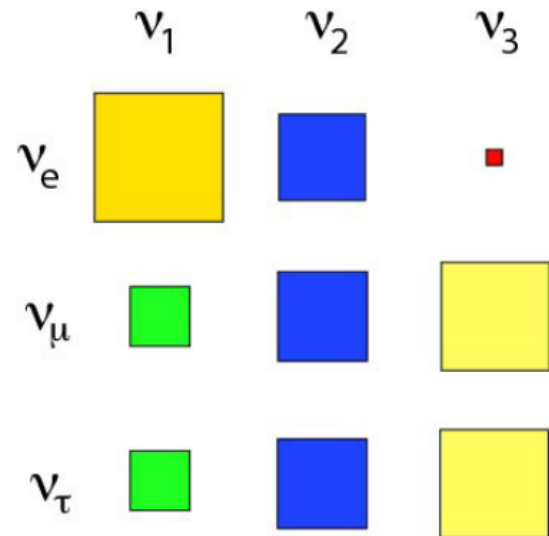
# What we know now

$$U_{\text{PMNS}} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & e^{-i\delta_{\text{CP}}} s_{13} \\ 0 & 1 & 0 \\ -e^{i\delta_{\text{CP}}} s_{13} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

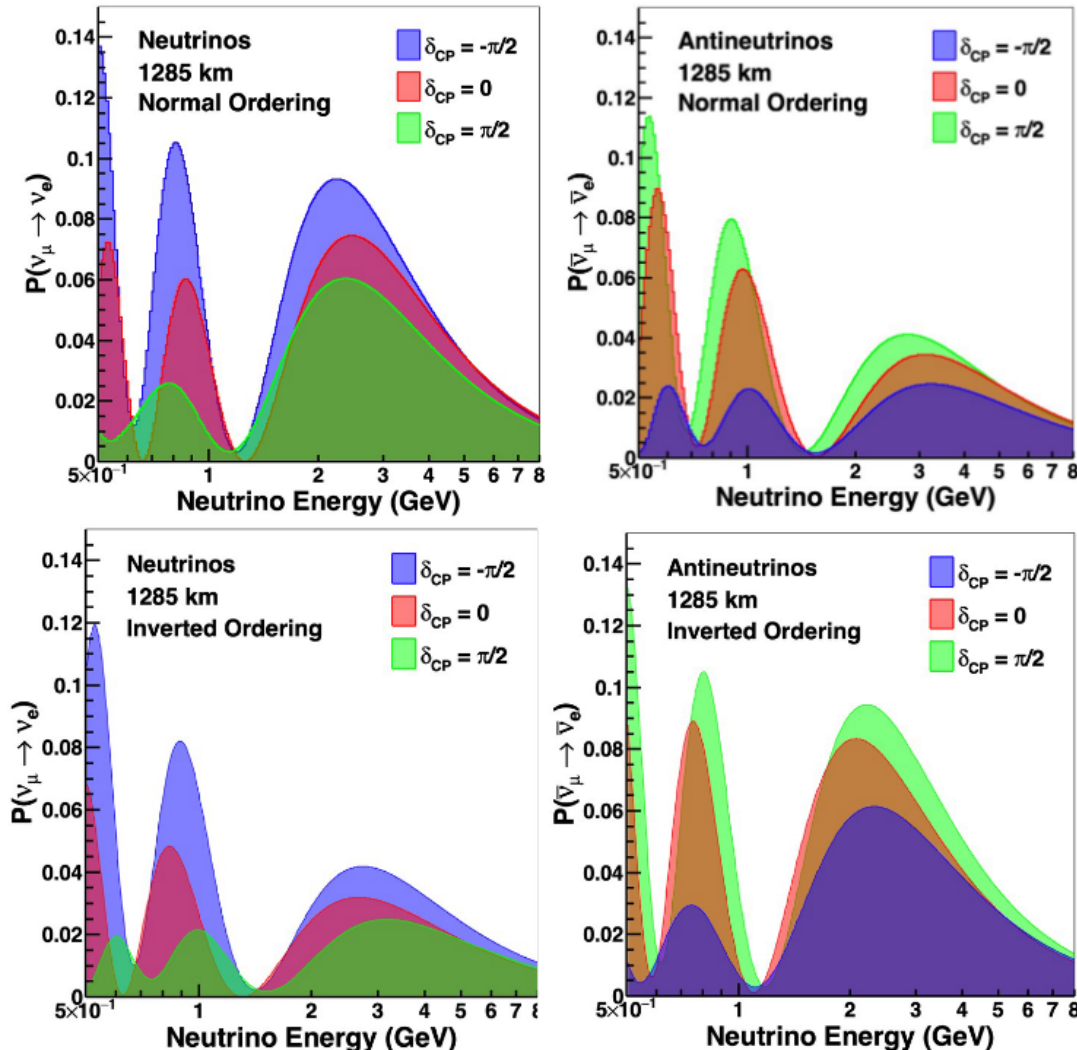
$\sin^2\theta_{23} = 0.5 \pm 0.1$ 
**?** 2.7%
**2.3%**



**Mass ordering unknown**



# DUNE measures oscillations over more than a full period

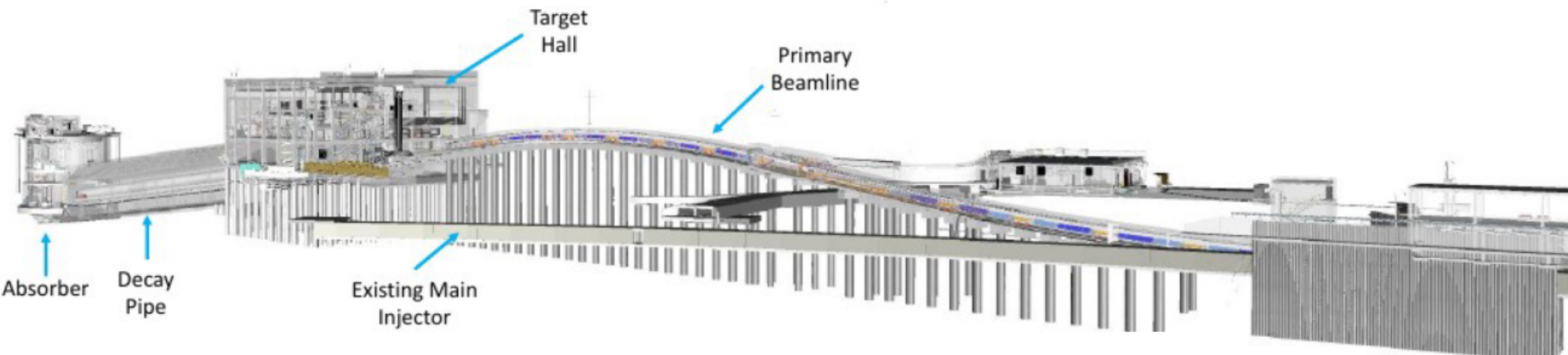


- Effect of mass ordering, CP violation,  $\theta_{23}$  octant have *different shape* as a function of  $L/E$
- Measuring oscillations as a continuous function of energy helps resolve degeneracies
- This is unique to DUNE, and complementary to other experiments with narrow flux spectra (e.g. Hyper-K)

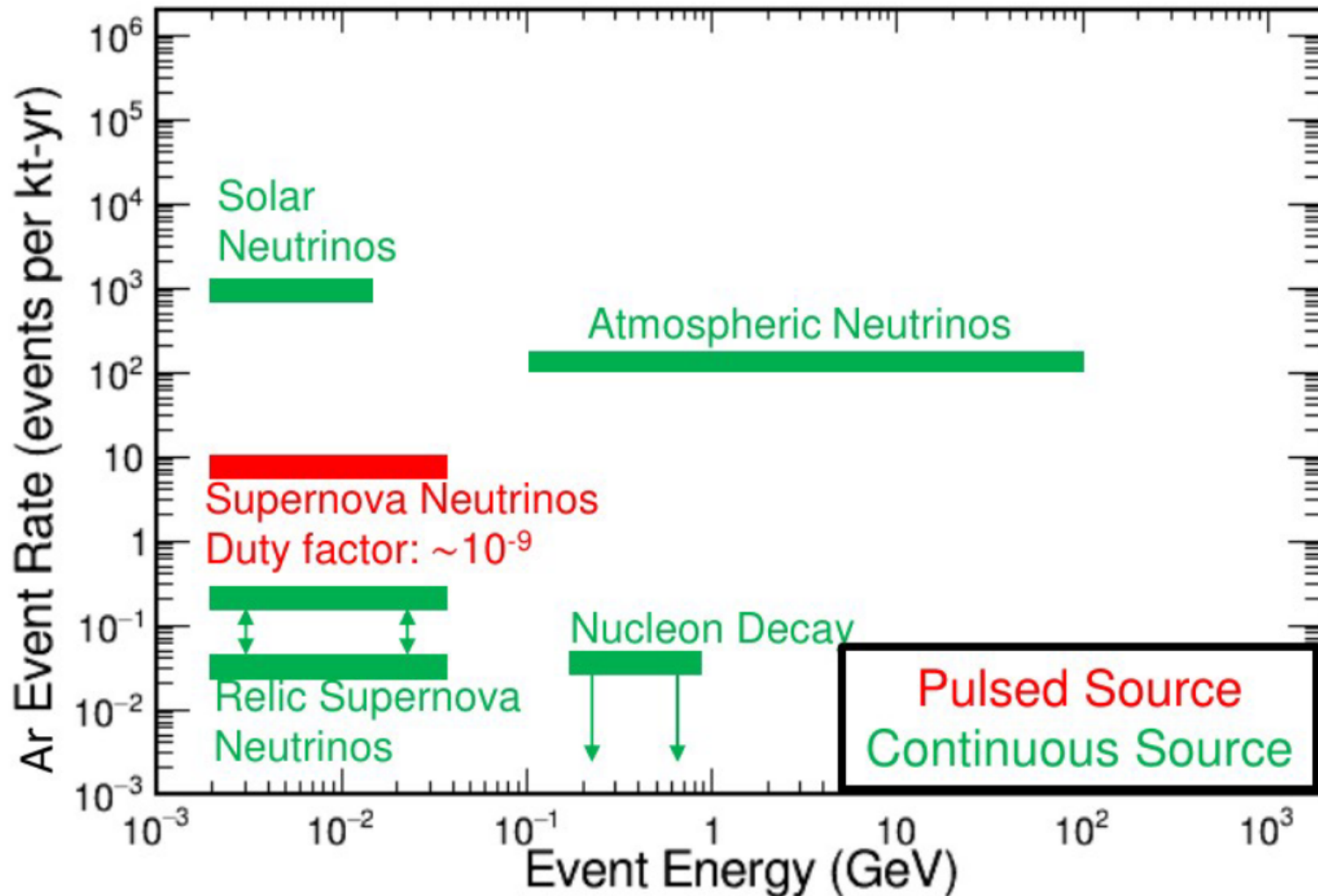


# Neutrino oscillation is part of a broad physics program

- DUNE FD has excellent BSM sensitivity:
  - Large mass
  - Deep underground
  - High resolution
  - Low thresholds
- Boosted BSM searches → high intensity beam and capable ND



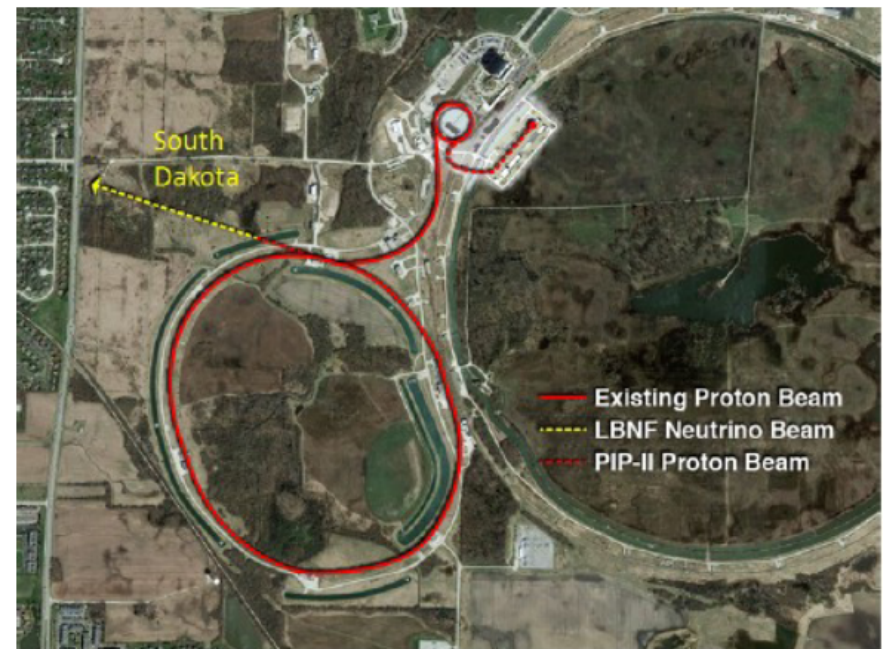
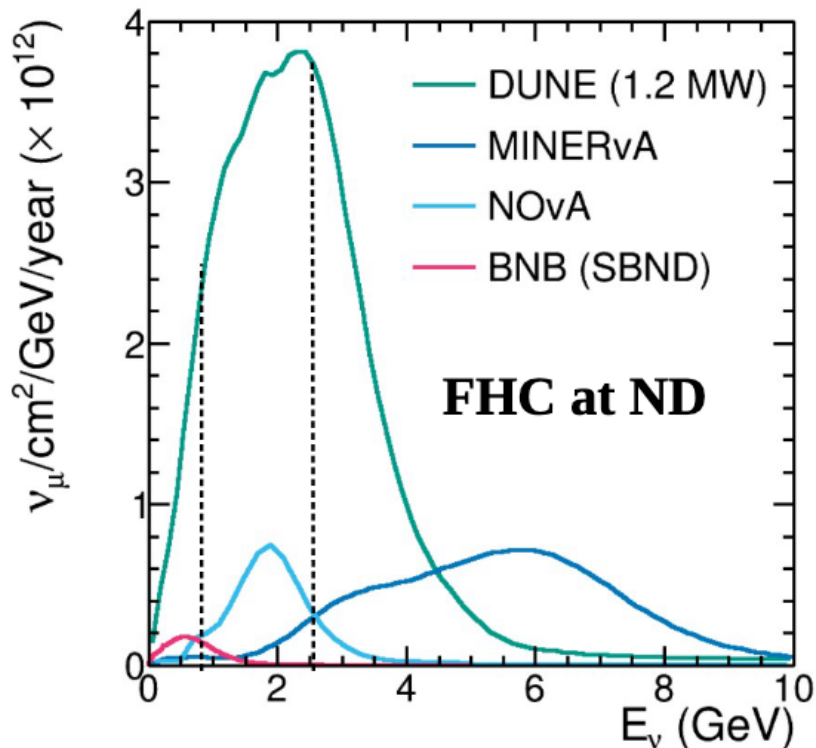
# Astroparticle events in DUNE: several decades in energy & rate



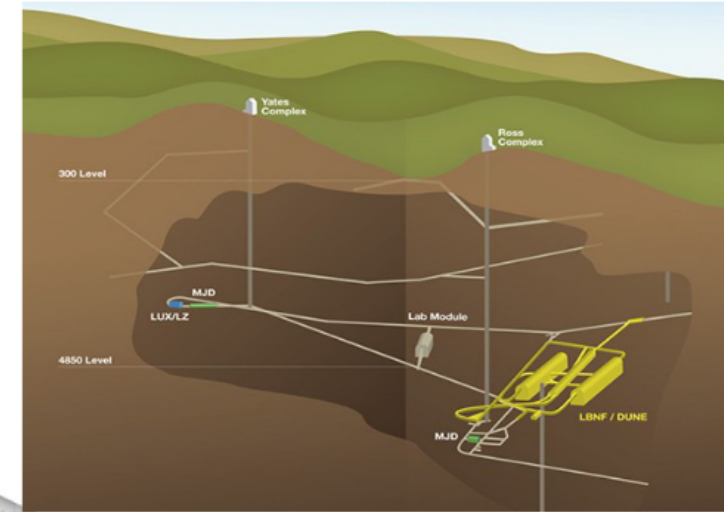
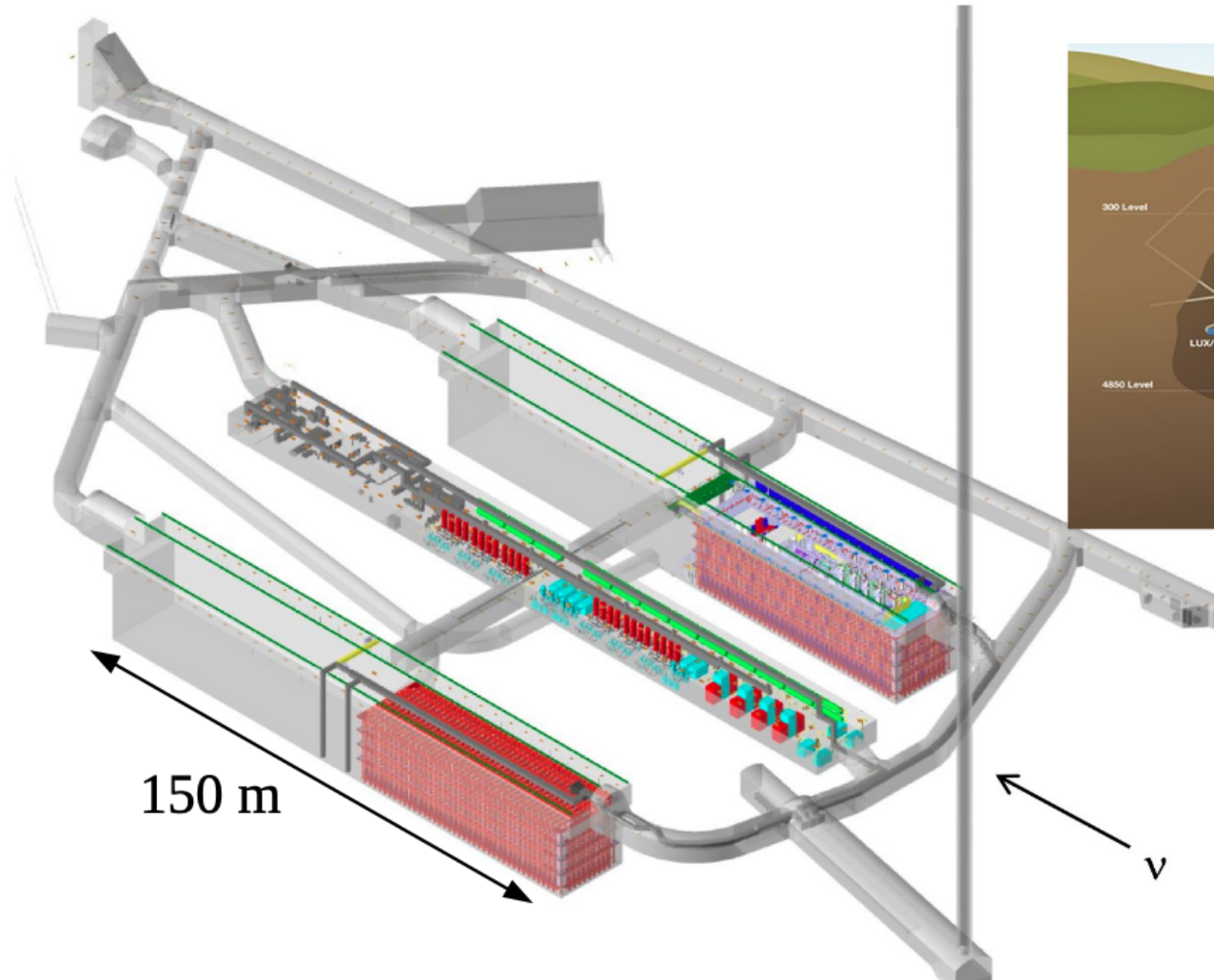


# LBNF: lots and lots of neutrinos

- 1.2 MW proton beam, upgradeable to 2.4 MW
- Peak at 1<sup>st</sup> maximum (2.5 GeV), with substantial flux between first and second maximum (0.8 GeV)



# Deep underground far detector site at SURF (Lead, South Dakota)



- 1490m underground (4300 mwe)
- Space for 70kt LAr detector

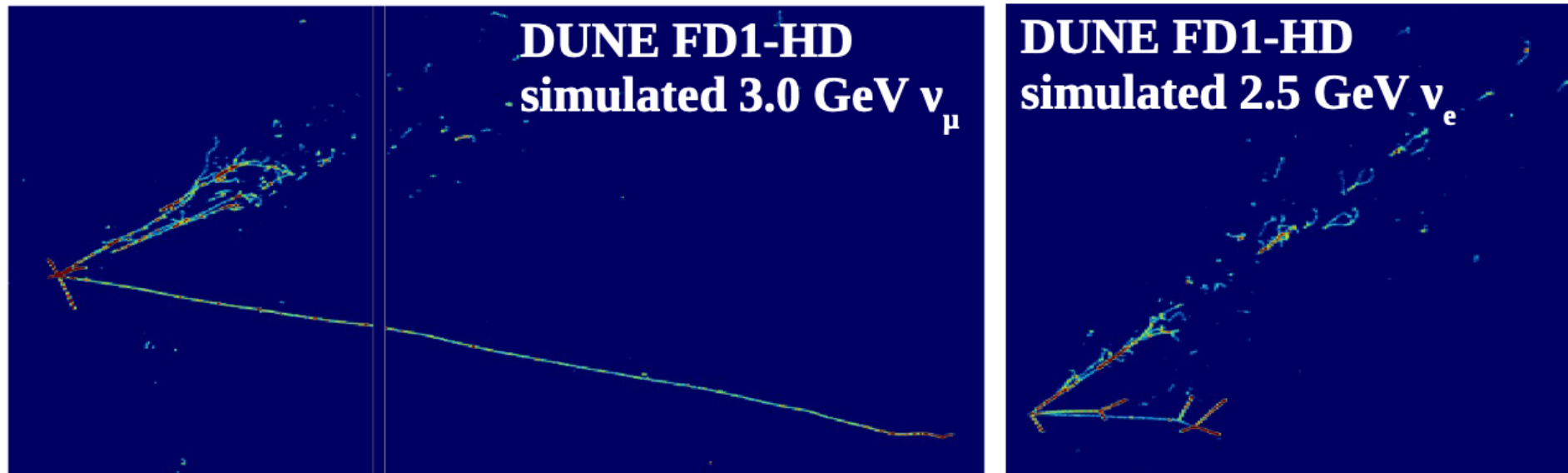
# Far Detector – Site of Original Davis Experiment!



Image: Brookhaven

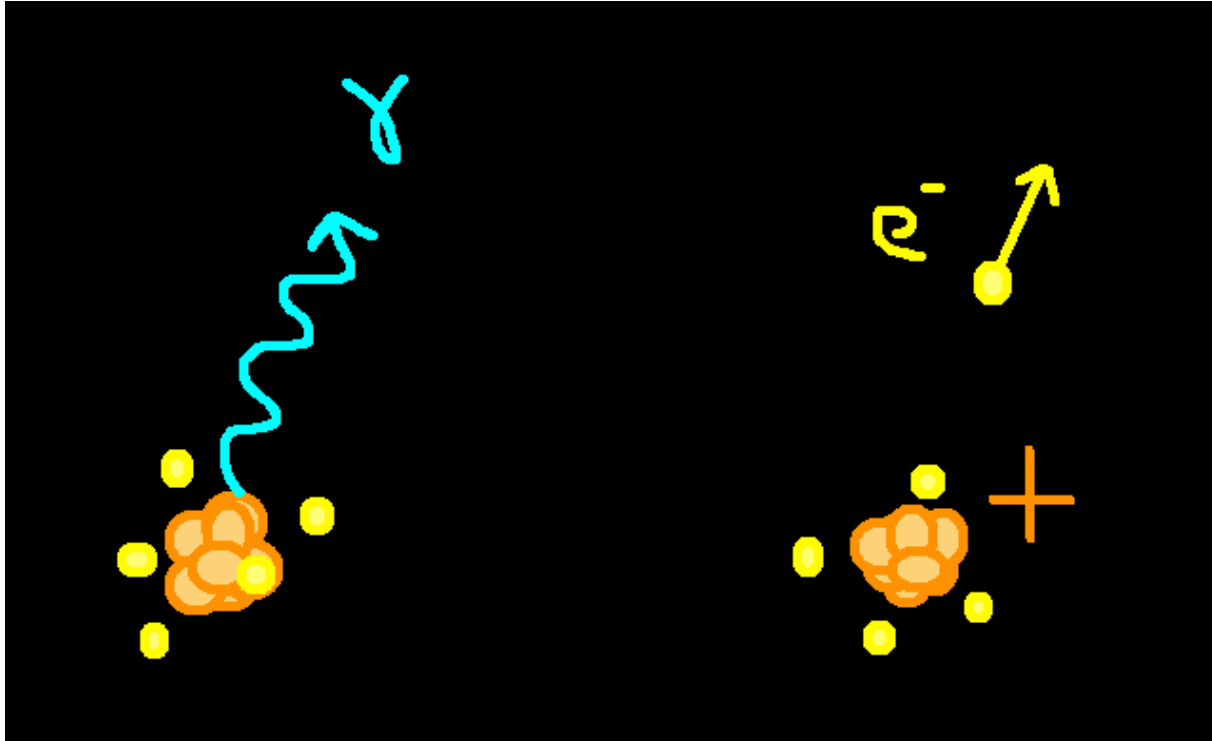


# Why LAr: exquisite imaging for flavor ID, energy reconstruction



- Clean separation of  $\nu_{\mu}$  and  $\nu_e$  charged currents
- Low thresholds for charged particles → precise reconstruction of lepton and hadronic energy →  $E_{\nu}$  reconstruction over broad energy range

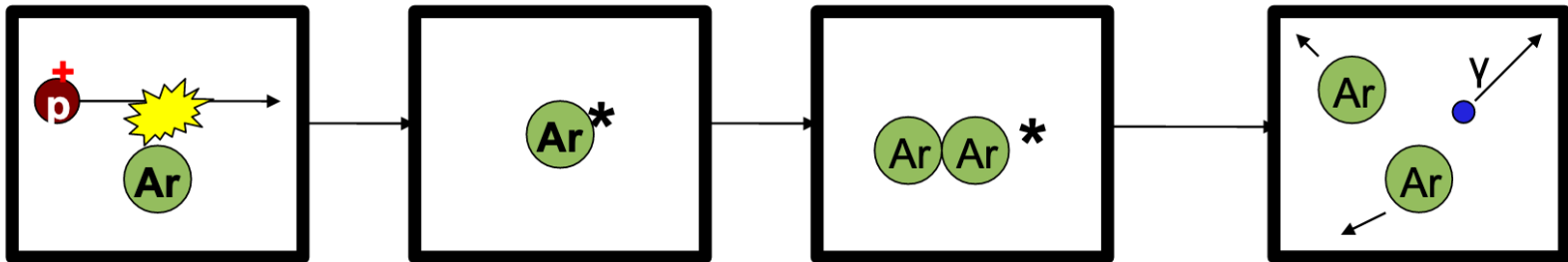
# Why LAr: Nobel Elements



- Transparent to their own scintillation light
- No electron attachment\*, long drift distances

# Why LAr: Transparent

## Self-trapped exciton luminescence



## Recombination luminescence

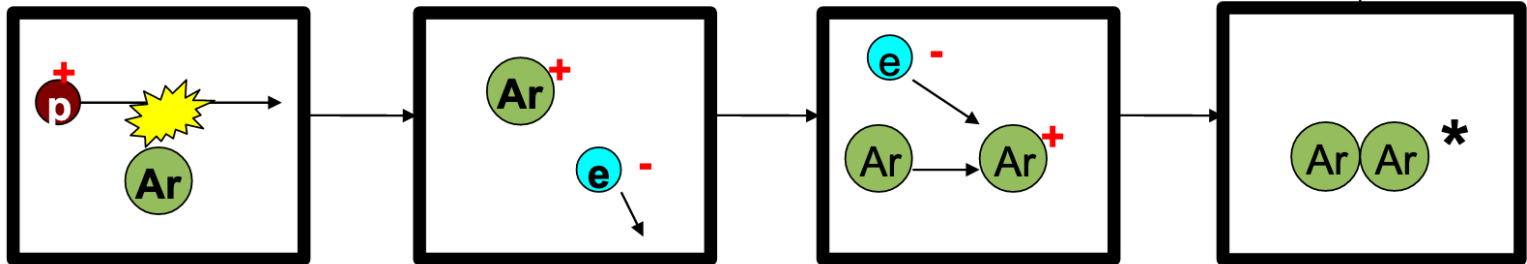
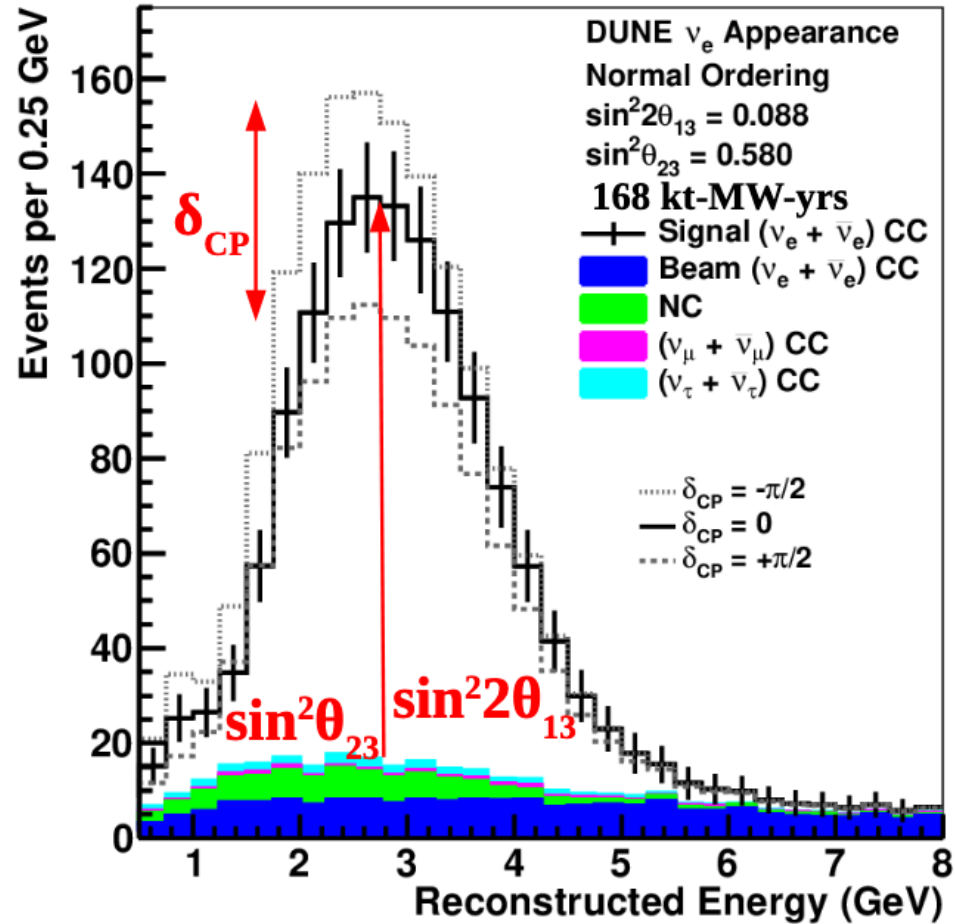
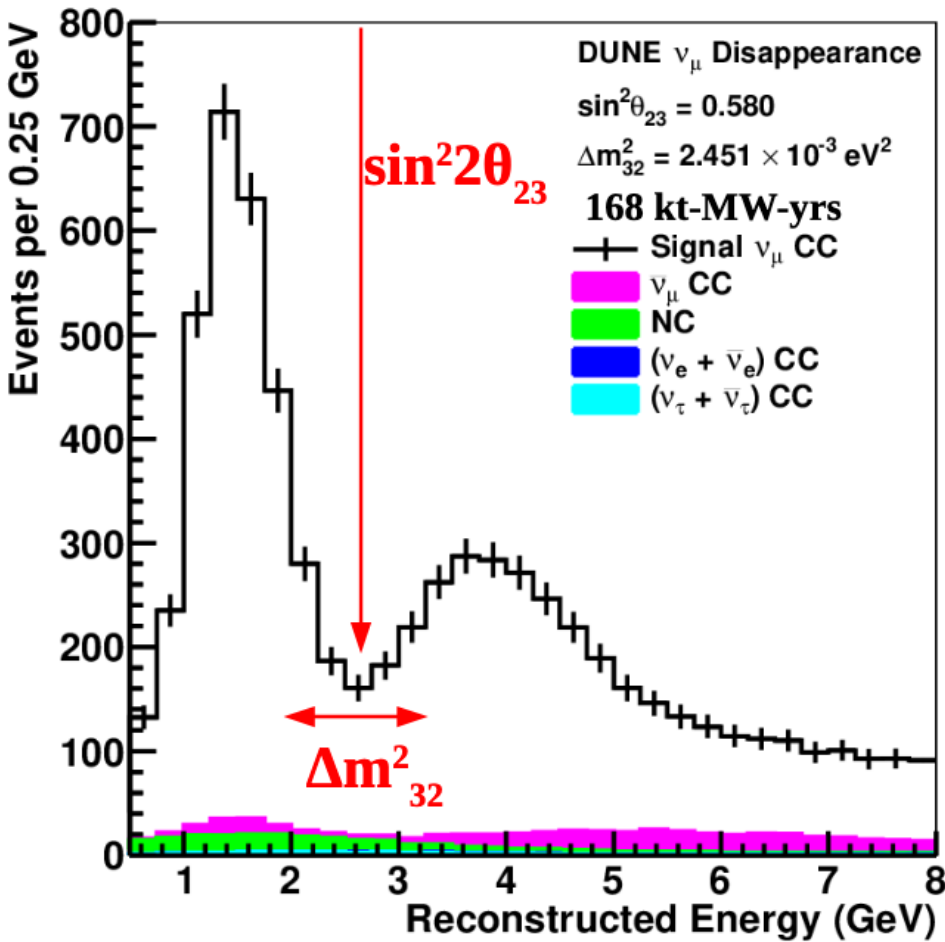


Image: Ben Jones

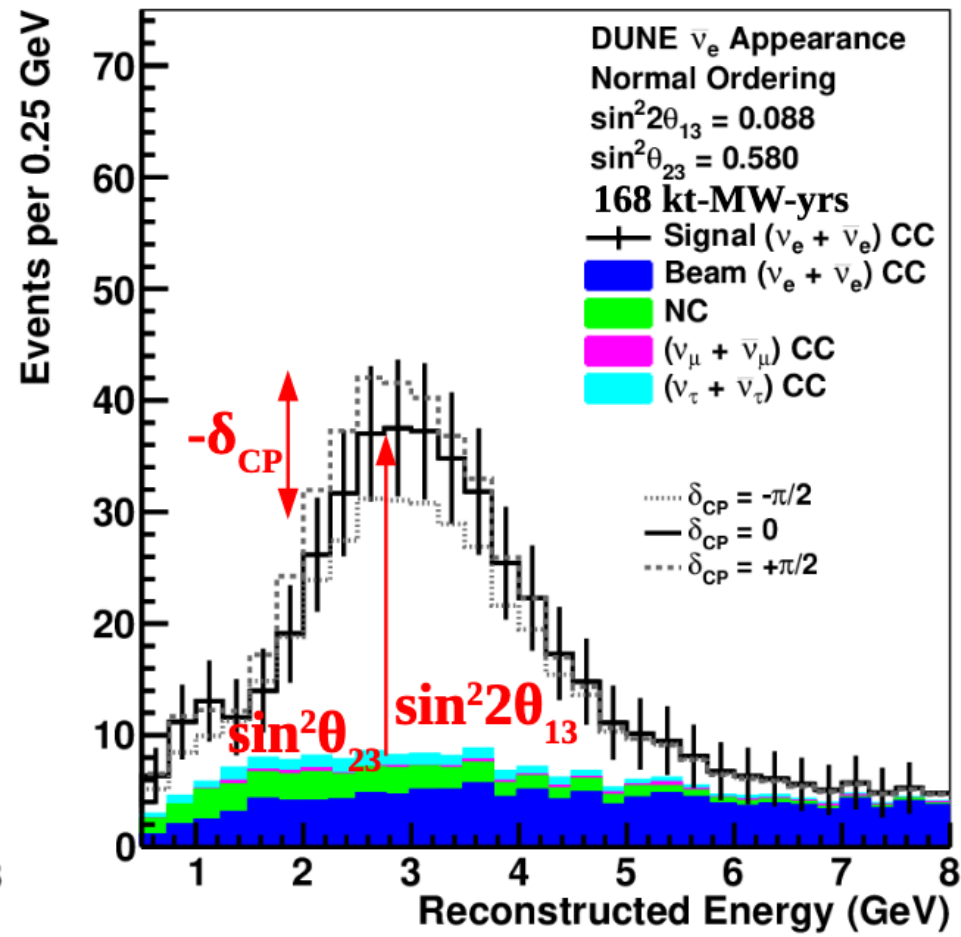
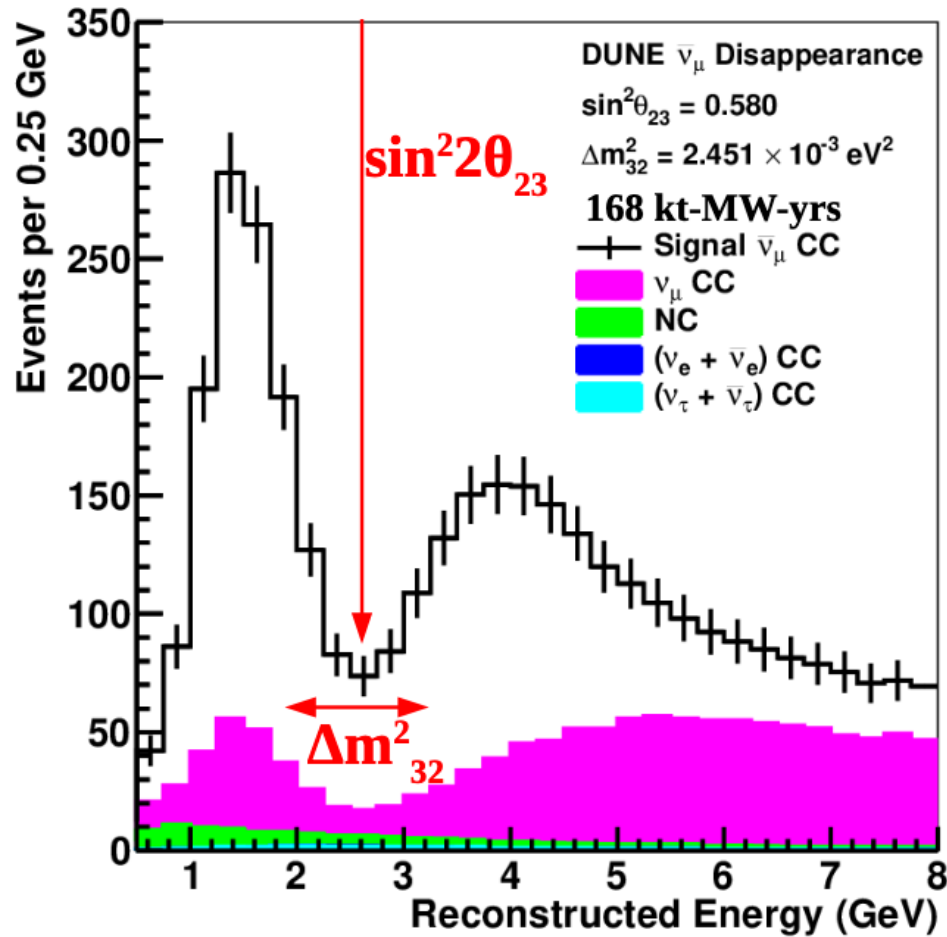
- Scintillation from decay of eximers
- Reverse process to absorb light requires two atoms in close proximity
- Argon unbound in ground state, atoms typically around 4 Å apart

# What DUNE actually measures: Events vs. reco energy



FHC = neutrinos

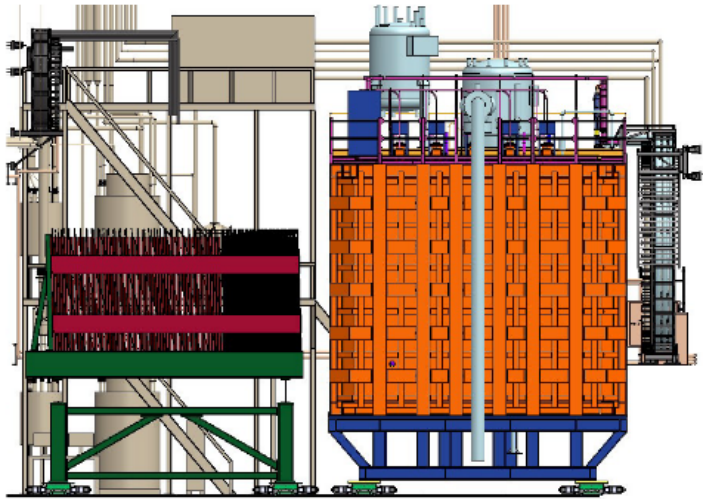
# What DUNE actually measures: Events vs. reco energy



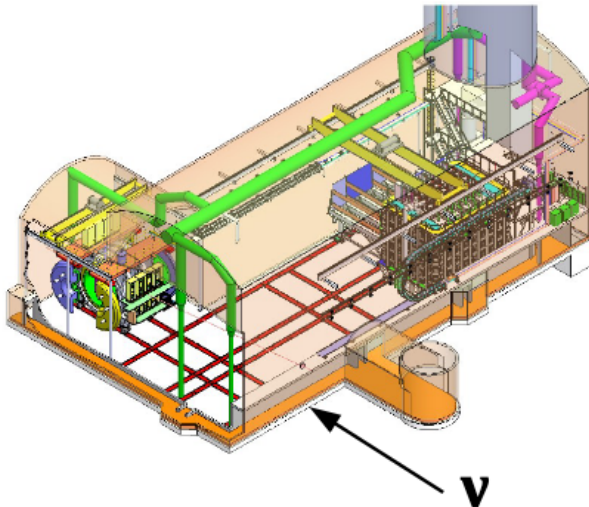
RHC = antineutrinos



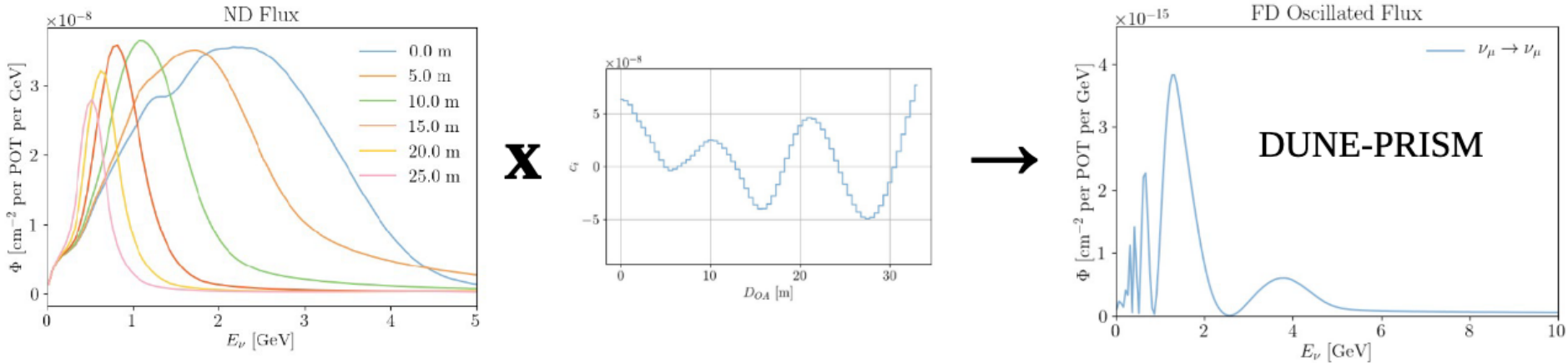
# The DUNE ND provides critical constraints on systematics



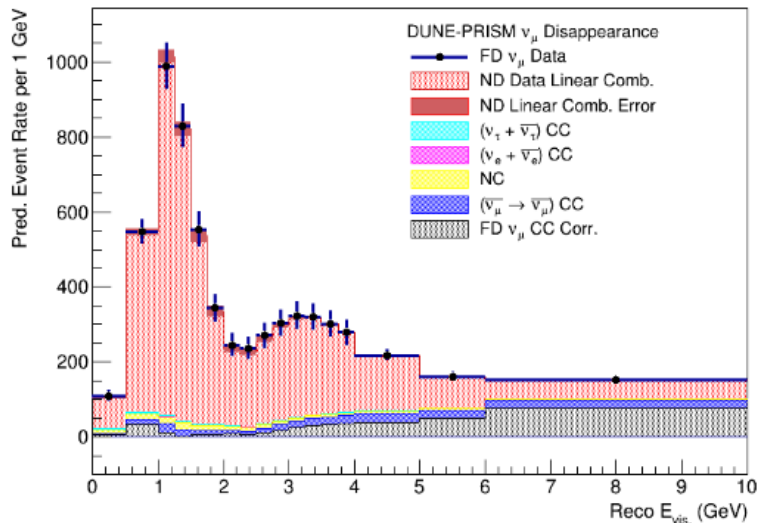
- Large uncertainties on flux, cross sections, and detector response require are constrained to the few percent level by the ND
- ND-LAr+TMS: measure neutrino interactions on the same Ar target, with same detector technology as FD
  - Some differences in design to mitigate beam pile-up
  - Steel+scintillator spectrometer to measure forward muons
- System moves up to 30m off axis (next slide)
- On-axis detector (SAND) measures neutrino interactions on various targets and monitors beam stability



# PRISM plays a critical role in enabling DUNE's precision



48 kT-MW-Years Exposure,  $\Delta m_{32}^2 = 2.52 \times 10^{-3} \text{ eV}^2$ ,  $\sin^2(\theta_{23}) = 0.5$

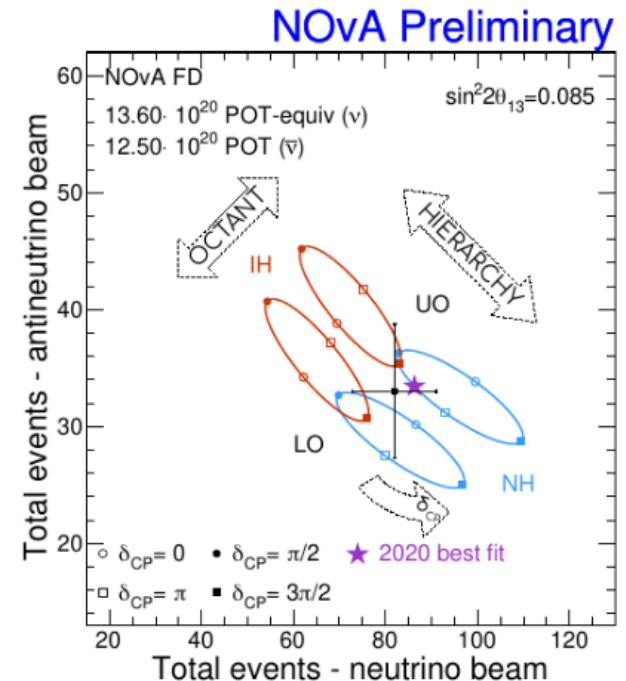
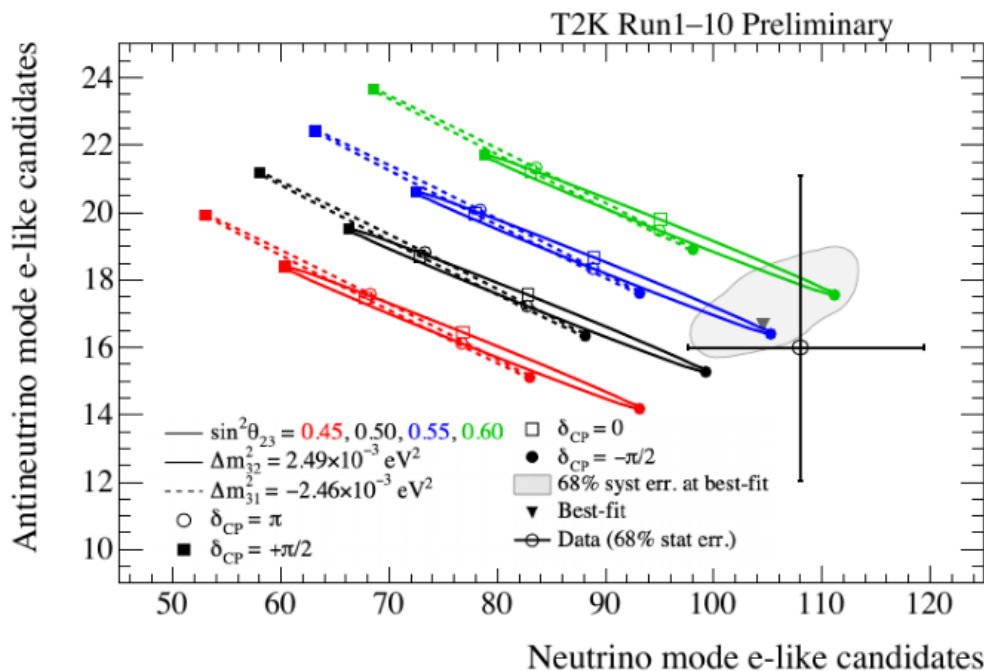


- FD flux  $\neq$  ND flux  $\rightarrow$  uncertainties in **energy dependence** of cross sections, response, etc.
- ND flux changes with angle due to pion decay kinematics
- Take ND data in different fluxes  $\rightarrow$  build linear combination to match FD *oscillated* spectra
- Robust analysis approach with very minimal dependence on interaction modeling

# Current measurements of

## $\nu_\mu \rightarrow \nu_e$ (T2K and NOvA)

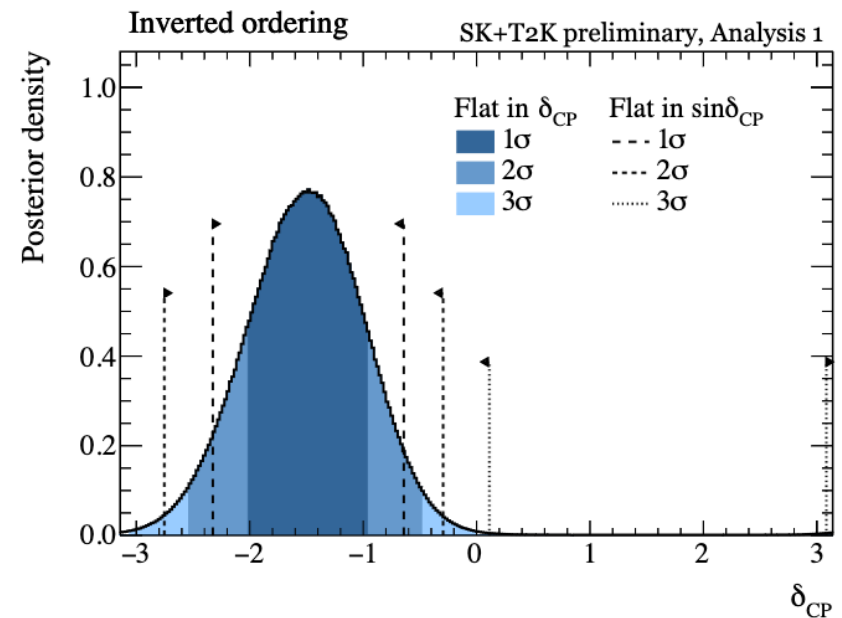
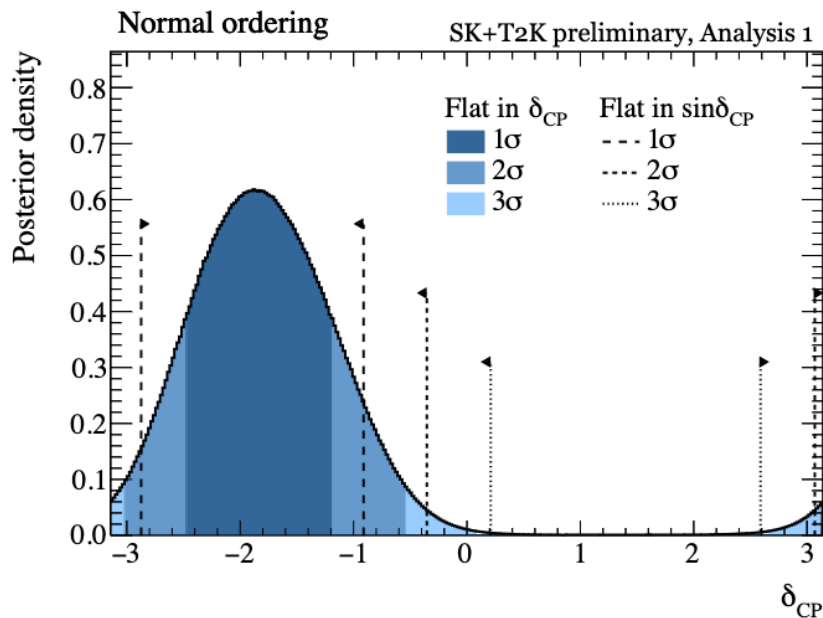
- Narrow-band neutrino flux at the oscillation maximum
- Number of observed  $\nu_e$  and  $\bar{\nu}_e$  events is related to the oscillation parameters, but effects are degenerate, and data are not precise enough to resolve everything



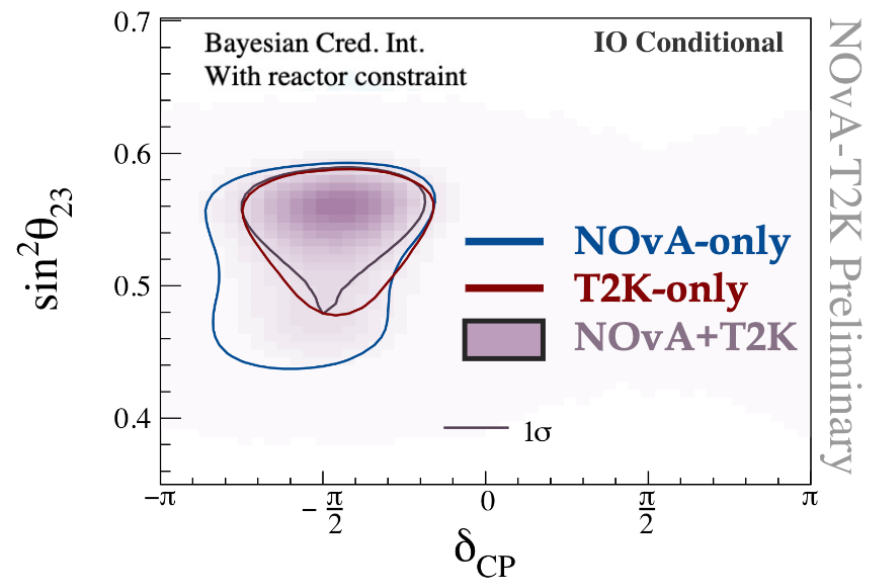
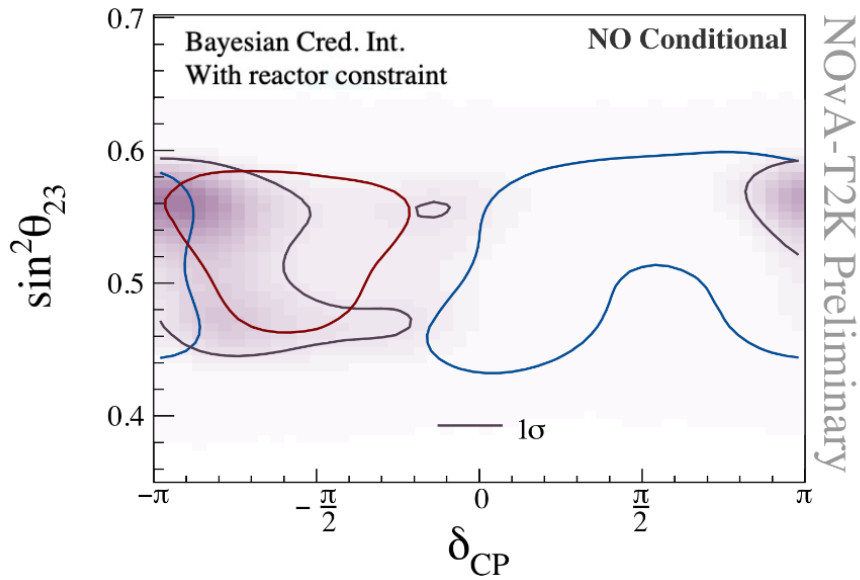
# Current Measurements (T2K + SK)

- For inverted neutrino mass ordering, CP-conservation excluded at  $3\sigma$
- However, neutrino mass orderings cannot be distinguished

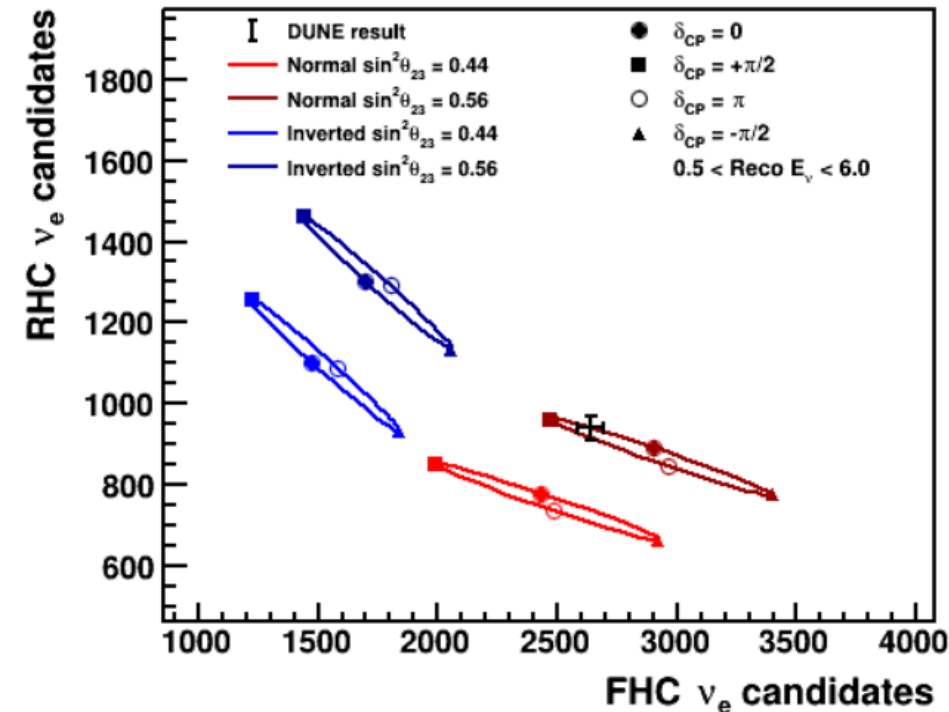
$\delta_{CP}$  posterior distributions with reactor constraint



# Current Measurements (NOvA+T2K)



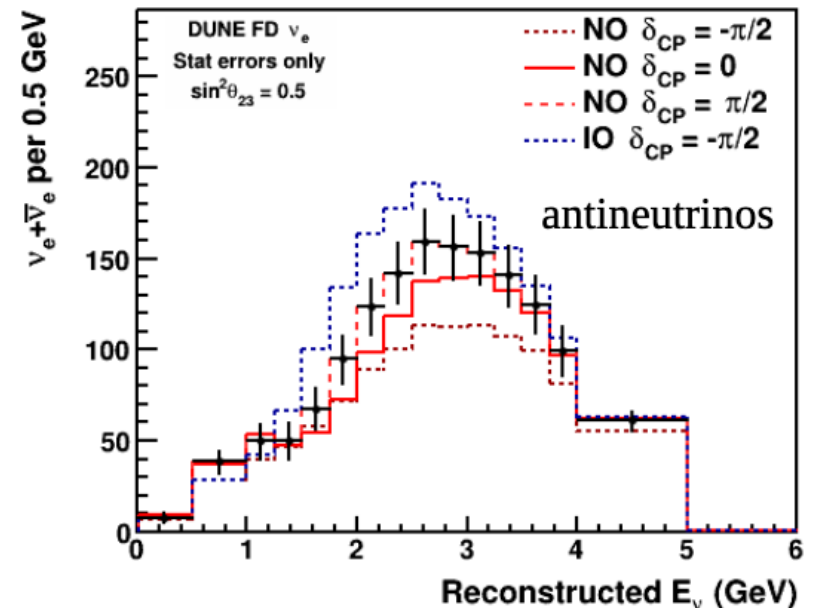
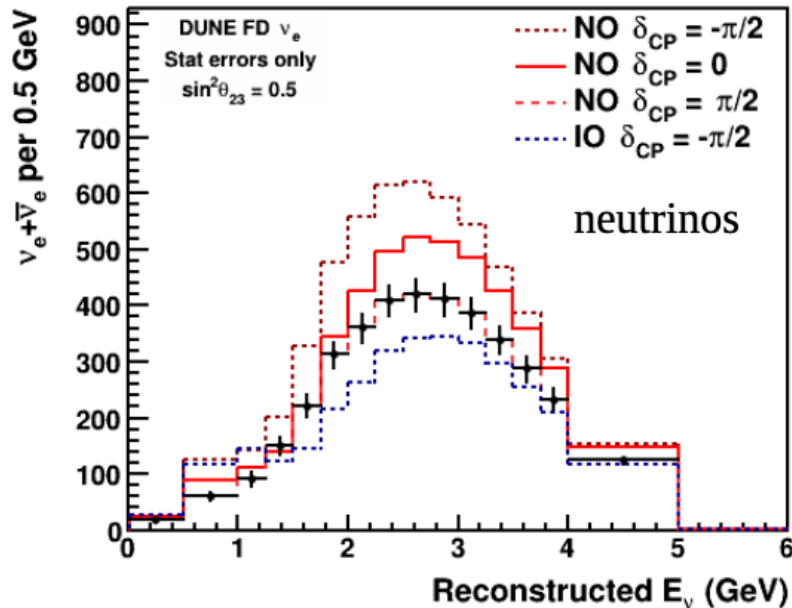
# DUNE's large matter effect makes CPV and MO effects separable



- Key feature very long baseline  $\rightarrow$  no overlap between NO and IO
- Data point shows long-term reach of DUNE if we ignored spectral information and just counted events
- This is a really, really bad way to show the reach of DUNE...

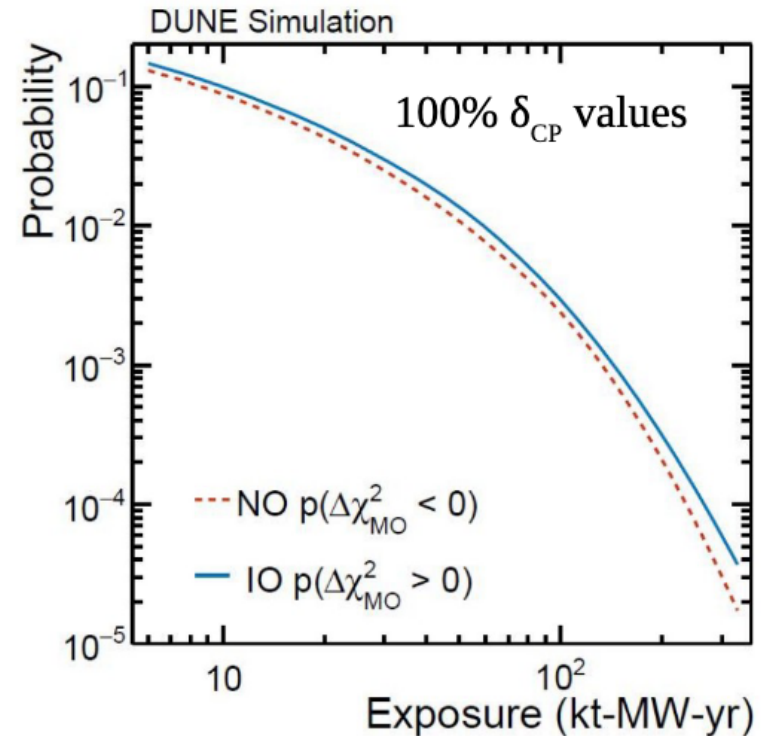
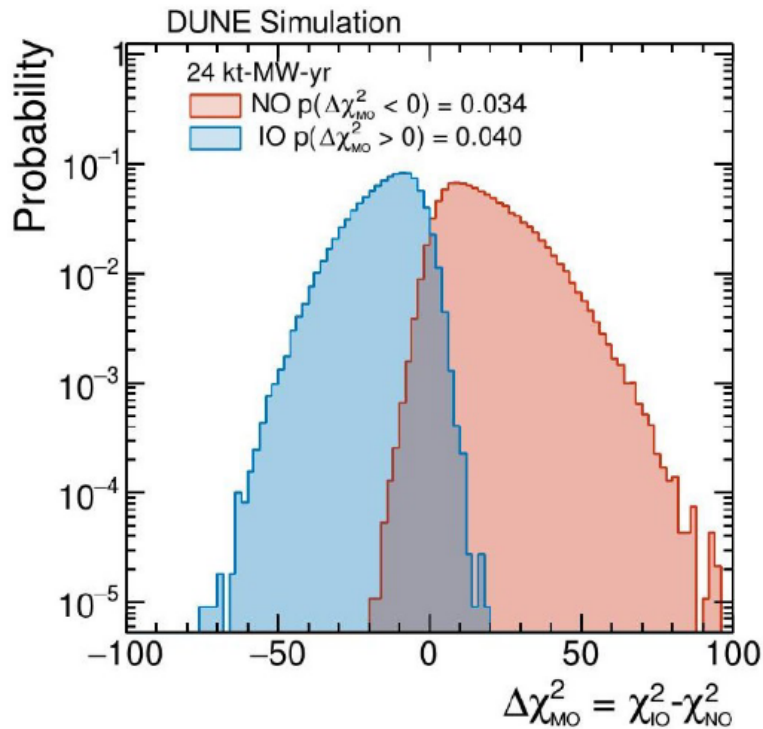


# DUNE measures oscillations over more than a full period



- Broadband neutrino beam  $\rightarrow$  measure oscillations vs.  $L/E$
- Oscillation parameters affect the spectral shape as well as the rate
- We might see that our data fits nicely with a particular set of 3-flavor parameters over many energy bins...and we might not

# Mass ordering: definitive resolution

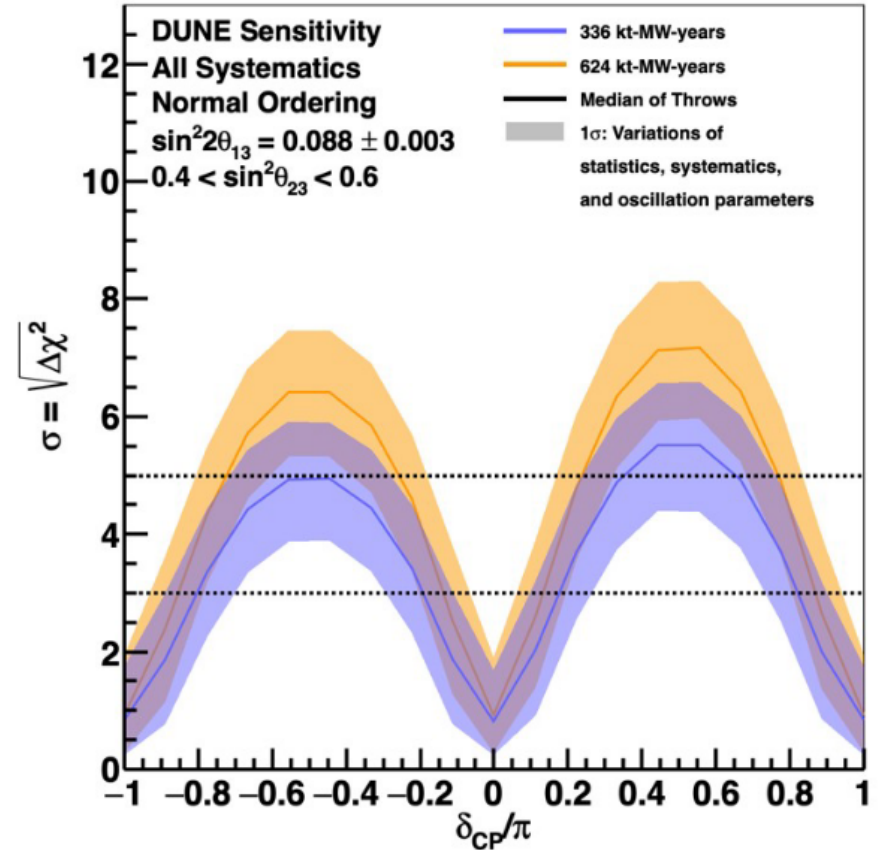
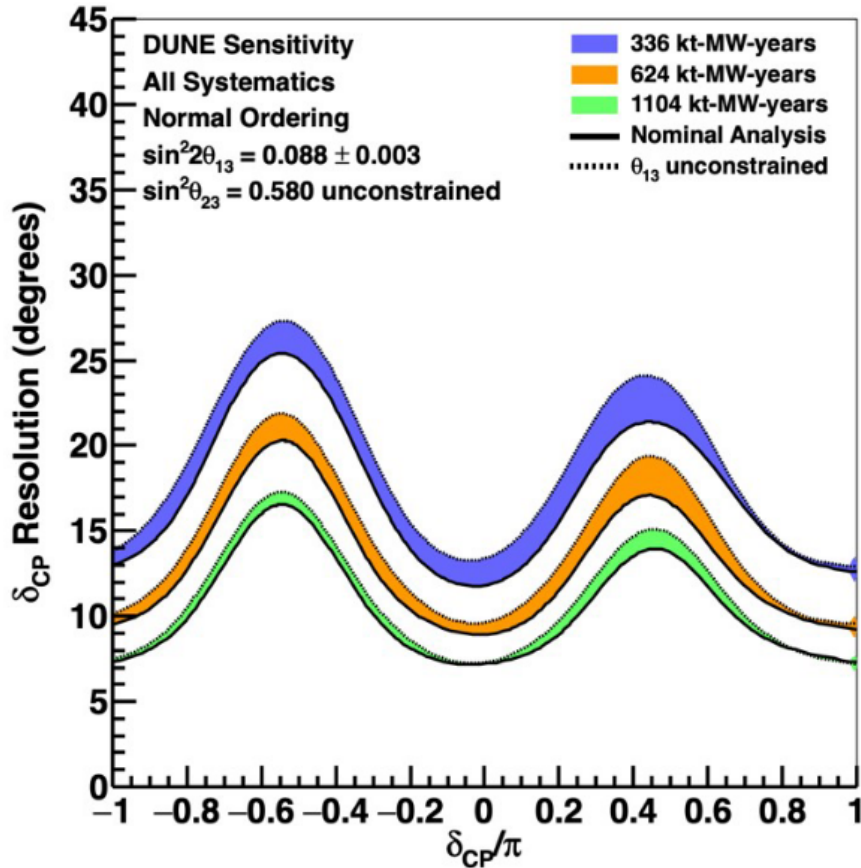


- Significant mass ordering sensitivity very quickly:  $\sim 97\%$  correct after  $\sim 1$ -2 years
- Long term  $\rightarrow >10\sigma$  for any parameter combination



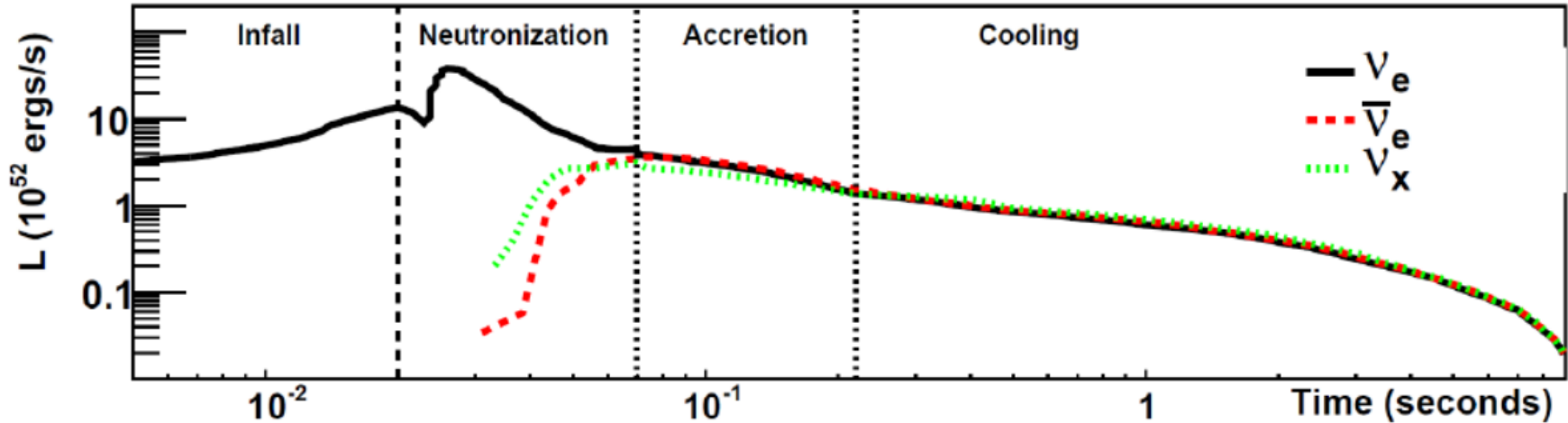
# CP violation: $\delta$ resolution 6-16°

CP Violation Sensitivity



- 6°-16° resolution to  $\delta_{CP}$  without dependence on other experiments, discovery sensitivity to CP violation over a broad range of possible values

# DUNE has unique sensitivity to supernova electron neutrinos



- Neutronization burst is entirely  $\nu_e$
- Complementary with other sensitive large detectors
- SNB is driving the design of the DAQ and trigger system

	$\nu_e$	$\bar{\nu}_e$	$\nu_x$
DUNE	89%	4%	7%
SK <sup>1</sup>	10%	87%	3%
JUNO <sup>2</sup>	1%	72%	27%

<sup>1</sup>Super-Kamiokande, *Astropart. Phys.* **81** 39-48 (2016)

<sup>2</sup>Lu, Li, and Zhou, *Phys Rev. D* **94** 023006 (2016)

# ProtoDUNE

# ProtoDUNE



- Prototype for the first far detector module of DUNE
- Liquid argon TPC, active volume of 7.2 m x 6.1 m x 7.0 m and photon detection system
- Incorporates full-sized components designed for the far detector
- First physics run, mixed particle test beam with momenta in range 0.3 GeV/c to 7 GeV/c at CERN neutrino platform in 2018-2019

# ProtoDUNE Physics Goals

- Improve pion and proton cross section measurements
- Enable development of liquid argon simulations before DUNE main physics running
- **Measure electron-ion recombination in liquid argon** crucial for neutrino energy reconstruction in DUNE

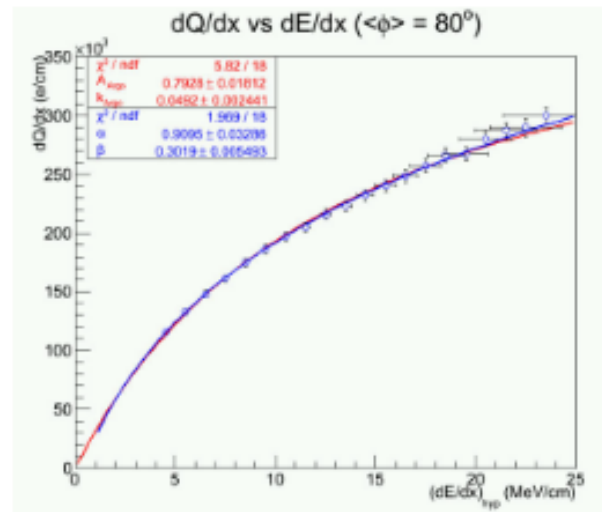
# Recombination Measurement

- Want to know energy deposited in our detectors to measure neutrino oscillation parameters
- What we actually measure is the charge read out from the electrons drifting to the anodes
- To do our physics we need to convert between the two -> recombination modelling!
- One of the main systematics for neutrino oscillation measurements at DUNE



# Recombination

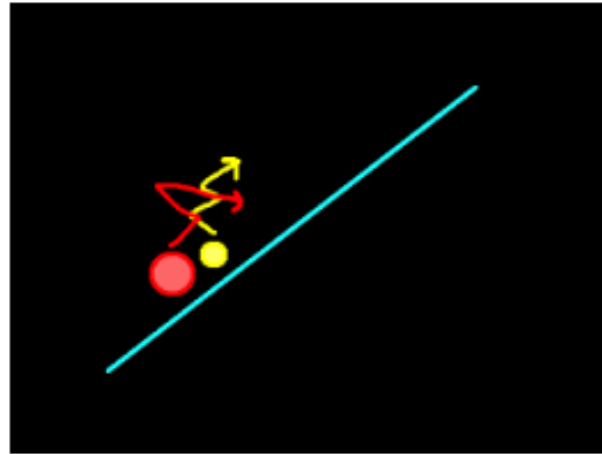
Relationship between the observed charge,  $dQ/dx$ , and the deposited energy,  $dE/dx$ , is non-linear due to electron-ion recombination,  $dQ/dx$  saturates at higher values of  $dE/dx$  and varies as a function of electric field



JINST 8 (2013) P08005 (ArgoNeuT)

- ▶ Investigate two different models of recombination using stopping proton tracks: Birks' model and Modified Box model

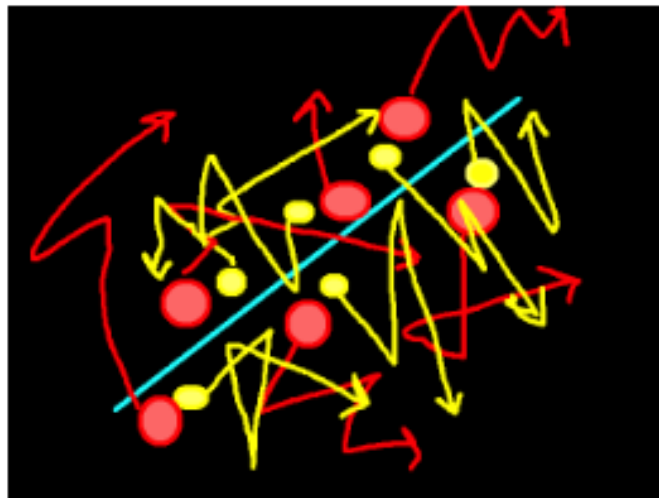
# Recombination Modeling: Onsager geminate theory



- ▶ Assumes electron recombines with parent ion
- ▶ Electron ion separation small compared to ion spacing



# Recombination Modeling: Jaffé columnar model



- ▶ Assumes separation of ions ( $W / (dE / dx)$ ) is small compared to electron ion distance
- ▶ Gaussian profile about track assumed
- ▶ Introduces angular dependence if electric field present (perpendicular vs parallel to drift direction)

# Birks' Model

$$\frac{dQ}{dx} = \frac{A_B}{W} \frac{\frac{dE}{dx}}{1 + \frac{k_B}{\rho\epsilon} \frac{dE}{dx}}$$

Where  $A_B$  and  $k_B$  are free parameters to be fit. Other parameters from nature or detector:

- ▶  $W = 23.6$  eV/electron (average energy to ionise argon atom)
- ▶  $\epsilon = 0.553[0.4867]$  kV/cm (average drift electric field, ProtoDUNE-SP in this analysis [MC])
- ▶  $\rho = 1.383$  g/cm<sup>3</sup> (density of liquid argon at 124.106 kPa)

# Modified Box Model

$$\frac{dQ}{dx} = \frac{1}{\beta W} \log \left( \beta \frac{dE}{dx} + \alpha \right)$$

Where  $\beta' = \rho\epsilon\beta$  and  $\alpha$  and  $\beta'$  are free parameters to be fit.

Other parameters from nature or detector:

- ▶  $W = 23.6$  eV/electron (average energy to ionise argon atom)
- ▶  $\epsilon = 0.553[0.4867]$  kV/cm (average drift electric field, ProtoDUNE-SP in this analysis [MC])
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# Notes about these models

These models are purely empirical and the “constants” are not parameters of nature but rather contain secret detector physics:

- ▶ electric field
- ▶ track angle with respect to the drift direction
- ▶ impurities
- ▶ delta ray modeling

As such it is important to measure for each detector and check reasonable compared to similar detectors, but bear the above in mind.

# Uncertainty on $dE/dx$

Using the Modified Box model, we can solve for  $dE/dx$ :

$$\frac{dE}{dx} = \frac{1}{\beta} \left( \exp \left( \beta W \frac{dQ}{dx} \right) - \alpha \right)$$

# ProtoDUNE Results



# How we make this Measurement

- ▶ Compare calibrated charge deposits with expected energy deposit deduced from residual range of the proton track

# Method: Selecting the Stopping Protons

Some basic cuts applied (the same as far as possible in data and MC):

- ▶ Primary track contains hits
- ▶ Reconstructed track length consistent with stopping 1 GeV proton
- ▶ Beamline instrumentation PID = proton
- ▶ Track start position and angle consistent with beam
- ▶ Additional cleaning cuts

# Method: Get $dQ/dx$ and $dE/dx$

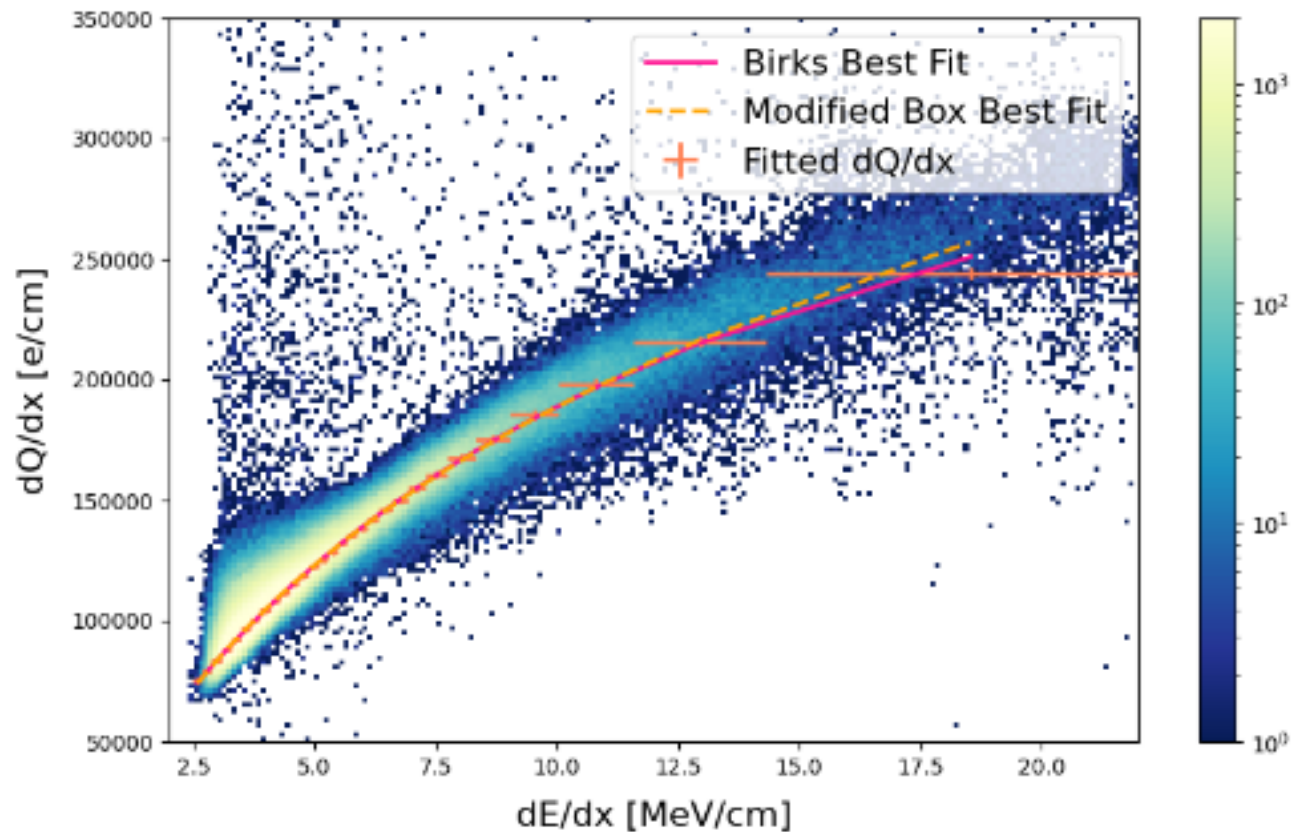
For all the hits along the primary track need to get  $dQ/dx$ ,  $dE/dx$  and residual range:

- ▶ Residual range (R): directly from track reconstruction
- ▶  $dQ/dx$ : uniformity calibration applied
- ▶  $dE/dx$ : most probable value calculated from track reconstructed residual range via Landau-Vavilov distribution <sup>1</sup>

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<sup>1</sup>[root.cern.ch/doc/master/classROOT\\_1\\_1Math\\_1\\_1VavilovAccurate.html](http://root.cern.ch/doc/master/classROOT_1_1Math_1_1VavilovAccurate.html)

# Validation with MC



# Validation with MC

Modified Box Model:

- ▶  $\alpha = 0.920 \pm 0.015$  (Input: 0.93)
- ▶  $\beta' = 0.212 \pm 0.005$  (Input: 0.212) (kV / cm)(g / cm<sup>2</sup>) / MeV
- ▶  $\chi^2 / \text{ndof} = 1.07$

# Uncertainties

## $dE/dx$

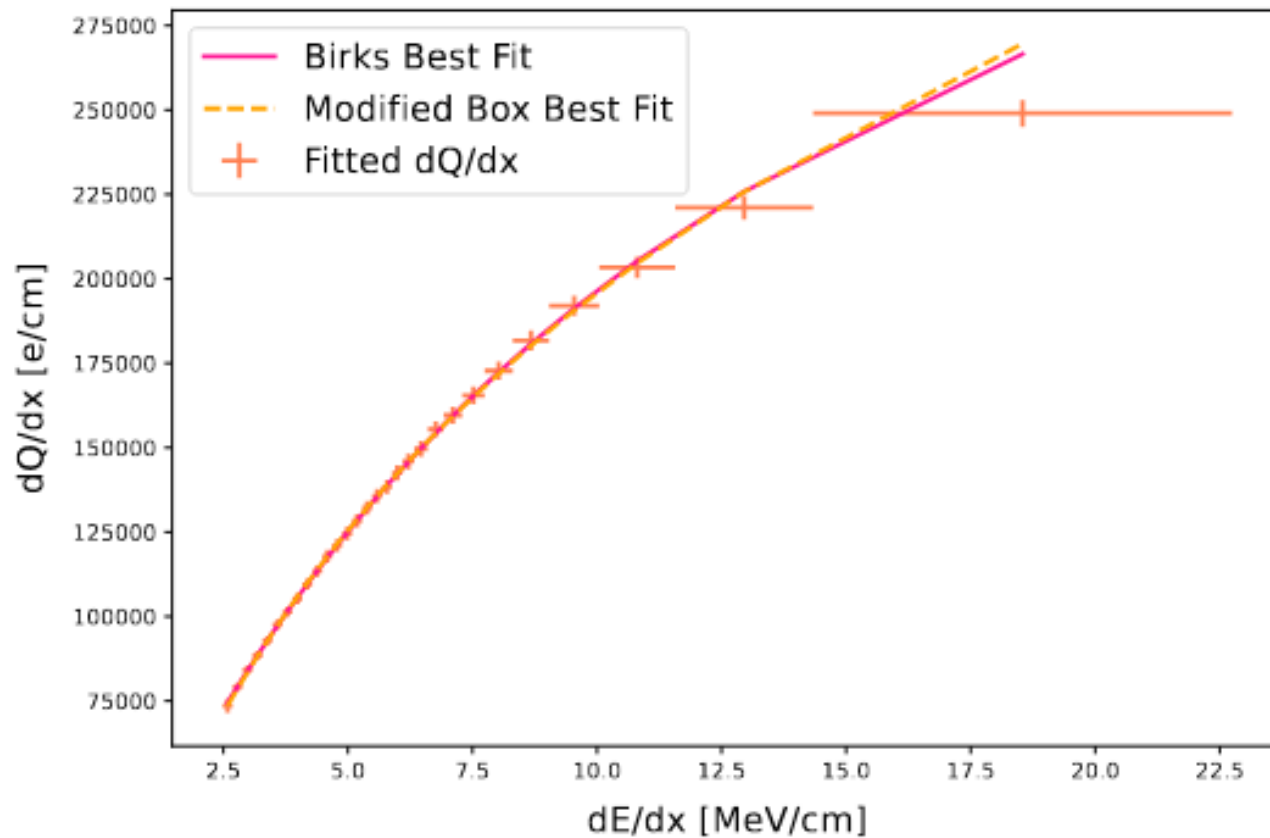
- 0.5 cm from end point finding [we are working to reduce]

## $dQ/dx$

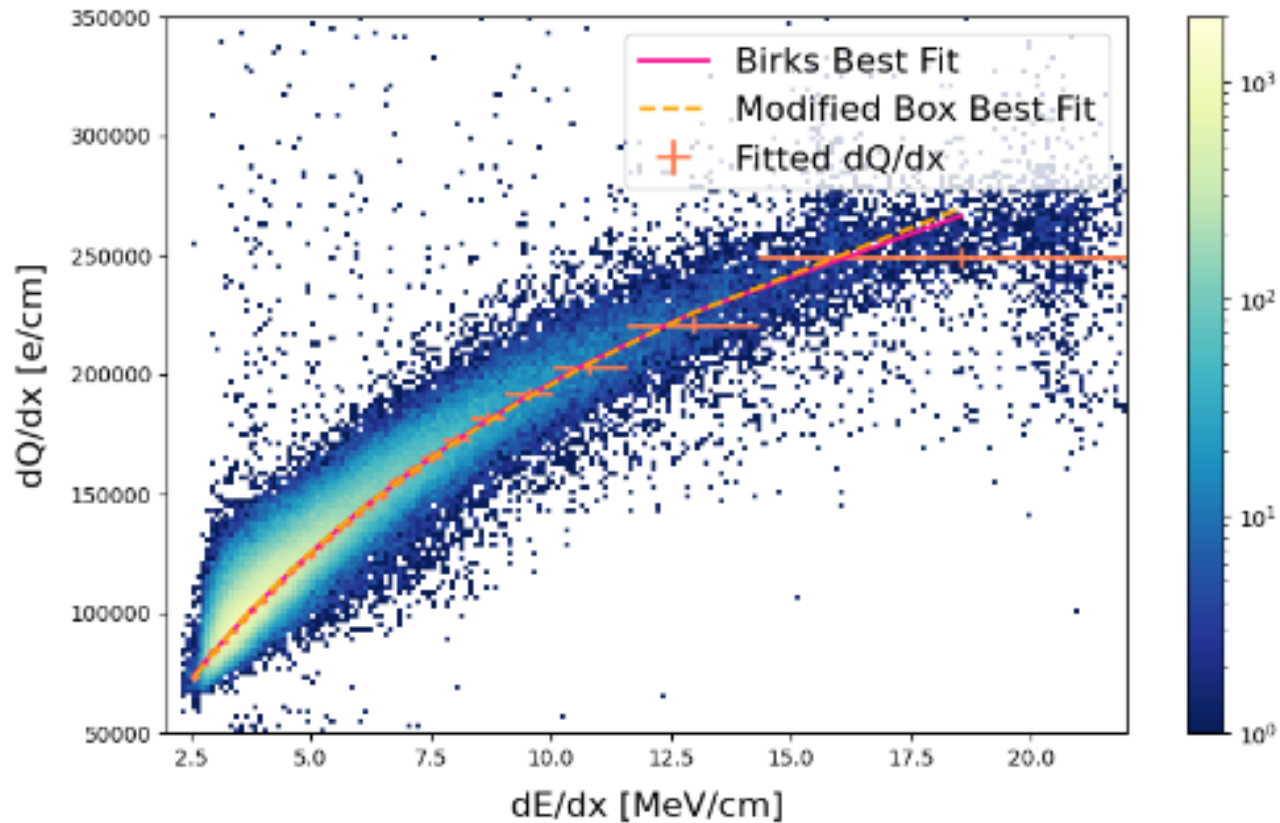
- Statistical uncertainty from peak finding (varies by bin, small)
- Uniformity correction, drift direction (0.3% data, 0.3% MC)
- Uniformity correction, plane perpendicular to drift direction (1.5% data, 1.0% MC)
- Additional space charge systematic uncertainty (calculated, not included in these results)
- Additional systematic due to electric field non-uniformity (calculated, not included in these results)



# Fit Results: Data



# Fit Results: Data



# Global Results Summary

	ArgoNeuT, ICARUS	$\mu$ BooNE	ProtoDUNE
Modified Box Model $\alpha$	$0.93 \pm 0.02$	$0.92 \pm 0.02$	$0.905 \pm 0.014$
Modified Mox Model $\beta'$ (kV/cm)(g/cm <sup>2</sup> )/MeV	$0.212 \pm 0.002$	$0.184 \pm 0.002$	$0.194 \pm 0.005$
Birks' Model $A_B$	$0.800 \pm 0.003$	$0.816 \pm 0.012$	$0.813 \pm 0.018$
Birks' Model $\beta'$ (kV/cm)(g/cm <sup>2</sup> )/MeV	$0.0486 \pm 0.0006$	$0.045 \pm 0.001$	$0.051 \pm 0.004$

JINST 8 (2013) P08005, NIM A 523 (2004) 275-286, JINST 15 (2020) 03, P03022, this work

# Fit Results: Data

## Modified Box Model:

- ▶  $\alpha = 0.905 \pm 0.014$  (ArgoNeuT:  $0.93 \pm 0.02$ )
- ▶  $\beta' = 0.194 \pm 0.005$  (ArgoNeuT:  $0.212 \pm 0.002$ )  
(kV/cm)(g/cm<sup>2</sup>)/MeV
- ▶  $\chi^2 / \text{ndof} = 1.04$

## Birks' Model:

- ▶  $A_B = 0.813 \pm 0.018$  (ICARUS:  $0.8 \pm 0.003$ )
- ▶  $k_B = 0.051 \pm 0.004$  (ICARUS:  $0.0486 \pm 0.0006$ )  
(kV/cm)(g/cm<sup>2</sup>)/MeV
- ▶  $\chi^2 / \text{ndof} = 0.77$

# Summary

- DUNE will resolve the neutrino mass ordering, and measure  $\delta_{\text{CP}}$  with CP-violation sensitivity over a broad range of parameter space
- DUNE will precisely measure  $\theta_{13}$ ,  $\theta_{23}$  and  $\Delta m^2_{32}$ , and 3-flavor oscillations to test the 3-flavor paradigm
- DUNE has unique sensitivity to low-energy neutrinos from a galactic supernova burst
- ProtoDUNE provides a vital measurement for the energy reconstruction via electron-ion recombination
- A lot of exciting physics lies ahead!