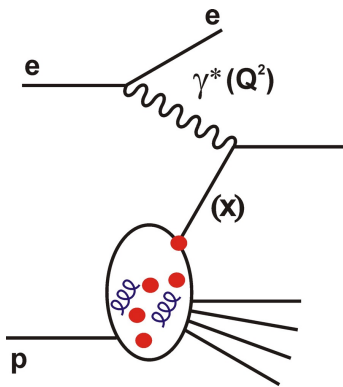
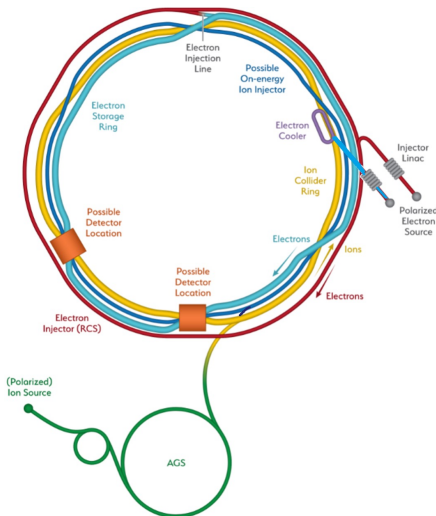


# Lepton-Hadron Scattering and The Electron Ion Collider

Paul Newman (Birmingham)



RAL Seminar  
3 April 2024



- 1) DIS History and Context
- 2) Overview and Machine
- 3) The ePIC detector
- 4) Physics motivations
- 5) Timeline
- 6) UK involvement

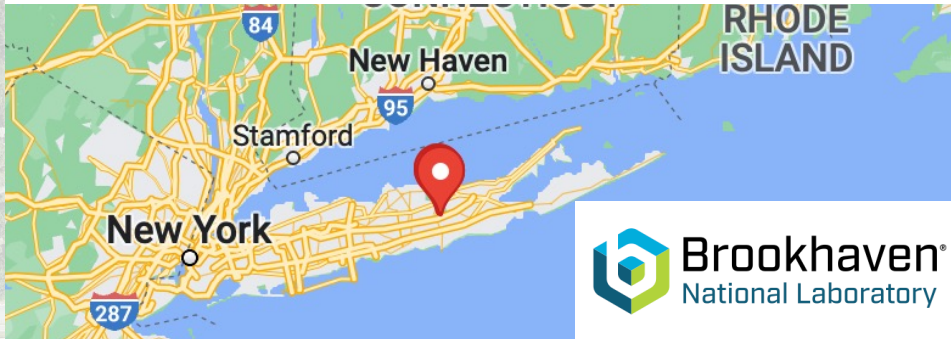
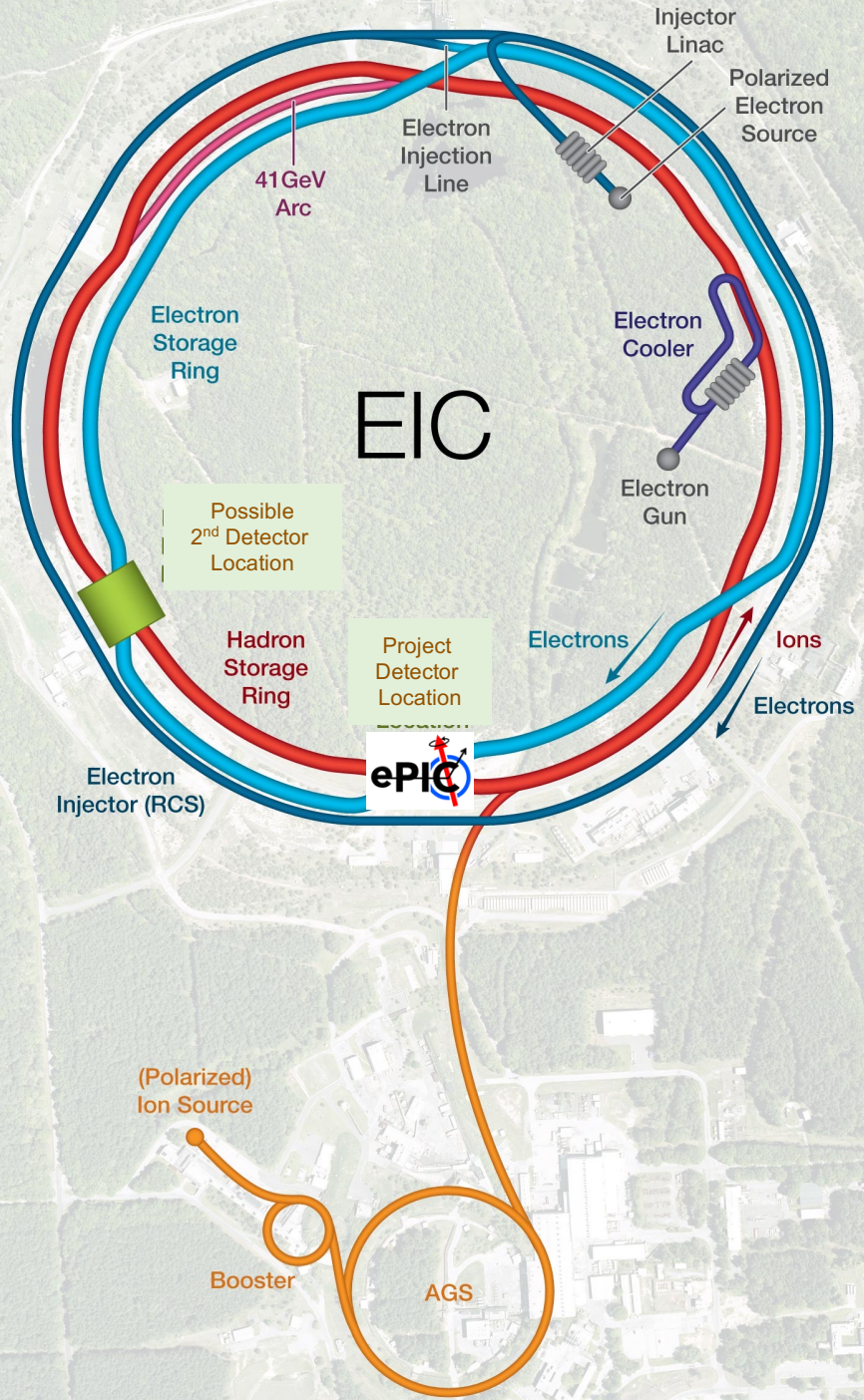


# The Electron Ion Collider

New electron storage ring at BNL accelerator complex, to collide with existing RHIC proton / ion beams

On target to be the world's next high energy\* collider, starting from the early 2030s

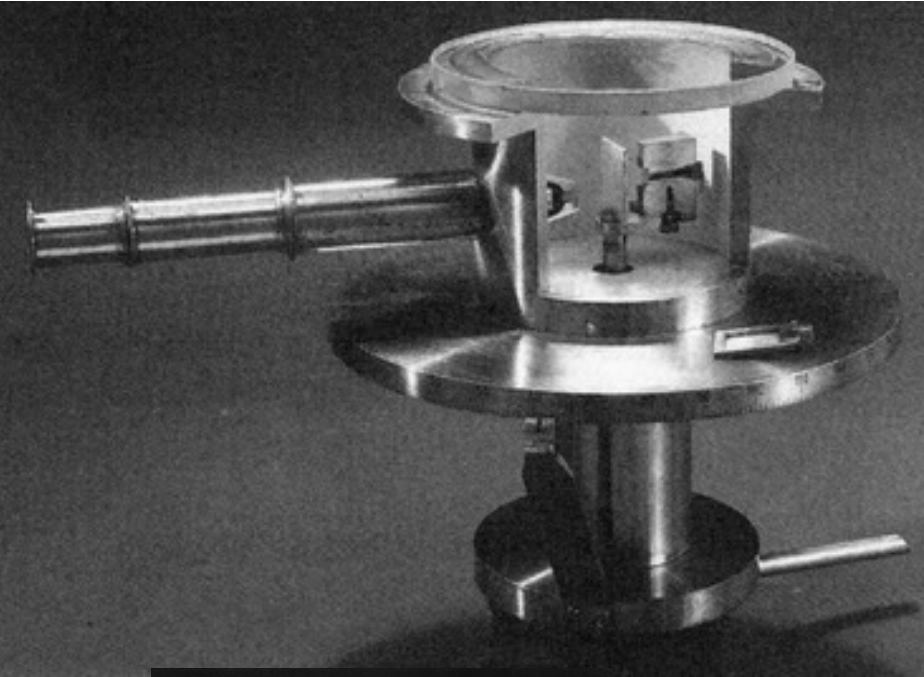
Scientific remit: exploration of strongly interacting matter using Deep Inelastic Scattering



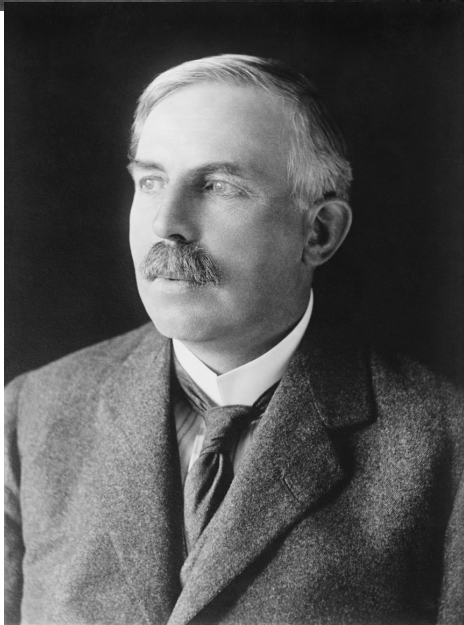
\* High energy  $\neq$  energy frontier



# Rutherford (1927, as President of Royal Society)



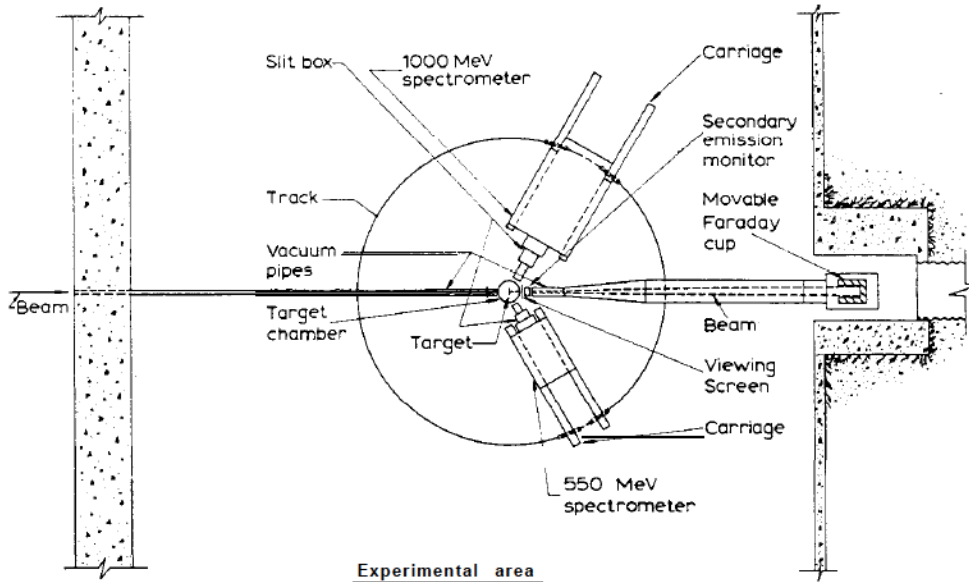
Following from the original scattering experiments ( $\alpha$  particles on gold foil target) ...



*“It would be of great scientific interest if it were possible to have a supply of electrons ... of which the individual energy of motion is greater even than that of the alpha particle.”*

# Hofstadter (Nobel Prize 1961)

200 MeV Electrons on a fixed target ...

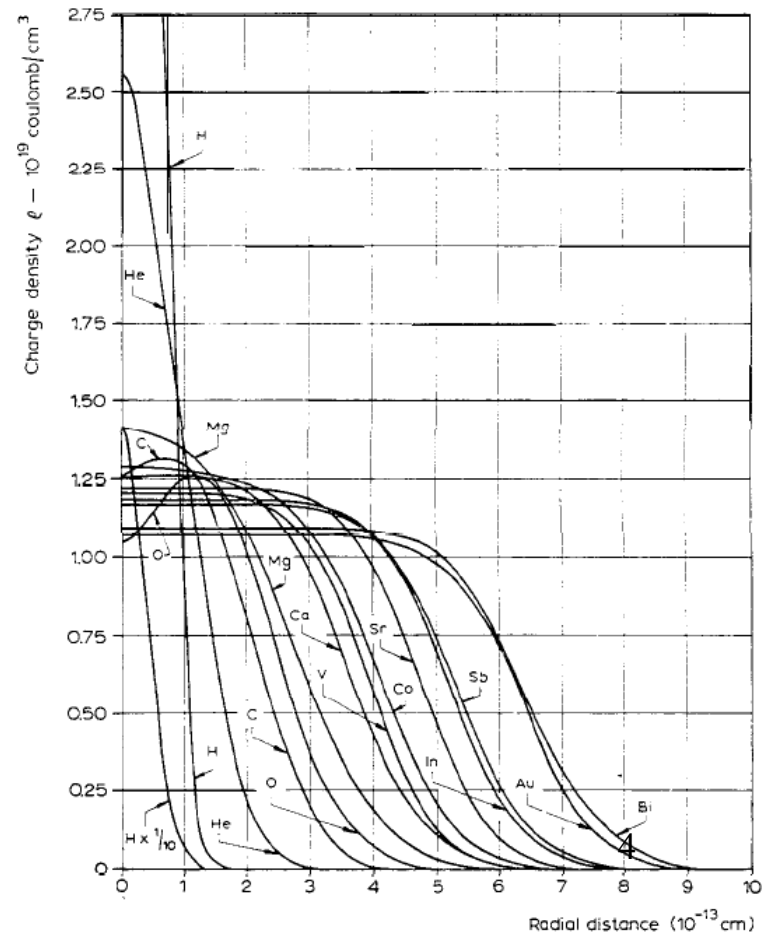


- Electron scattering reveals nuclear form factors (i.e. sizes)

... even a hydrogen nucleus (proton) has finite size

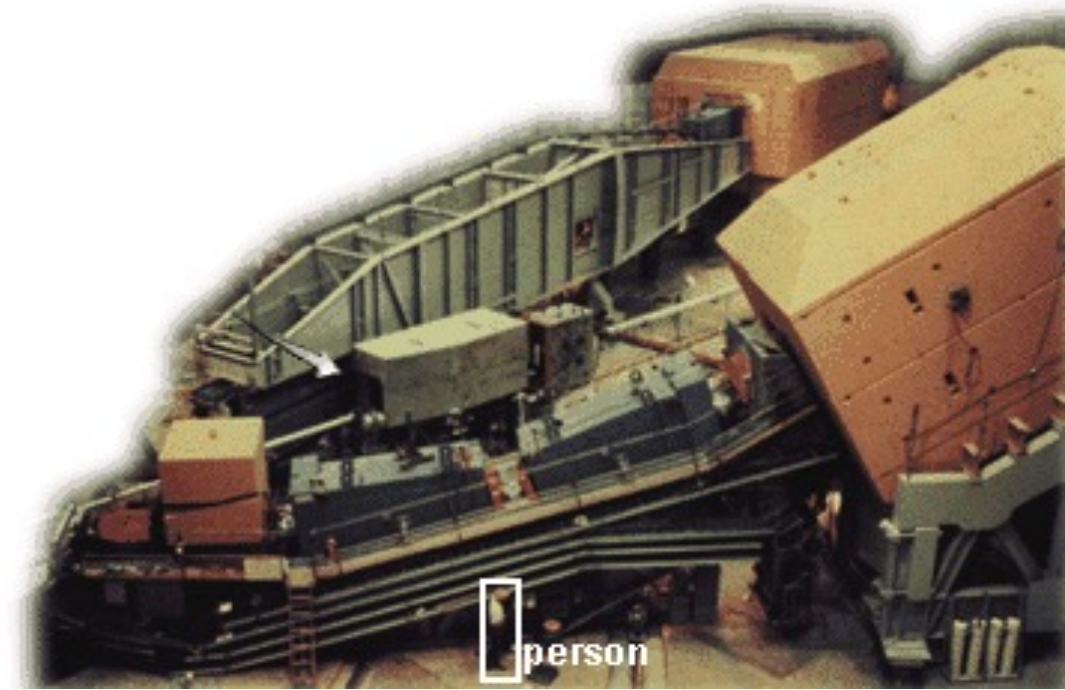
... electric charge uniformly spread?

... “soft spheres” ...





# SLAC 1969: 20 GeV electrons on protons



... observed significant scattering through wide angles (like Rutherford's alphas), implying 'point-like' scattering centres

# First Observation Of Proton Structure

VOLUME 23, NUMBER 16

PHYSICAL REVIEW LETTERS

20 OCTOBER 1969

## OBSERVED BEHAVIOR OF HIGHLY INELASTIC ELECTRON-PROTON SCATTERING

M. Breidenbach, J. I. Friedman, and H. W. Kendall

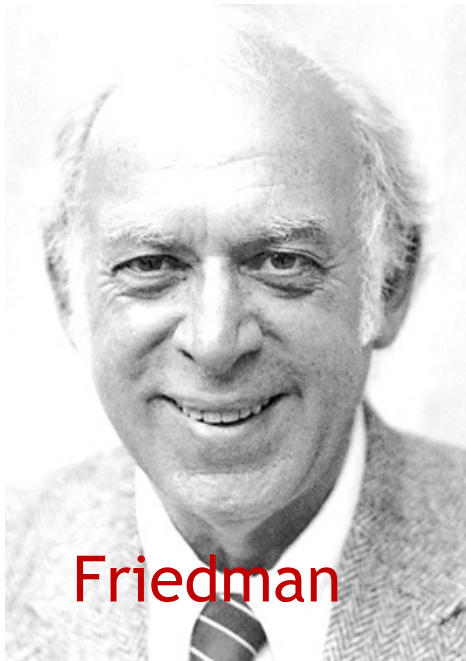
Department of Physics and Laboratory for Nuclear Science,\*  
Massachusetts Institute of Technology, Cambridge, Massachusetts 02139

and

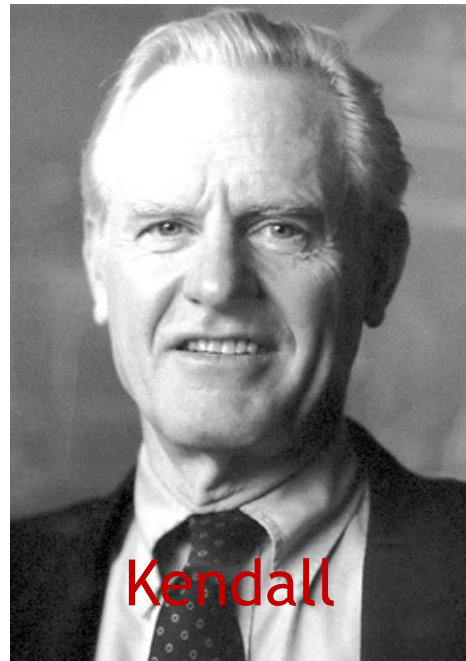
E. D. Bloom, D. H. Coward, H. DeStaebler, J. Drees, L. W. Mo, and R. E. Taylor

Stanford Linear Accelerator Center,† Stanford, California 94305

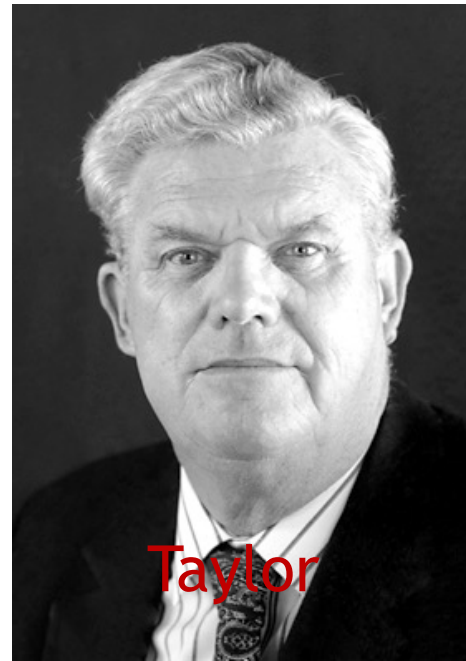
(Received 22 August 1969)



Friedman



Kendall



Taylor

Nobel  
Prize  
1990



# HERA, DESY, Hamburg

$$\sqrt{s_{ep}} \sim 300 \text{ GeV}$$

... equivalent to a  
50 TeV beam on a  
fixed target proton

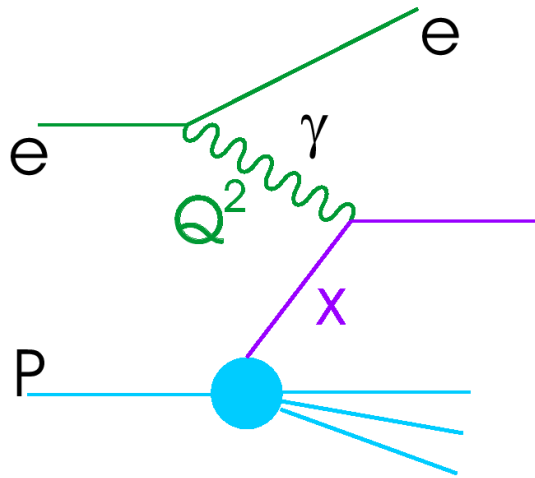


- So far still the only collider of electron  
and proton beams ever

- Taught us much of what we know  
about proton structure
- Only  $\sim 0.5 \text{ fb}^{-1}$  per experiment
- No deuteron or nuclear targets



# Inclusive Neutral Current DIS: $ep \rightarrow eX$ ... a 2 Variable Problem



$$Q^2 = -q^2 \quad x = \frac{-q^2}{2p \cdot q}$$

$x$  = fraction of proton momentum carried by struck quark

$Q^2 = |4\text{-momentum transfer squared}|$  (photon virtuality)

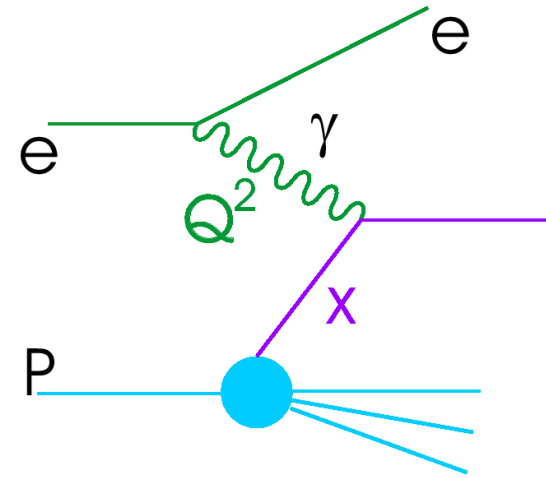
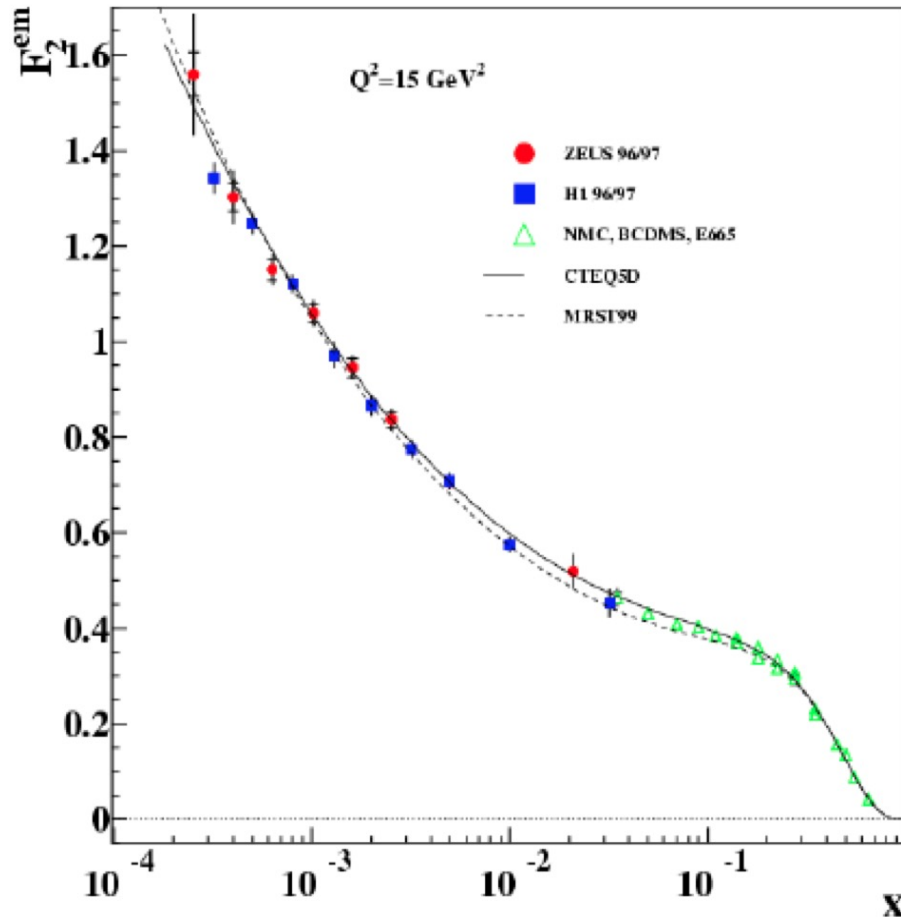
... measures the hardness / scale of collision

... inverse of (squared) resolved dimension

Note  $x \geq \frac{Q^2}{s}$  ... i.e. Maximum  $Q^2$  and minimum  $x$

governed by CMS energy

# Example Inclusive Neutral Current Data from Previous Experiments

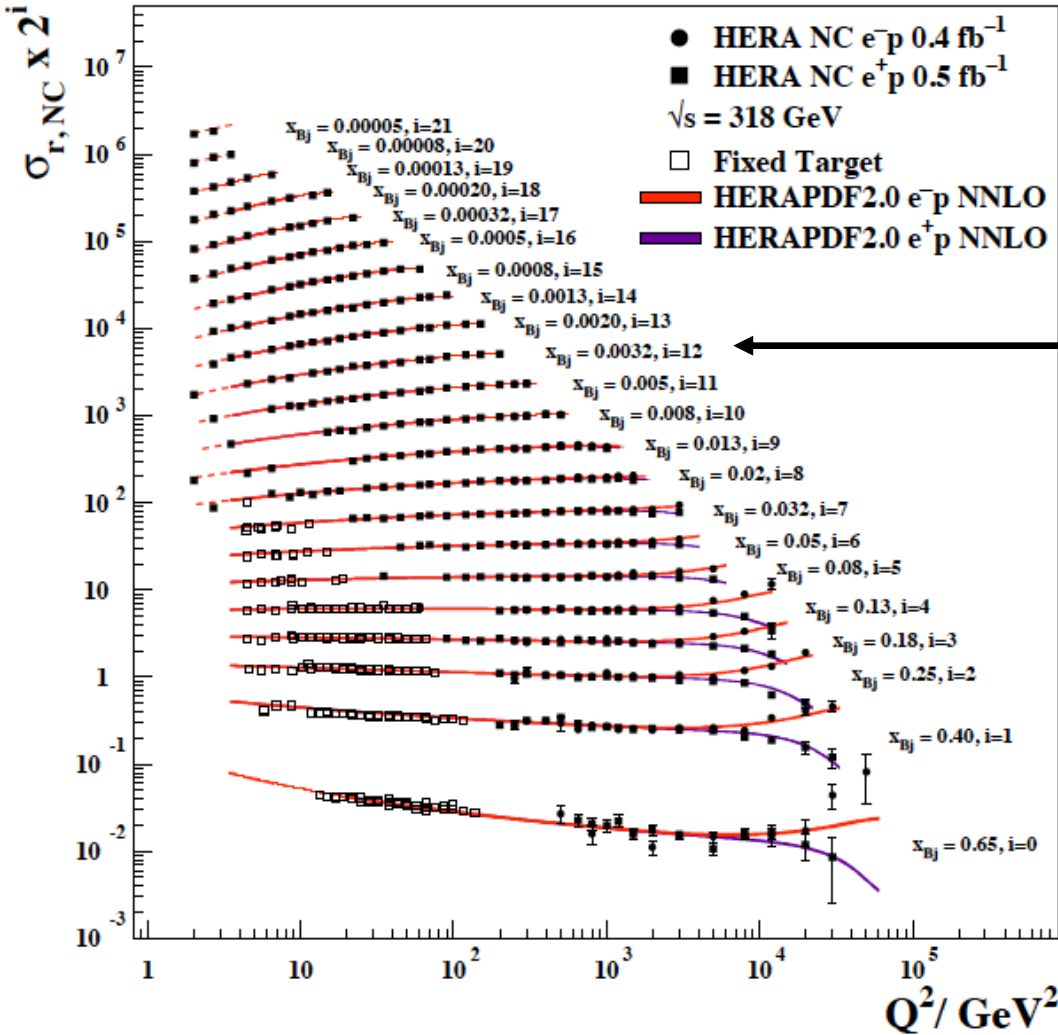


- Inclusive cross section measures (charge-squared weighted) sum of quark densities

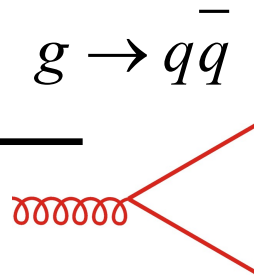
- Similar / better data at many other values of  $Q^2$

# QCD Evolution and the Gluon Density

## H1 and ZEUS



-  $Q^2$  dependence directly sensitive to the gluon density via splitting function ...



- DGLAP equations describe QCD evolution (to NNLO and approximate  $N^3\text{LO}$  accuracy)

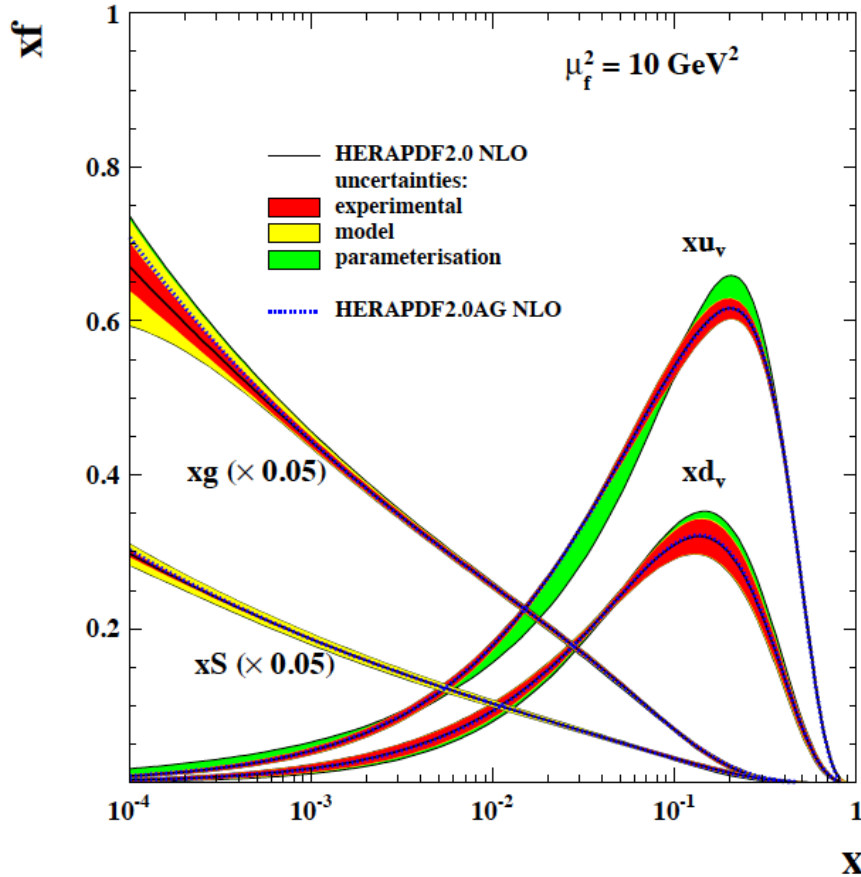
- EW effects give different quark sensitivities (Z-exchange separates  $e^+p$  v  $e^-p$ , W-exchange gives charged current ( $ep \rightarrow \nu X$ ))

→ Fits to data to extract proton parton densities

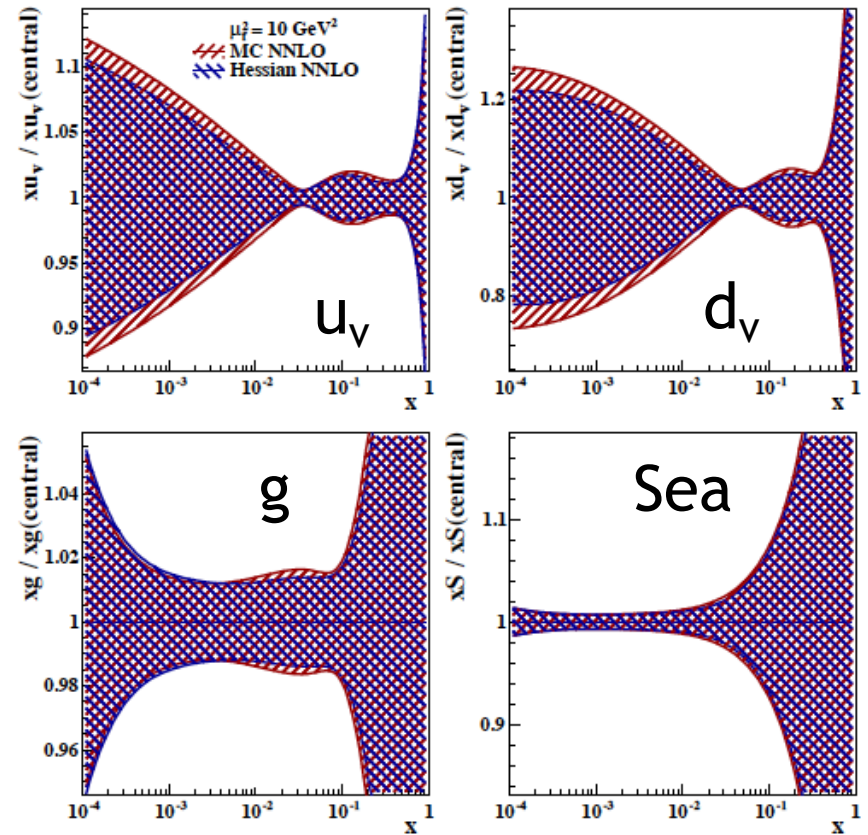


# Proton PDFs from HERA only (HERAPDF2.0)

H1 and ZEUS



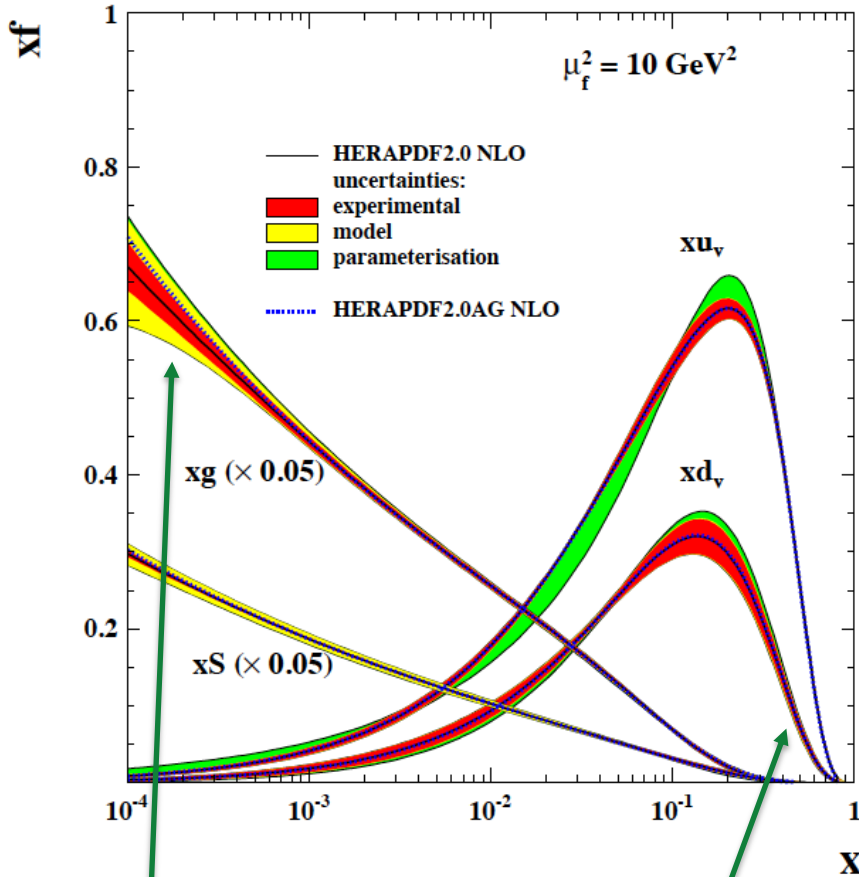
H1 and ZEUS



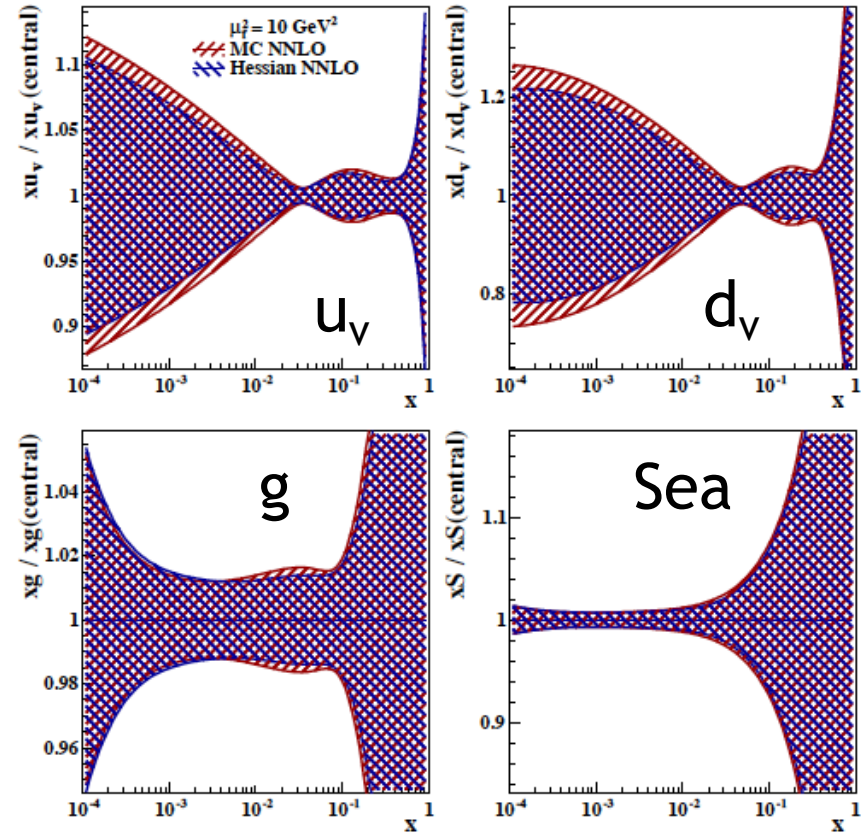
- At  $x \sim 10^{-2}$  : ~2% gluon, 1% quark precision
- Uncertainty explodes:
  - below  $x=10^{-3}$  (kinematic limit)
  - above  $x=10^{-1}$  (limited lumi)<sup>11</sup>

# Proton PDFs from HERA only (HERAPDF2.0)

H1 and ZEUS



H1 and ZEUS

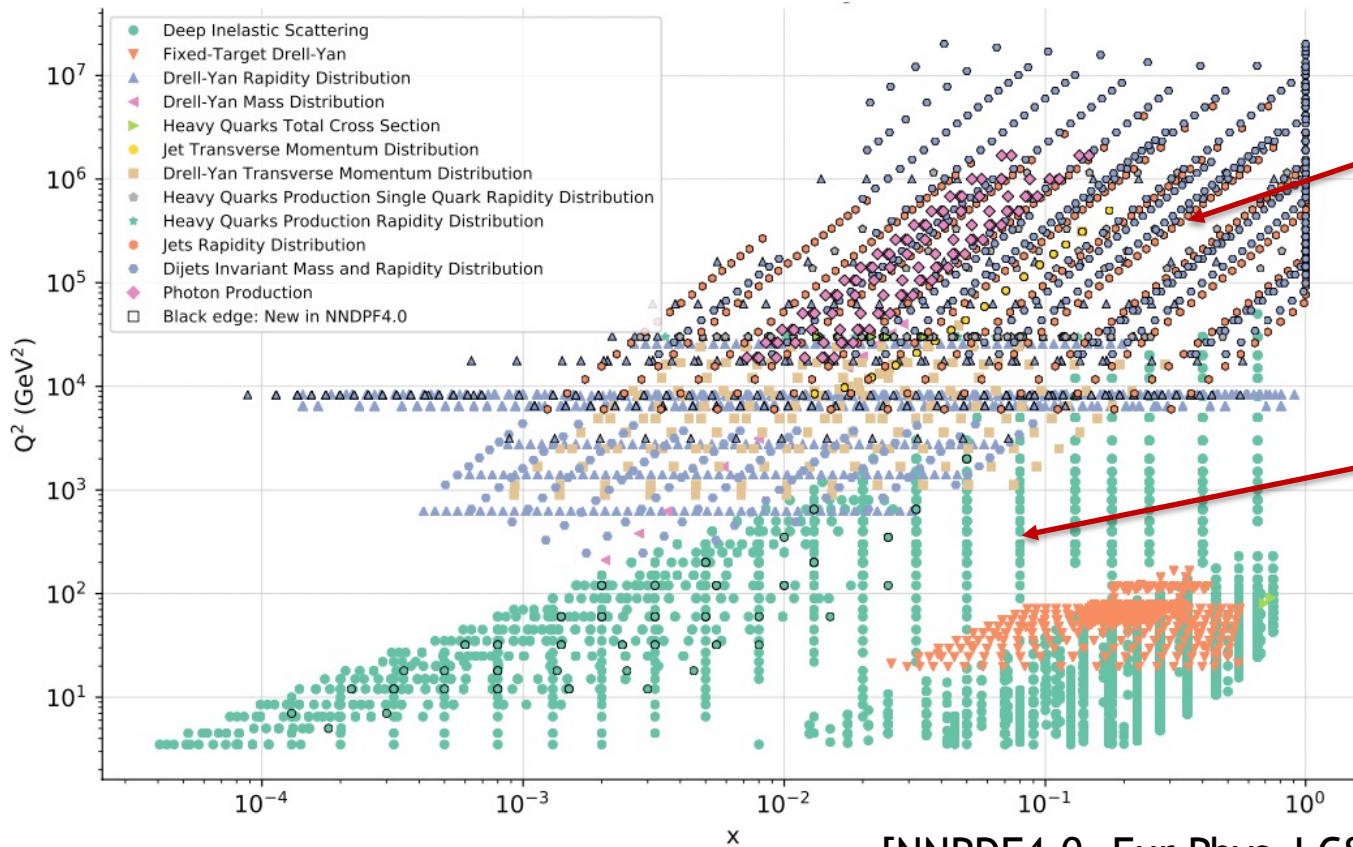


Strong interaction dragons?

Input to energy frontier discovery?

- At  $x \sim 10^{-2}$  :  $\sim 2\%$  gluon,  $1\%$  quark precision
- Uncertainty explodes:
  - below  $x=10^{-3}$  (kinematic limit)
  - above  $x=10^{-1}$  (limited lumi)<sup>12</sup>

# Adding more data: Global PDF fits



Lots of PDF-sensitive observables at LHC

HERA limited at low  $x$  by kinematic range and high  $x$  by luminosity

[NNPDF4.0, Eur Phys J C82 (2022) 428]

Including LHC data brings:

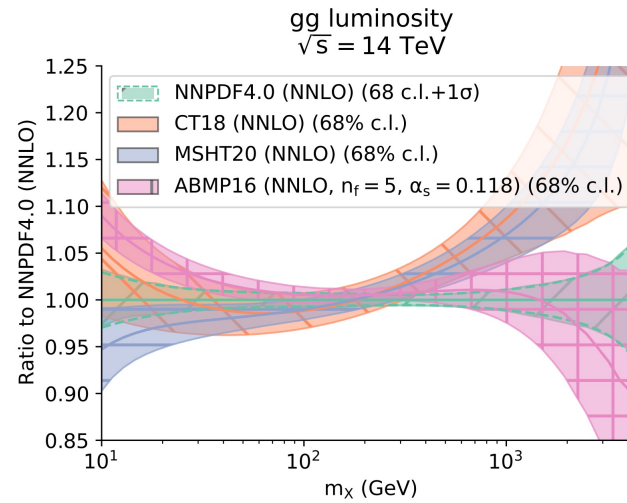
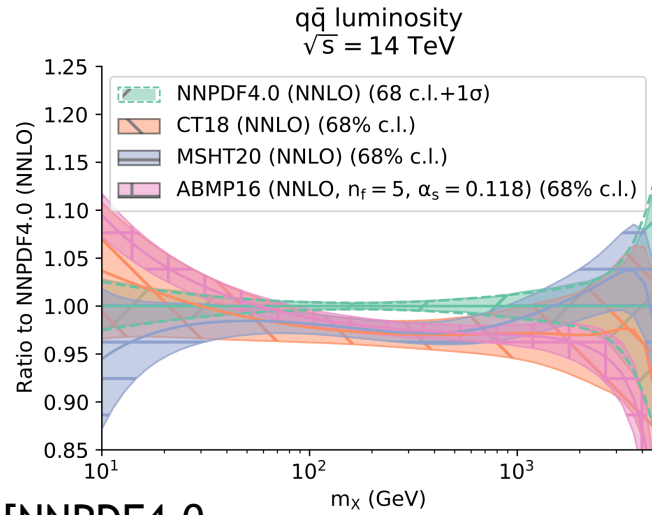
Advantages: improve precision at mid-high  $x$ , exploit all available inputs

Caveats: use of data that may contain BSM effects, theoretical complexity (eg non-perturbative input), some incompatibilities between data sets



# Global Fits and LHC Parton Luminosities

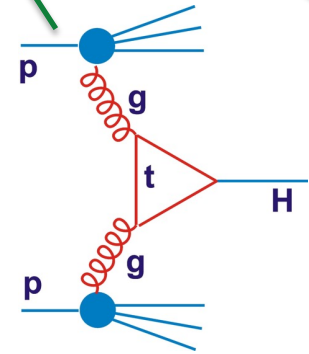
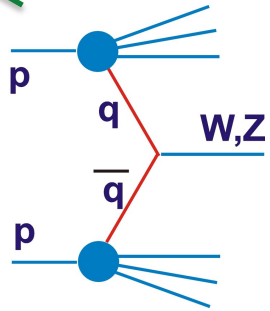
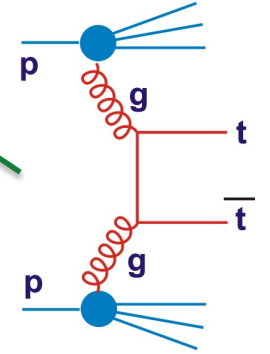
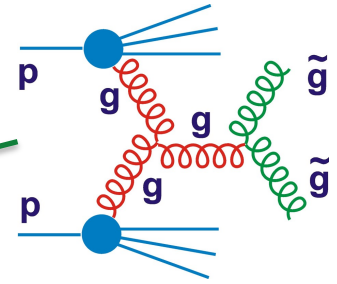
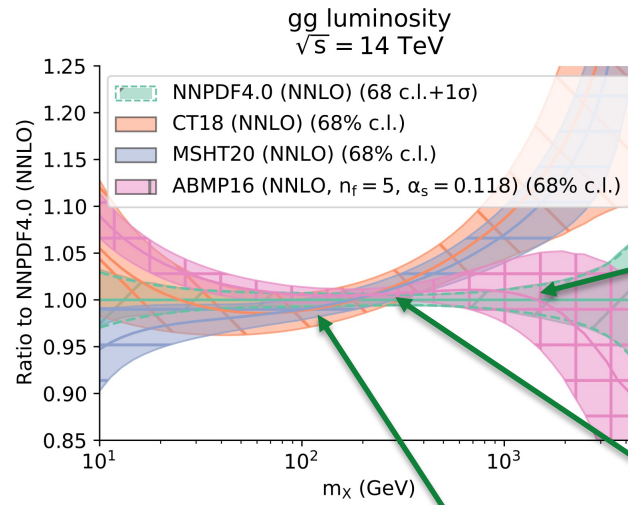
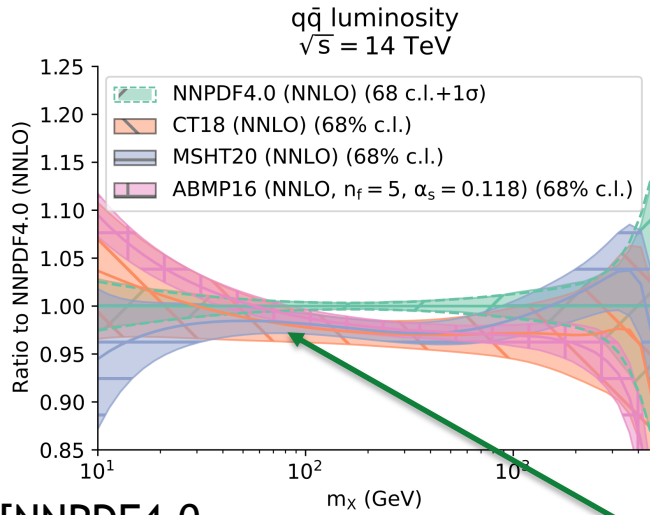
e.g. Comparisons between current global fits on LHC  $q\bar{q}$  and  $gg$  luminosities



[NNPDF4.0 ,  
Eur Phys J C82 (2022) 428]

# Global Fits and LHC Parton Luminosities

e.g. Comparisons between current global fits on LHC  $q\bar{q}$  and  $gg$  luminosities



[NNPDF4.0 ,  
Eur Phys J C82 (2022) 428]

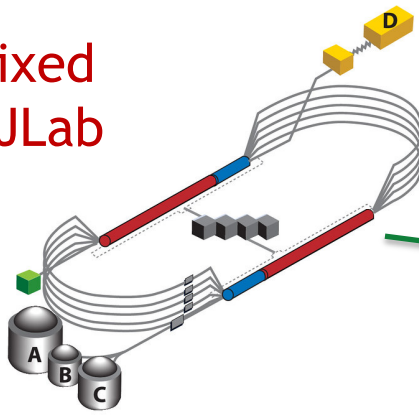
- Knowing initial state often limits LHC precision measurements & searches

- Immense recent progress, but still large uncertainties and some tensions

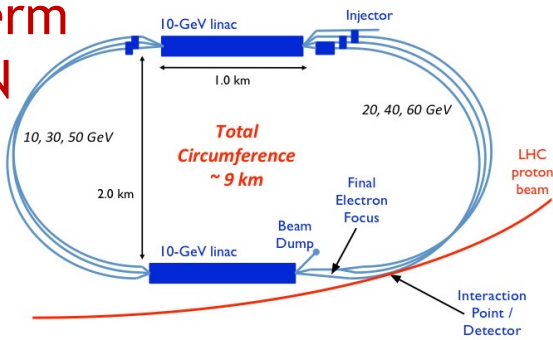
Many more reasons to improve PDF precision:

... Cosmic ray air showers,  $\nu$  matter interactions, strong int'n dynamics ...

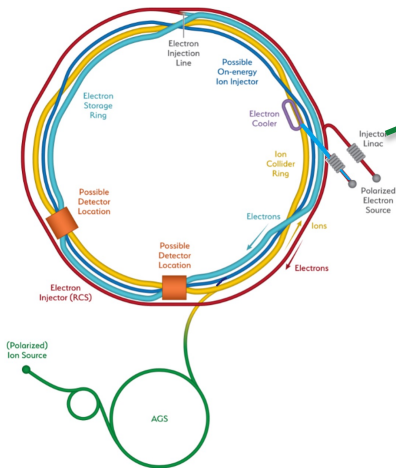
Ongoing fixed target @ JLab



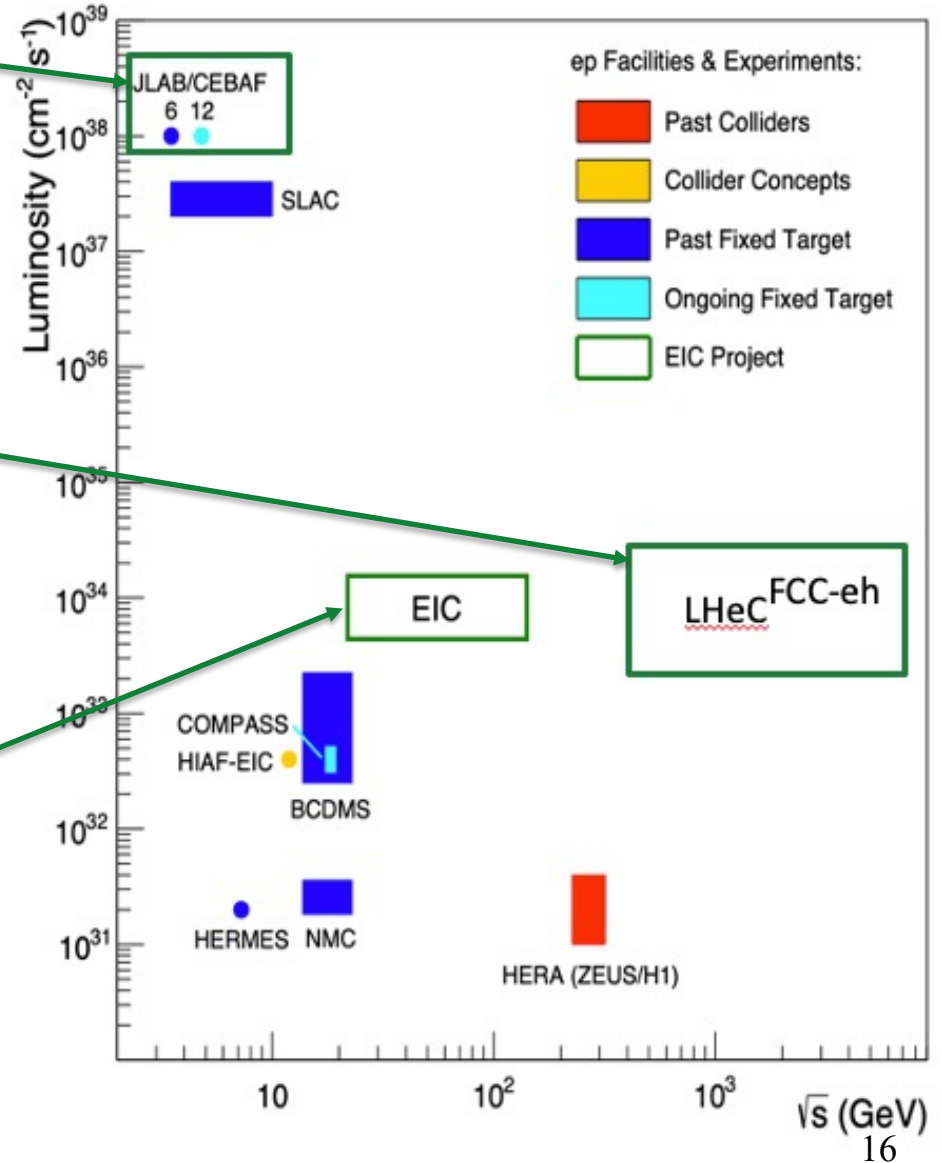
Longer-term @ CERN



On-target for early 2030s @ BNL

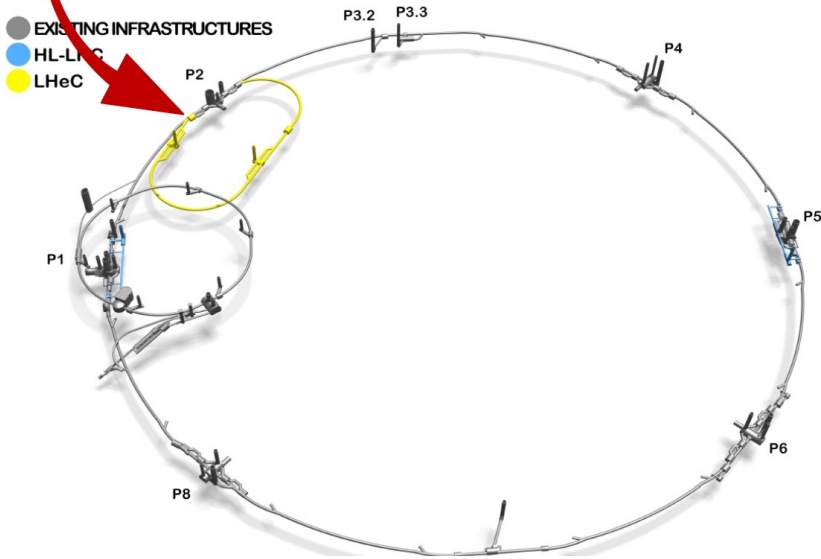


# Current and Future ep Colliders





**LHeC** (>50 GeV electron beams)  
 $E_{cms} = 0.2 - 1.3$  TeV,  $(Q^2, x)$  range far beyond HERA  
 run ep/pp together with the HL-LHC ( $\gtrsim$  Run5)

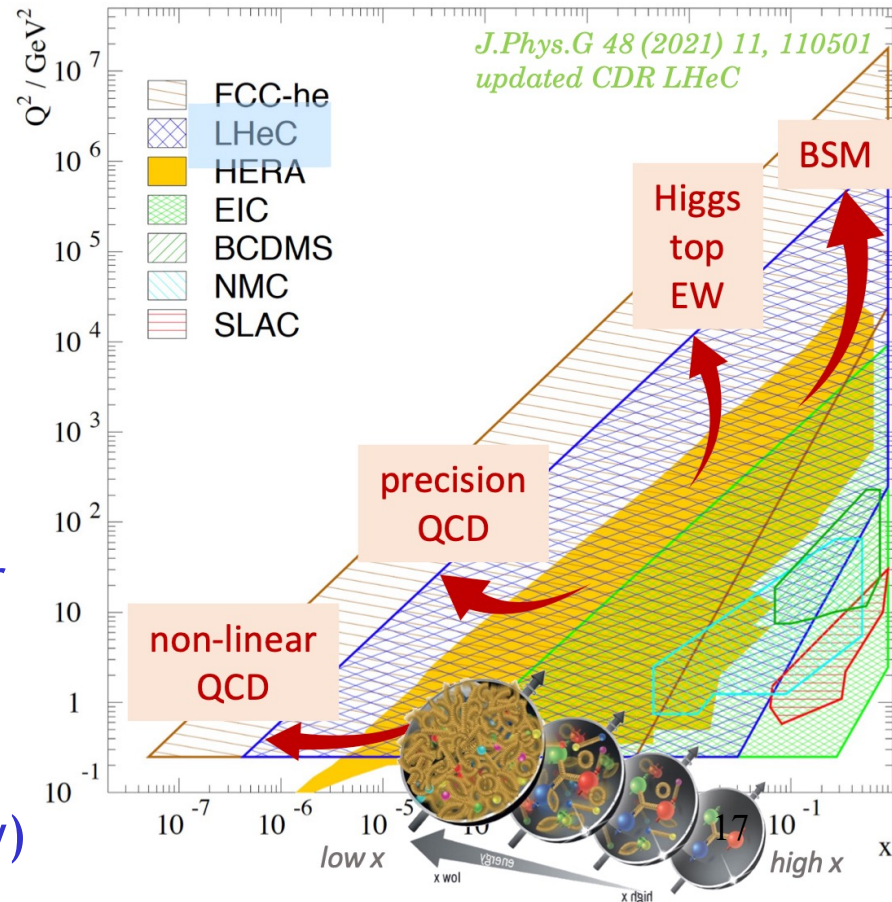


**FCC-eh** (60 GeV electron beams)  
 $E_{cms} = 3.5$  TeV, described in CDR of the FCC  
 run ep/pp together: FCC-hh + FCC-eh

# Future ep and eA Options at CERN

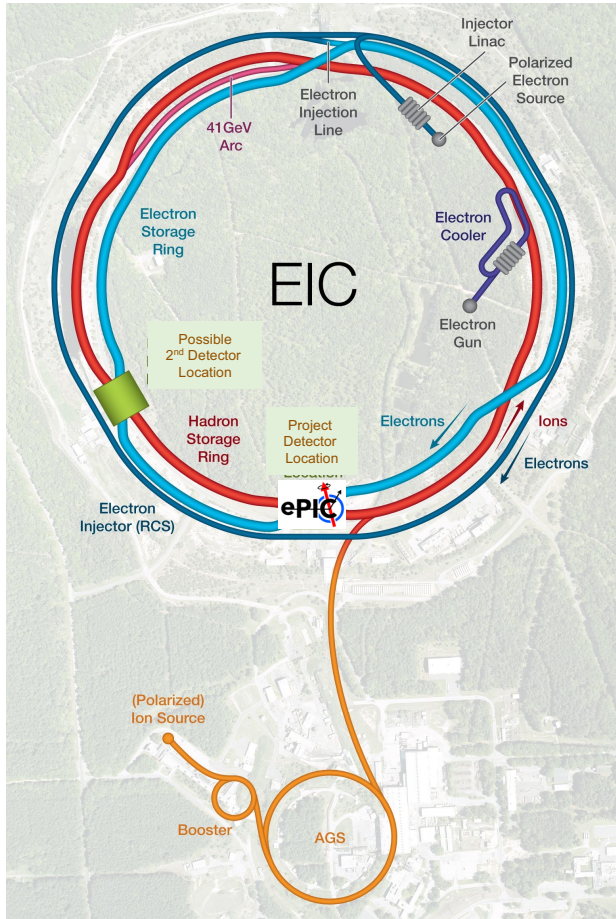
Renewed mandate, structure and coordination (J d'Hondt)

See <https://indico.cern.ch/event/1335332/>  
<https://indico.cern.ch/event/1367865/>



- Extending lepton-proton energy frontier by up to 2 orders of magnitude
- Crucial technical step: Energy recovery linac (prototype PERLE @ Orsay)

# The Electron-Ion Collider (BNL)



## New electron ring, to collide with RHIC p, A

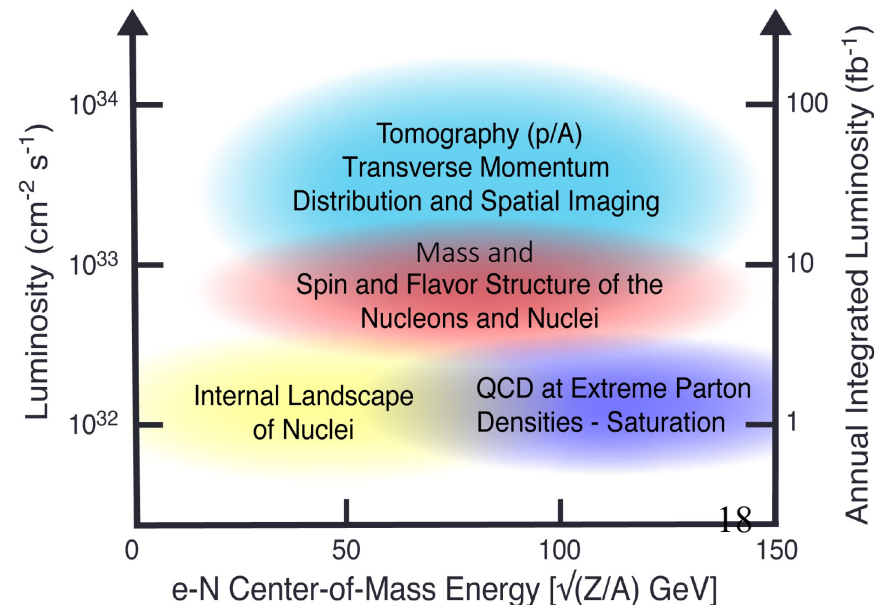
- Energy range  $28 < \sqrt{s} < 140$  GeV, accessing moderate / large x values compared with HERA

## World's first ...

- High lumi ep Collider ( $\sim 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$ )
- Double-polarised DIS collider ( $\sim 70\%$  for leptons and light hadrons)
- eA collider (Ions ranging from H to U)

## Specifications driven by science goals:

- 3D proton structure
- Proton mass
- Proton spin
- Dense partonic systems in nuclei



# EIC Machine Design Parameters

## Double Ring Design Based on Existing RHIC Facilities

<b>Hadron Storage Ring: 40, 100 - 275 GeV</b>	<b>Electron Storage Ring: 5 - 18 GeV</b>
RHIC Ring and Injector Complex: p to Pb	9 MW Synchrotron Radiation
1A Beam Current	Large Beam Current - 2.5 A
10 ns bunch spacing and 1160 bunches	
Light ion beams (p, d, $^3\text{He}$ ) polarized (L,T) > 70%	Polarized electron beam > 70%
Nuclear beams: d to U	<b>Electron Rapid Cycling Synchrotron</b>
Requires Strong Cooling: new concept $\rightarrow$ CEC	Spin Transparent Due to High Periodicity

## One High Luminosity Interaction Region(s)

25 mrad Crossing Angle with Crab Cavities

Challenges from high lumi requirement include short bunch spacing and high beam currents ...

- $\rightarrow$  Synchrotron load management
- $\rightarrow$  Significant crossing angle

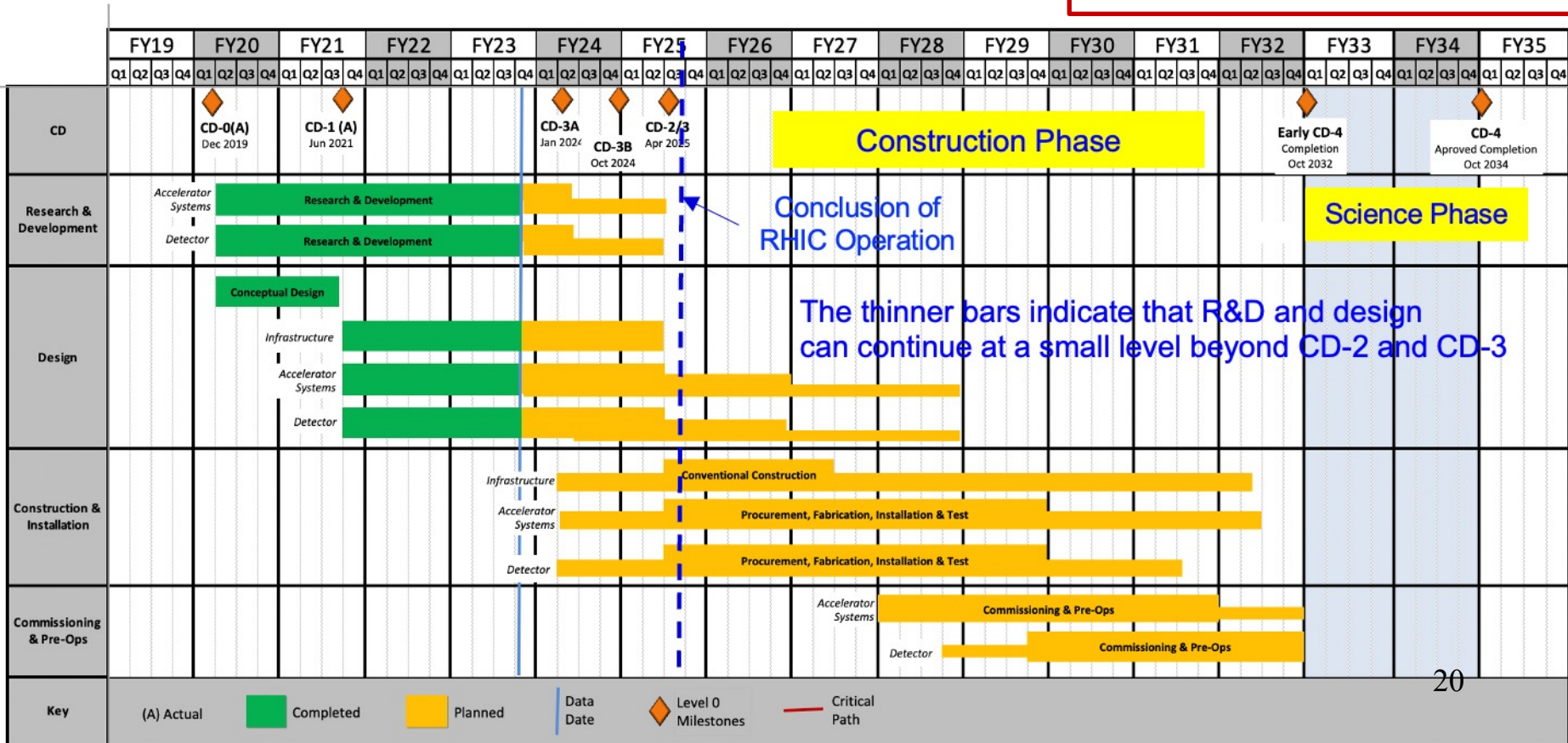


# EIC Timeline

- Still several steps to go, but on target towards operation early/mid 2030s
- Total cost ~\$2Bn (US project funds accelerator and one detector)

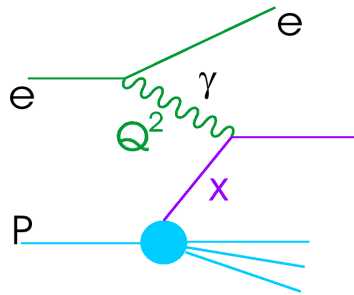
Critical Decision (CD) Milestones  
 CD-0 Approve Mission Need  
 CD-1 Approve Cost Range  
 CD-2 Approve Baseline Performance  
 CD-3 Approve Start Construction  
 CD-4A Approve Start of Operations  
 CD-4 Approve Project Completion

Upcoming Project Milestones  
 TDR - Q4 2024  
 CD2/3 review - Q1 2025  
 CD2/3 approval - Q2 2025 (April)



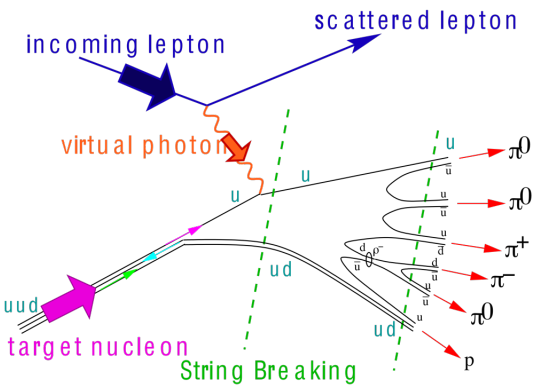
# Inclusive

# Observables / Detector Implications



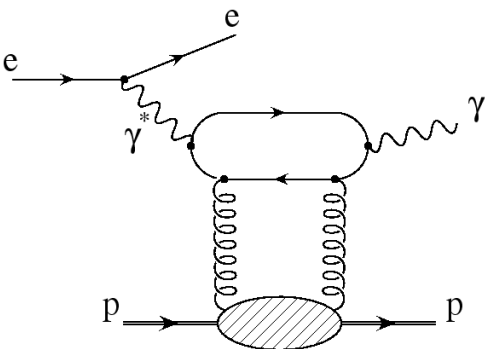
- Traditional DIS, following on from fixed target experiments and HERA → Longitudinal structure
- ... high acceptance, high performance electron identification and reconstruction

# Semi-Inclusive



- Single particle, heavy flavour & jet spectra →  $p_T$  introduces transverse degrees of freedom
- Quark-flavour-identified DIS → Separation of u,d,s,c,b and antiquarks
- ... tracking and hadronic calorimetry
- ... heavy flavours identification from vertexing
- ... light flavours from dedicated PID detectors

# Exclusive / Diffractive



- Processes with final state 'intact' protons → Correlations in space or momentum between pairs of partons
- ... efficient proton tagging over wide acceptance range
- ... high luminosity

# A Detector for the EIC



## Magnet

- New 1.7 T SC solenoid, 2.8 m bore diameter

## Tracking

- Si Vertex Tracker MAPS wafer-level stitched sensors (ALICE ITS3)
- Si Tracker MAPS barrel and disks
- Gaseous tracker: MPGDs ( $\mu$ RWELL, MMG) cylindrical and planar

## PID

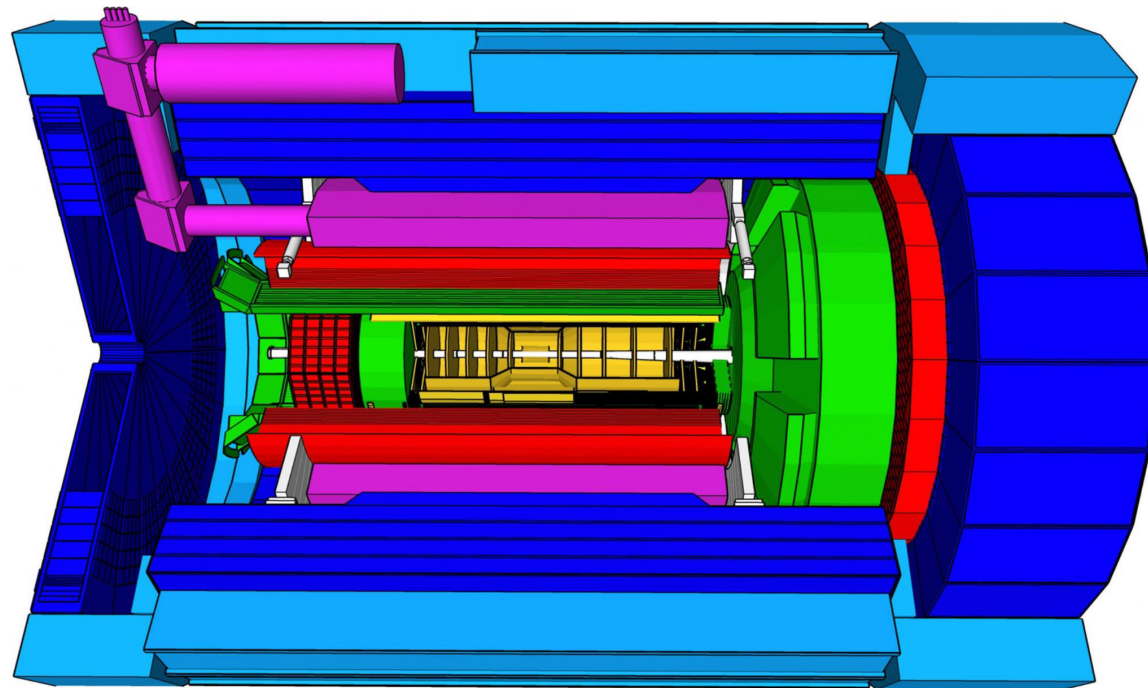
- high performance DIRC (hpDIRC)
- dual RICH (aerogel + gas) (forward)
- proximity focussing RICH (backward)
- ToF using AC-LGAD (barrel+forward)

## EM Calorimetry

- imaging EMCal (barrel)
- W-powder/SciFi (forward)
- $\text{PbWO}_4$  crystals (backward)

## Hadron calorimetry

- FeSc (barrel, re-used from sPHENIX)
- Steel/Scint – W/Scint (backward/forward)



- 9m long x 5m wide
- Extensive beamline instrumentation not shown (see later)
- Continuous streaming readout with emphasis on FEB zero-suppression
- Much lower radiation fluxes than LHC widens technology options

[ongoing work towards a second, complementary detector]

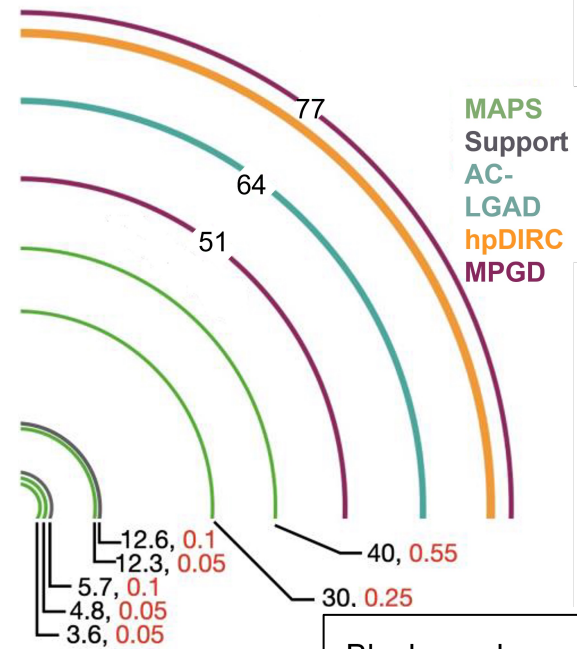
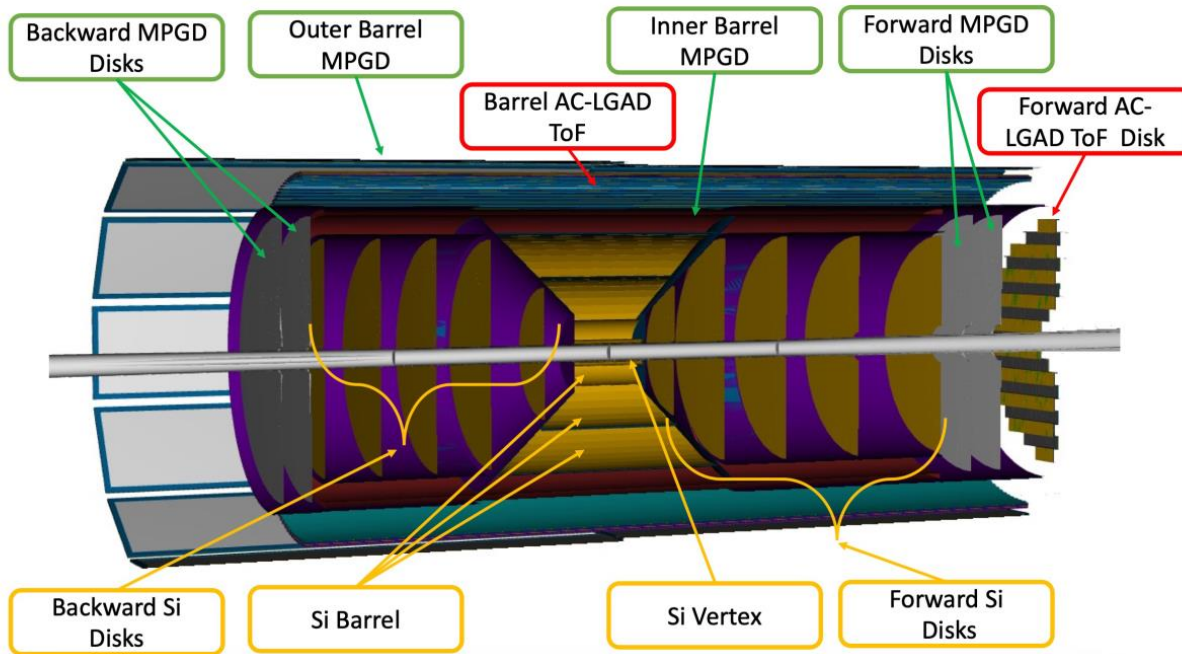


# Tracking Detectors



Primarily based on MAPS silicon detectors (65nm technology)

- Leaning heavily on ALICE ITS3
- Stitched wafer-scale sensors, thinned and bent around beampipe
  - Very low material budget (0.05X<sub>0</sub> per layer for inner layers)
- 20x20μm pixels
- 5 barrel layers + 5 disks (total 8.5m<sup>2</sup> silicon)



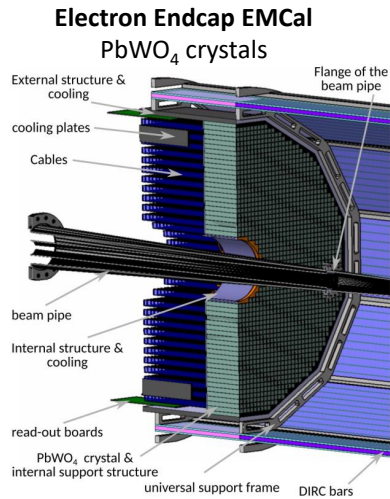
Black numbers are radii in cm  
Red numbers are material in % X<sub>0</sub>

LGAD layers provide fast timing (~20ns)

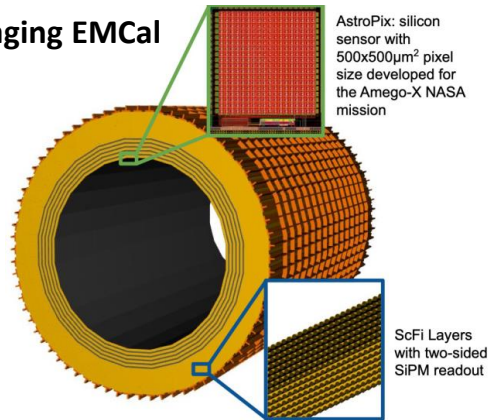
Outer gaseous detectors add additional hit points for track reconstruction

- Different technologies in barrel and end-caps, as required for varying performance targets
- New ECAL designs / technologies,
- HCAL partially recycles previous detectors
- All read out with Si PMs

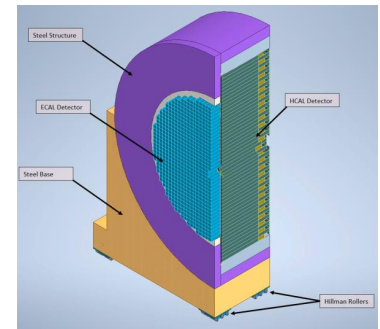
# Calorimeter Overview



## Barrel Imaging EMCal

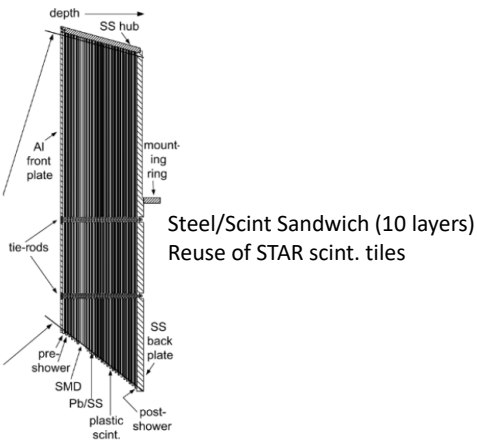


## Hadron Endcap EMCal



High granularity W-powder/ScFi EMCal

## Electron Endcap HCAL



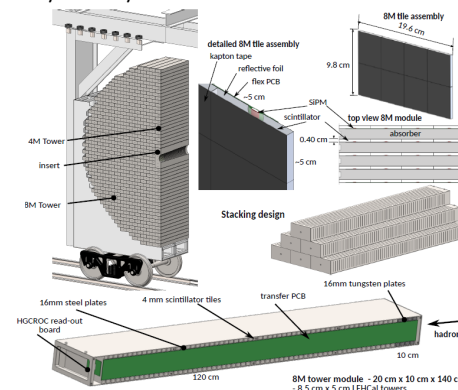
SPHENIX barrel calorimeter with new SiPMs

## Barrel HCAL



## Hadron Endcap HCAL

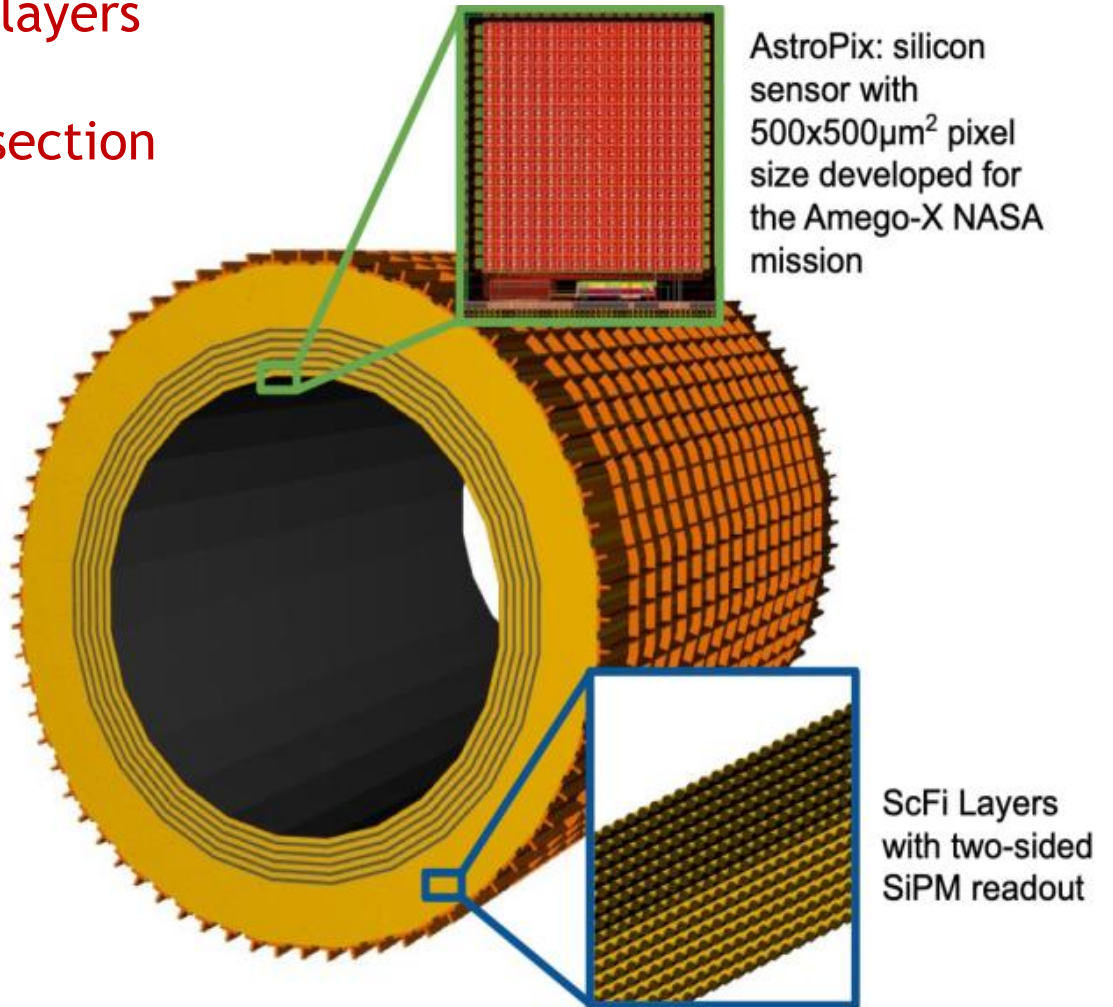
Longitudinally separated HCAL  
Steel/Sc & W/Sc sandwich



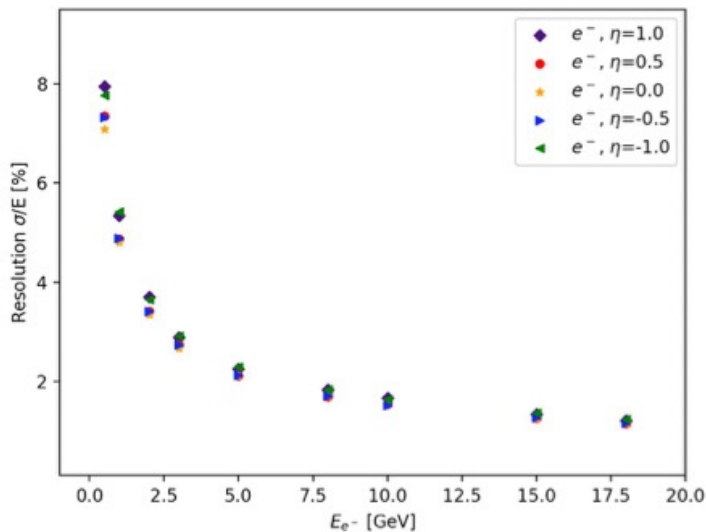
+ high granularity insert at largest  $\eta$

# Barrel 'Imaging ECAL'

- 4 MAPS (Astropix) layers for position resolution.
- Interleaved with 5 Pb/SciFi layers for energy resolution
- Followed by large Pb/SciFi section



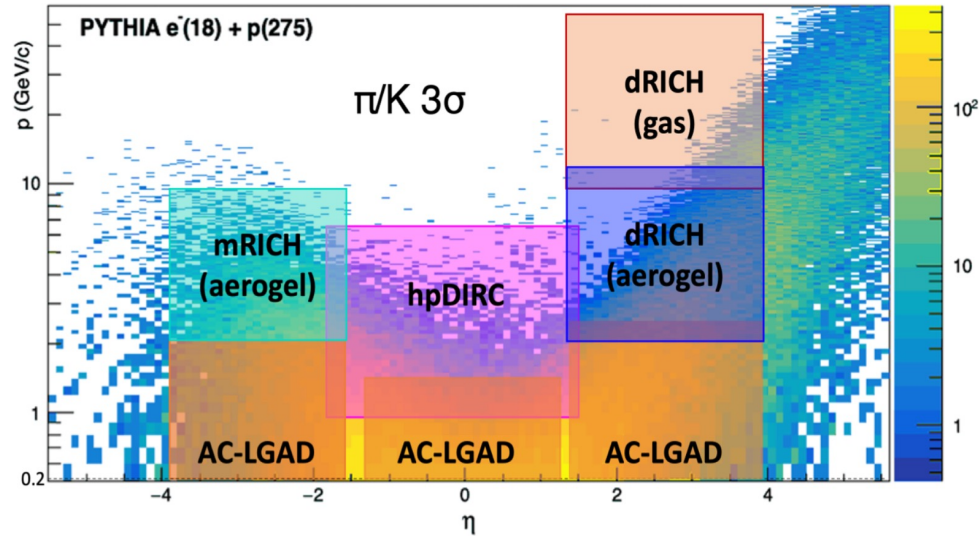
$$\frac{\sigma}{E} \sim \frac{5\%}{\sqrt{E}} + 0.5\%$$





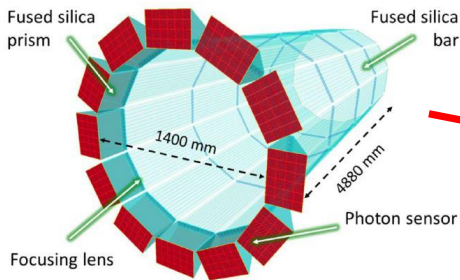
# Particle Identification

- SIDIS programme relies on  $\pi / K / p$  (and other PID) separation ...
- Cerenkov detectors at high momentum, augmented by AC-LGADs / ToF at low momentum

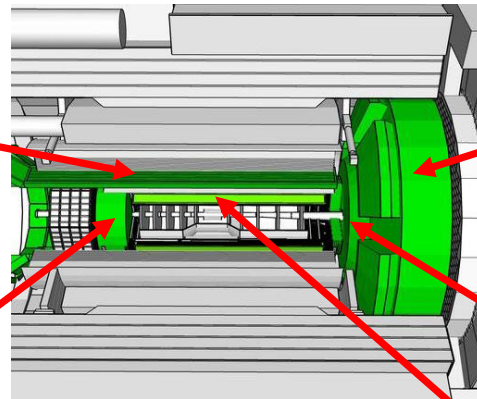


## High-Performance DIRC

- Quartz bar radiator (reuse BaBAR bars)
- Sensors: MCP-PMTs
- $\pi/K$  separation up to 6 GeV/c

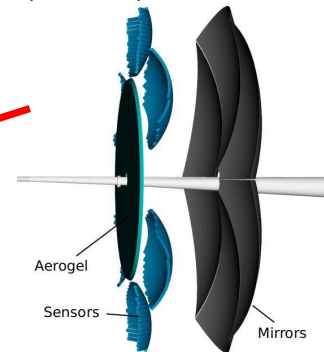


## ePIC detector design – PID



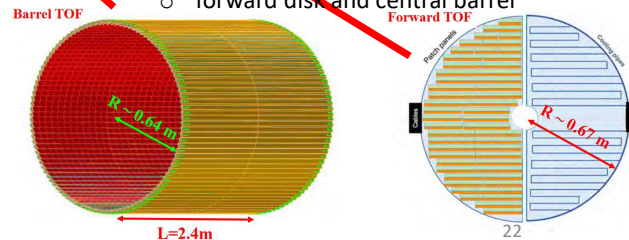
## Dual-Radiator RICH (dRICH)

- $C_2F_6$  Gas Volume and Aerogel
- Sensors: SiPMs tiled on spheres
- $\pi/K$  separation up to 50 GeV/c



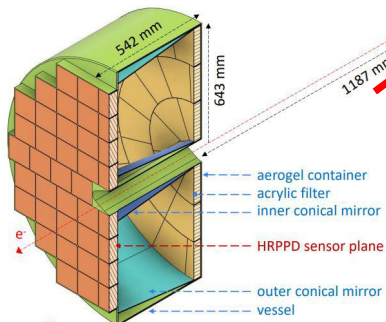
## AC-LGAD TOF

- $t = \sim 30$  psec /  $s = 30 \mu m$
- Accurate space point for tracking
- forward disk and central barrel



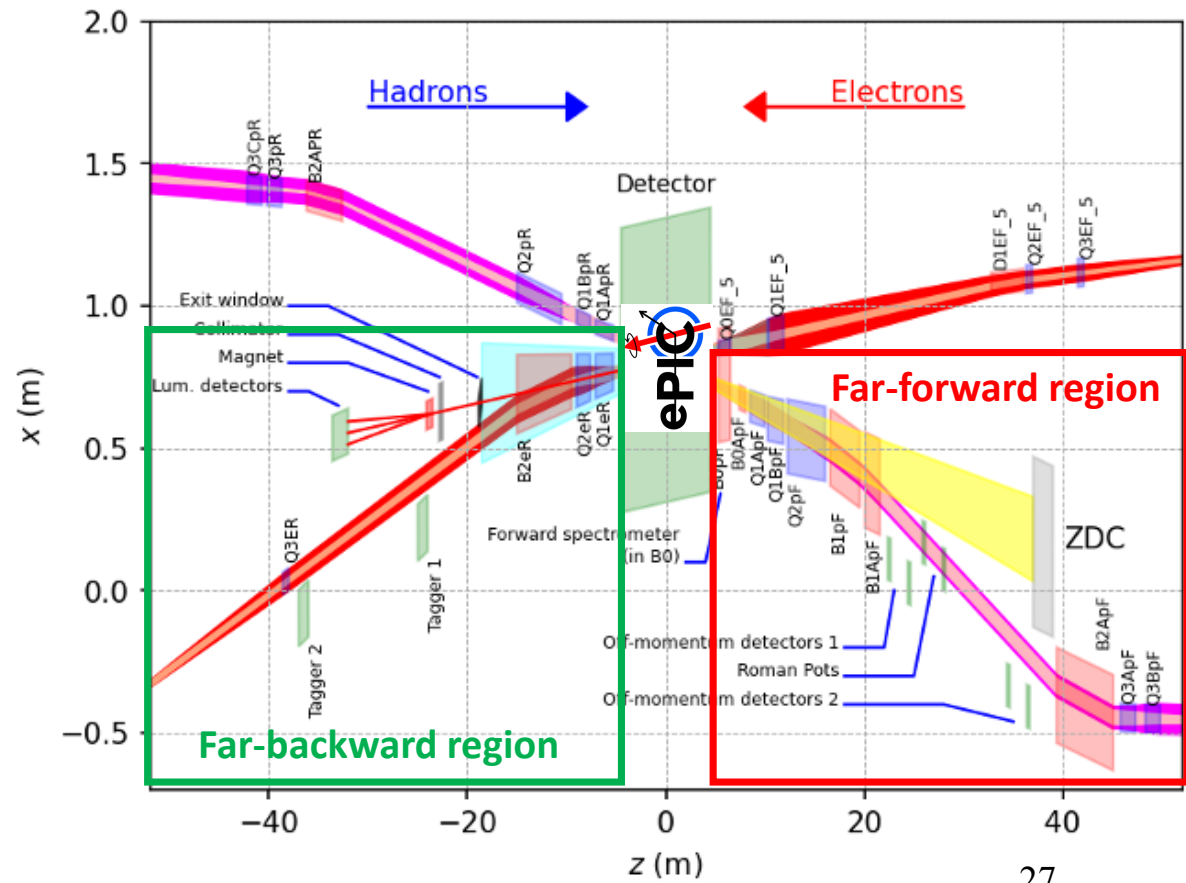
## Proximity Focused (pRICH)

- Long Proximity gap ( $\sim 40$  cm)
- Sensors: HRPPDs (also provides timing)
- $\pi/K$  separation up to 10 GeV/c
- $e/\pi$  separation up to 2.5 GeV/c



# Interaction Region / Beamline Instrumentation

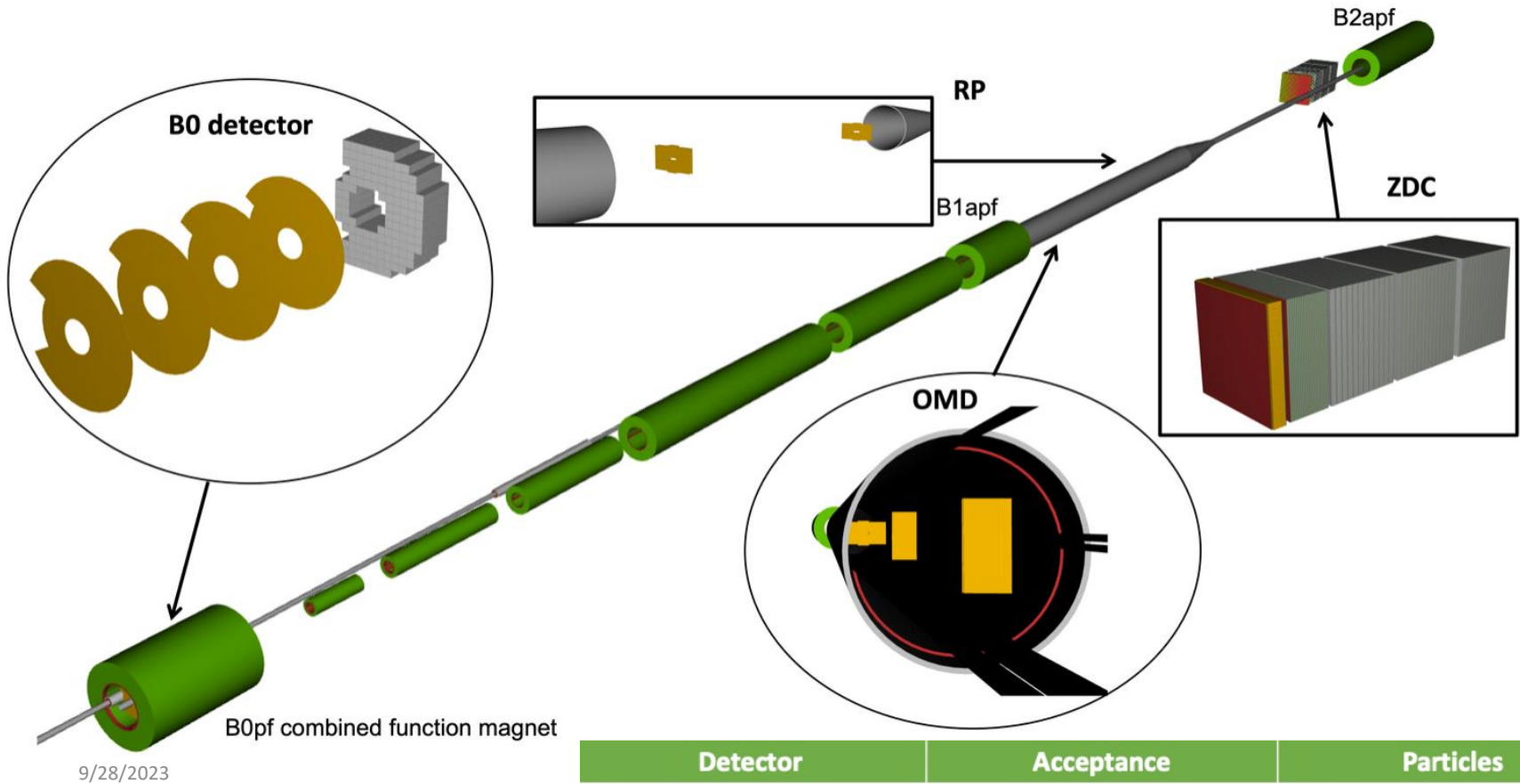
- Extensive beamline instrumentation integrated into IR design
- Tagging electrons and photons in backward direction for lowest  $Q^2$  physics studies and lumi monitoring via  $ep \rightarrow e\gamma$







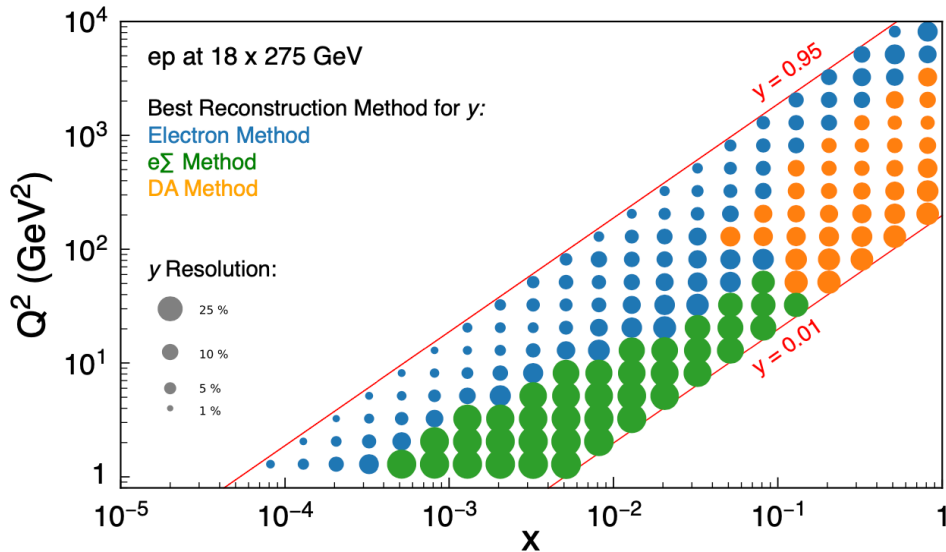
# Far Forward Region



Hermetic forward coverage except for beampipe

Detector	Acceptance	Particles
Zero-Degree Calorimeter (ZDC)	$\theta < 5.5 \text{ mrad}$	Neutrons, photons
Roman Pots (2 stations)	$0^* < \theta < 5.0 \text{ mrad}$ (* $10\sigma$ beam cut)	Protons, light nuclei
Off-Momentum Detectors (2 stations)	$0 < \theta < 5.0 \text{ mrad}$	Charged particles
B0 Detector	$5.5 < \theta < 20 \text{ mrad}$	Charged particles, tagged photons

# Performance and Measurement Strategy



- Choose reconstruction methods exploiting the hadronic final state as well as the electron to optimise  $(x, Q^2)$  resolutions throughout phase-space

- Exploit overlaps between data at different  $\sqrt{s}$  to avoid 'extreme' phase space regions

e-beam E	p-beam E	$\sqrt{s}$ (GeV)	inte. Lumi. ( $\text{fb}^{-1}$ )
18	275	140	15.4
10	275	105	100.0
10	100	63	79.0
5	100	45	61.0
5	41	29	4.4

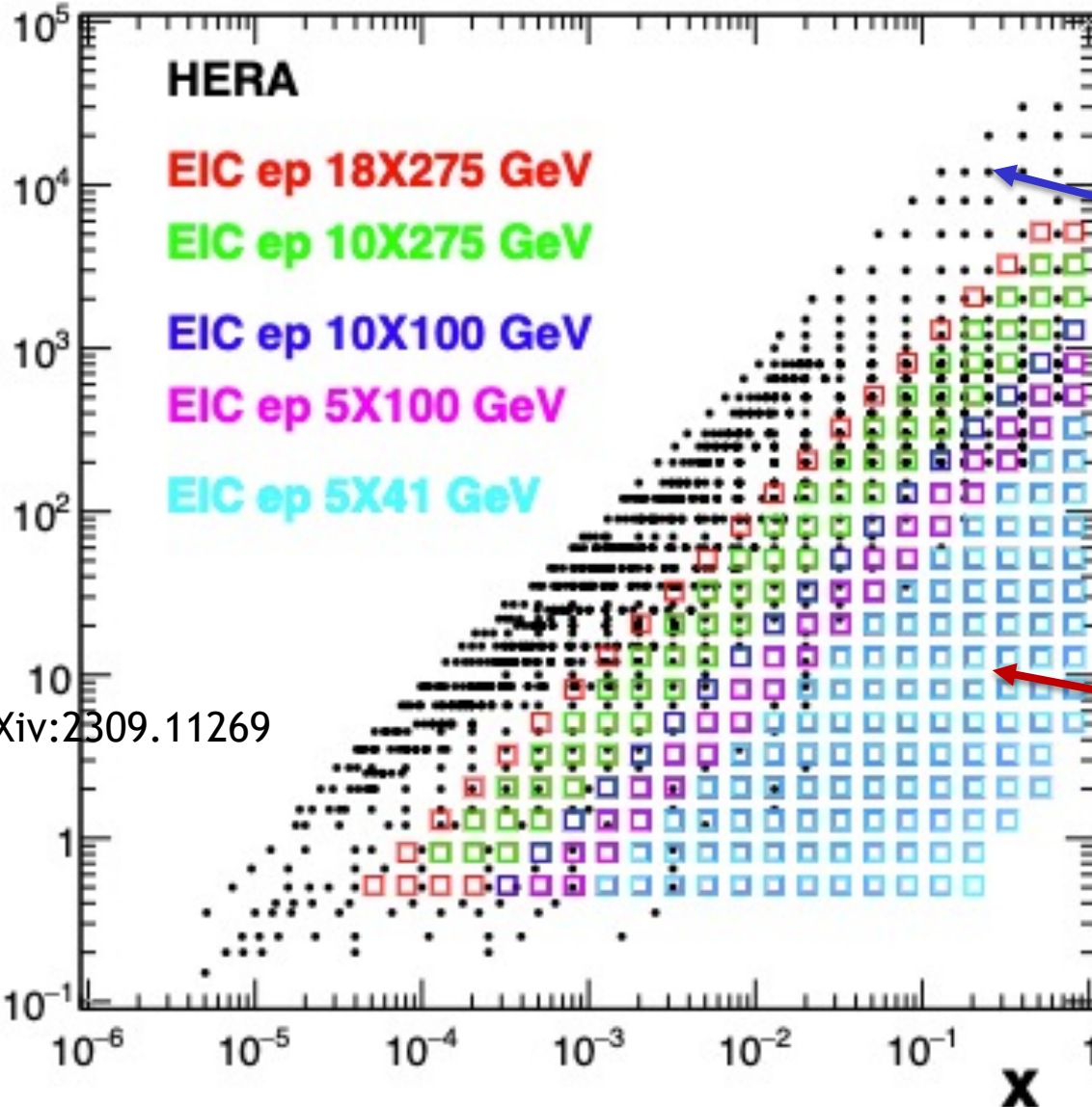
- Systematic precision estimated from experience at HERA, expected EIC detector performance, and guesswork

**Simulations based on precision:**

- 1.5-2.5% point-to-point uncorrelated
- 2.5% normalisation

# Inclusive EIC Data Impact on Proton PDFs

$Q^2$  (GeV<sup>2</sup>)



HERA data have limited high  $x$  sensitivity due to  $1/Q^4$  factor in cross section and kinematic  $x / Q^2$  correlation

EIC data fills in large  $x$ , modest  $Q^2$  region with high precision

arXiv:2309.11269



# Impact of EIC on HERAPDF2.0

Fractional total uncertainties with / without simulated EIC data included with HERA

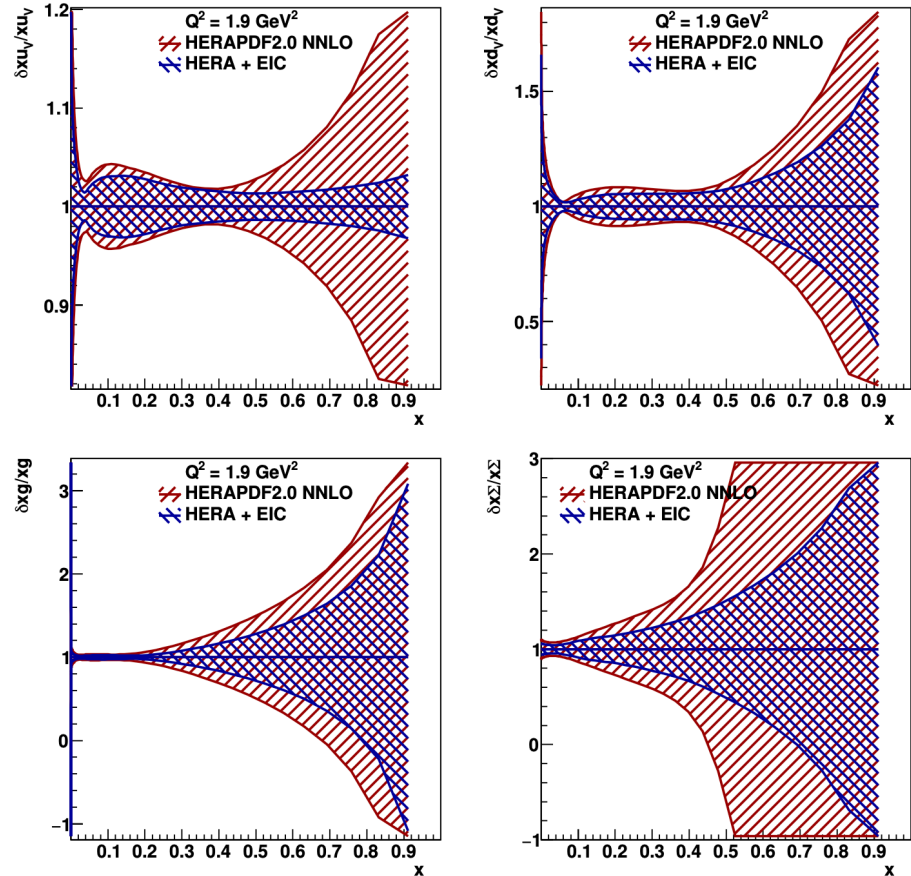
... EIC will bring significant reduction in uncertainties for all parton species at large  $x$

... most notable improvements for up quarks (charge-squared weighting)

Precision high  $x$  EIC data ideally suited to the extraction of  $\alpha_s$

... simulated result is factor  $\sim 2$  better than current world experimental average, and than lattice QCD average

... scale uncertainties remain to be understood (ongoing work)



[Eur Phys J C83 (2023), 1011]  
[arXiv:2309.11269]

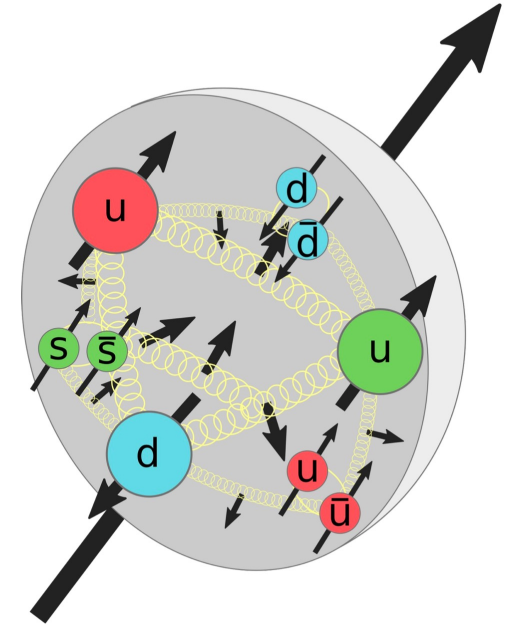
$$\alpha_s(M_Z^2) = 0.1159 \pm 0.0004 \text{ (exp)}$$

$$+0.0002$$

$$-0.0001 \text{ (model + parameterisation)}$$

# Physics Motivation: Proton Spin

- Spin  $\frac{1}{2}$  is much more complicated than  $\uparrow\uparrow\downarrow \dots$
- EMC 'spin crisis' (1987) ... quarks only carry about 10% of the nucleon spin
- Viewed at the parton level, complicated mixture of quark, gluon and relative orbital motion, evolving with  $Q^2$ , but always =  $\frac{1}{2}$

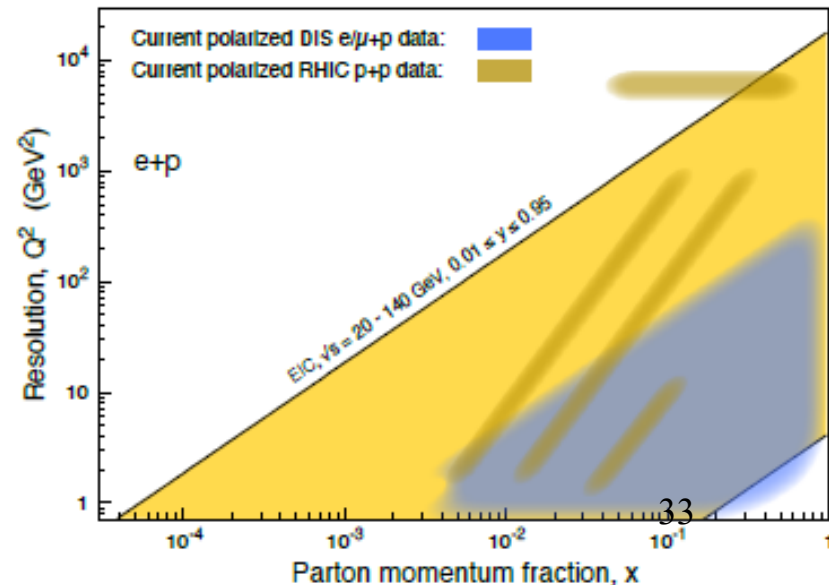


Jaffe-Manohar sum rule:

$$\boxed{\Delta\Sigma/2} + \boxed{\Delta G} + \boxed{l_q} + \boxed{l_g} = \hbar/2$$

↖ Quark helicity     ↖ Gluon helicity     ↖ Quark canonical orbital angular momentum     ↖ Gluon canonical orbital angular momentum

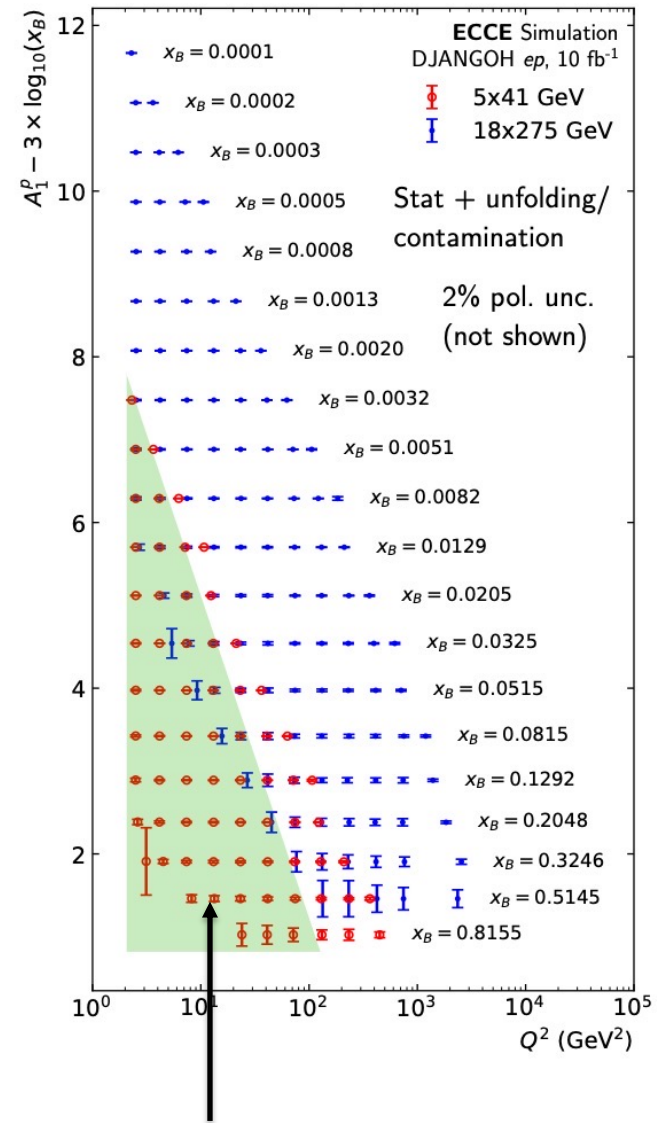
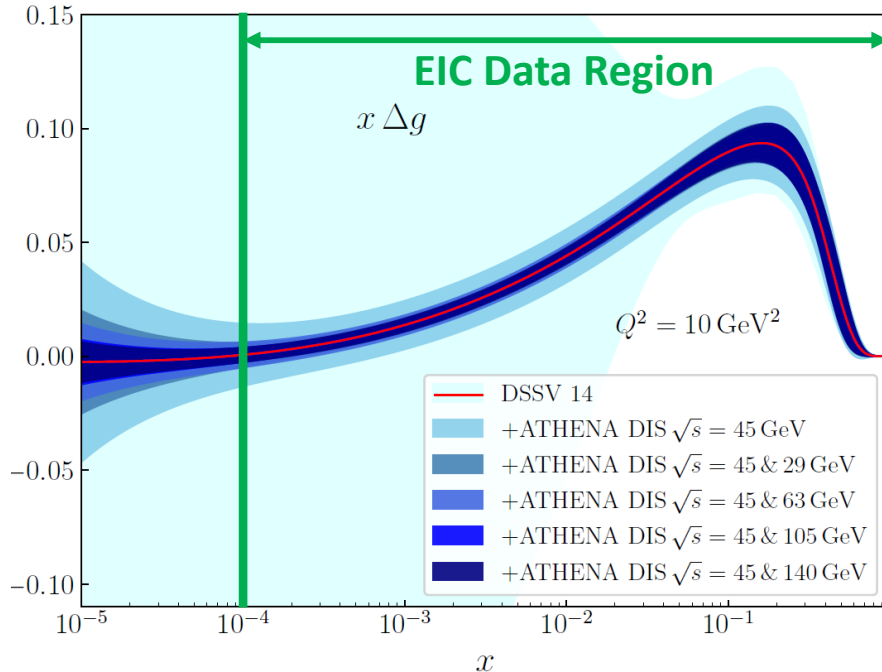
- Very little known about gluon helicity contribution or importance of low x region



# Proton Spin Simulation

Can be resolved in full with EIC inclusive, semi-inclusive and exclusive data

e.g. impact relative to recent global fit (DSSV14) of inclusive EIC data (double spin asymmetries  $15\text{fb}^{-1}$  and 70% e,p polarization)



Previously measured region (green)

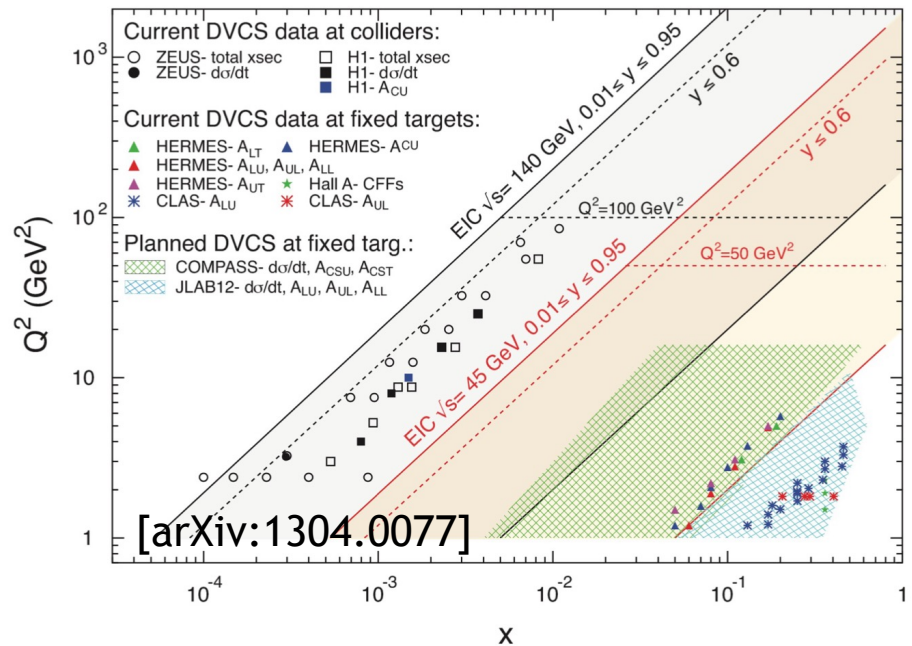
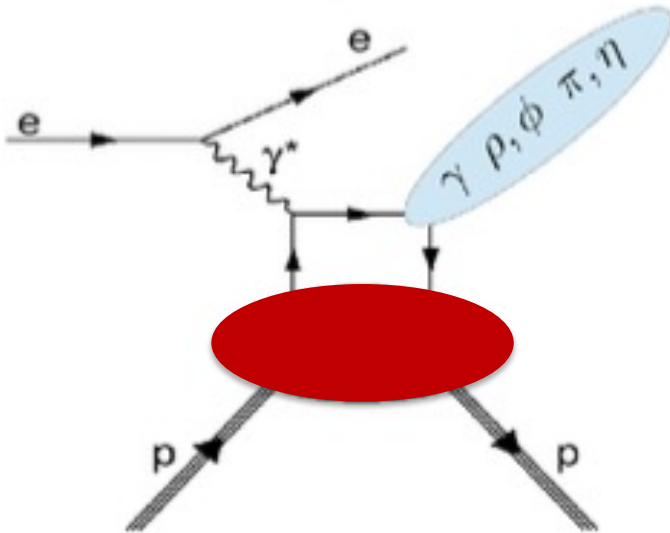
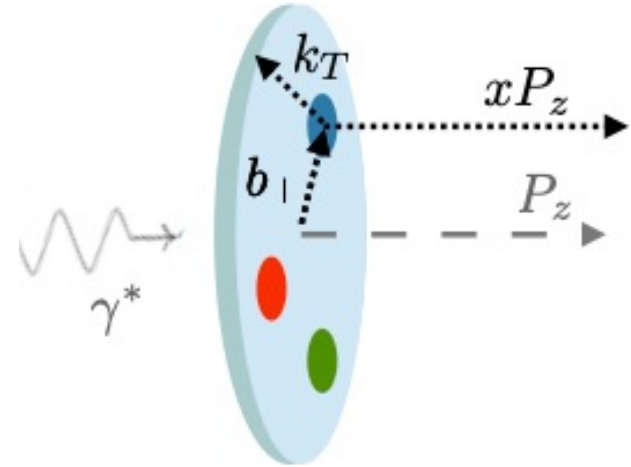
EIC measures down to  $x \sim 5 \times 10^{-3}$  for  $1 < Q^2 < 100 \text{ GeV}^2$



# Physics Motivation: 3D Structure

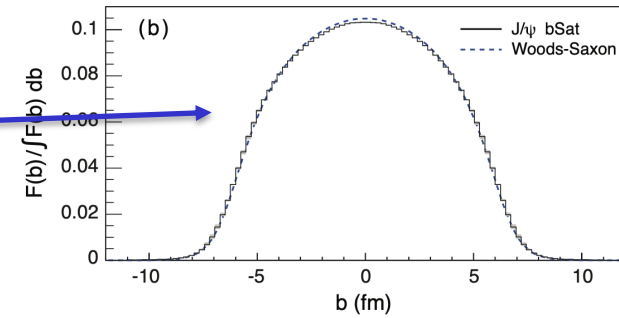
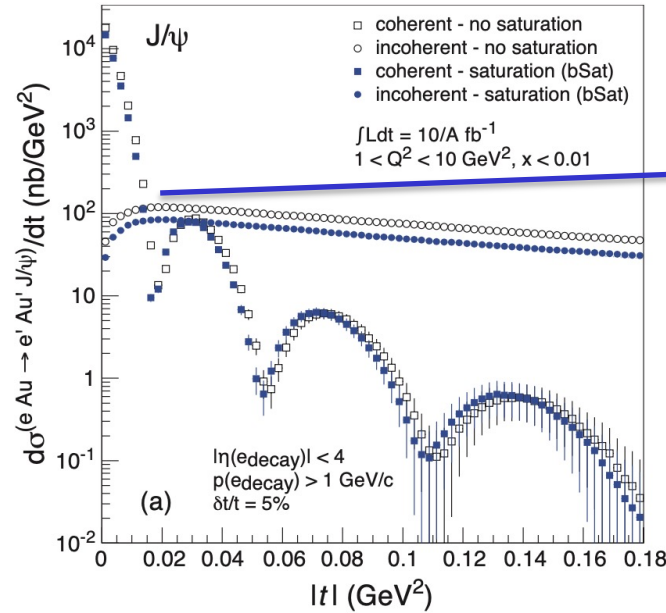
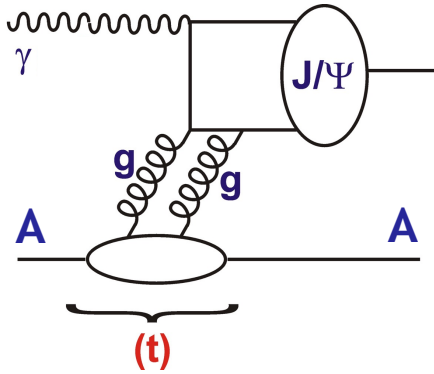
Exclusive processes, yielding intact protons, require (minimum) 2 partons exchanged

- Sensitivity to correlations between partons in longitudinal / transverse momentum and spatial coordinates
- access to 3D tomography



e.g. Deeply Virtual Compton Scattering,  $ep \rightarrow eyp$ :  
 EIC fills gap between (high stats) fixed target & (low stats) HERA data

# Physics Motivation: Dense Gluonic Systems



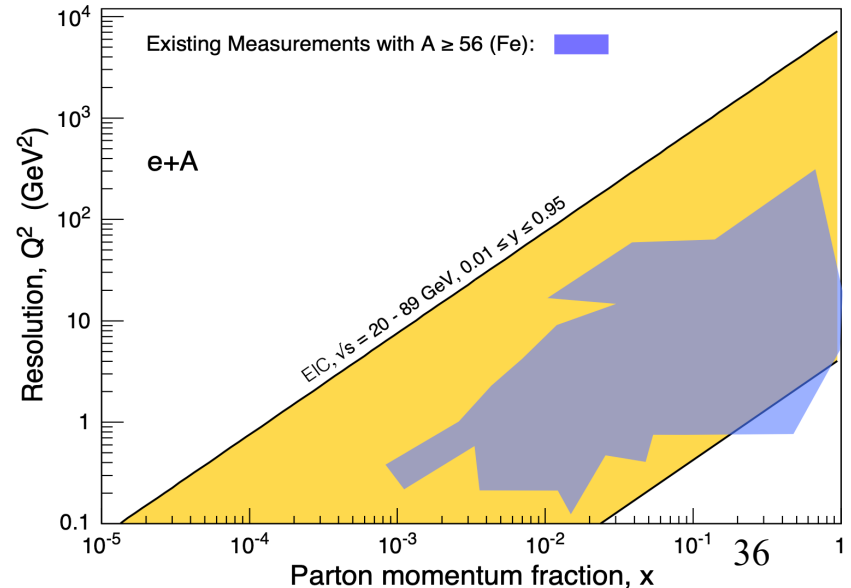
e.g. Coherent  $J/\psi$  in eAu: dips sensitive to saturation

Mandelstam  $t$  in exclusive processes conjugate to transverse spatial distributions

→ Fourier transform the target

Nuclei enhance density of partons (“ $A^{1/3}$ ” factor)

→ Very large impact on eA phase space, extending into expected region of density effects



# Impact on Nuclear PDFs

- Nuclear effects in PDFs still not fully understood.
- Important e.g. for initial State in QGP studies

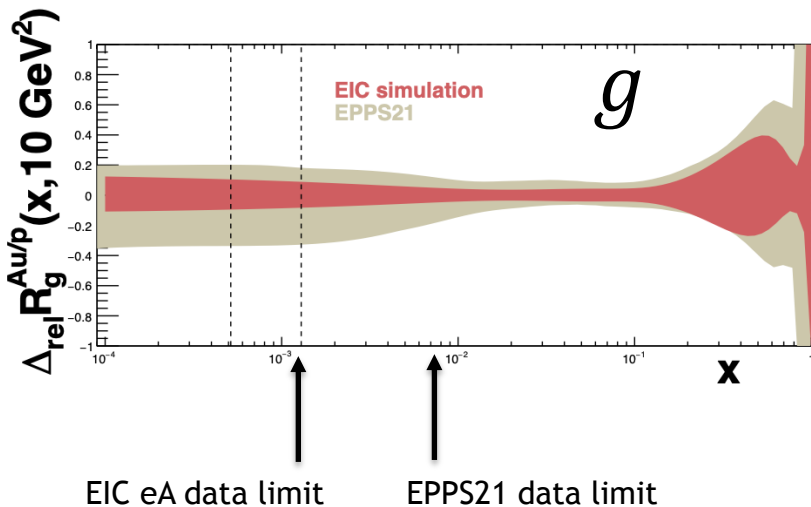
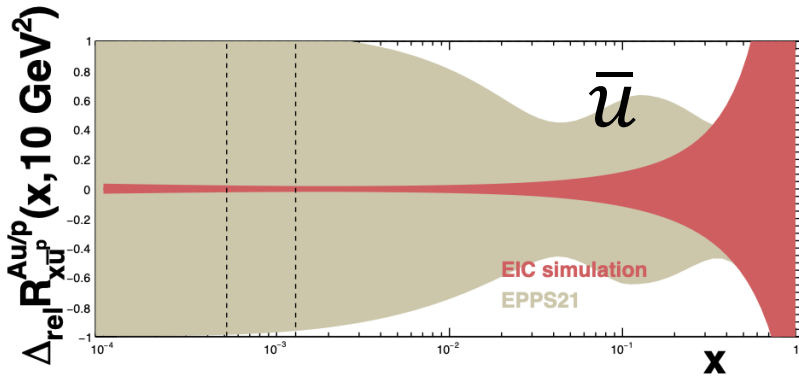
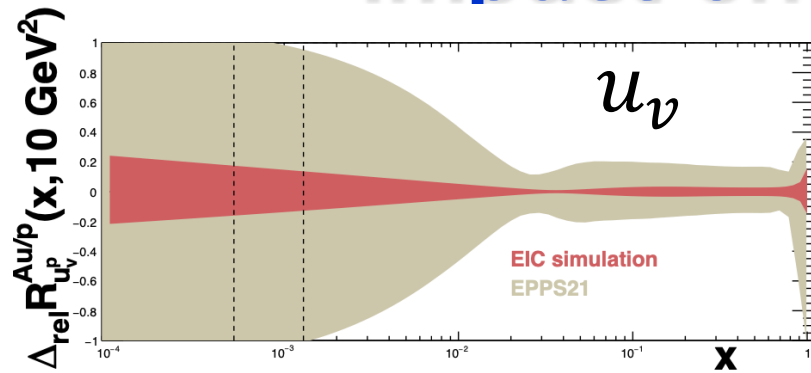
Usually expressed in terms of nuclear modification ratio relative to scaled isospin-adjusted nucleons:

$$R = \frac{f_{i/A}}{A f_{i/p}} \approx \frac{\text{measured}}{\text{expected if no nuclear effects}}$$

Sensitivity of EIC relative to EPPS21 recent nuclear PDFs (EIC-only fit)

→ Factor ~ 2 improvement at  $x \sim 0.1$

→ Very substantial improvement in newly accessed low  $x$  region <sup>37</sup>



EIC eA data limit

EPPS21 data limit



# Physics Motivation: Proton Mass

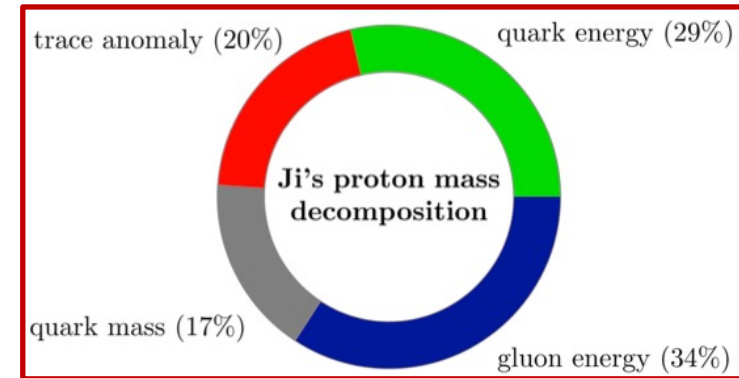
- Constituent quark masses contribute ~1% of the proton mass
- Remainder is 'emergent' → generated by (QCD) dynamics of multi-body strongly interacting system
- Decomposition along similar lines to spin:

$$m_p = m_m + m_q + m_g + m_a$$

Valence and sea quark masses (including heavy quarks)

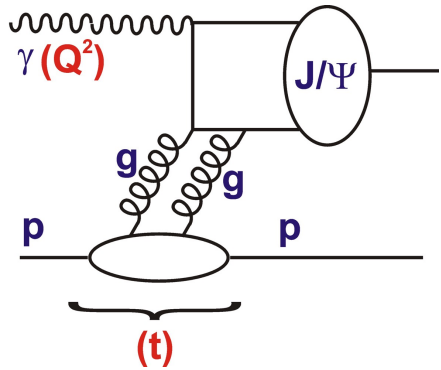
Quark and gluon 'KE' from confinement and relative motion

QCD trace anomaly (purely quantum effect - chiral condensates)



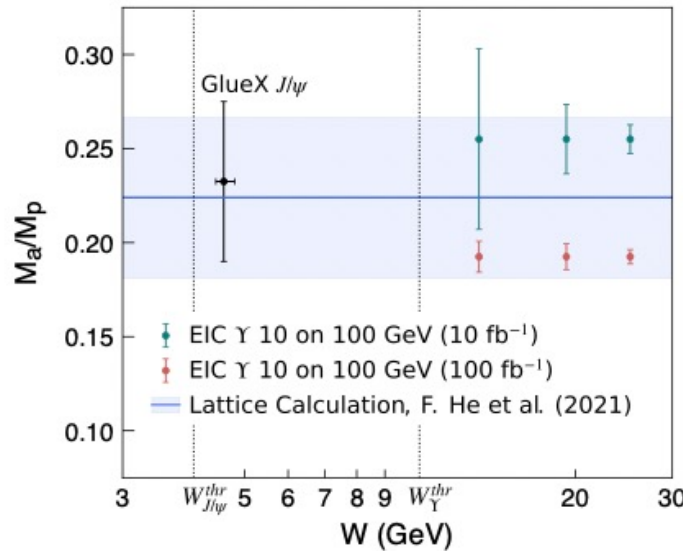
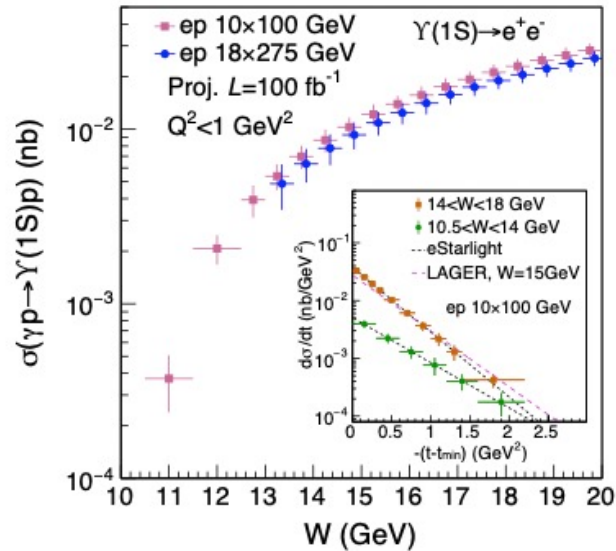
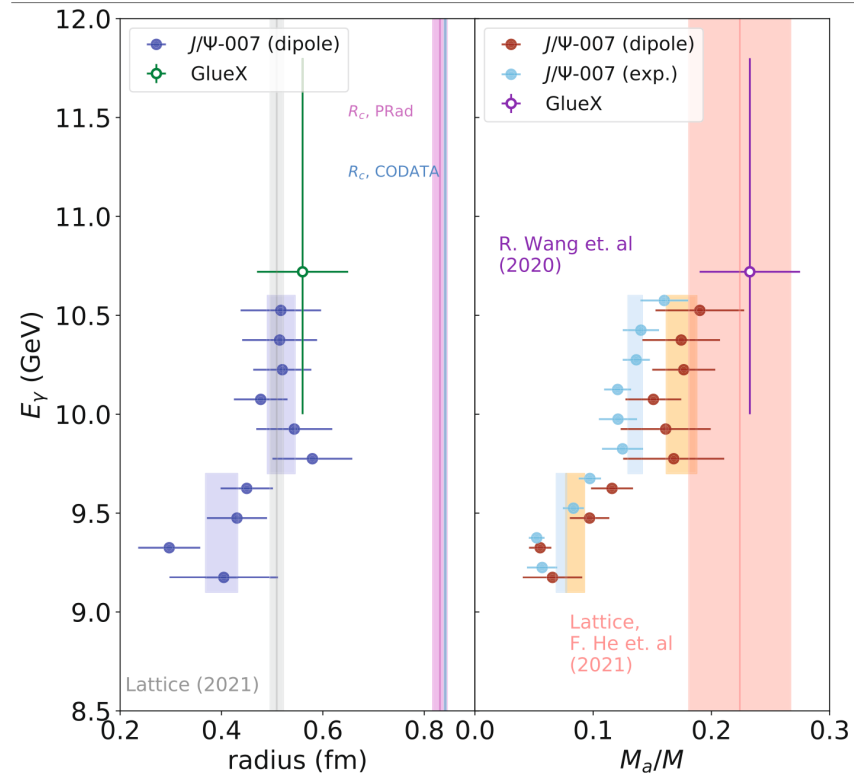
- Relations to experimental observables still being understood.
- Recent progress, eg with gravitational form factors of the proton

# Proton Mass & Exclusive Vector Mesons



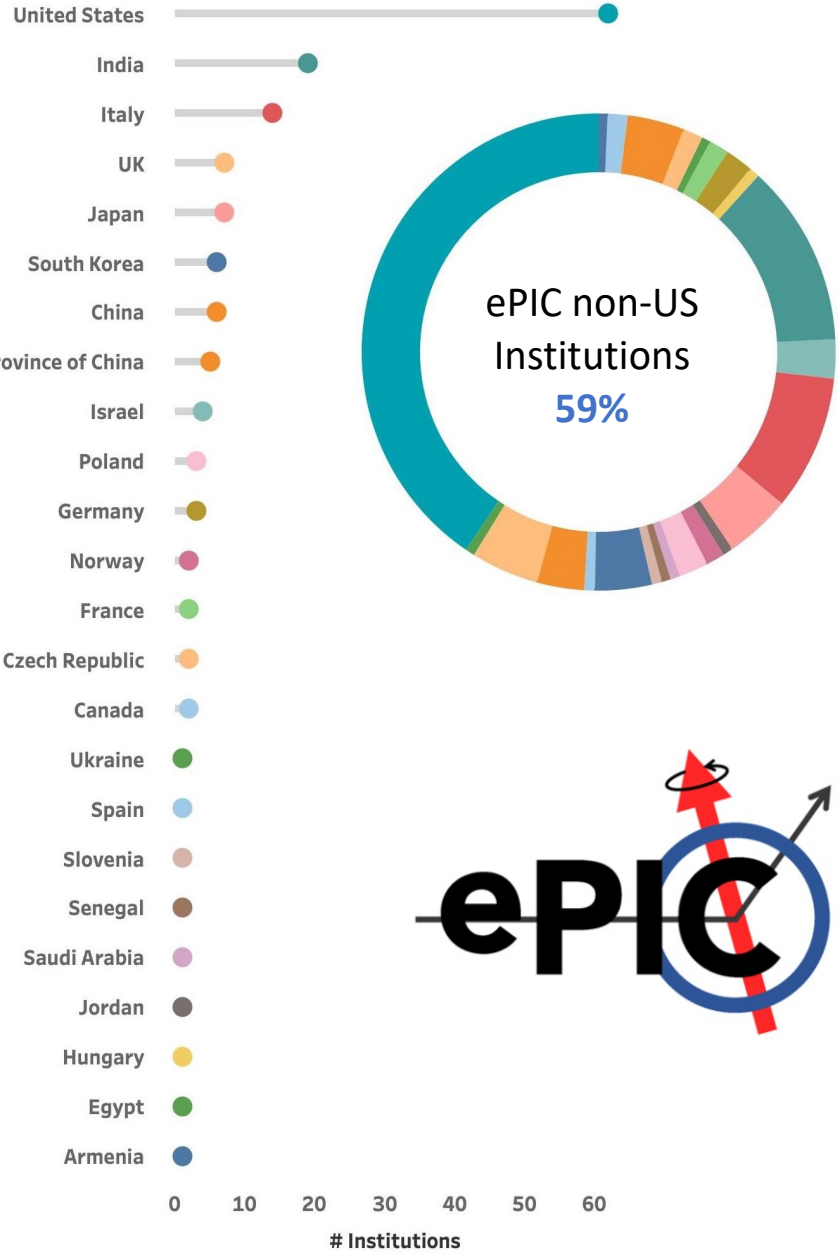
- Recent Jlab data on  $t$  dependences of  $J/\Psi$  production near threshold  $\rightarrow$  Gravitational form factors

- Gluon radius smaller than charge radius
- Interpreted in terms of trace anomaly



Simulated EIC measurement extends the study to  $Y$  with much improved precision

# ePIC Collaboration Demographics



Over 500 participants so far, from ~160 institutes in 24 countries

UK physicists deeply involved through initial motivation, collaboration formation and now ongoing roles.

UK is the fourth largest contributor to ePIC



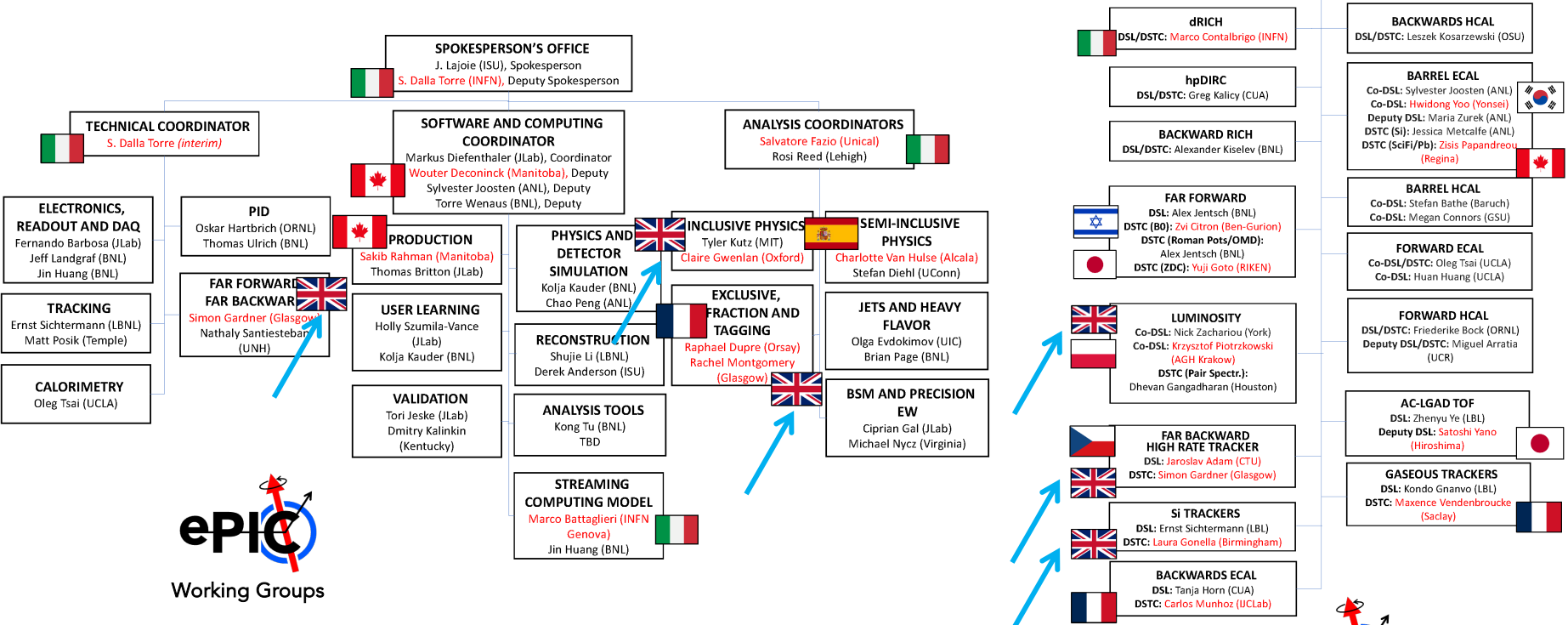
Part of a wider 'EIC User Group' organization with around 1400 members



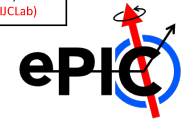
# ePIC structure and current UK Leadership



## International leadership



Paul Newman (Birmingham) - Executive Board  
 Nick Zachariou (York) - Conferences and Talks Committee

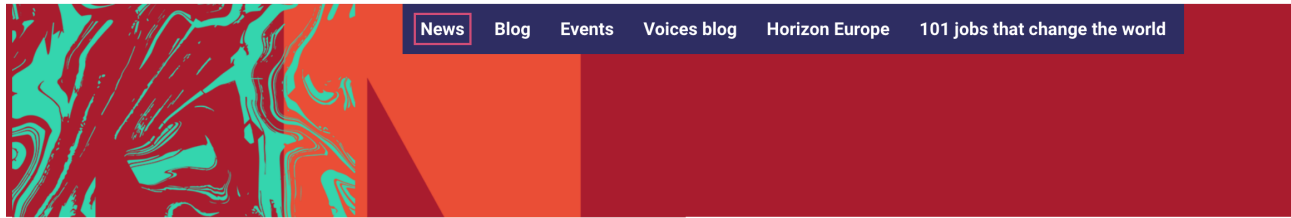


Detector Subsystem Collaborations

# The UK Involvement Confirmed

27 March 2024

Recently announced  
major funding through  
UKRI infrastructure  
funding:



[Home](#) > [News](#) > Major research and innovation infrastructure investment announced

## Major research and innovation infrastructure investment announced

### UK-US collaboration

Another project will receive £58.8 million from UKRI in a partnership with the US Department of Energy (DOE), to develop new detector and accelerator infrastructure to address fundamental questions on the nature of matter.

The technology will be built by:

- two STFC national laboratories, Daresbury Laboratory in Cheshire and the Rutherford Appleton Laboratory in Oxfordshire
- the universities of Birmingham, Brunel, Glasgow, Lancaster, Liverpool, Oxford and York
- the Cockcroft Institute for Accelerator Science and Technology in Cheshire

It will be installed at the Electron-Ion Collider (EIC), a major new particle accelerator facility at the Brookhaven National Laboratory in New York in the US.

# The UK Project in more detail

- WP1: MAPS → 65nm CMOS (wafer scale) stitched sensors, developed from ALICE-ITS3, to be deployed in central tracker  
→ Construction of 2 barrel layers, corresponding to around 1/3 of silicon tracker
- WP2: Timepix → Application of pixel sensors for beamline electron tagger for luminosity and physics at  $Q^2 \rightarrow 0$
- WP3: Lumi Monitoring → Novel pair-spectrometer, beamline  $\gamma \rightarrow ee$  counting
- WP4: Accelerator → Primarily SRF systems for Energy Recovery cooler.  
→ Also crab-cavity LLRF synchronisation, beam position monitoring, Energy Recovery modelling and design





# Summary

The Electron Ion Collider will transform our understanding of nucleons, nuclei and the parton dynamics that underlie them

The UK, including Birmingham and RAL, is deeply involved in the development of the ePIC General Purpose Detector

On target for data taking in the early/mid 2030s

