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# Designing and operating calorimeters

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# Outline

- **What is a calorimeter?**
  - what types of calorimeter are there?
  - what physics measurements are calorimeters used for?
- **Calorimeter 101**
  - passage of particles through matter
  - calorimeter properties and design considerations
  - examples of electromagnetic and hadronic calorimeters
- **Operating calorimeters**
  - CMS ECAL example - design and operational aspects
  - calorimeter calibration, performance, and lessons learned
- **Future upgrades**
  - to meet the challenges of HL-LHC and beyond

# What is a calorimeter?

calorimeter noun  
ˌkæləˈrɪmɪtə(r)

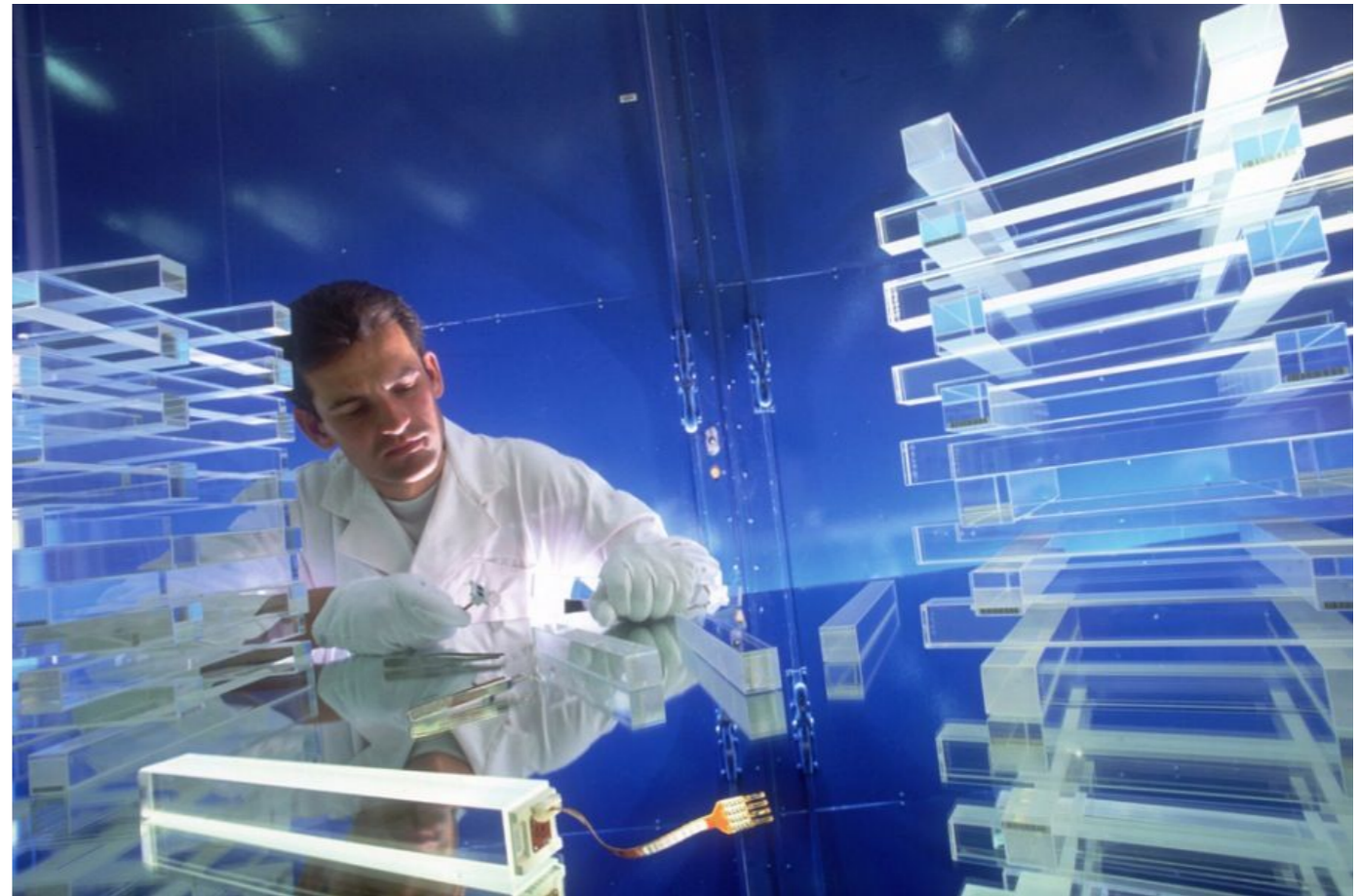
An experimental apparatus for measuring the **total amount of heat** involved in a chemical reaction or other process



# What is a particle physics calorimeter?

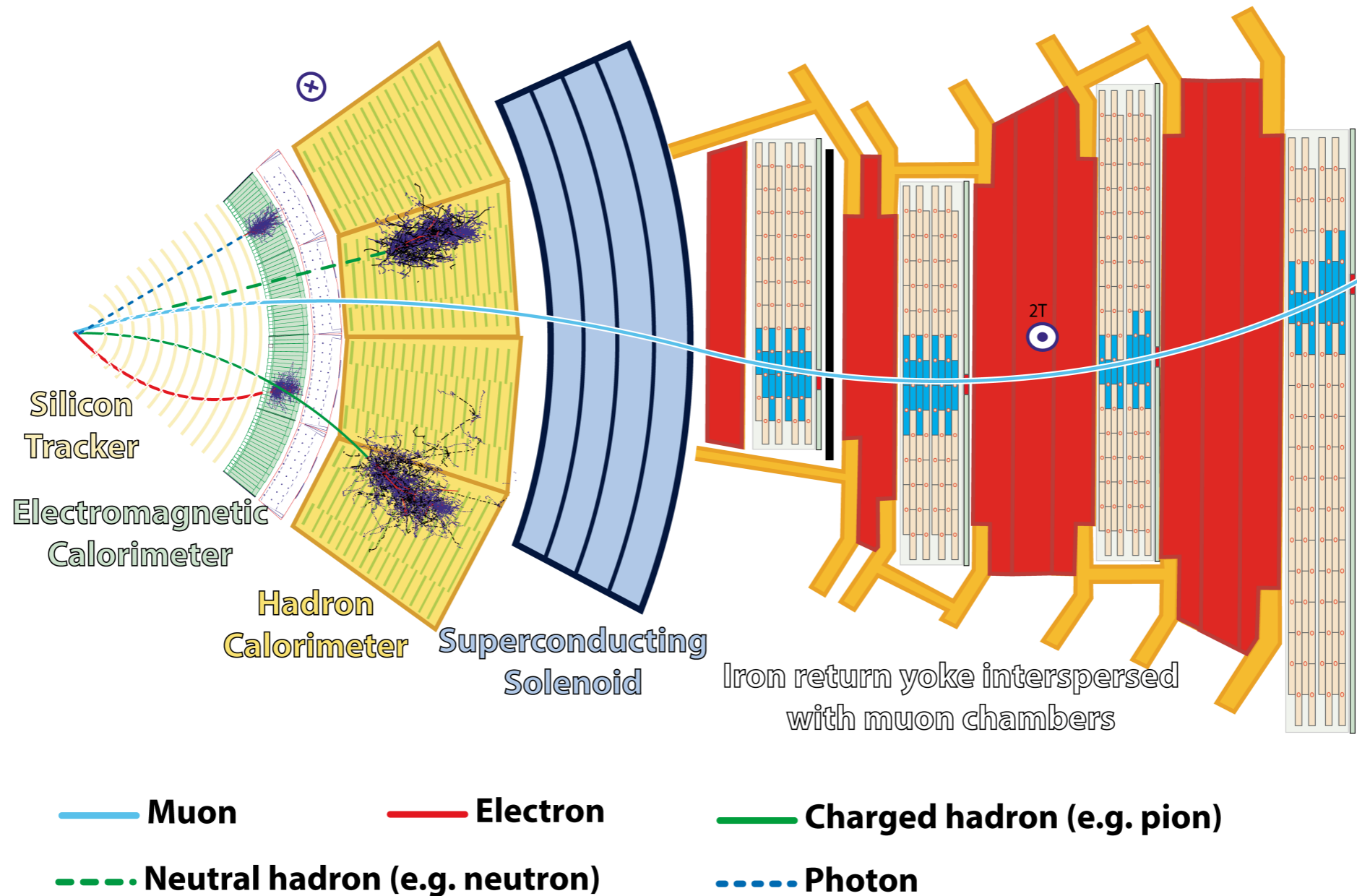
calorimeter noun  
,kælə'ɹɪmɪtə(r)

An experimental apparatus for measuring the **total energy of a particle** passing through the device



# What is a particle physics calorimeter?

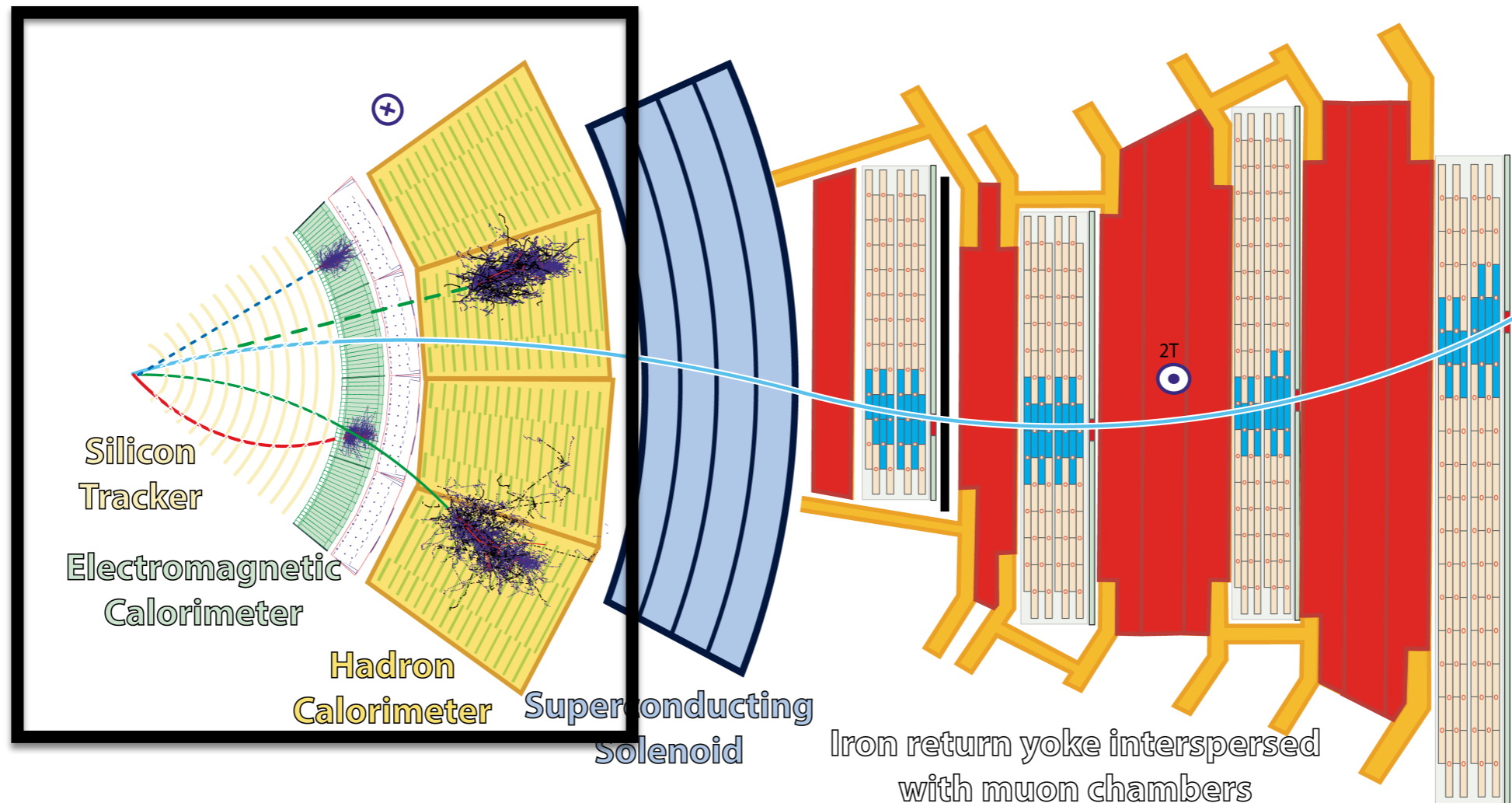
CMS example



The objective of a particle physics calorimeter is to absorb the total energy of the particle that passes through it

# What is a particle physics calorimeter?

CMS example



- Muon
- Electron
- Charged hadron (e.g. pion)
- - - Neutral hadron (e.g. neutron)
- - - Photon

The objective of a particle physics calorimeter is to absorb the total energy of the particle that passes through it

# What is a particle physics calorimeter?

Typically divided into dedicated electromagnetic and hadronic calorimeters

## Electromagnetic calorimeter

**electrons/positrons** and **photons**

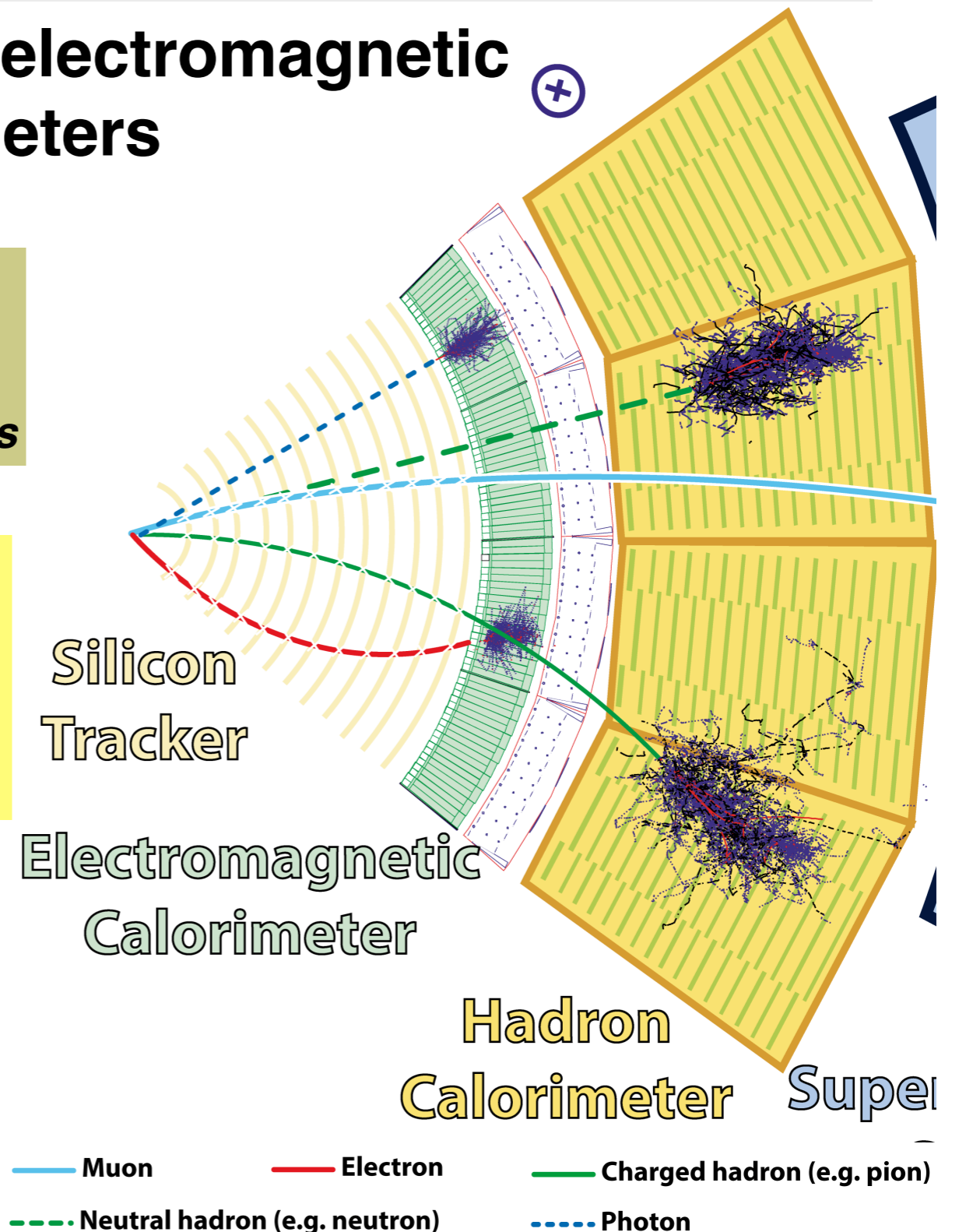
*electrons and positrons can be matched to tracks*

## Hadron calorimeter

**charged hadrons:**  $\pi^\pm$ ,  $K^\pm$ ,  $p$

**neutral hadrons:** neutron,  $K^0_L$

*charged hadrons can also be matched to tracks*



# What is a particle physics calorimeter?

Typically divided into dedicated electromagnetic and hadronic calorimeters

## Particle energy

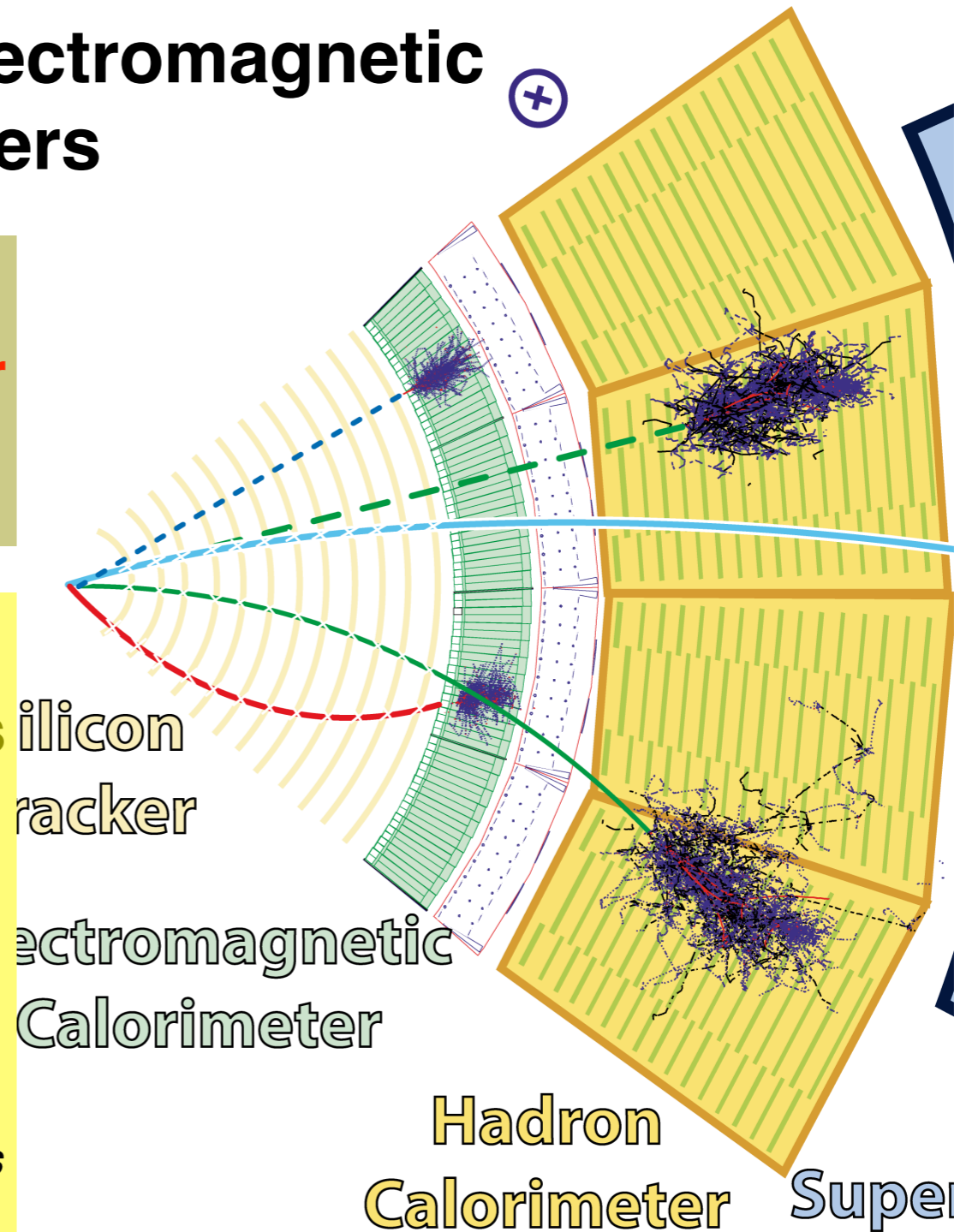
particle energy  $E$  absorbed in calorimeter  
is converted to electrical signal  $S$   
 $E$  is proportional to  $S$

## Particle type

determined by pattern of energy deposits  
EM and hadronic particles deposit most of their  
energies in their respective calorimeters  
charged particles can also be matched to tracks

These criteria are heavily used in Particle  
Flow reconstruction techniques

including reconstruction of compound objects, such as  
jets, which contain both EM and hadronic components

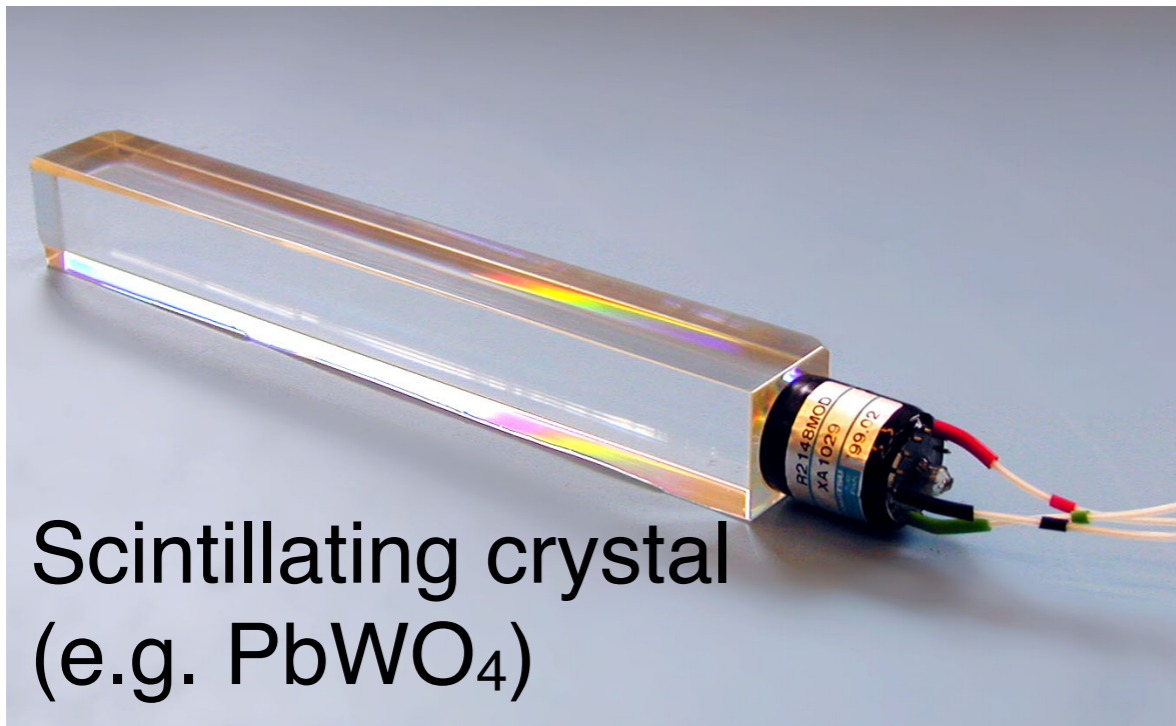


— Muon      — Electron      — Charged hadron (e.g. pion)  
- - - Neutral hadron (e.g. neutron)      ···· Photon



# Homogenous vs sampling calorimeters

## Homogenous



Scintillating crystal  
(e.g.  $\text{PbWO}_4$ )

### Single medium for absorber and detector

Liquefied noble gases (Kr, Xe, Ar)  
Organic liquid scintillators  
Dense organic crystals

Most often used for EM calorimetry  
(premium on high resolution)  
records full EM shower  
(smaller stochastic term)

## Sampling



Shashlik type  
(e.g. W/LYSO)

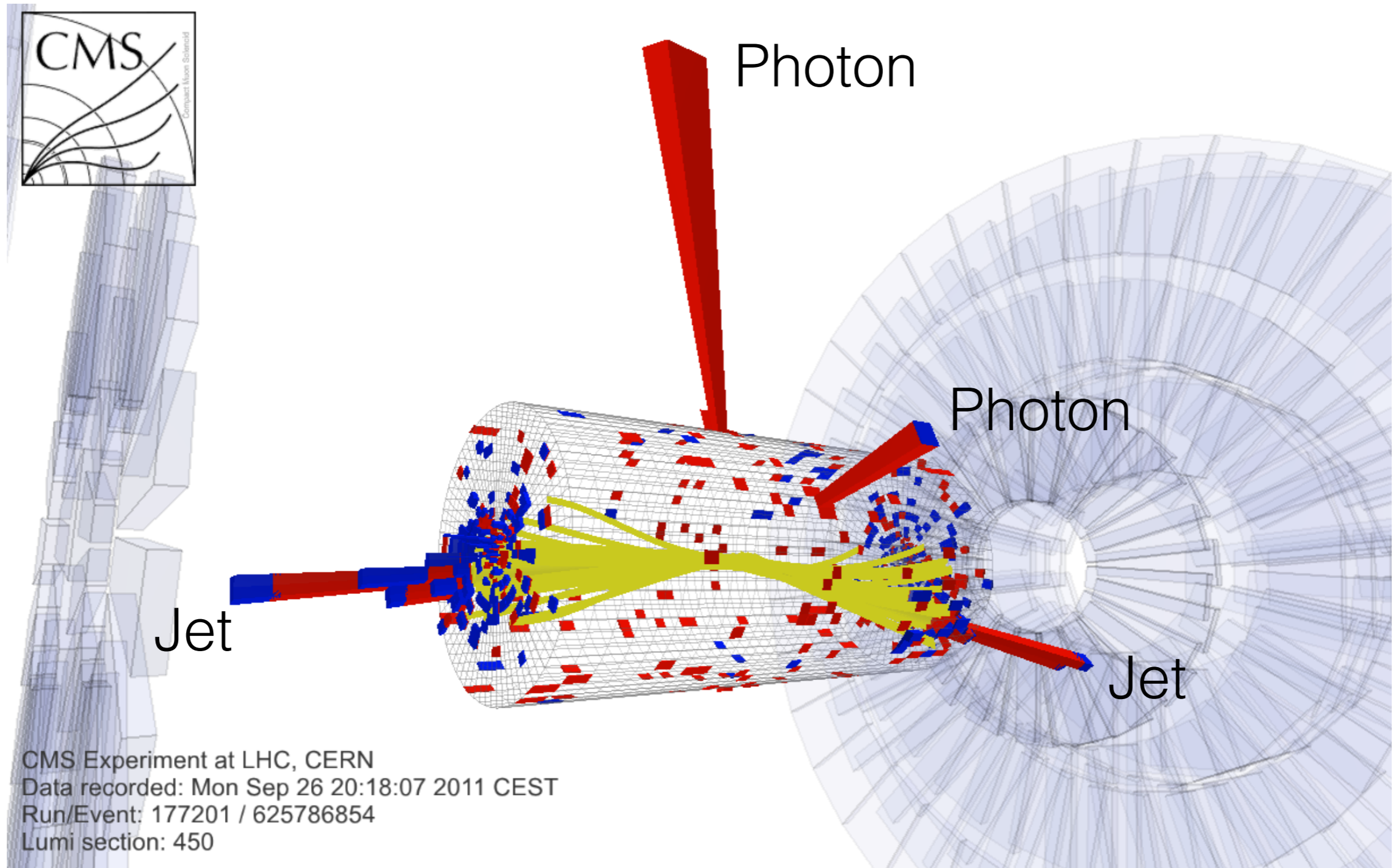
### Layers of passive absorber and detector material

Lead, Tungsten, Copper absorbers  
Scintillator/Si/Ar active medium

Used for EM and hadron calorimetry  
(usually more cost effective)  
samples EM and hadron shower  
(transverse and longitudinal segmentation)

# Calorimeter event displays

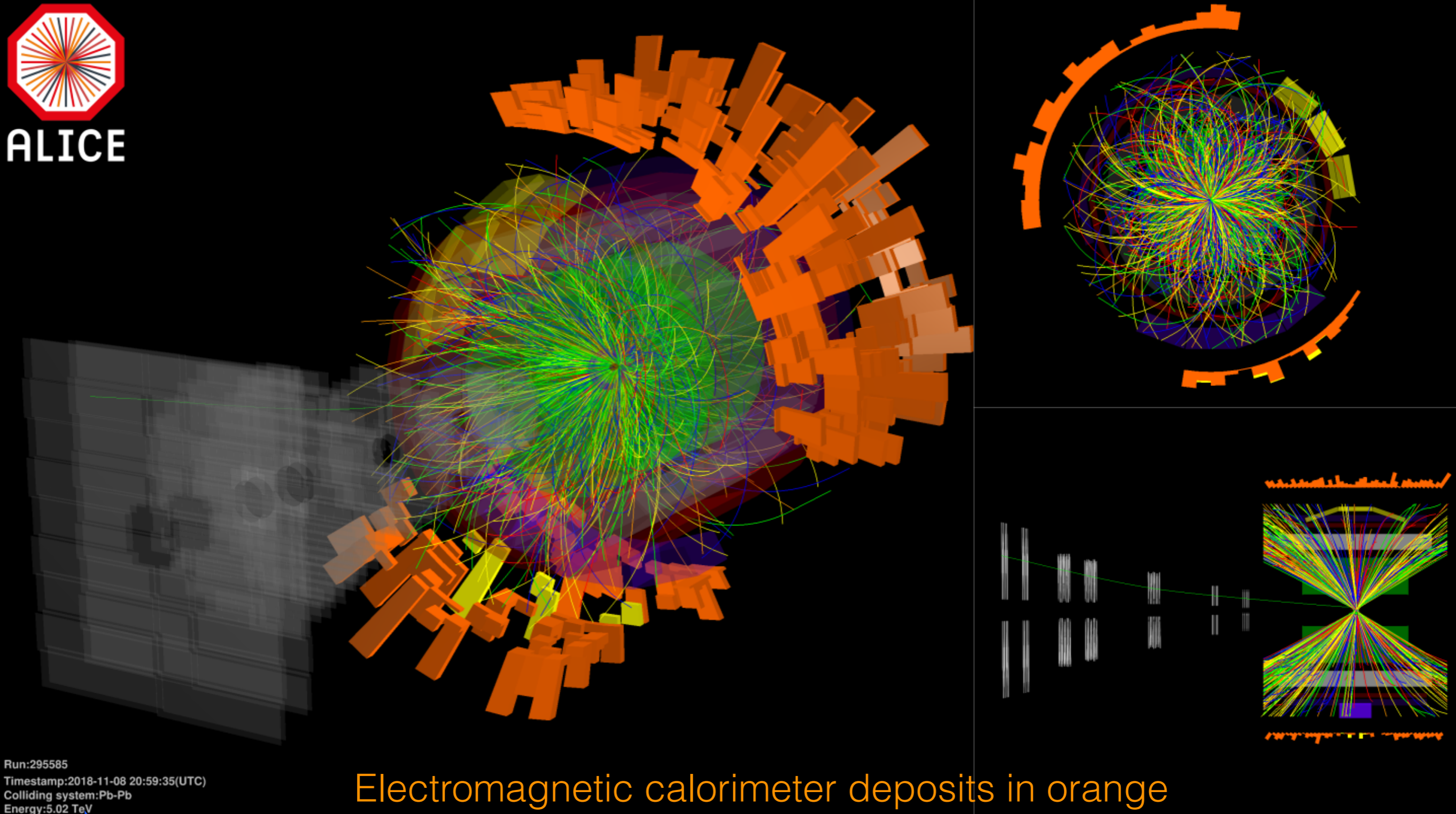
Candidate Higgs particle decaying to two photons, with two forward jets in CMS



ECAL energy in **RED**  
HCAL energy in **BLUE**

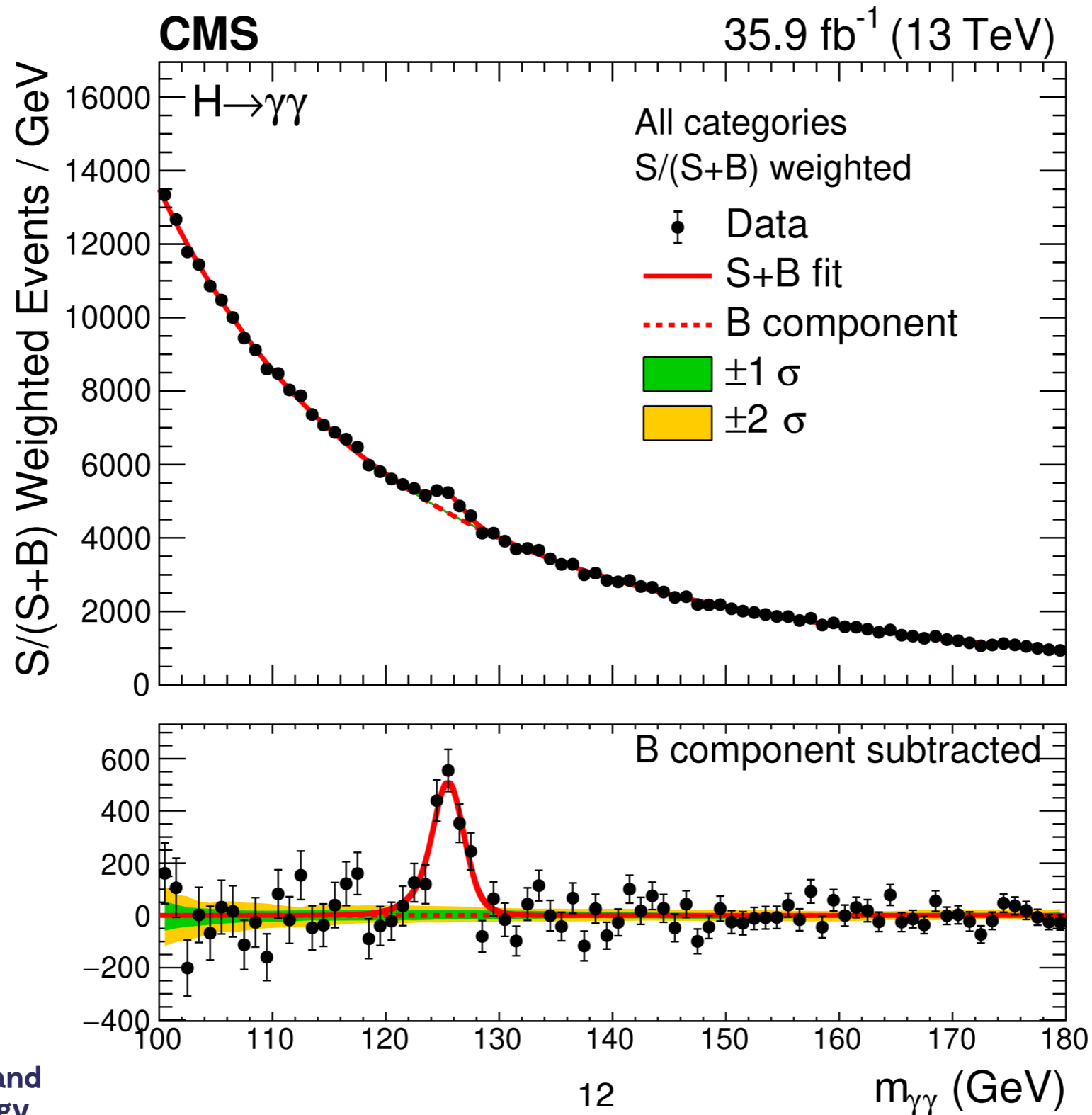
# Calorimeter event displays

Pb on Pb particle collision in ALICE



# Physics with calorimeters

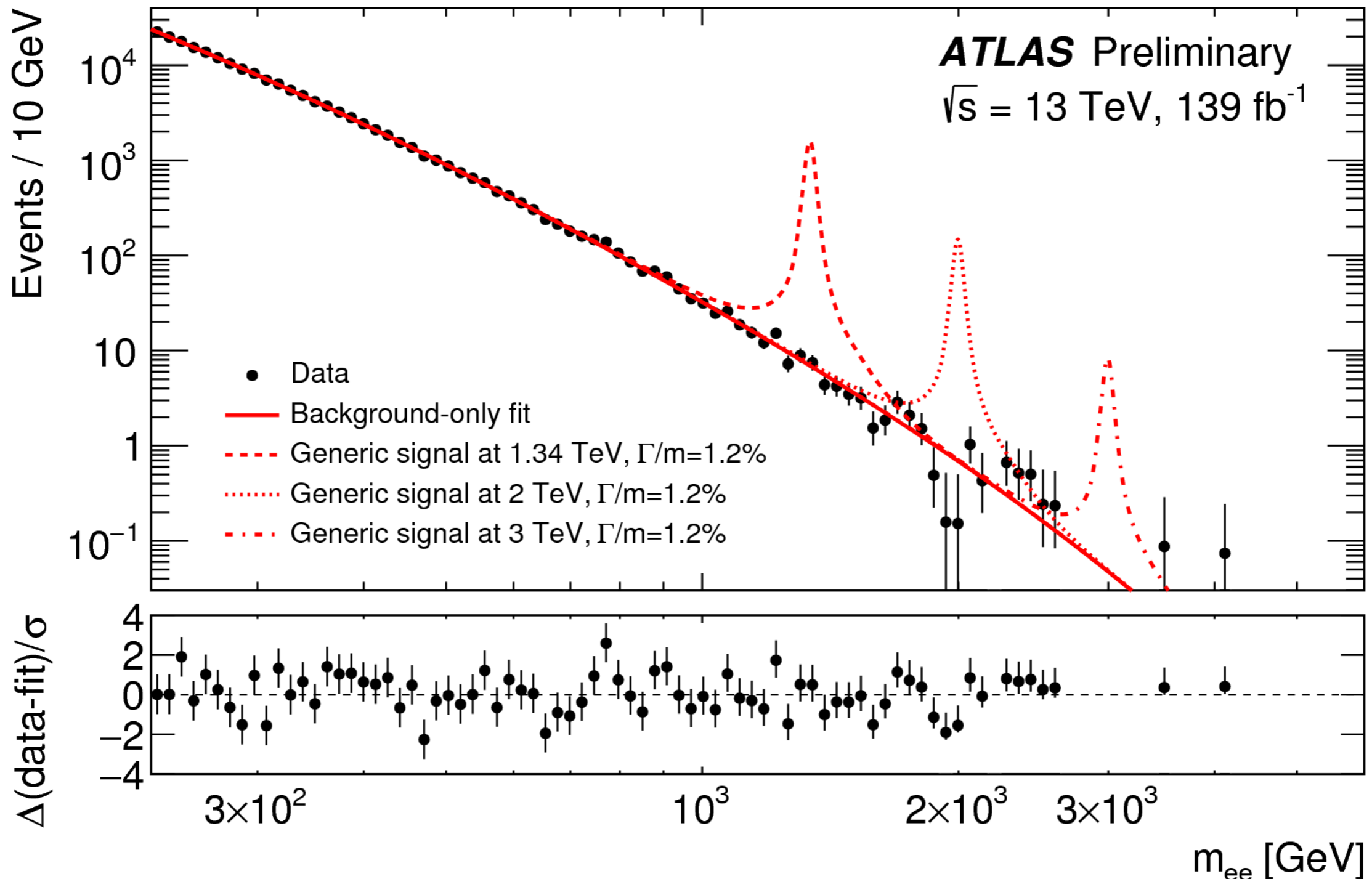
Observation of Higgs decaying to two photons in CMS



Excellent  
energy  
resolution  
required

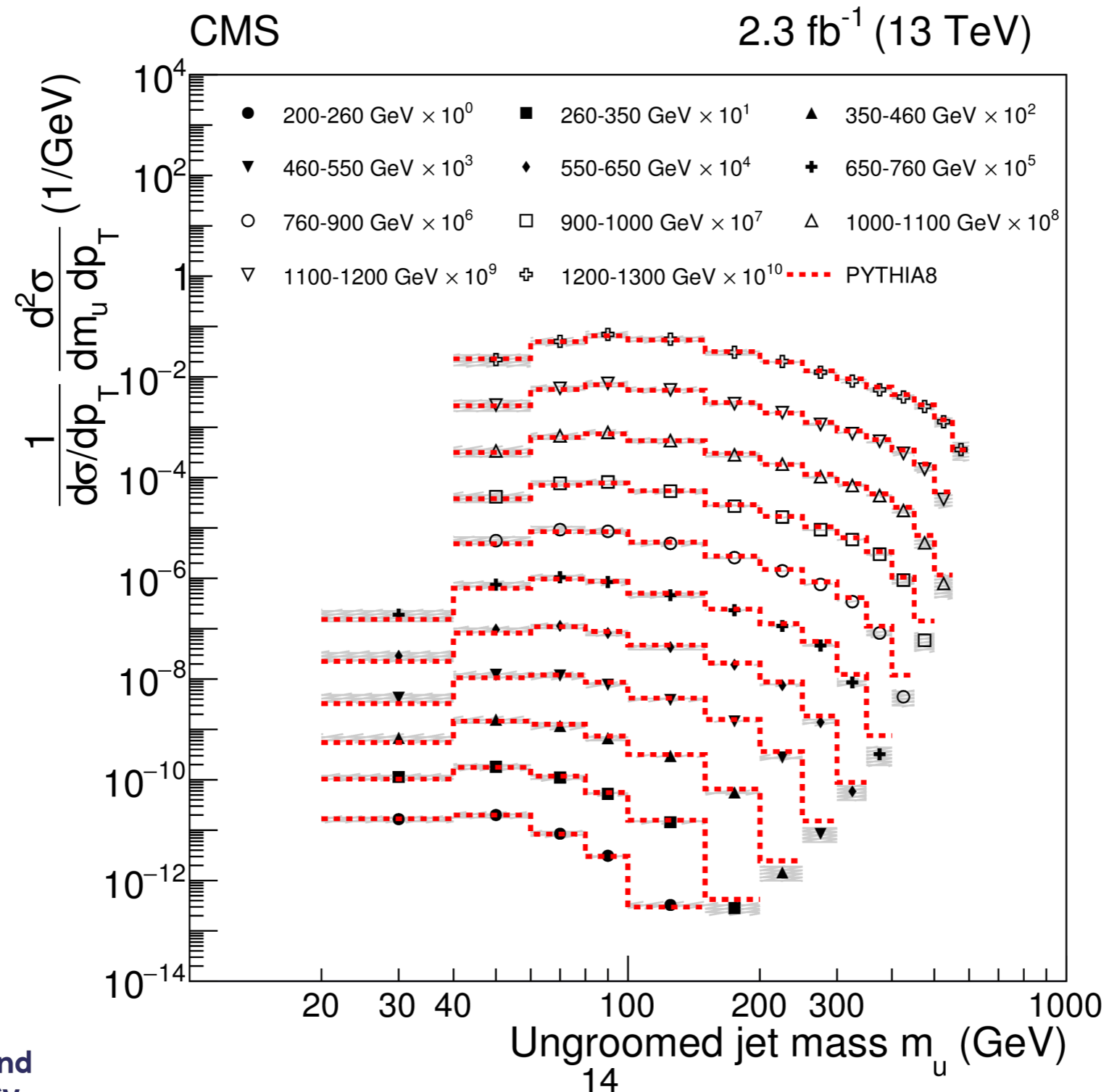
# Physics with calorimeters

Search for beyond the standard model  $Z'$  decaying to 2 electrons in ATLAS



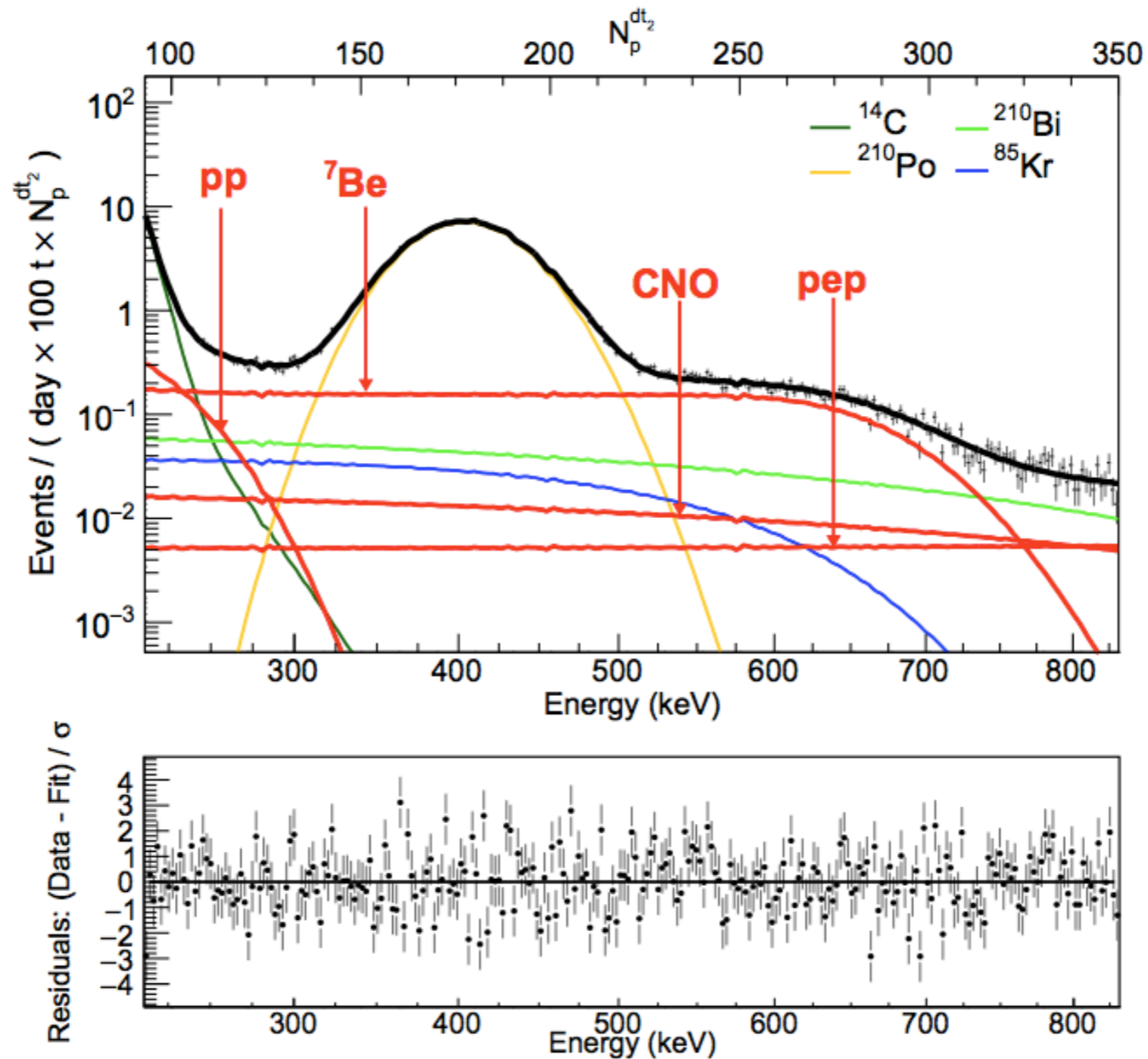
# Physics with calorimeters

Jet cross section measurements in CMS and comparison with theory



# Physics with calorimeters

Measurement of components of solar neutrino flux in Borexino

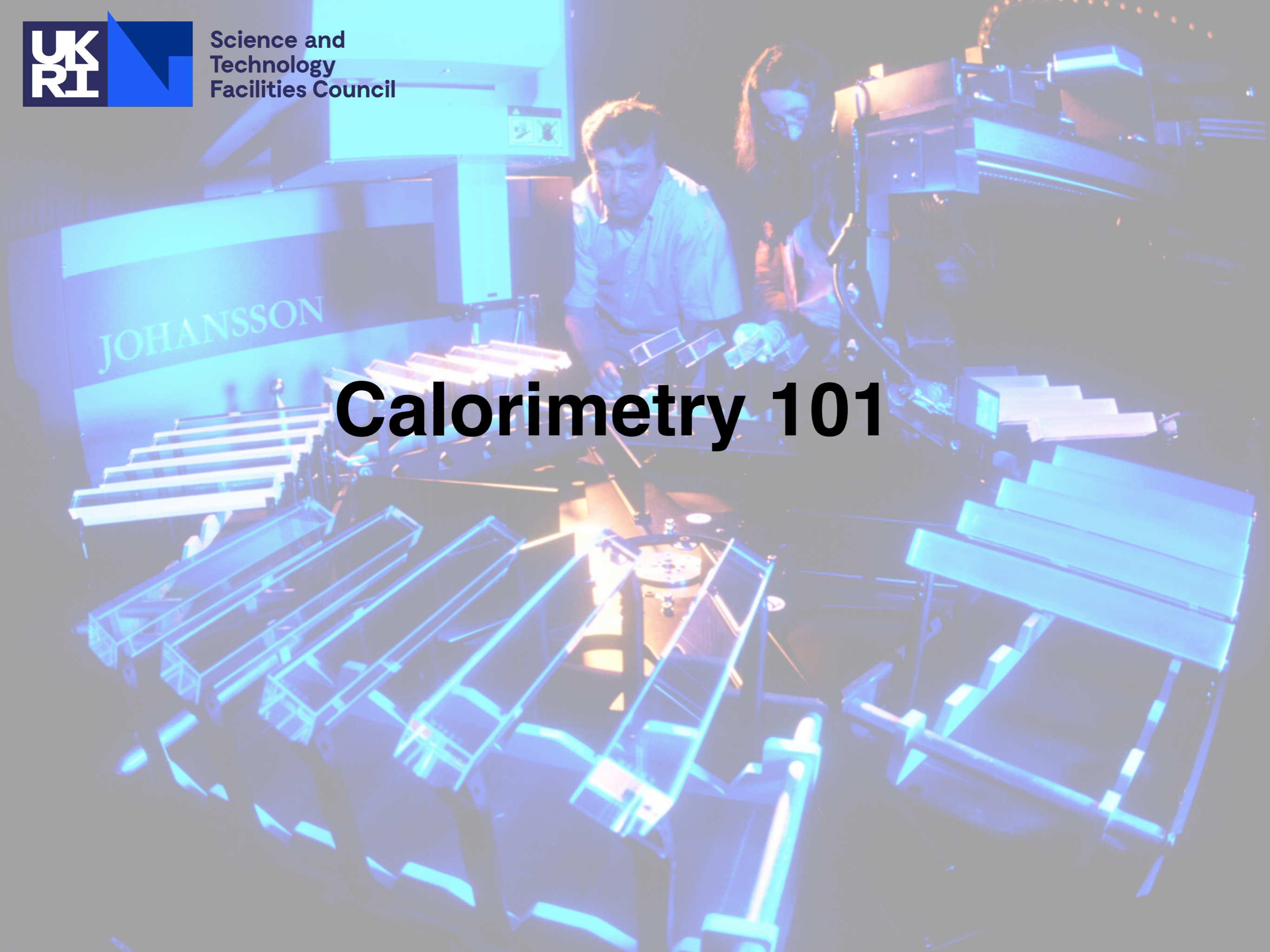




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# Calorimetry 101

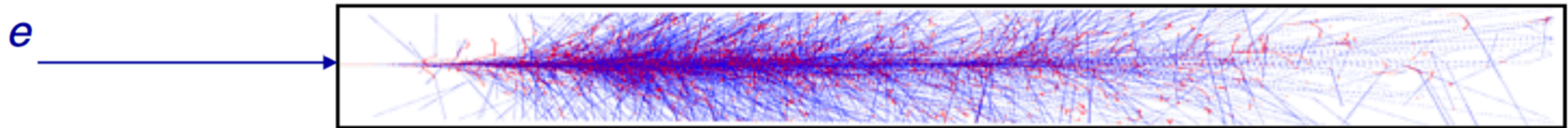




# Passage of particles through matter

## Electromagnetic shower

PbWO<sub>4</sub> CMS, X<sub>0</sub>=0.89 cm



### Energy loss mechanisms:

Above critical energy  $E_c$

**electron bremsstrahlung**

$$e^\pm \rightarrow \gamma$$

**photon pair production**

$$\gamma \rightarrow e^+ + e^-$$

Below critical energy  $E_c$

**ionization**

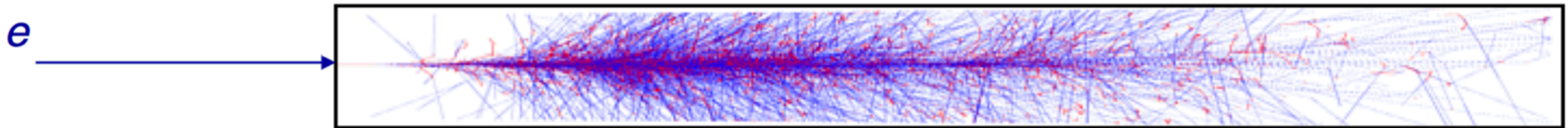
photoelectric effect  
Compton scattering

$$E_c = \frac{610 \text{ MeV}}{Z + 1.24}$$

# Passage of particles through matter

## Electromagnetic shower

PbWO<sub>4</sub> CMS,  $X_0=0.89$  cm



### Energy loss mechanisms:

Above critical energy  $E_c$

### electron bremsstrahlung

$$e^\pm \rightarrow \gamma$$

### photon pair production

$$\gamma \rightarrow e^+ + e^-$$

Both processes controlled by radiation length  $X_0$  of the detector medium

$X_0$ : thickness of material that reduces mean energy of electron by a factor  $e$

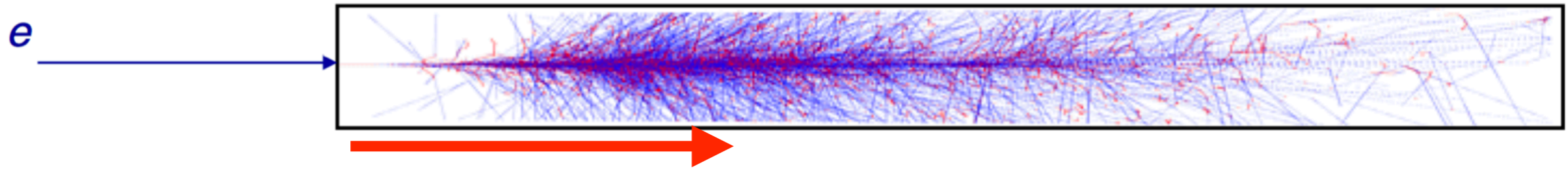
$$E = E_0 e^{-x/X_0}$$

$X_0 \propto \frac{1}{Z^2} \rightarrow$  compact calorimeters require dense detector media

# Passage of particles through matter

## Electromagnetic shower

PbWO<sub>4</sub> CMS,  $X_0=0.89$  cm



Above critical energy  $E_c$

**electrons lose energy via bremsstrahlung  
with characteristic path length  $X_0$**

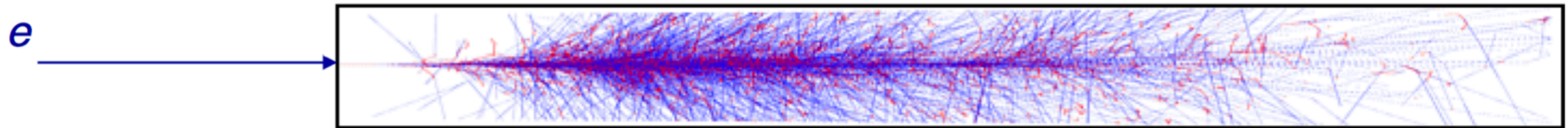
**photons convert to lower energy electrons via pair production  
with characteristic path length  $9/7 \cdot X_0$**

shower multiplication and development

# Passage of particles through matter

## Electromagnetic shower

PbWO<sub>4</sub> CMS,  $X_0=0.89$  cm



$t_{\max}$

At critical energy  $E_c$

**average particle energy  $\sim E_c$**

**ionisation losses are equal to bremsstrahlung and pair production**

**peak particle multiplicity reached**

**position of shower maximum:  $t_{\max}$**

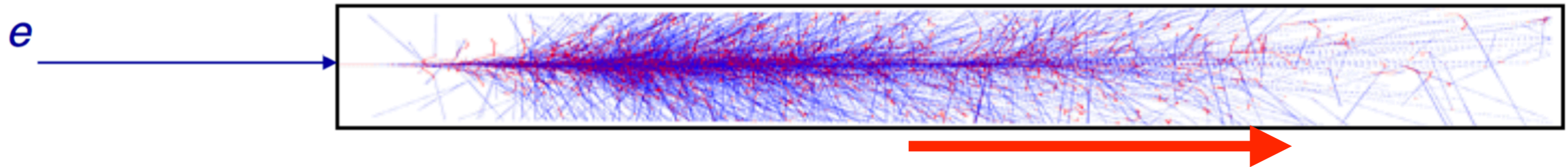
$t_{\max}$  depends logarithmically on incident particle energy

approximately  $5 X_0$  for a 10 GeV electron in PbWO<sub>4</sub> crystal

# Passage of particles through matter

## Electromagnetic shower

PbWO<sub>4</sub> CMS,  $X_0=0.89$  cm



Below critical energy  $E_c$

**ionisation losses are larger than bremsstrahlung and pair production**

**slow decrease in number of particles in the shower  
electrons and positrons range out**

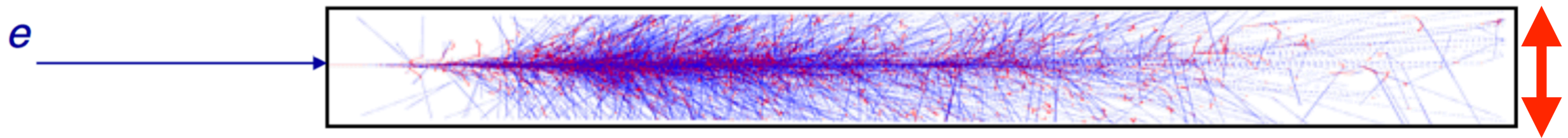
Showers containment depends on energy

100 GeV electron in PbWO<sub>4</sub> crystal contained within around  $20 \cdot X_0$

# Passage of particles through matter

## Electromagnetic shower

PbWO<sub>4</sub> CMS, X<sub>0</sub>=0.89 cm



## Lateral shower development

### defined by Moliere radius R<sub>M</sub>

95% of shower is contained in a cylinder of radius 2\*R<sub>M</sub>  
*mainly caused by electron multiple coulomb scattering within detector medium*

$$R_M = \frac{21 \text{ MeV}}{E_c} X_0$$

## CMS example (PbWO<sub>4</sub> crystals)

longitudinal dimensions of 23cm (25\*X<sub>0</sub>)

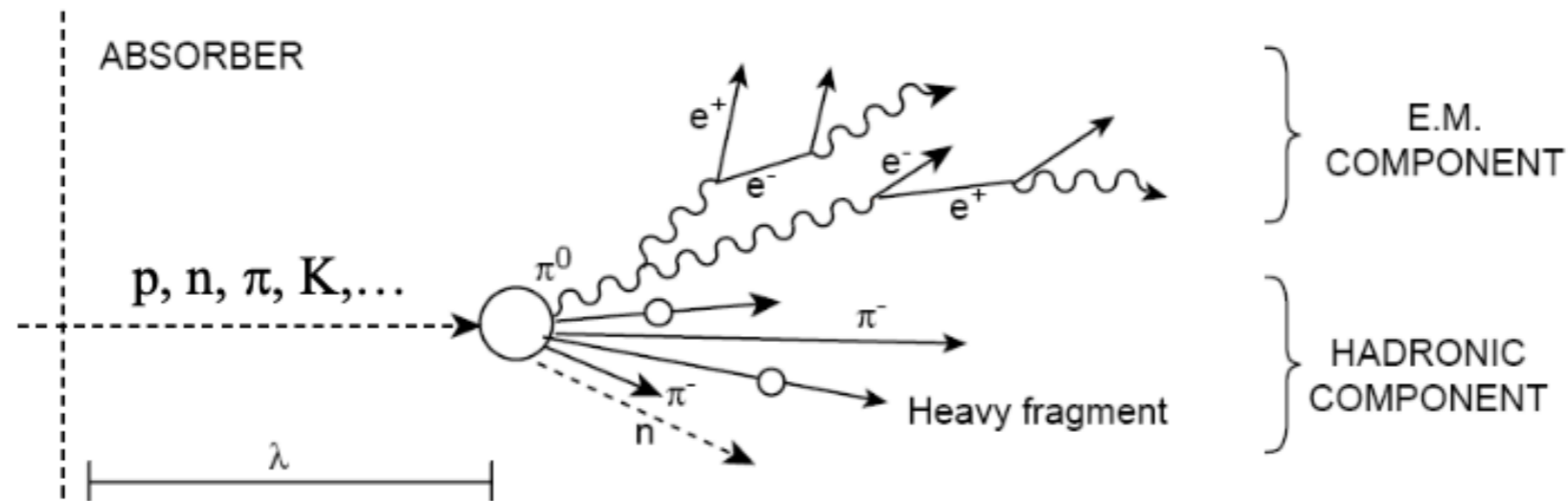
lateral dimensions of 2.2cm (1\*R<sub>M</sub>)

minimises leakage from back of crystal

maximises transverse granularity  
lateral leakage minimised by summing energy over 3x3  
matrix of crystals

# Passage of particles through matter

## Hadron shower



Shower development determined by interaction length  $\lambda_I$  of the detector medium

$\lambda_I$  - mean free path between inelastic collisions: 16.7 cm in Lead

**multiparticle production**

$\pi^\pm, \pi^0, K$

**nuclear breakup**

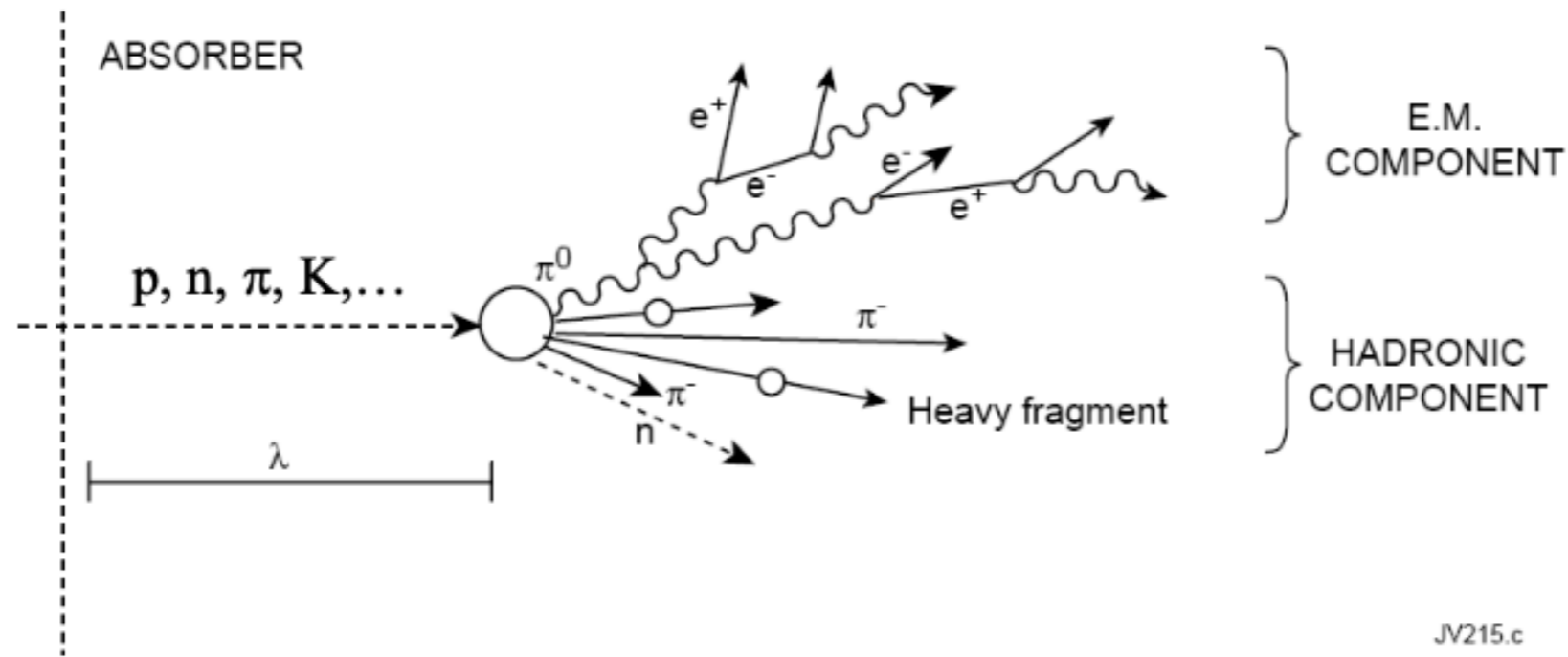
spallation neutrons, protons

**electromagnetic component**

$\pi^0 \rightarrow \gamma\gamma$

# Passage of particles through matter

## Hadron shower



Longitudinal containment: 95% of hadronic shower from 100 GeV pion contained in  $\sim 10\lambda_I$  (1.7m of lead)

peak in shower profile at  $\sim 1 \lambda_I$  with exponential fall-off  
EM component more pronounced at start of shower

Lateral containment: 95% containment of hadronic shower from 100 GeV pion contained in  $\sim 1\lambda_I$  (17cm of lead)

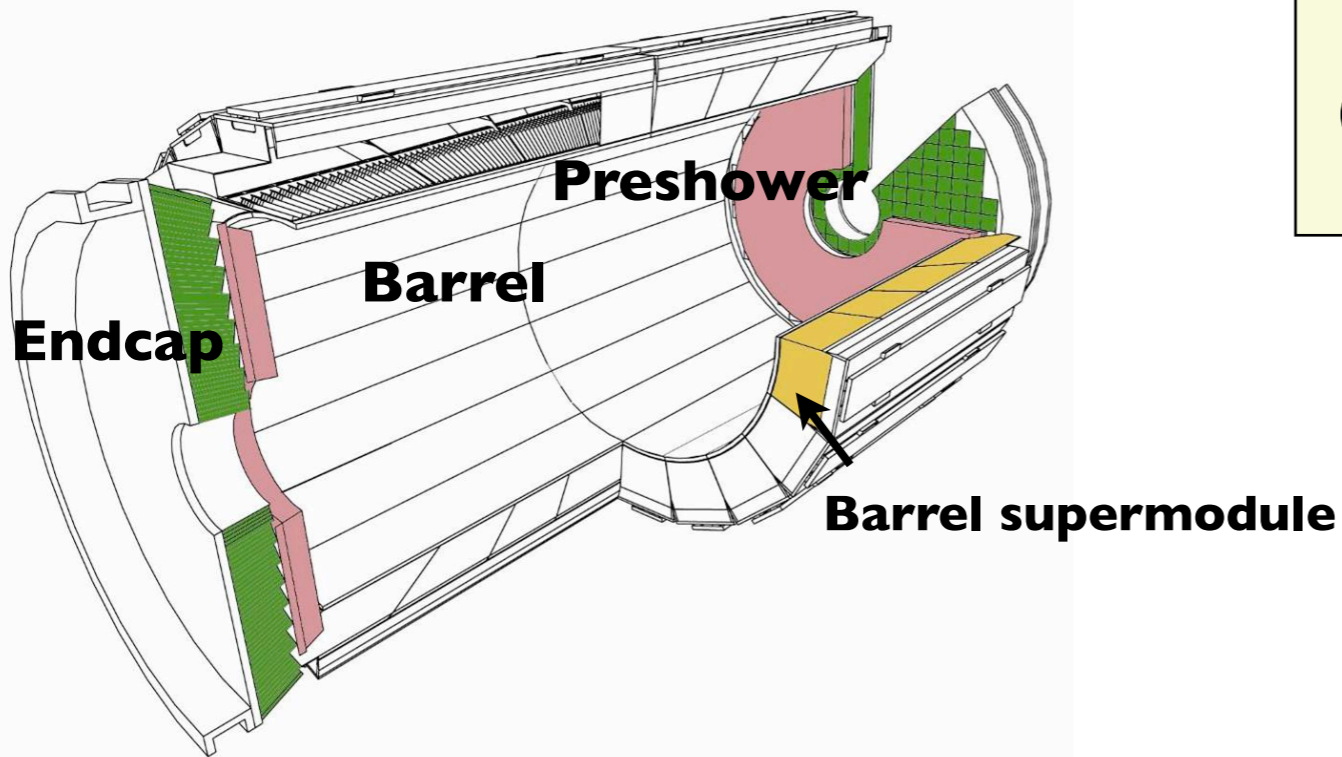
**Hadron showers are larger and broader than EM showers**  
→ **reflected in larger dimensions of hadron calorimeters**



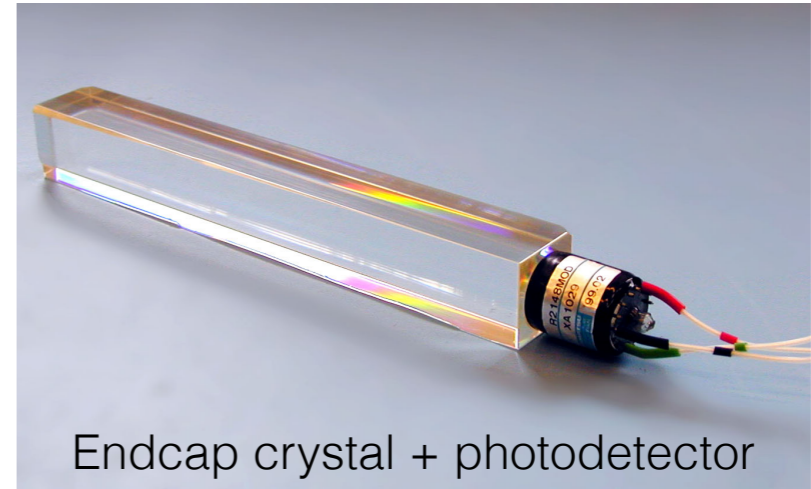
# Calorimeter design checklist

- **High resolution**
  - especially for ECAL - Higgs and rare decay measurements
- **High granularity**
  - for particle ID and position measurement
- **Compact and hermetic**
  - with dimensions informed by  $R_M$ ,  $X_0$ ,  $\lambda_I$
  - relative dimensions of ECAL/HCAL key to aid particle ID
  - hermeticity crucial to measure all visible particle decays
- **Fast response**
  - to satisfy high rates (e.g. of LHC collisions) and contribute to trigger decisions
- **Radiation tolerant**
  - to maintain performance over time in harsh radiation environment

# The CMS Electromagnetic calorimeter



Crystal Barrel & Endcaps  
(Lead tungstate  $\text{PbWO}_4$  crystals) + Pb/Si  
Preshower

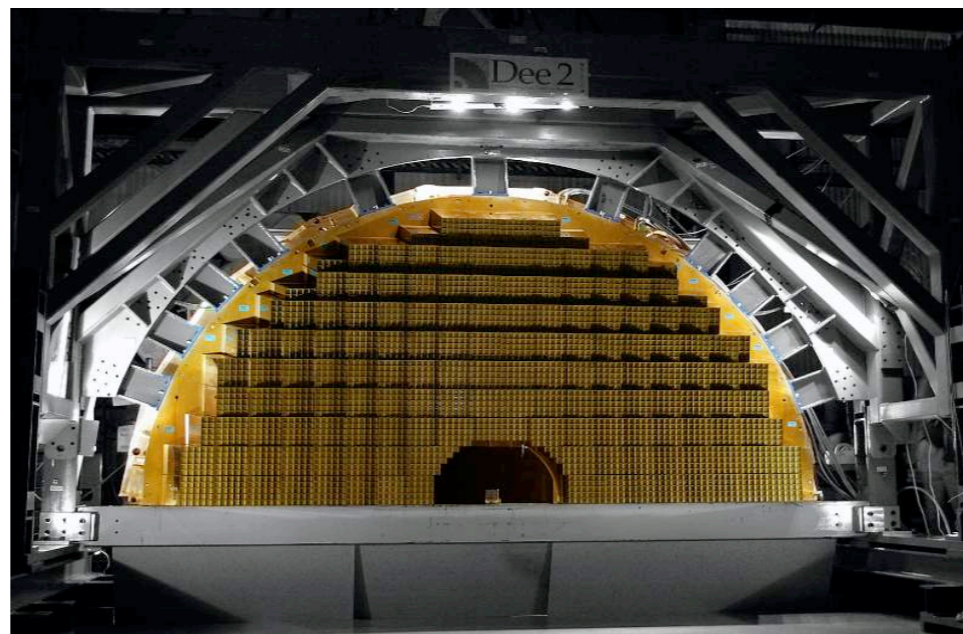


Endcap crystal + photodetector



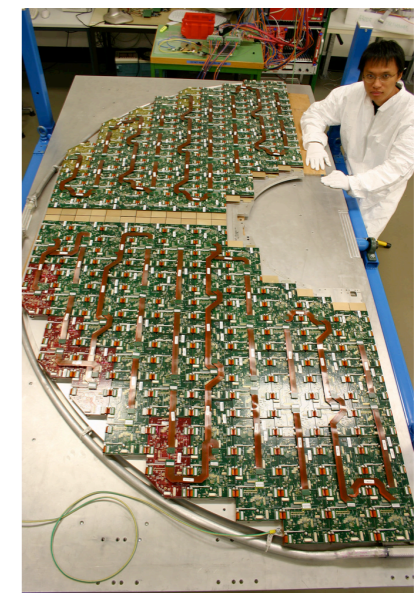
## Barrel (EB)

36 supermodules (1700 crystals)  
Total of 61200  $\text{PbWO}_4$  crystals  
coverage:  $|\eta| < 1.48$



## Endcap (EE)

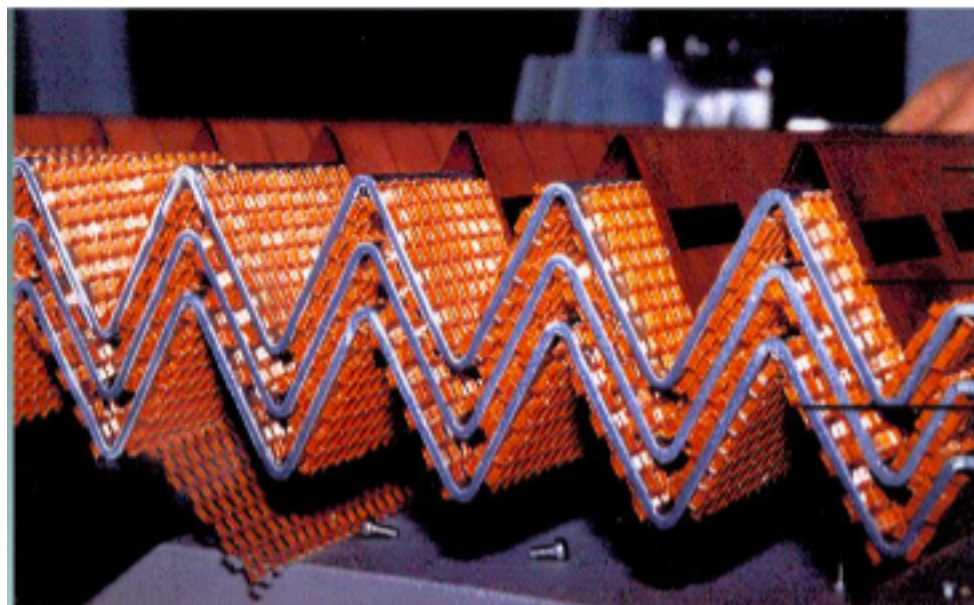
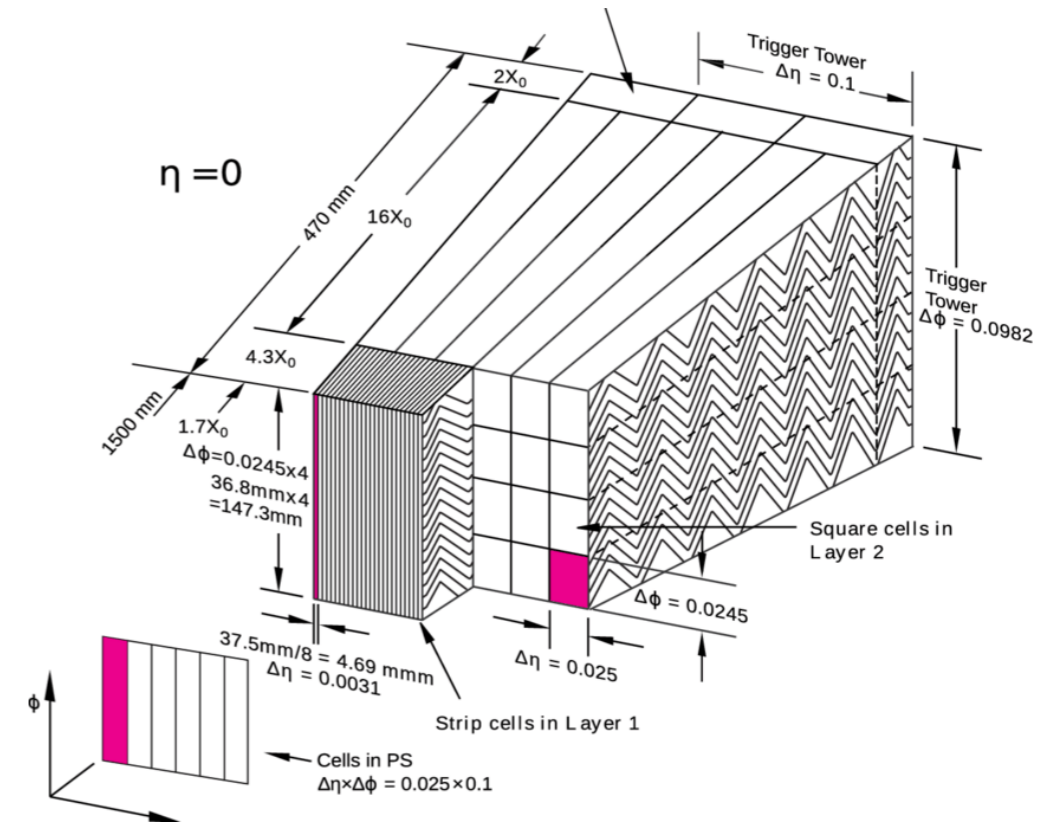
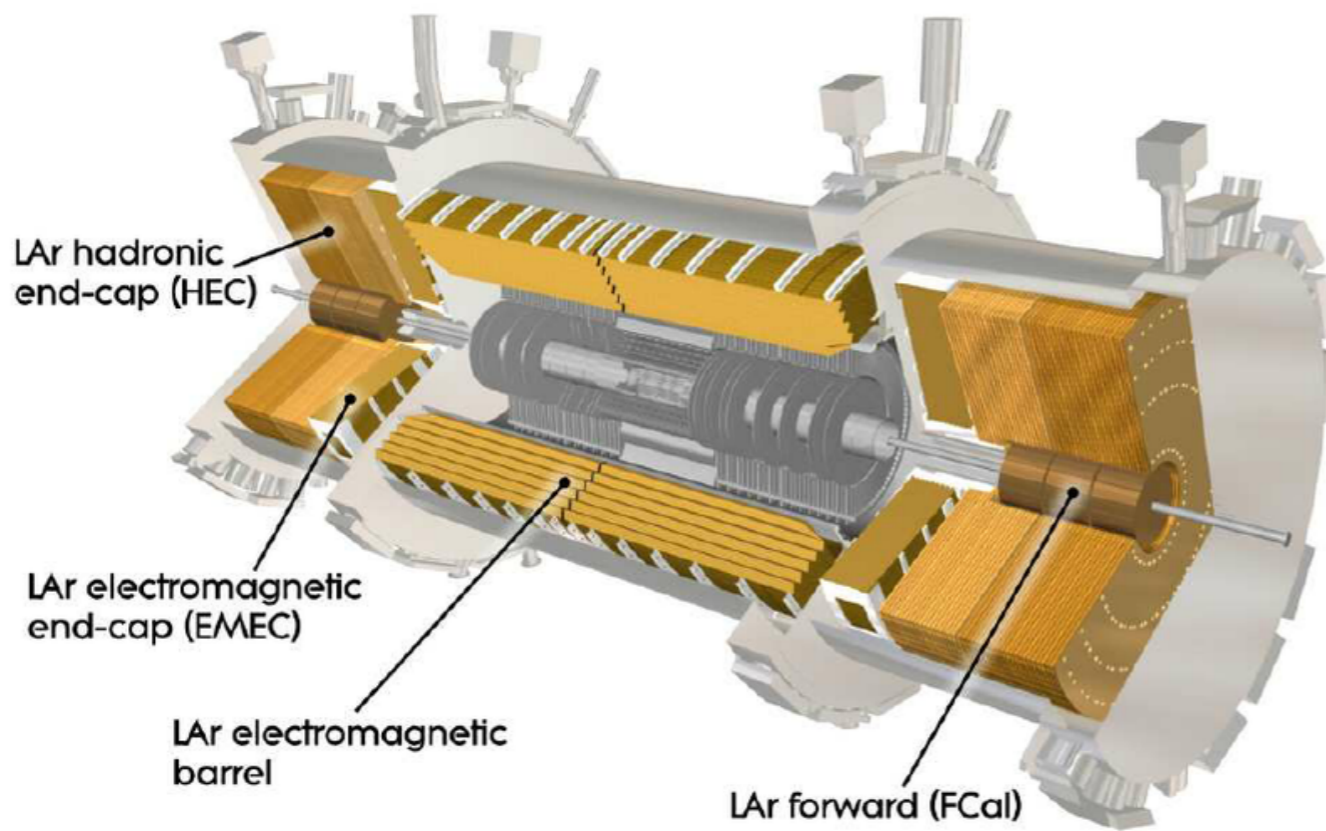
4 half-disk Dees (3662 xtals)  
Total of 14648  $\text{PbWO}_4$  crystals  
coverage:  $1.48 < |\eta| < 3.0$



## Preshower (ES)

4 half-disk Dees  
Two Lead/Si planes  
Total of 137216 Si strips ( $1.8 \times 61 \text{ mm}^2$ )

# The ATLAS Electromagnetic calorimeter



- $|\eta| < 1.475$
- Cu/kapton electrode
- Honeycomb spacer
- Stainless-steel-clad Pb absorber plates

Liquid Argon active medium (90°K)  
 1-2mm lead absorbers in accordion geometry  
 Cu/kapton electrodes

## Barrel

101760 readout channels  
 3 longitudinal depths

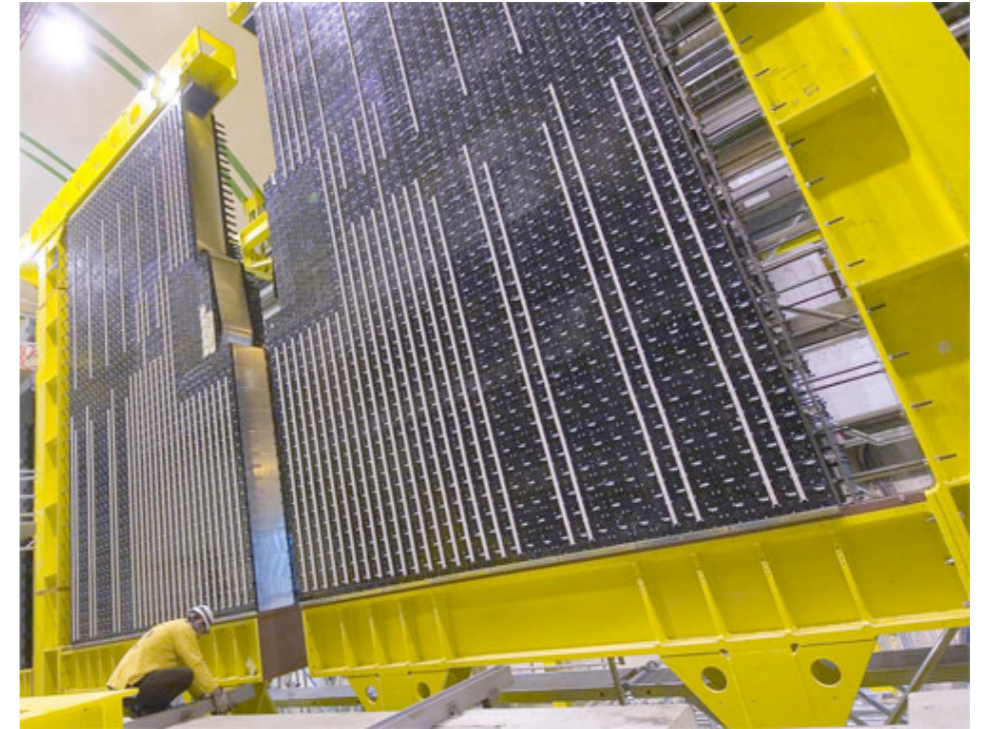
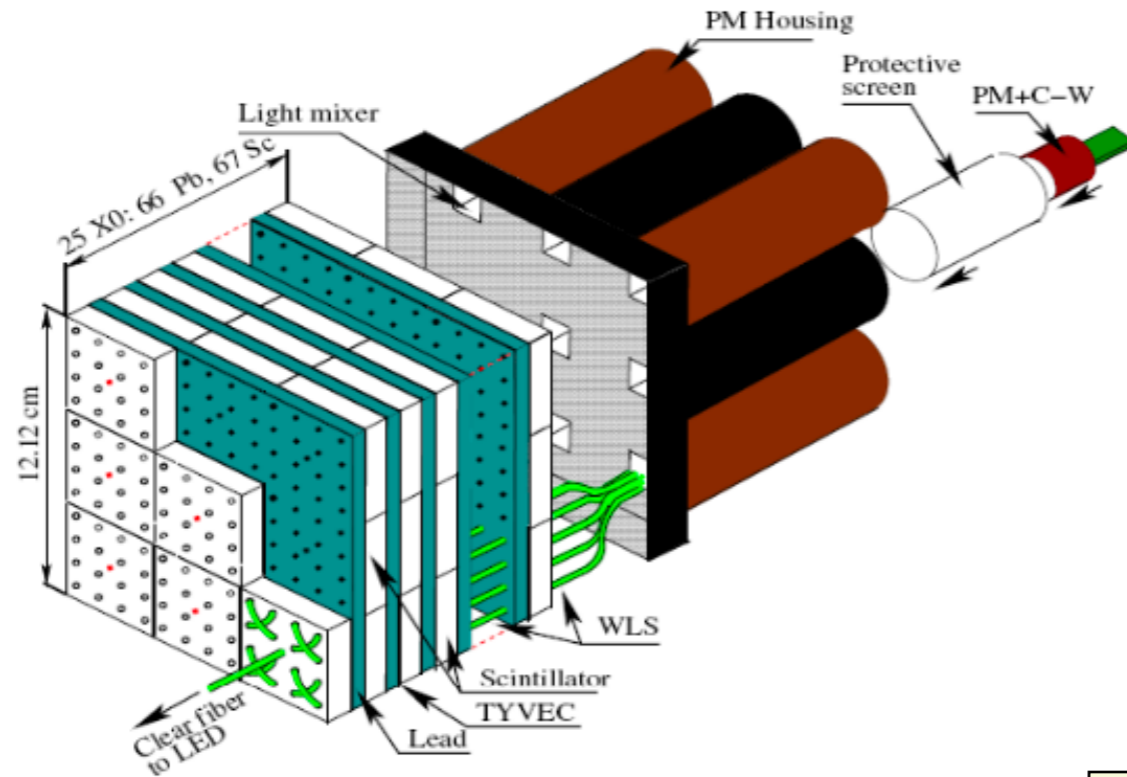
## Endcap

62208 readout channels  
 2 or 3 longitudinal depths

## Presampler

9344 readout channels  
 one longitudinal depth

# The LHCb Electromagnetic calorimeter



Sampling geometry with 3312 detector modules consist of lead absorbers and plastic scintillator active media  
read out by PMTs via wavelength shifting fibres



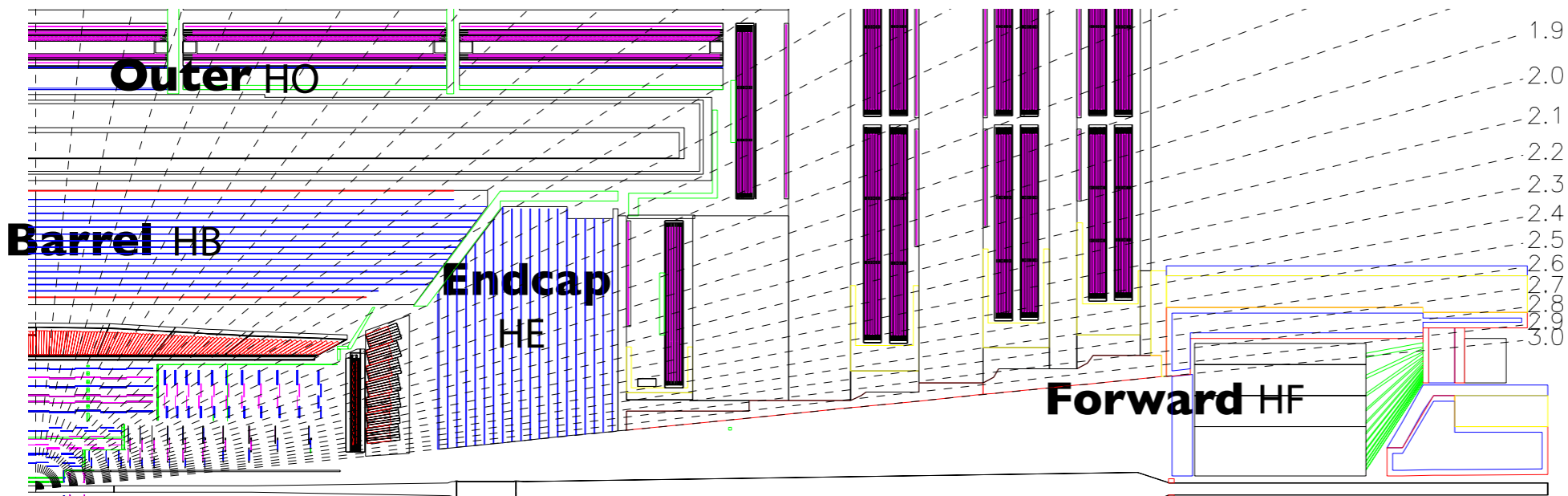
## Each module:

66 lead plates (2mm thick)

67 plastic scintillator plates (4mm thick)

1, 4 or 9 readout channels based on proximity to beam

# The CMS Hadron Calorimeter



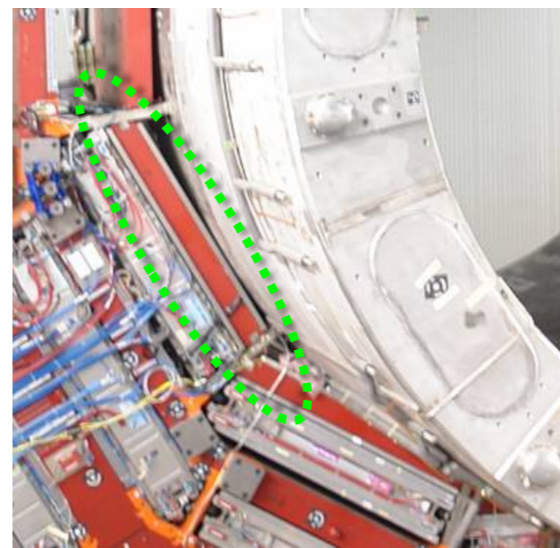
Sampling geometry with  
brass absorber and  
plastic scintillator active  
media  
Read out by Silicon  
PMTs vis wavelength  
shifting fibres



**Barrel (HB)**  
**36 brass/scintillator wedges**  
 17 longitudinal layers  
 5cm brass + 3.7mm scint  
 coverage:  $|\eta| < 1.3$



**Endcap (HE)**  
**Two brass endcap discs**  
 19 longitudinal layers  
 8cm brass + 3.7mm scint  
 coverage:  $1.3 < |\eta| < 3.0$



**Outer (HO)**  
**scintillator tiles** outside yoke  
 1 or 2 longitudinal layers  
 10mm scint  
 coverage:  $|\eta| < 1.3$



**Forward (HF)**  
**Steel absorber**, in 20 deg wedges  
**Quartz fibre** active element (~1000km)  
 coverage:  $3 < |\eta| < 5.0$

# The ATLAS Hadron Calorimeter



## Tile Calorimeter

### **Steel/scintillator sampling calorimeter**

scintillating tiles read out by PMTs at both ends, via wavelength-shifting fibres

3 depth segments

9852 readout channels

coverage:  $|\eta| < 1.7$



## LAr Hadron endcap

### **Cu absorbers/LAr active media**

24 Cu plates (25mm thick) + 8.5 mm LAr gap (front)

16 Cu plates (50mm thick) + 8.5 mm LAr gap (rear)

4 depth segments

5632 readout channels

coverage:  $1.5 < |\eta| < 3.2$

## LAr forward calorimeter

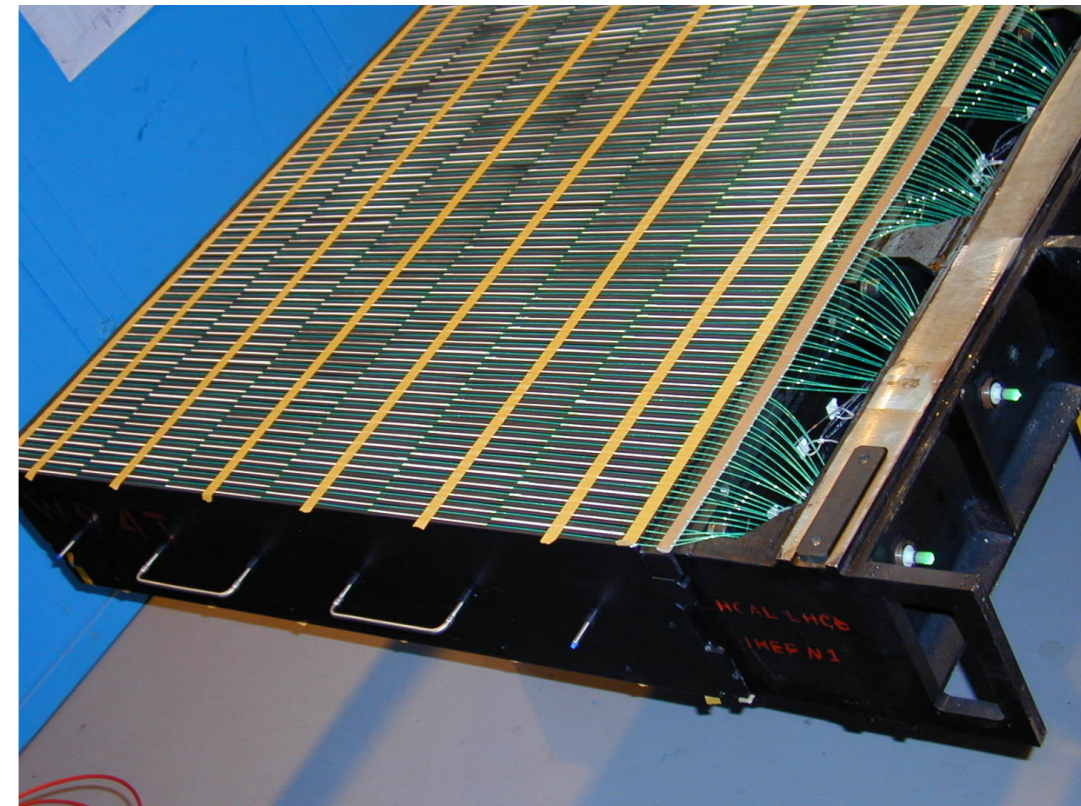
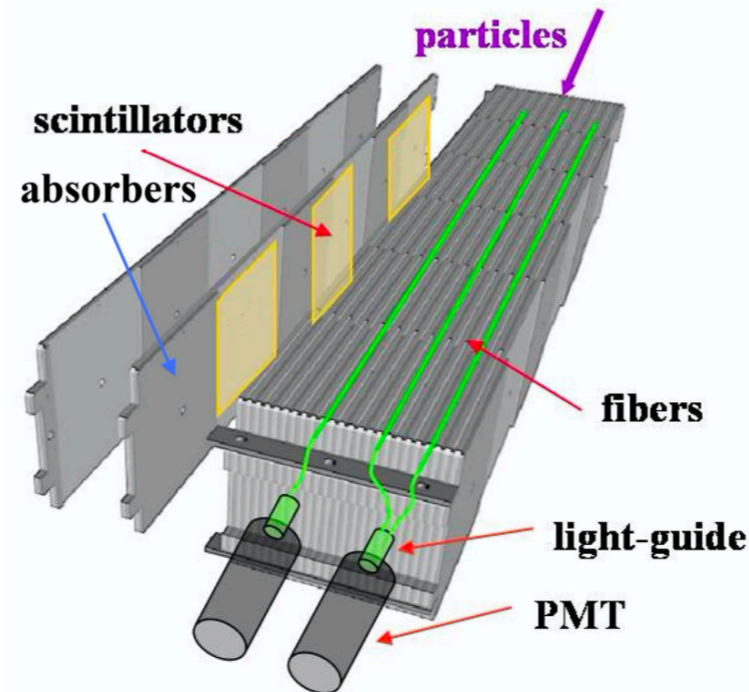
### **Cu and W absorbers/LAr active media**

3 depth segments

3524 readout channels

coverage:  $3.1 < |\eta| < 4.9$

# The LHCb Hadron Calorimeter



Sampling geometry with iron absorber and scintillator tile active media oriented parallel to beam  
Read out by PMTs vis wavelength shifting fibres

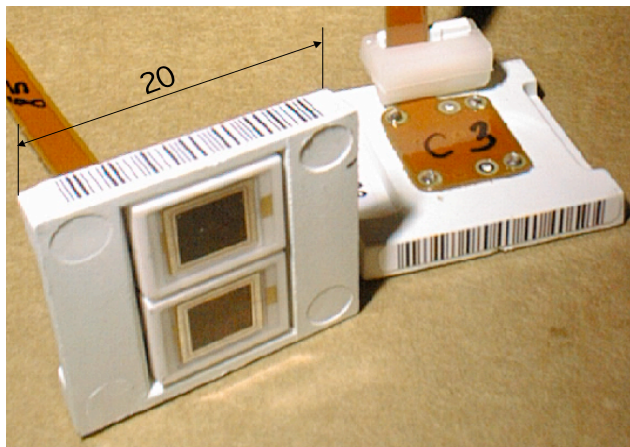
## 52 horizontally stacked modules

1488 cells (608 outer, 880 inner)  
alternating rows of 4mm iron and 3mm scintillator plates  
WLS fibres running along top/bottom edges of scintillator plates

# Calorimeter readout

- **Custom photodetectors to readout scintillation light from calorimeters**
- **Key requirements**
  - **fast** (consistent with 25ns LHC collision rate)
  - **radiation tolerant** (to survive in harsh LHC irradiation environment)
  - **magnetic field tolerant** (CMS photodetectors must operate in 3.8T field)

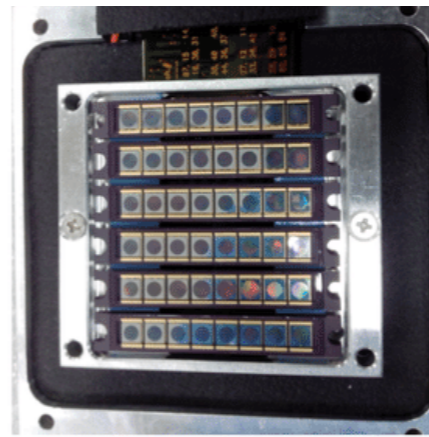
CMS ECAL  
Barrel



CMS ECAL  
Endcaps



CMS HCAL



LHCb ECAL



APD: Avalanche  
PhotoDiodes

VPT: Vacuum  
PhotoTriodes

SiPM: Silicon  
PhotoMultipliers

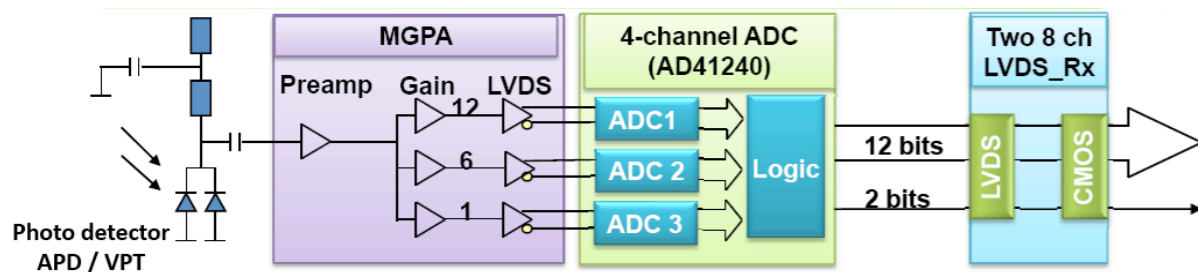
PMT: Photo  
Multiplier Tubes



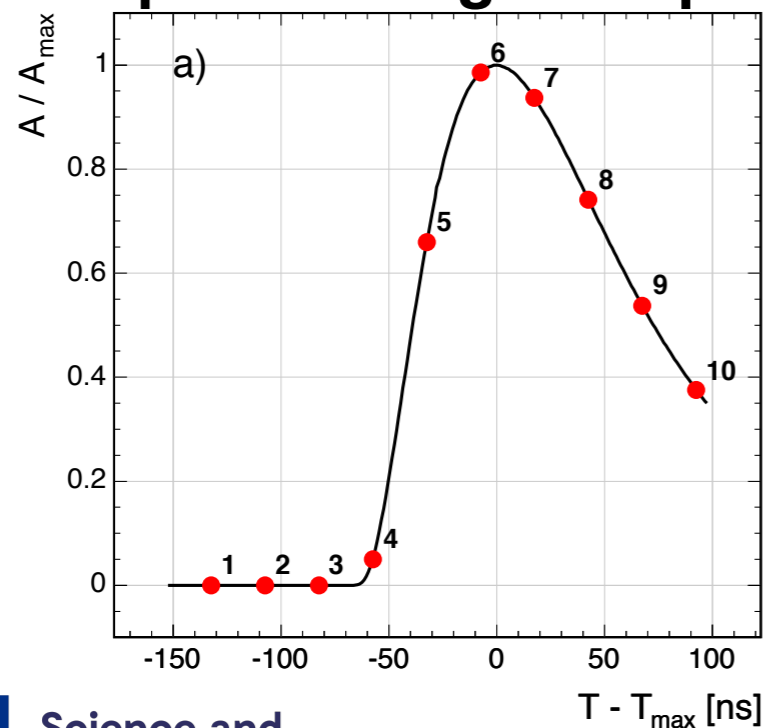
# Calorimeter front-end electronics

- Amplify and digitize signal pulses from calorimeter cells
- Perform fast energy sums (for trigger), data formatting/ buffering and readout to DAQ system

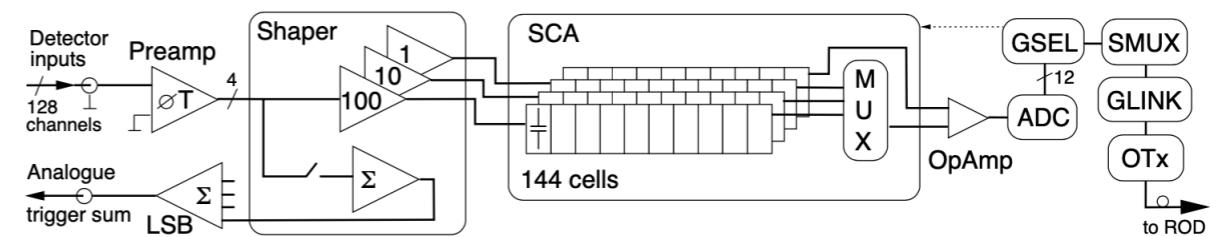
## CMS ECAL



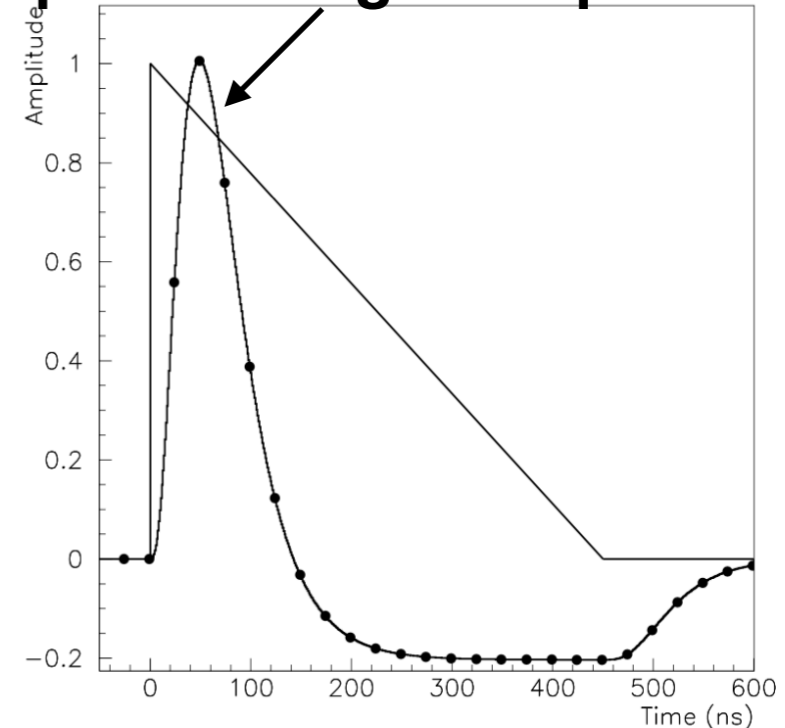
shaped and digitized pulse



## ATLAS ECAL



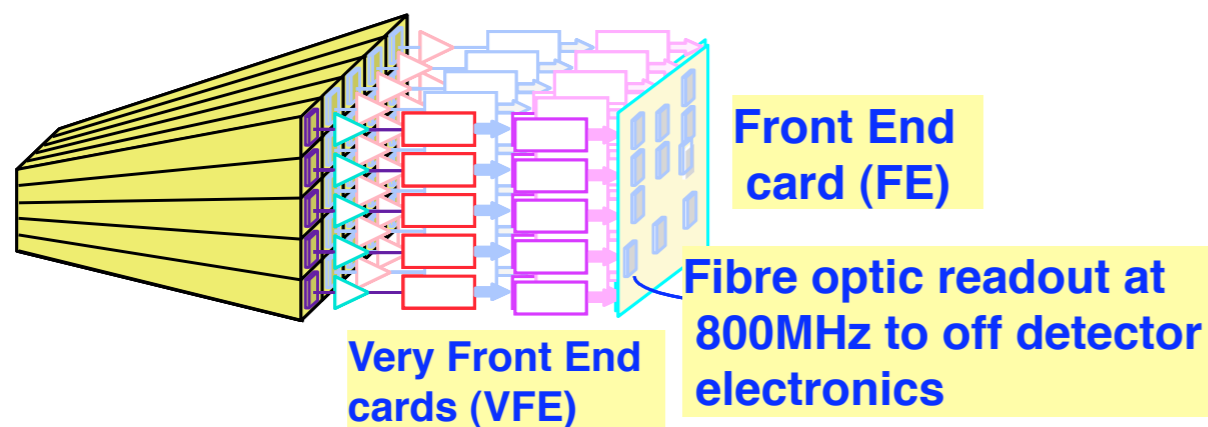
shaped and digitized pulse



# Calorimeter trigger sums

- **Fast energy sums sent every 25ns to first level trigger**
  - identify interesting events from calorimeter energy deposits
- **Computed from sums of calorimeter cells in ECAL and HCAL**
  - termed Trigger Towers
  - combined to form electron/photon, tau, jet candidates

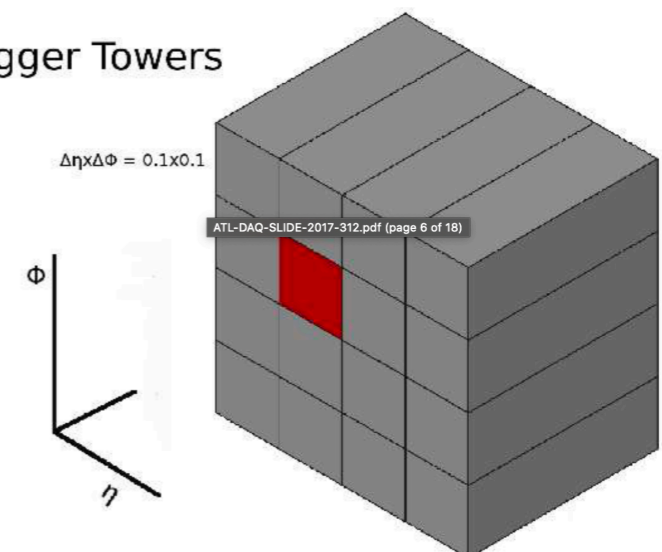
CMS ECAL trigger tower



5x5 crystal matrix  
 $\Delta\eta \times \Delta\phi = 0.087 \times 0.087$

ATLAS ECAL trigger tower

Trigger Towers



Single depth super-cell  
 $\Delta\eta \times \Delta\phi = 0.1 \times 0.1$

# Electron/photon and jet reconstruction

## Particle ID

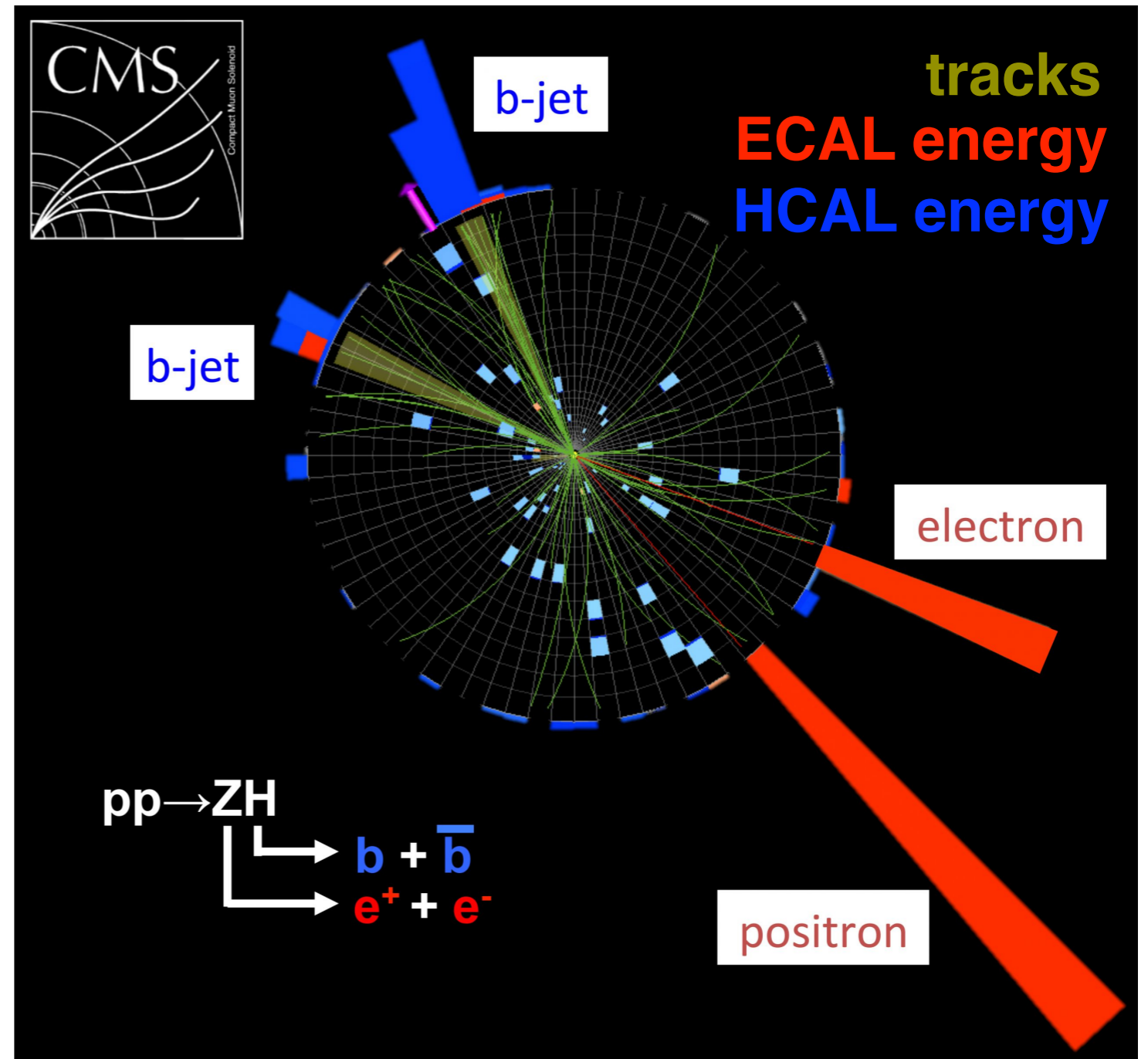
- pattern of deposits in tracker, ECAL, HCAL determines particle type
- **electrons:** ECAL energy matched to tracks, no HCAL energy
- **jets:** multiple tracks associated with ECAL+HCAL deposits

## Charge and momentum measurement

- from bending of tracks in magnetic field

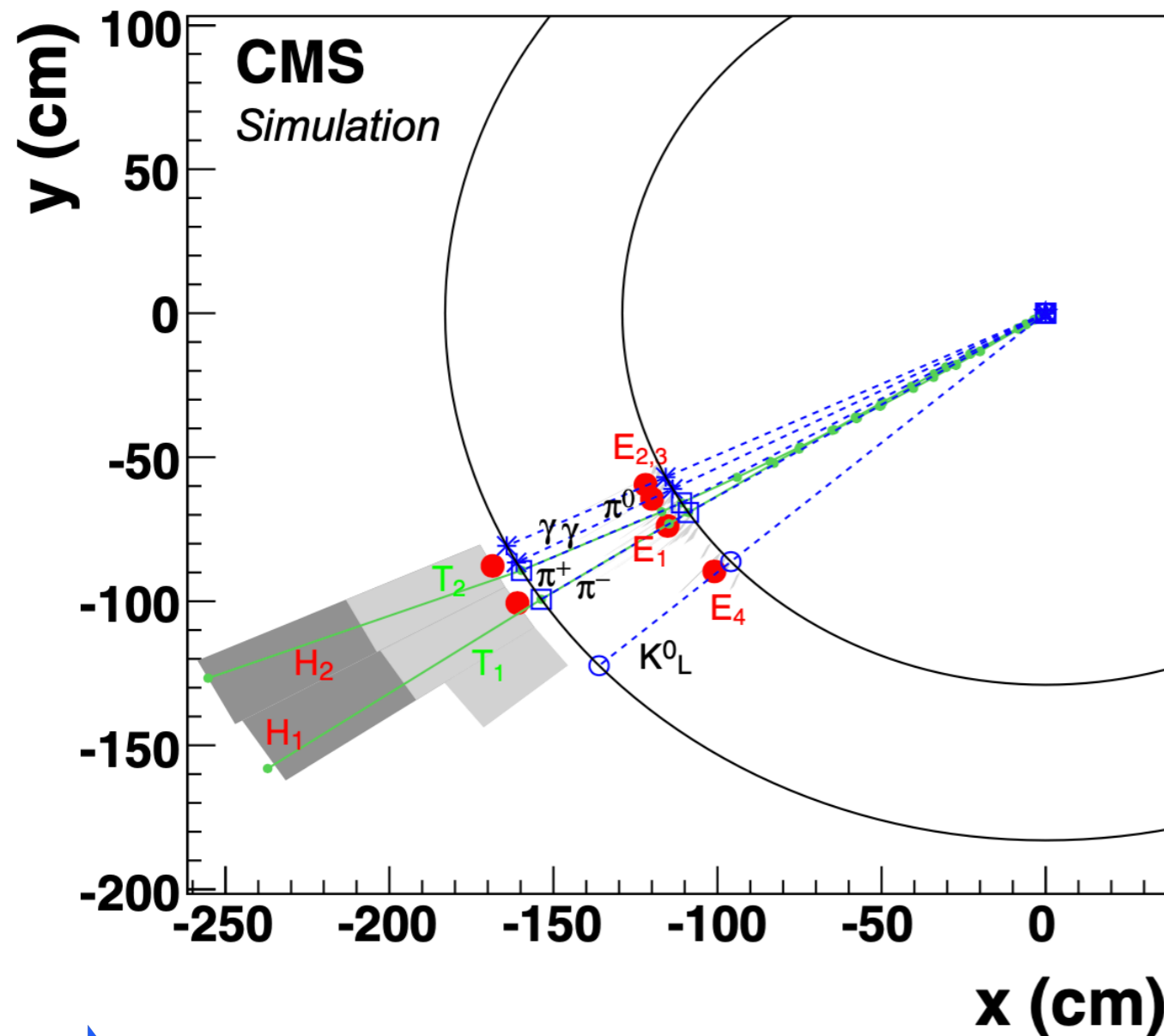
## Energy measurement

- from clustered deposits in ECAL and HCAL



# Particle flow reconstruction

- **Takes things one step further:**
  - attempts to classify individual particles by geometric association of tracks and calorimeter energy deposits



## x,y view of particle jet

tracks, ECAL deposits and HCAL deposits indicated

inferred particle trajectories and particle IDs are shown in blue

can improve response and resolution by having dedicated energy corrections by particle type (compensate for different e/h response of HCAL)



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# Designing and Operating calorimeters

CMS example, from design to  
construction to operation

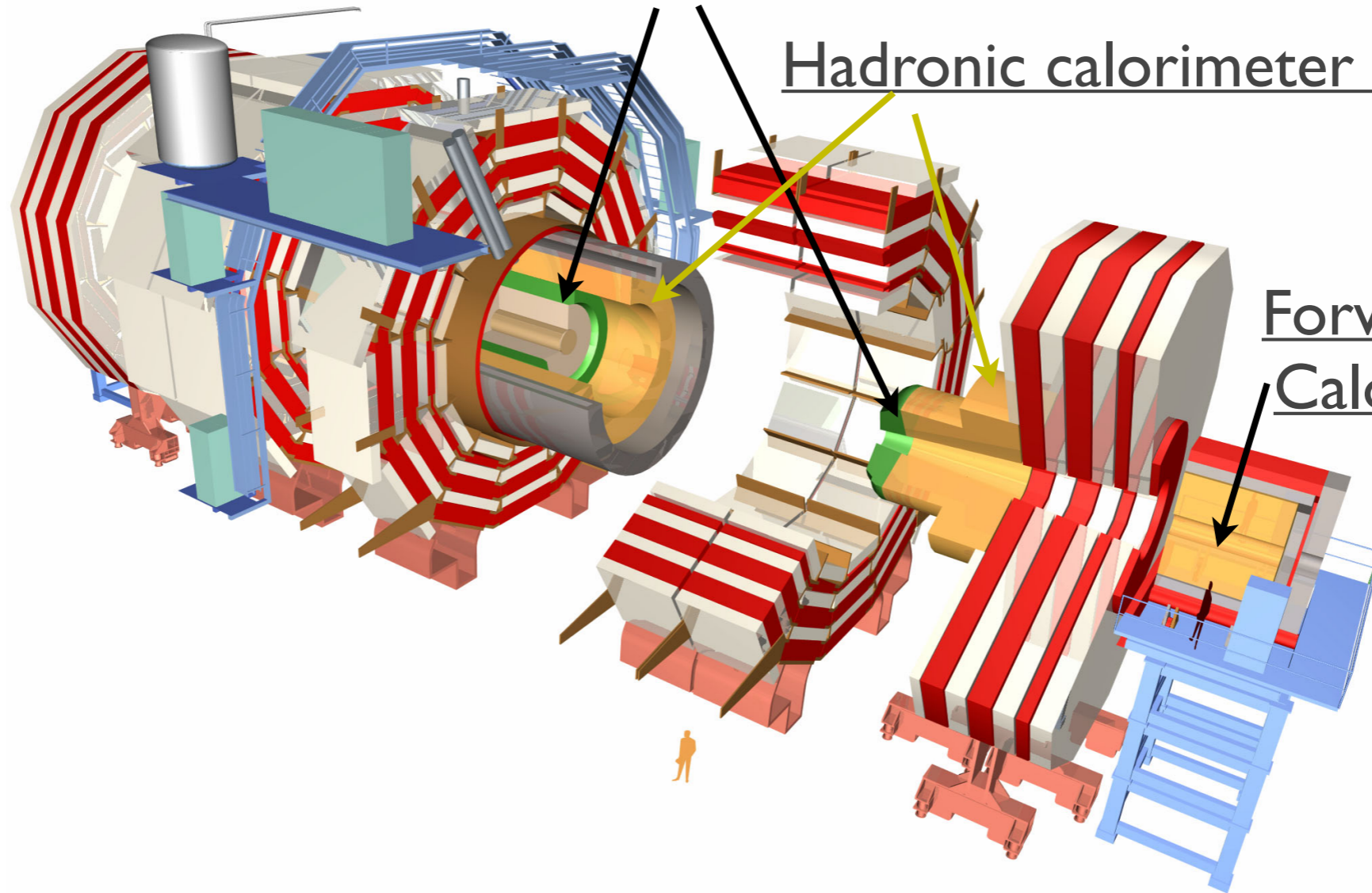
# The CMS Calorimeters

Electromagnetic calorimeter (ECAL)

Hadronic calorimeter (HCAL)

Forward Hadronic Calorimeter (HF)

coverage to  $\eta=5$



**CMS:**

Length: 21.5m  
Diameter: 15m  
Weight 14kT

Barrel and endcap calorimeters are placed inside the superconducting coil

# 1992: CMS Letter of intent

<https://cds.cern.ch/record/290808/files/cern-lhcc-92-003.pdf>

## Abstract

We propose to build a general purpose detector designed to run at the highest luminosity at the LHC. The CMS (Compact Muon Solenoid) detector has been optimized for the search of the SM Higgs boson over a mass range from 90 GeV to 1 TeV, but it also allows detection of a wide range of possible signatures from alternative electro-weak symmetry breaking mechanisms. CMS is also well adapted for the study of top, beauty and tau physics at lower luminosities and will cover several important aspects of the heavy ion physics programme. We have chosen to identify and measure muons, photons and electrons with high precision. The energy resolution for the above particles will be better than 1% at 100 GeV. At the core of the CMS detector sits a large superconducting solenoid generating a uniform magnetic field of 4 T. The choice of a strong magnetic field leads to a compact design for the muon spectrometer without compromising the momentum resolution up to rapidities of 2.5. The inner tracking system will measure all high  $p_t$  charged tracks with a momentum precision of  $\Delta p/p \approx 0.1 p_t$  ( $p_t$  in TeV) in the range  $|\eta| < 2.5$ . A high resolution crystal electromagnetic calorimeter, designed to detect the two photon decay of an intermediate mass Higgs, is located inside the coil. Hermetic hadronic calorimeters surround the intersection region up to  $|\eta| = 4.7$  allowing tagging of forward jets and measurement of missing transverse energy.


- high resolution EM calorimetry for Higgs detection, located inside coil
- large rapidity coverage for jets/MET

# The goals of calorimetry in CMS

- **CMS optimised for discovery of SM Higgs boson**
  - in mass range 90 GeV - 1 TeV
- **CMS ECAL optimised for golden discovery channels**
  - $H \rightarrow \gamma\gamma$ ,  $H \rightarrow ZZ \rightarrow 4l$
  - Focus on excellent photon/electron efficiency and resolution
    - better than 1% energy resolution at 100 GeV
- **CMS HCAL optimised for excellent jet identification**
  - over a wide pseudorapidity range
  - excellent hermeticity a must for MET determination, for SM and BSM studies
  - combined HCAL and ECAL information essential for good electron/ photon ID and tau ID



# 1997: ECAL and HCAL TDRs



CERN/LHCC 97-33  
CMS TDR 4  
15 December 1997

C M S

**The Electromagnetic Calorimeter  
Technical Design Report**

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CMS Electromagnetic Calorimeter

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CERN/LHCC 97-31  
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CMS

**The Hadron Calorimeter  
Technical Design Report**

[https://cms-docdb.cern.ch/cgi-bin/  
PublicDocDB/ShowDocument?docid=2713](https://cms-docdb.cern.ch/cgi-bin/PublicDocDB/ShowDocument?docid=2713)

<https://cds.cern.ch/record/357153/>

# ECAL TDR accomplishments

- **Lead tungstate ( $\text{PbWO}_4$ ) crystals chosen**
  - *after extensive R&D:* demonstrated to meet radiation and performance requirements
- **Avalanche PhotoDiodes (APD) chosen in EB**
  - demonstrated to provide required gain in 3.8T field
- **Vacuum Phototriodes (VPT) chosen in EE**
  - sustain higher radiation doses than APDs

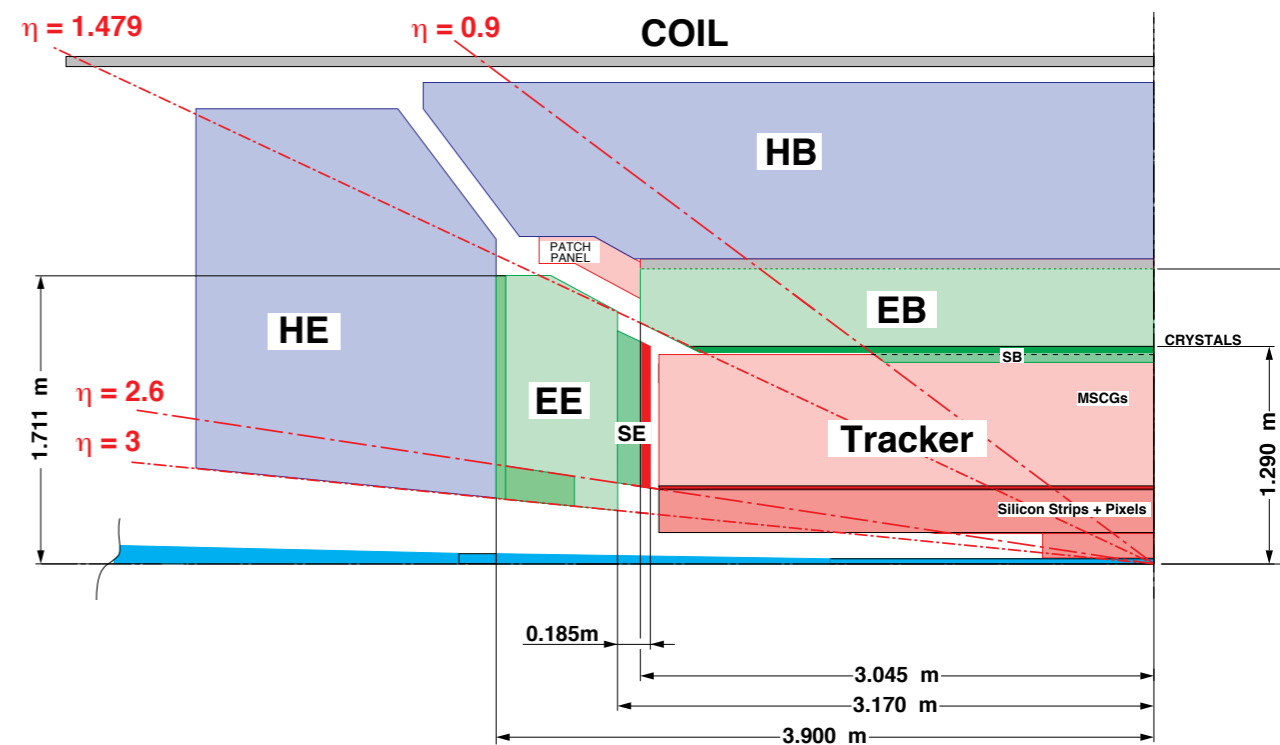


Fig. 1.2: Schematic view of one quadrant of the calorimetry and tracking system.

- **Two-layer lead/Si Preshower detector in front of EE**
  - improved spatial precision and two photon discrimination

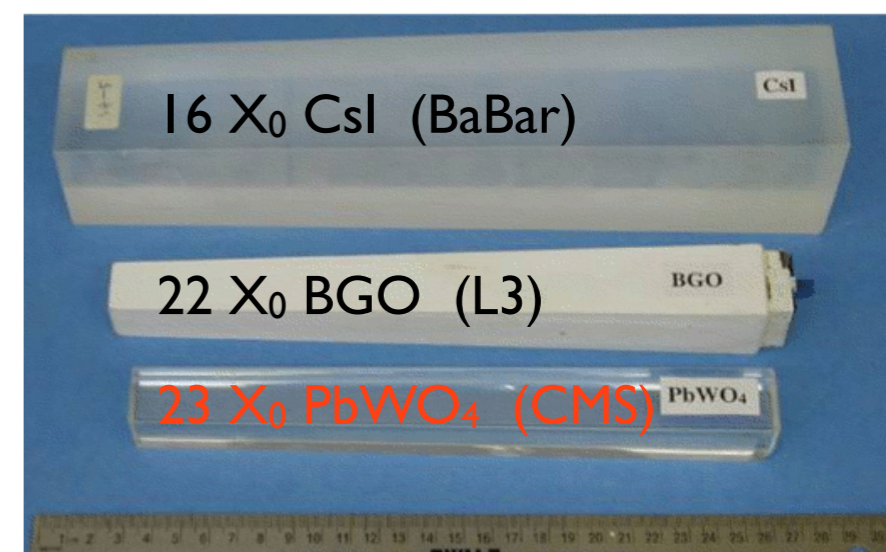
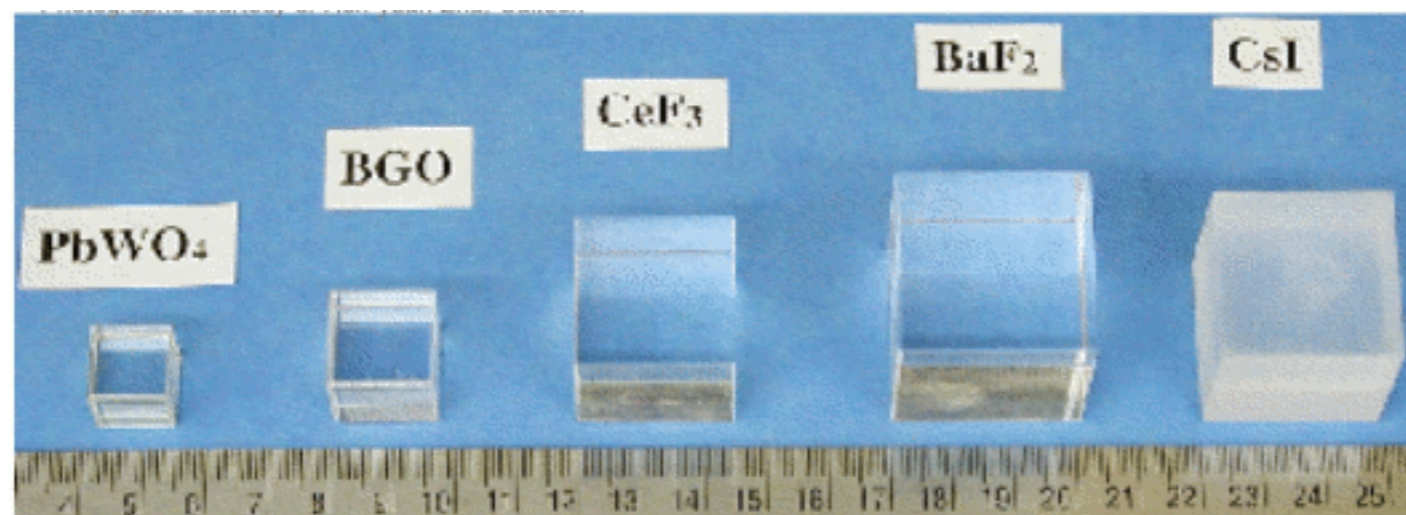
# Lead tungstate crystals

Property	Sampling	Homogeneous scintillators		
	Pb/plastic Shashlik	Liquid Xenon	CeF <sub>3</sub> crystals	<b>PbWO<sub>4</sub> crystals</b>
Density (g cm <sup>-3</sup> )	4.5	3.06	6.16	<b>8.28</b>
Radiation length X <sub>0</sub> (cm)	1.7	2.77	1.68	<b>0.85</b>
Molière radius R <sub>M</sub> (cm)	3.4	4.1	3.39	<b>2.19</b>
Wavelength peak (nm)	500	175	300	<b>440</b>
Fast decay constant (ns)	<10	2.2	5	<b>&lt;10</b>
Light yield (γ per MeV)	13	~5 x 10 <sup>4</sup>	4000	<b>100</b>

PbWO<sub>4</sub> is used for CMS:  
**fast, dense and radiation-hard**

Low relative light-yield mitigated by use of high-QE/large area photodetectors with internal gain

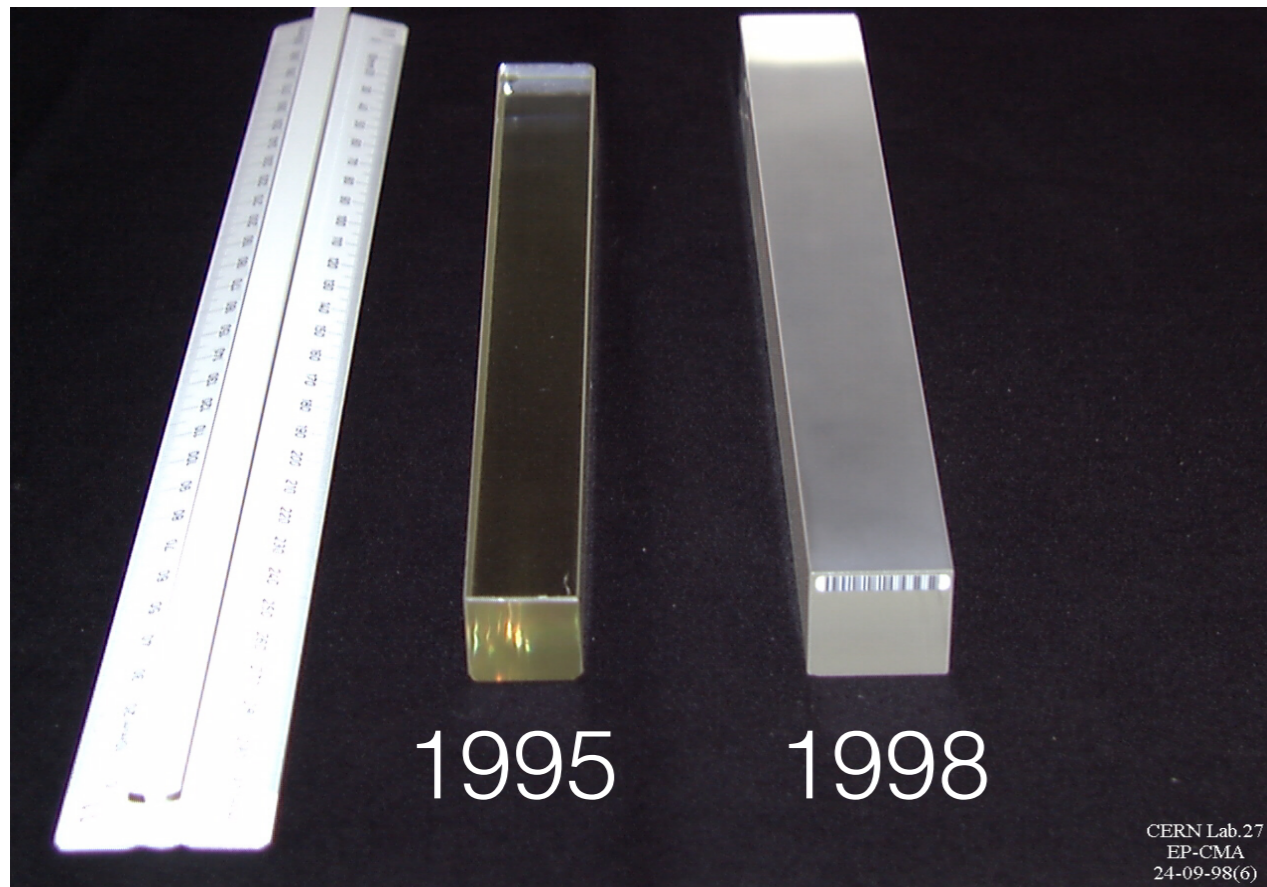
light yield: -2%/deg C  
 requires stable temperature operation, within 0.05 deg C, to maintain resolution target



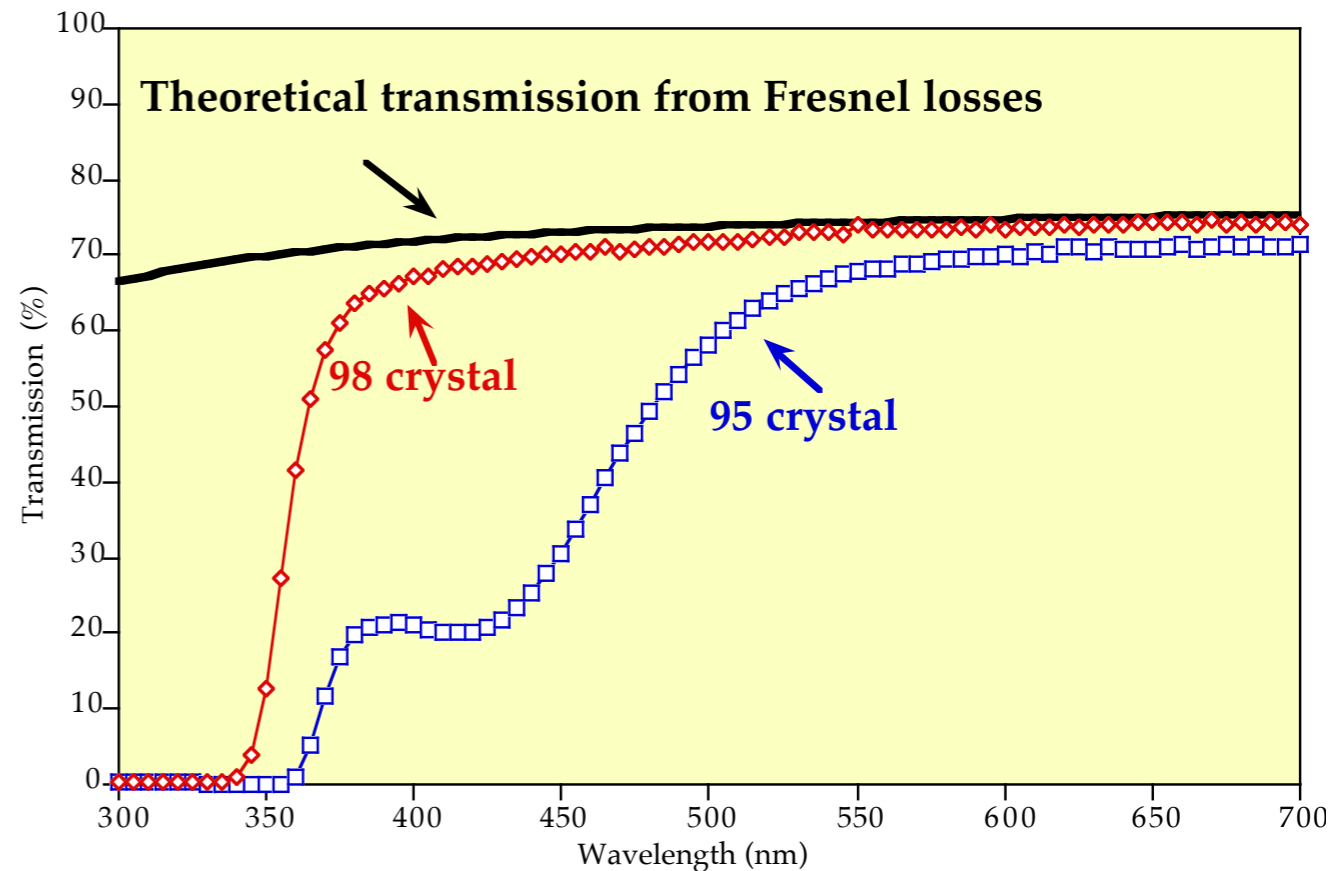
**1.5 X<sub>0</sub> cubes of different xtal materials**

# Lead tungstate R&D

## PbWO<sub>4</sub> crystal samples



## PbWO<sub>4</sub> optical transmission vs wavelength



Vigorous R&D programme to improve crystal properties

**high light transmission, fast light emission and radiation-hardness**

# HCAL TDR accomplishments

- **HB+HE to use copper alloy absorber + scintillator tiles**
  - **Active media chosen:** Kuraray SCSN81 plastic scintillator + Y11 plastic WLS fibre
- **Hybrid PhotoDiodes (HPD) chosen in HB/HE**
  - good linearity and dynamic range
- **QIE front-end electronics**
  - based on Fermilab developments

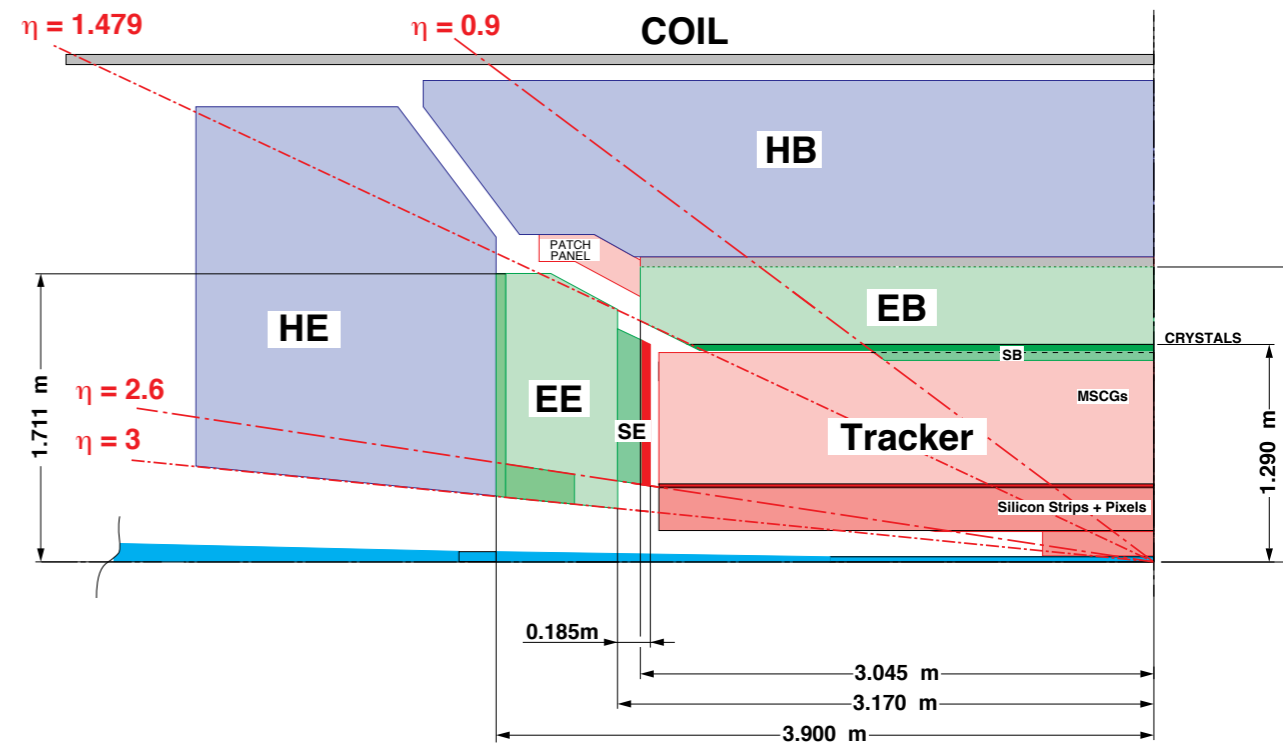


Fig. 1.2: Schematic view of one quadrant of the calorimetry and tracking system.

- **Copper absorber + Quartz fibre HF**
  - fibres coupled to PMTs. Quartz intrinsically rad-hard
- **Scintillator HO (outside coil)**
  - hadron shower “tail catcher”

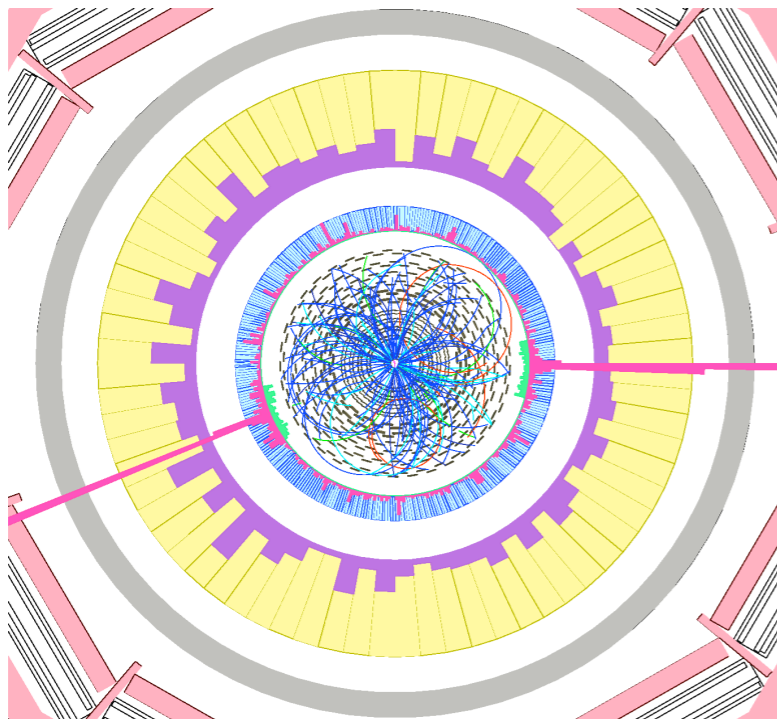
# ECAL performance targets

- The CMS ECAL must be **fast** and **radiation tolerant** to survive in the LHC environment, and must possess **excellent energy resolution**

- Benchmark physics process: **H → γγ**

- Energy resolution target:

- **0.5% for unconverted photons**



A  $H \rightarrow \gamma\gamma$  event in CMS with  $M_H=120\text{GeV}$

$$\sigma(E) = \frac{a}{\sqrt{(E)}} \oplus \frac{b}{E} \oplus c$$

EM energy resolution

**a: Stochastic term:**   **b: Noise term:**   **c: Constant term:**

lateral shower containment  
photostatistics, photo-  
detector gain

electronic noise  
event pile-up

temperature/HV stability  
accuracy of inter-  
calibration constants  
non-uniformity of  
longitudinal light  
collection

dominates at high energy

Performance measured for ECAL Barrel in CERN H4 test beam (20-250 GeV electrons):

**a=2.8%**  
stochastic term

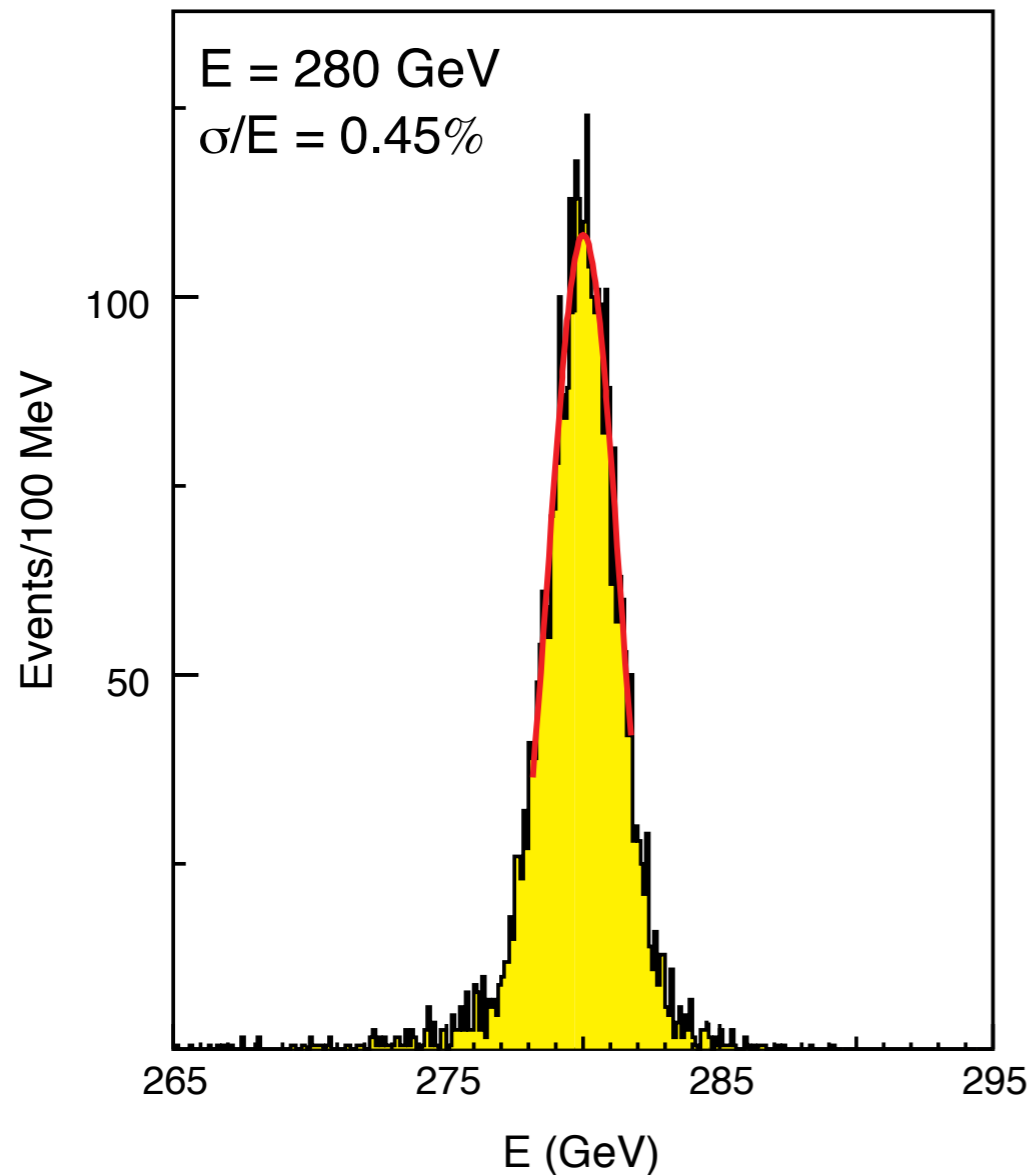
**b=41.5 MeV**  
noise term

**c=0.3%**  
constant term

P.Adzic et. al. “Energy resolution of the barrel of the CMS Electromagnetic Calorimeter”, JINST 2 P0400 (2007)

# Performance in test beam

## ECAL



## ECAL+HCAL

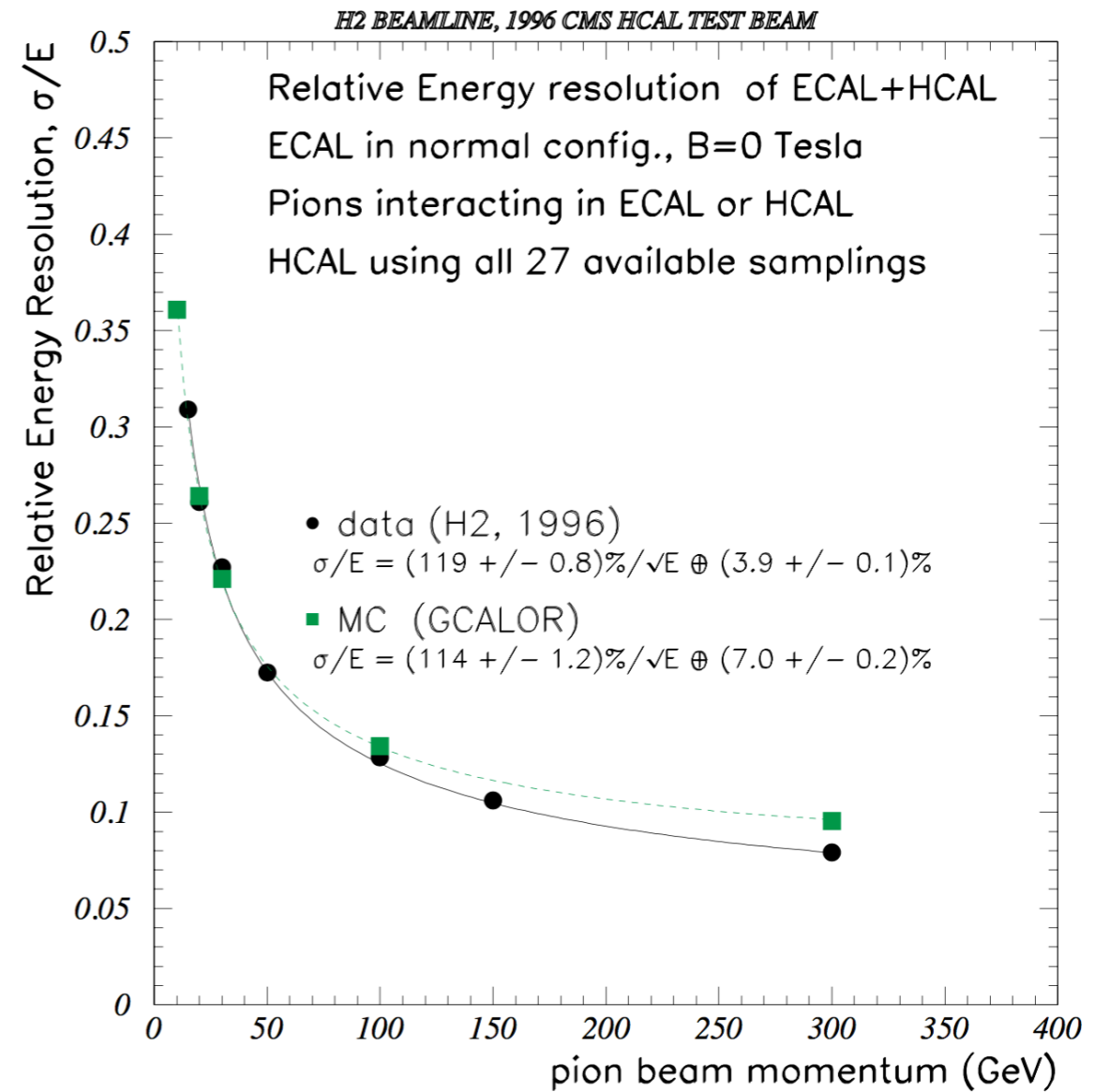
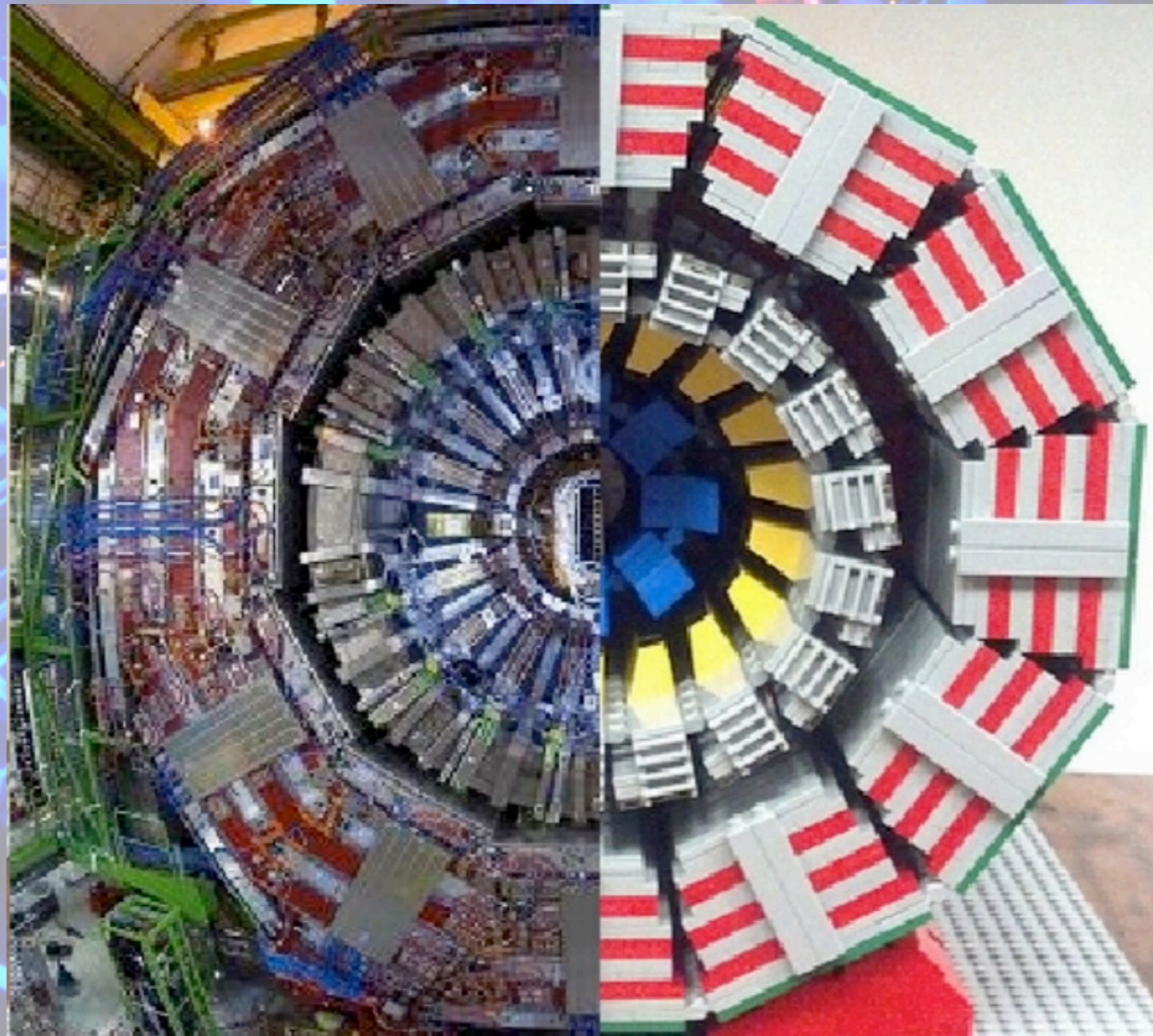


Fig. 1.15: Energy reconstructed in  $3 \times 3$  crystals with 280 GeV electrons.

# CMS Calorimeters as built



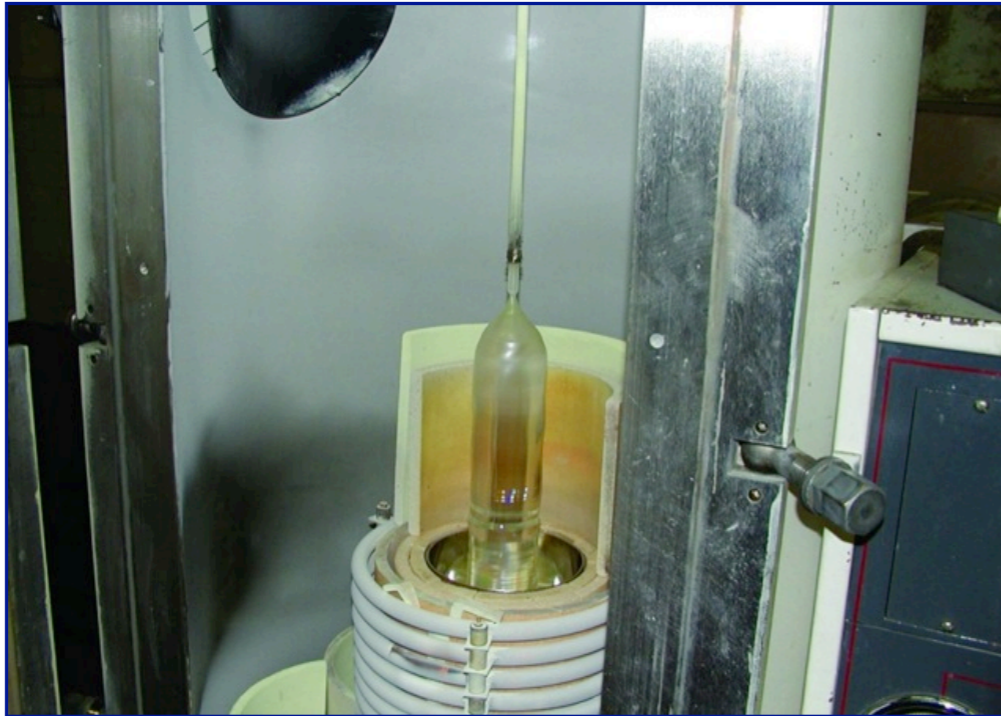
model credit: University of Maryland HEP group



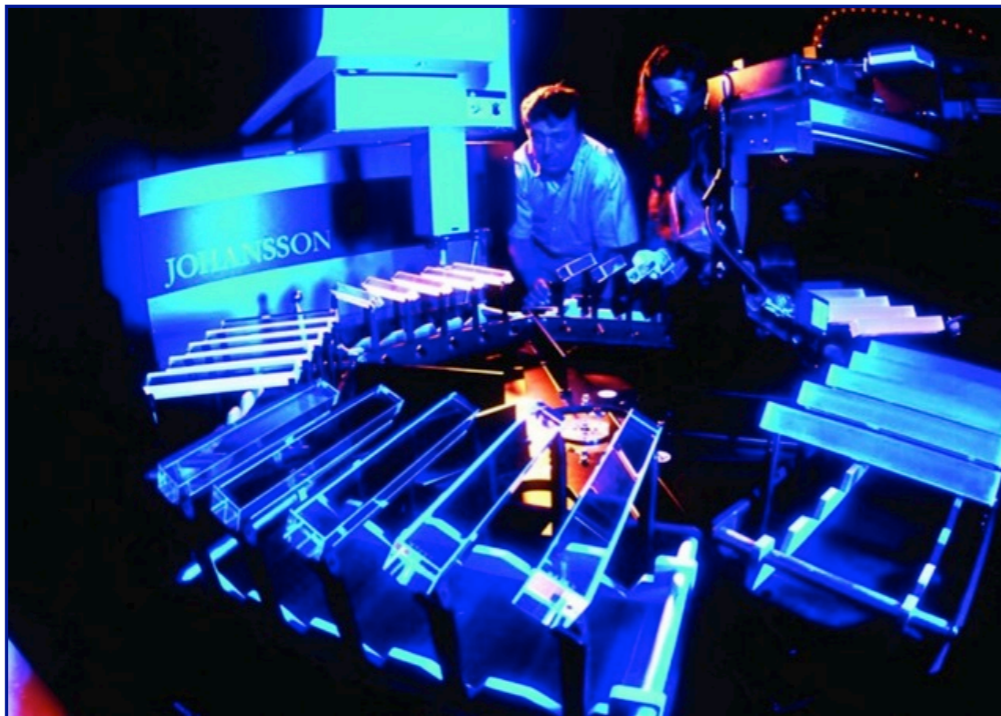
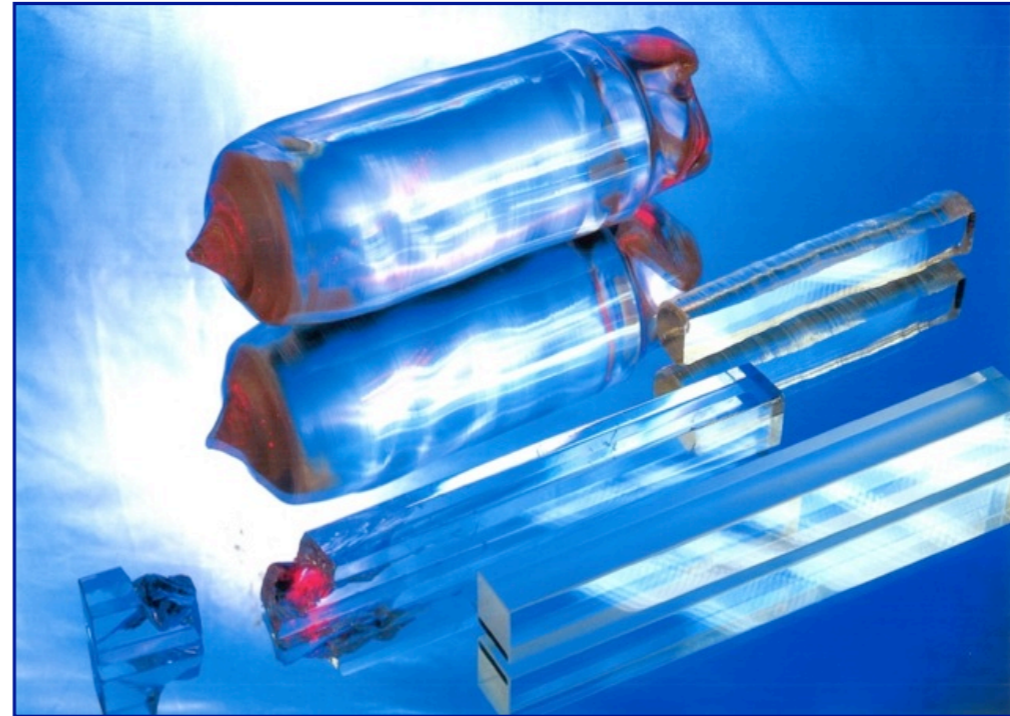
# ECAL $\text{PbWO}_4$ crystals

Two crystal producers: BTCP (Russia), SIC (China)

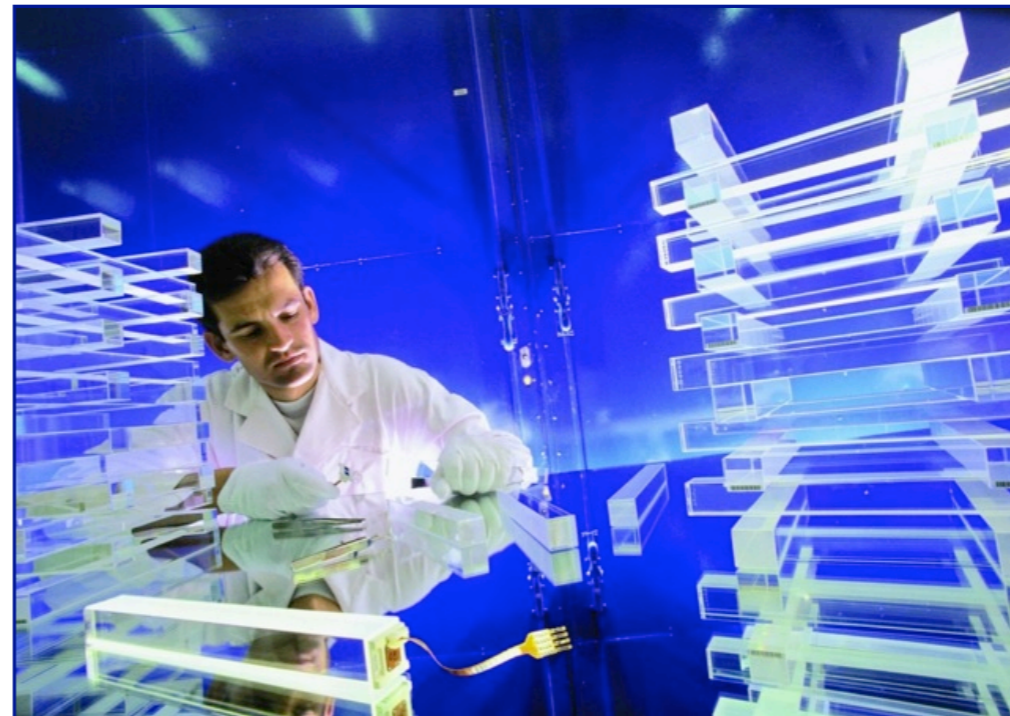
Crystal “growing”



Raw crystal “boule” and cut crystals

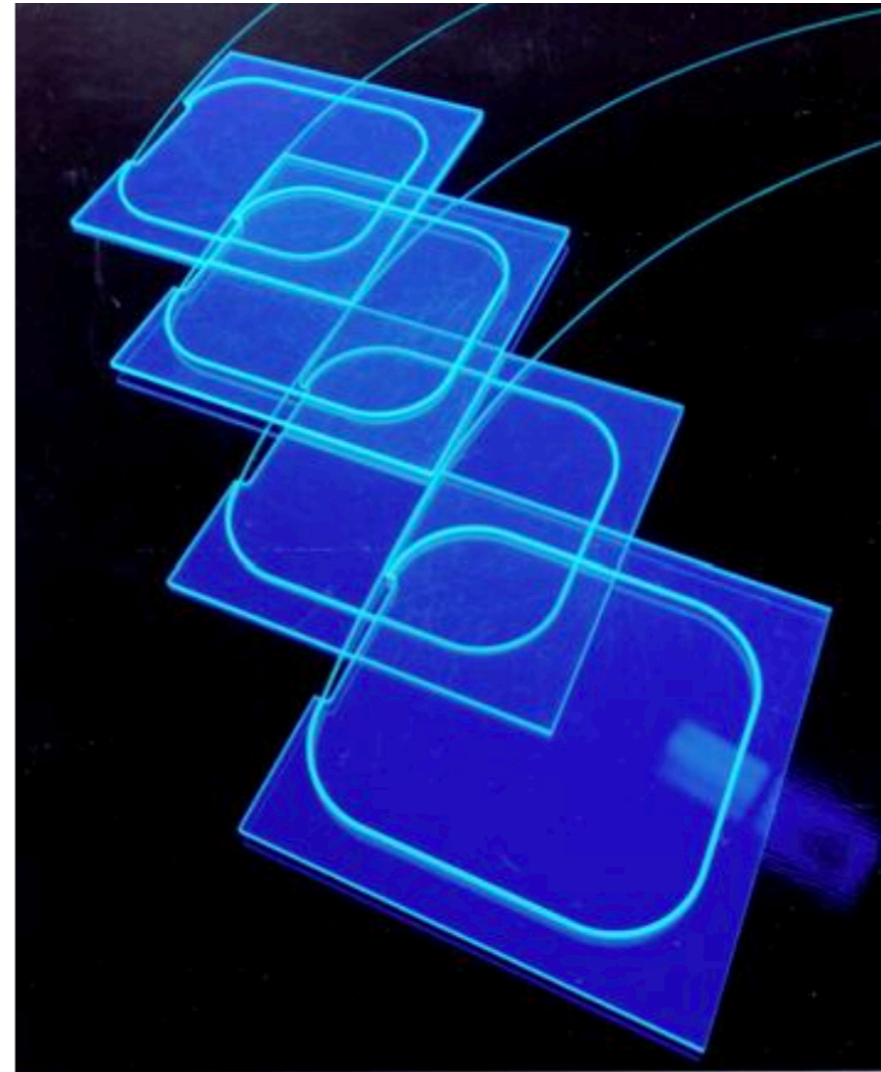
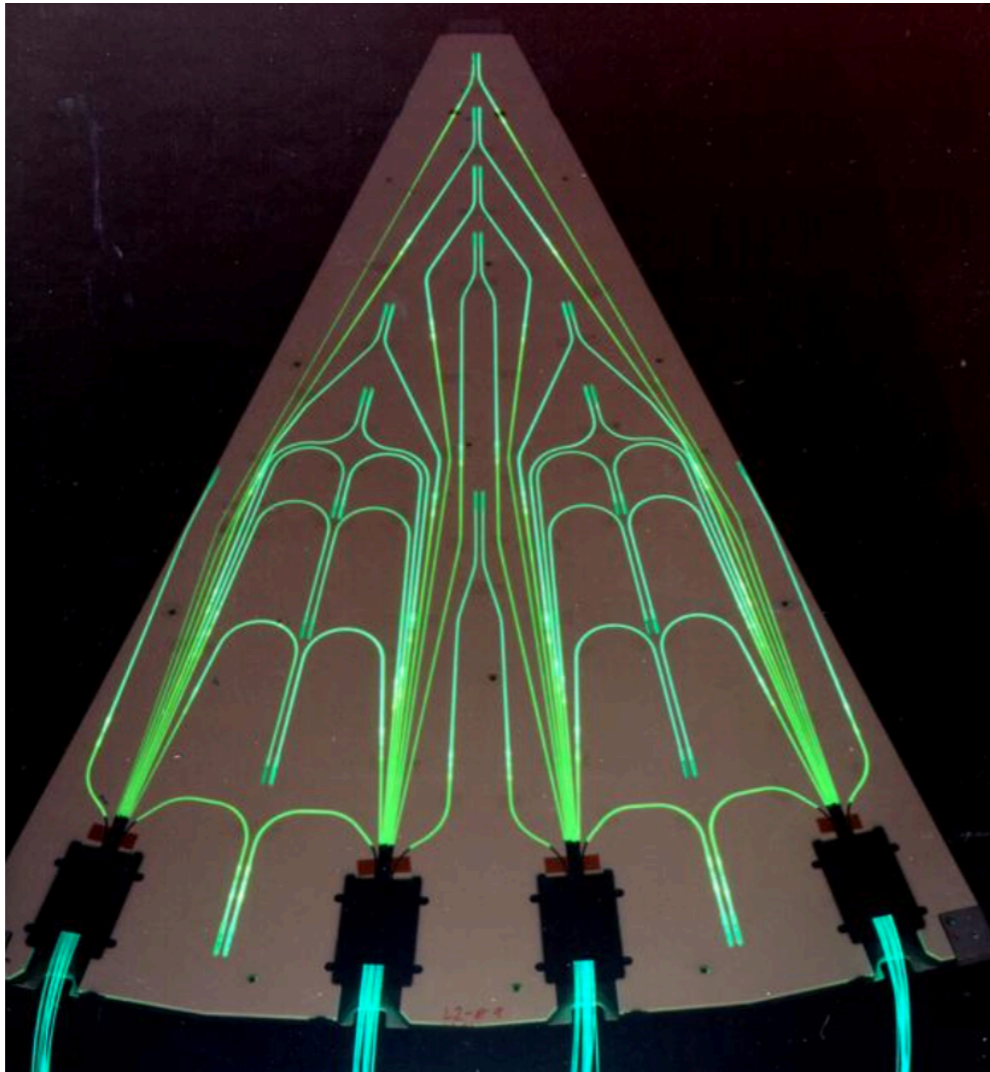


Crystal characterisation



APD gluing

# HB/HE active elements



Scintillator tile, wavelength shifter and fibre-optic readout

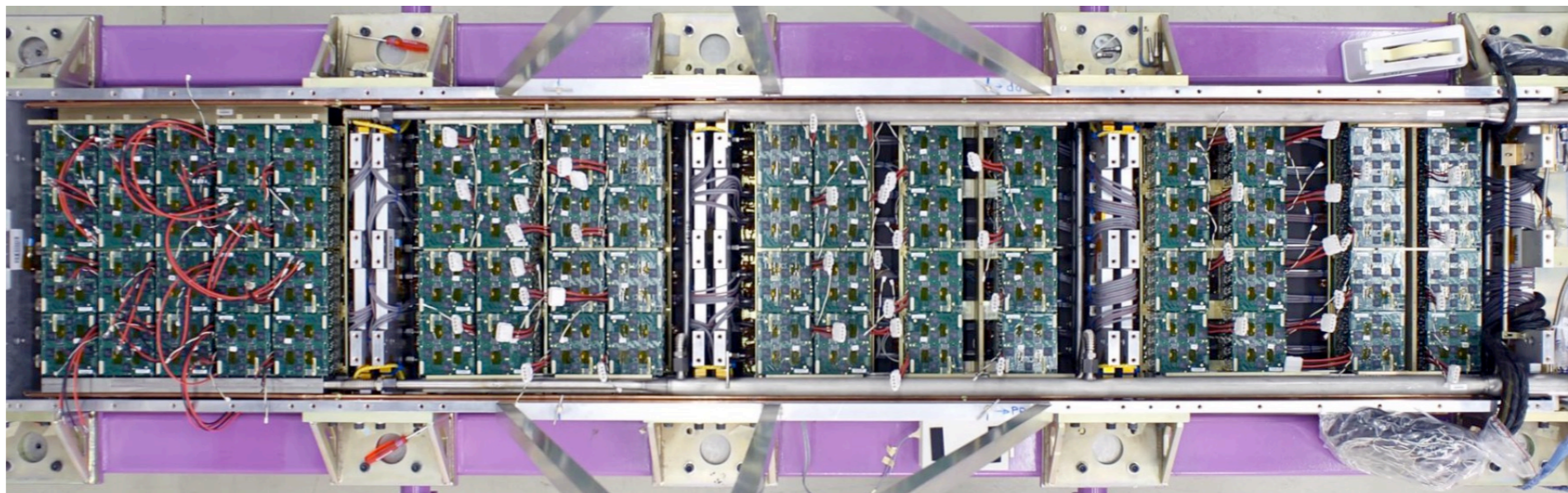
# ECAL Barrel construction



**Electronics installation**

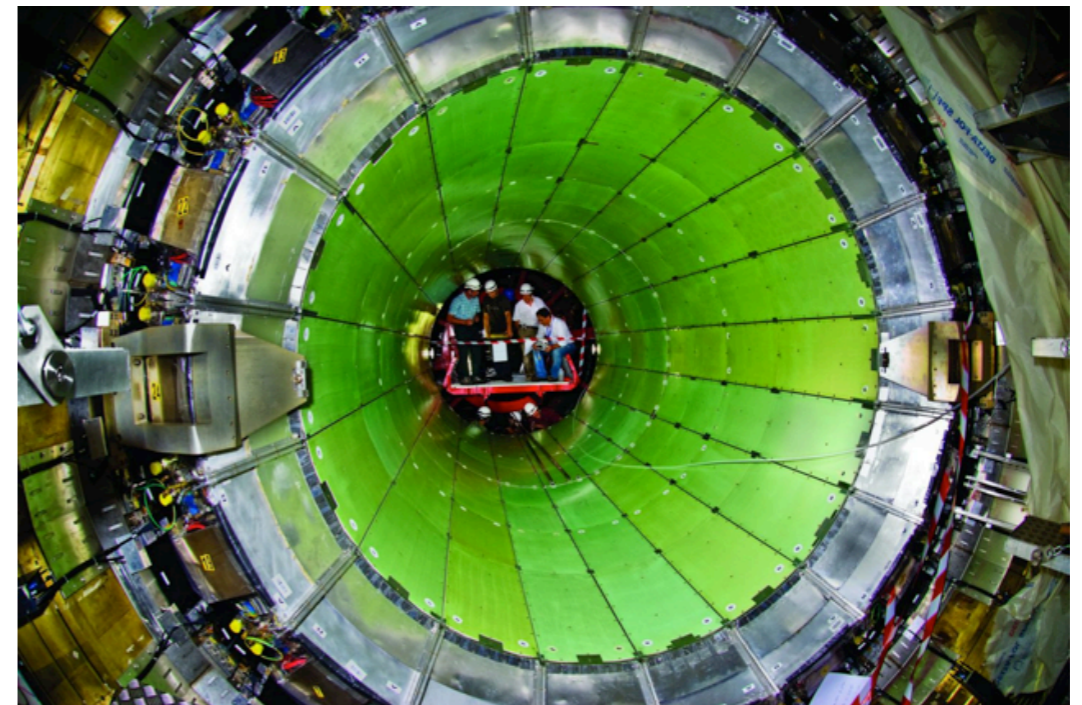
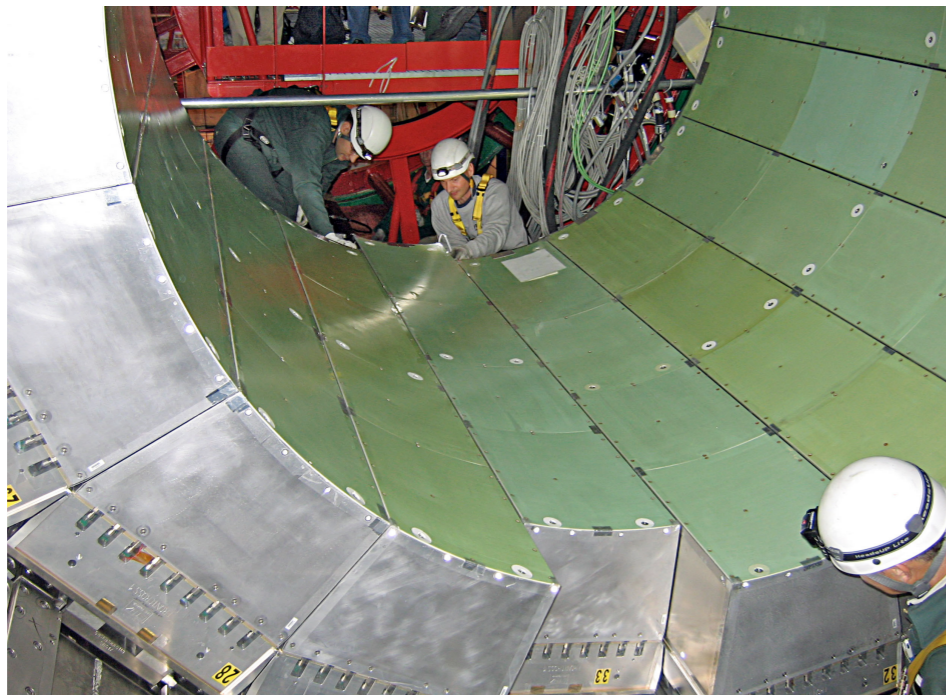
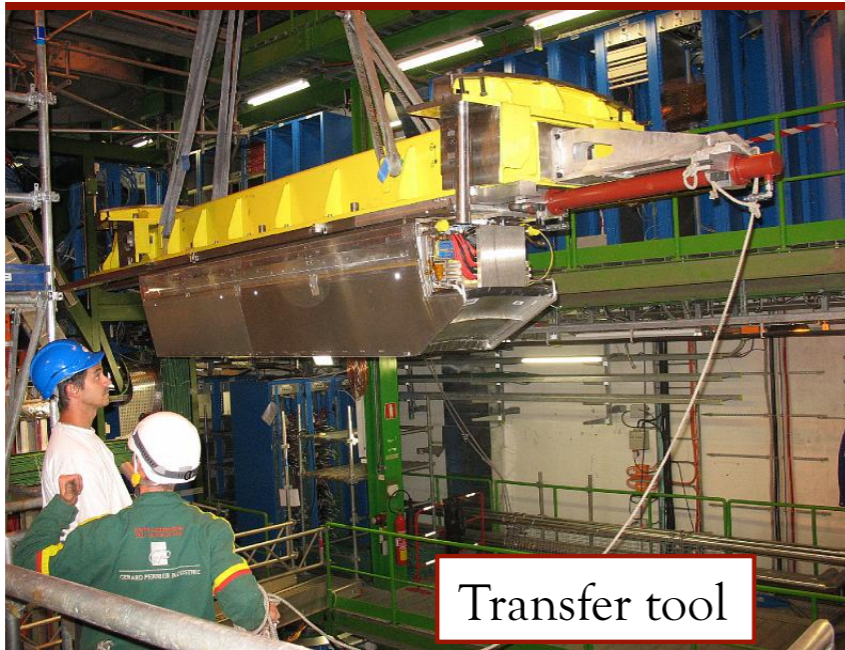


**Supermodule integration/test stands @ Preveessin**



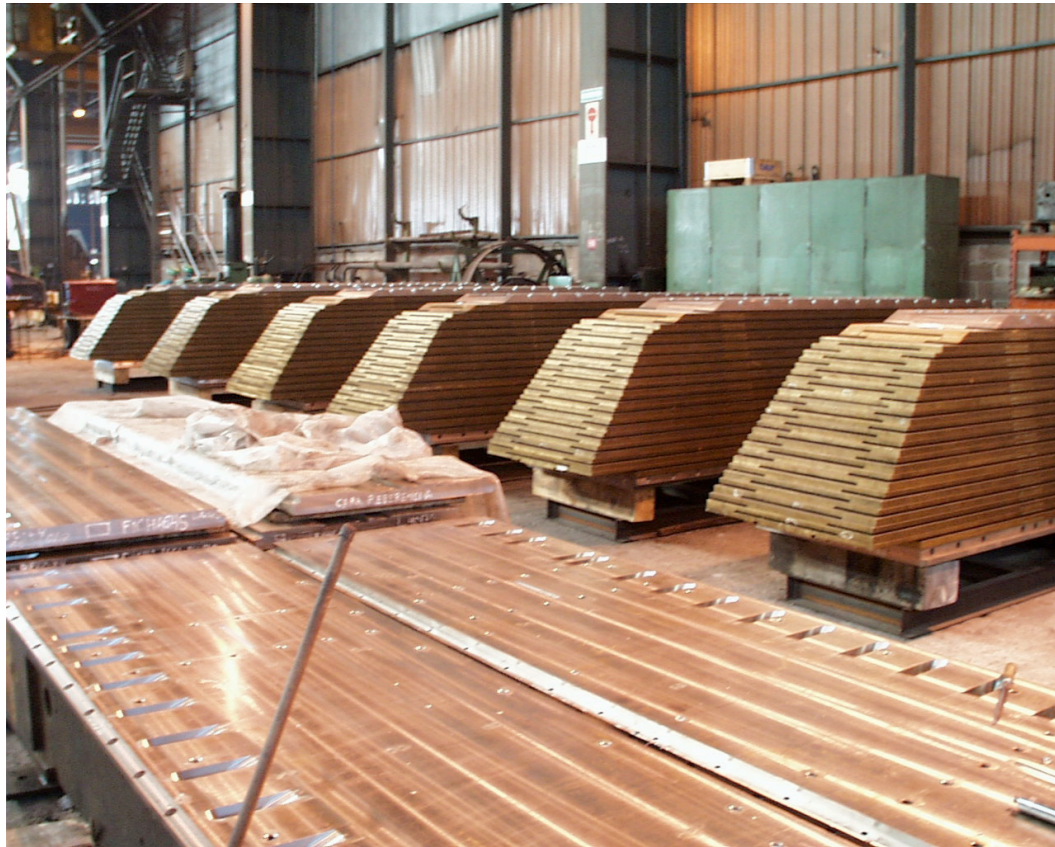
**Supermodule in the process of electronics integration**

# ECAL Barrel installation





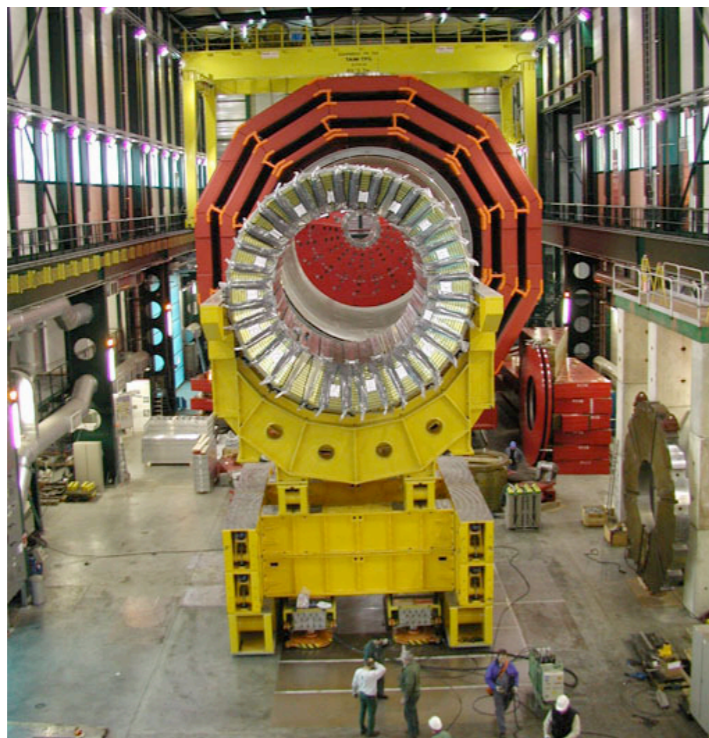
# HB construction and installation



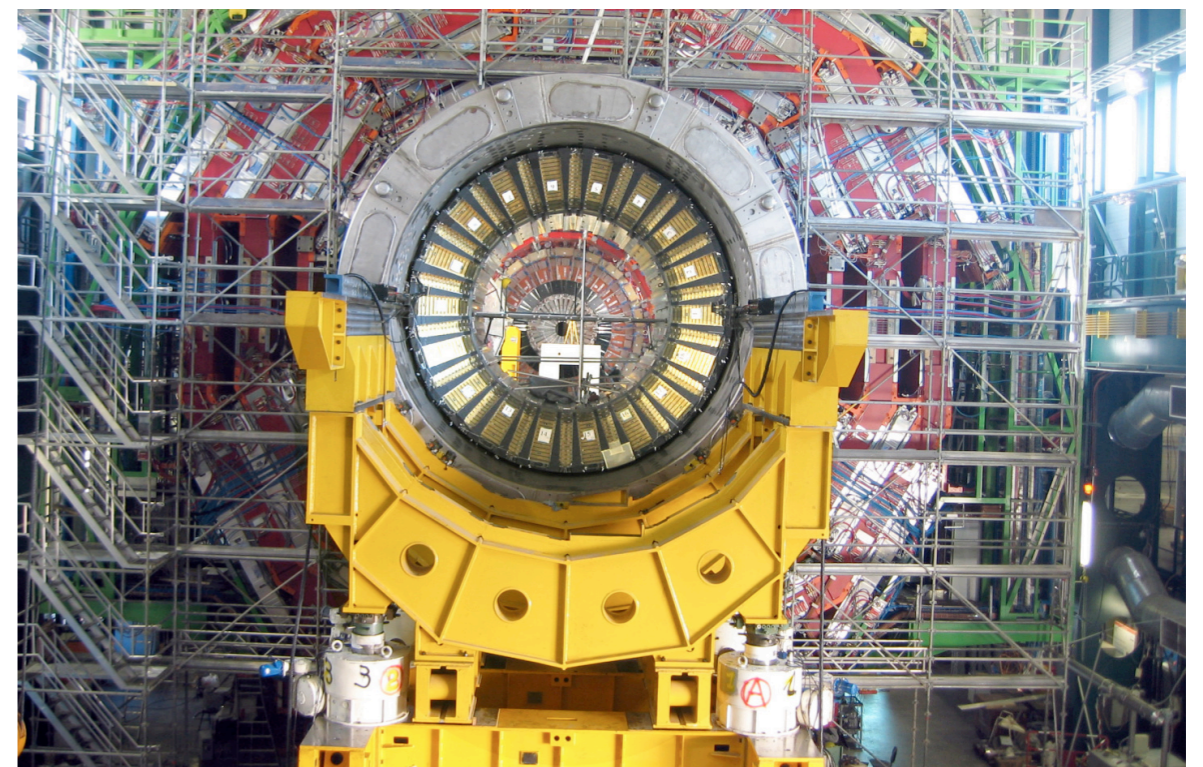
**HB brass wedges**



**HB construction**



**completed HB section ready to enter yoke**

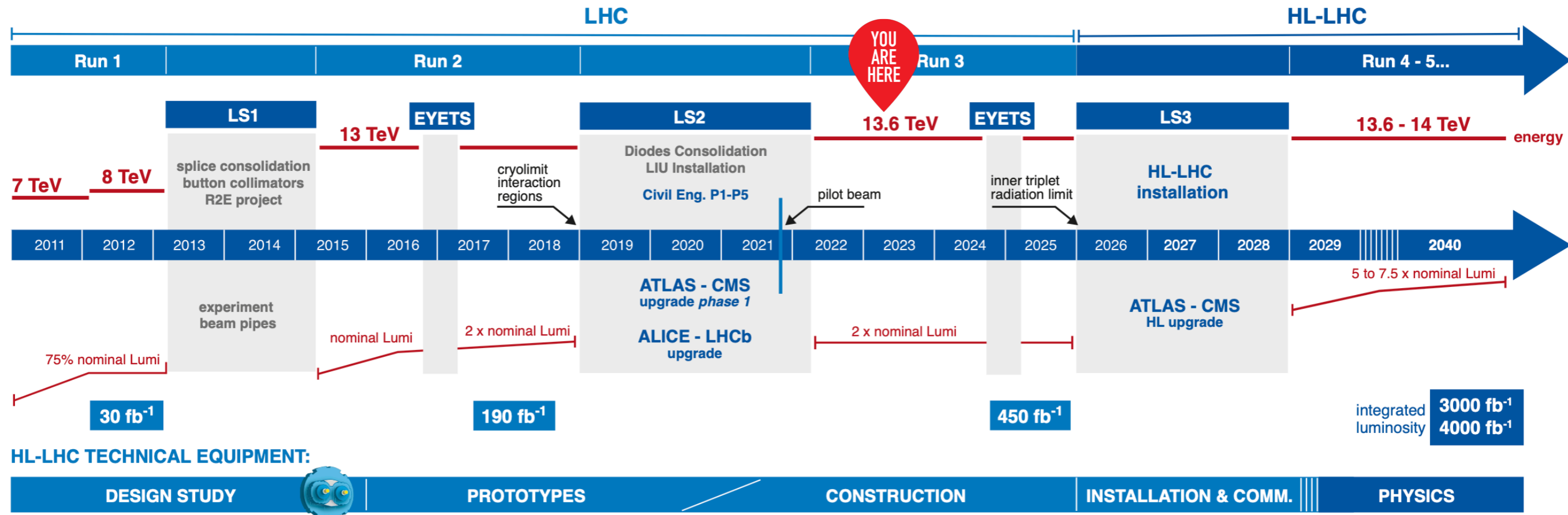


**HB section inside yoke**

# Reconstruction challenges



# LHC environment is challenging



## LHC: delivers high luminosity proton-proton collisions (up to 14 TeV c.m. energy) to experiments

collides two bunches of  $1e11$  protons every 25ns

design luminosity:  $1 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$  already exceeded by a factor of 2 in 2017, 2018

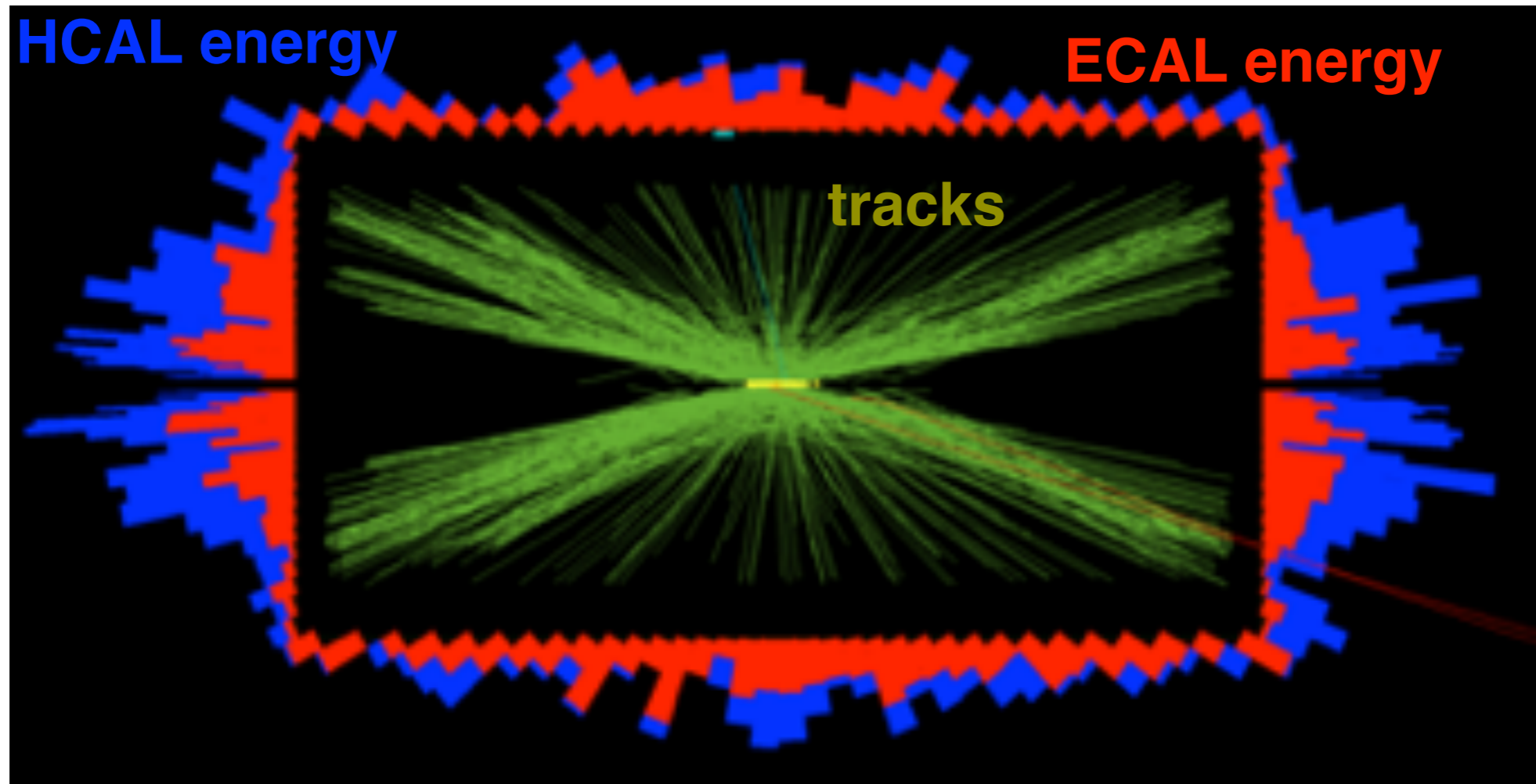
integrated luminosity (size of physics dataset) increased by a factor of 6 in Run 2 (2015+)

### Consequences:

**large instantaneous luminosities:** *busy events with multiple overlapping collisions products (pileup) -> pattern recognition and reconstruction challenge*

**large integrated luminosities:** *increased detector ageing -> calibration and performance optimisation challenge*

# A high pileup event in CMS



**78** simultaneous interactions from one LHC collisions event  
*a significant challenge to pattern recognition and event reconstruction algorithms*

***Run 1 average pileup: 10-20, Run 2 average pileup: 40***

**Calorimeters must cope large radiation doses and high event pileup and maintain performance**



# Energy Reconstruction

For electron/photon object:

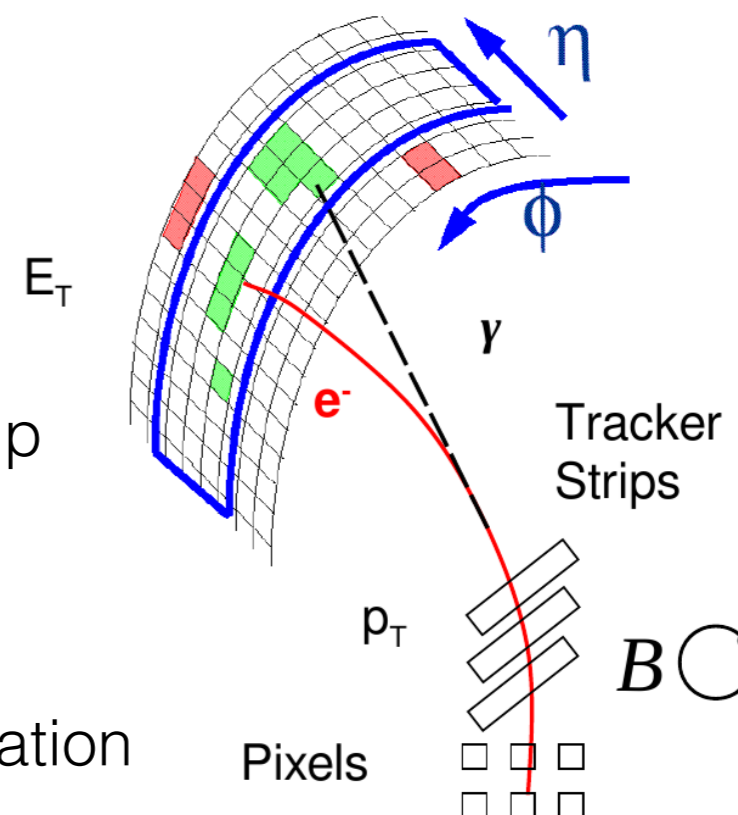
$$E_{e,\gamma} = \sum_i \left[ \underbrace{A_i}_{\text{Pulse Amplitude}} \times \underbrace{S_i(t)}_{\text{time-dependent response corrections: laser monitoring system}} \times \underbrace{c_i}_{\text{intercalibration}} \right] \times \underbrace{G(\eta)}_{\text{Global scale}} \times \underbrace{F_{e,\gamma}}_{\text{cluster corrections}}$$

**intercalibration** takes into account differing response of crystals and photodetectors

Clustering:

**Superclusters:** dynamic sized clusters to gather energy radiated in phi (field bending direction) and minimise pileup contamination

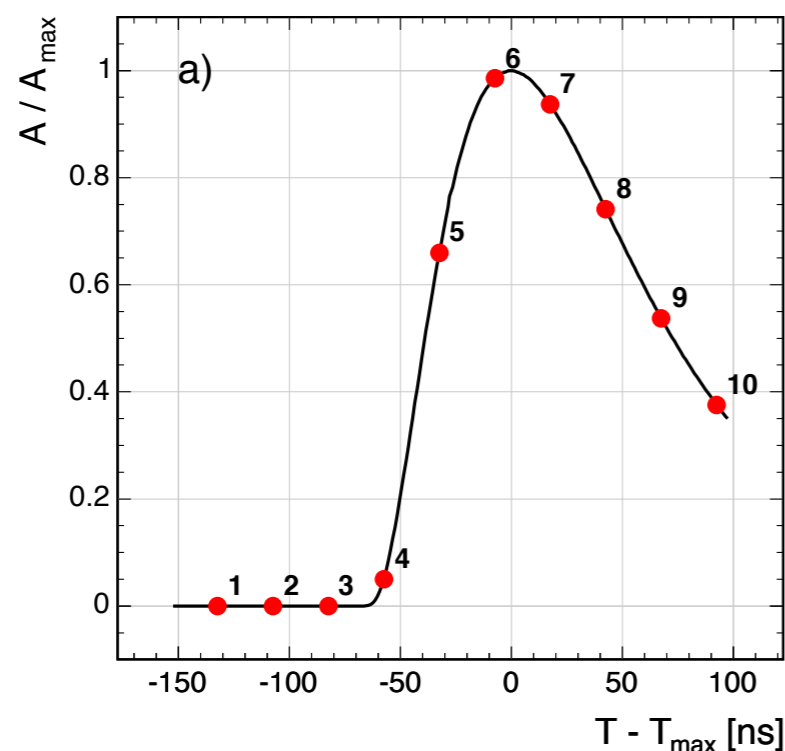
Multivariate **cluster corrections:** improve energy determination by optimally employing event information (i.e. showering/non-showering, proximity to dead regions/cracks)



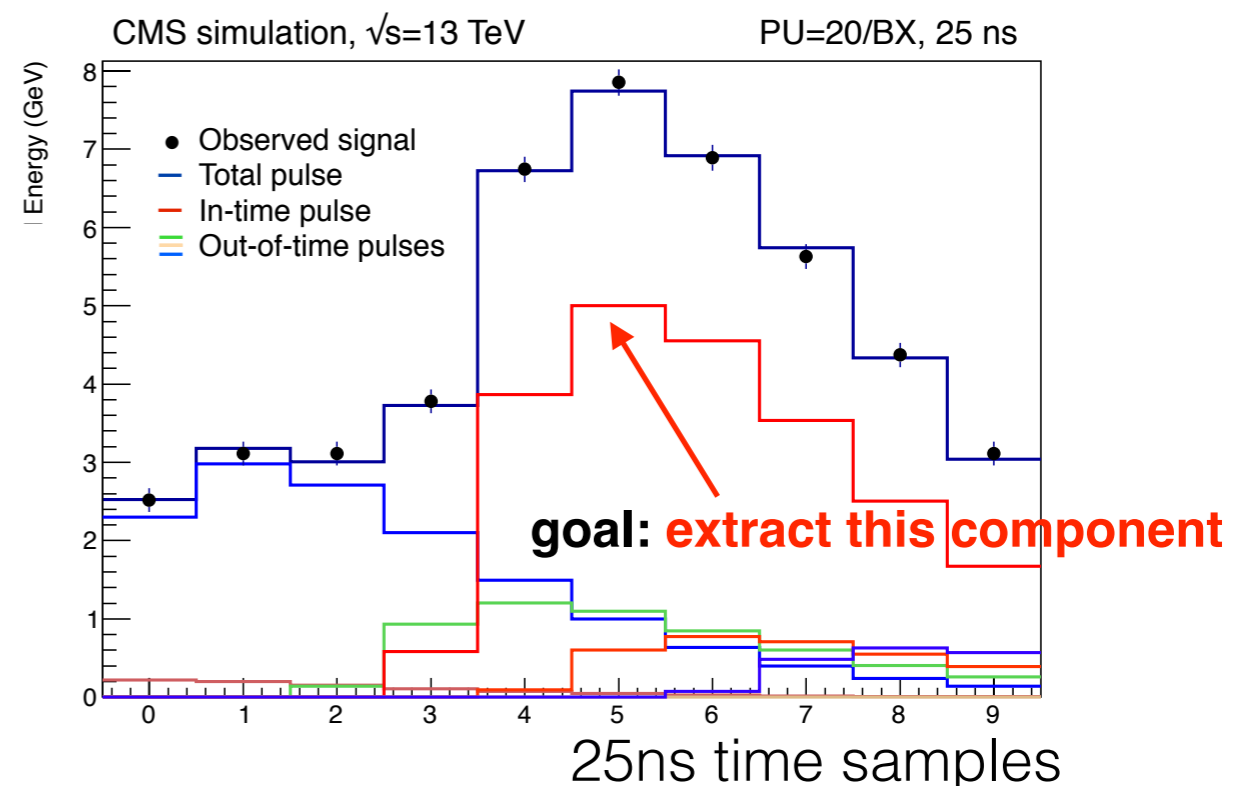
# Pulse reconstruction methods

template fits to suppress out-of-time (OOT) pileup

## ECAL pulse - no pileup



## ECAL pulse - with pileup



## New algorithm developed for Run 2 to mitigate OOT PU

*template fit(\*) -> subtracts out-of-time pulses that overlap with in-time signal*

**Large improvements in low energy e/ $\gamma$  and jet response are obtained for Run 2 conditions**

**A similar algorithm has also been developed and deployed for CMS HCAL during Run 2**

(\*) allows up to 9 out-of-time pulses

# Impact of clustering refinements

**Fixed size clusters**, can be affected by significant energy leakage, worsening resolution

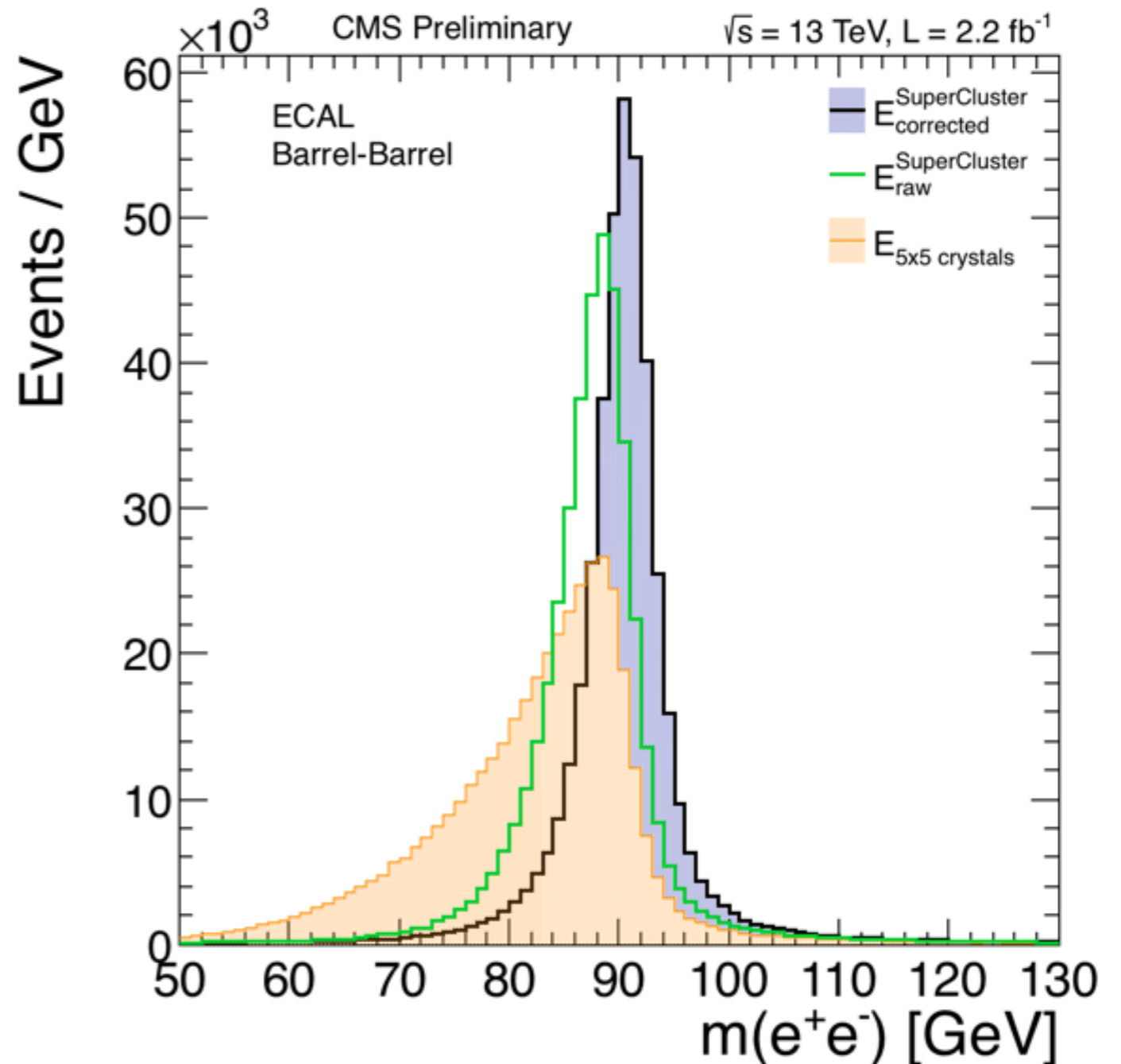
**Supercluster** step significantly improves resolution

*clustering parameters need to be carefully tuned to avoid overclustering noise or pileup*

## Multivariate cluster corrections:

can provide substantial improvements by accounting for event-by-event fluctuations in shower profile + containment and pileup effects

## Z->ee invariant mass distribution





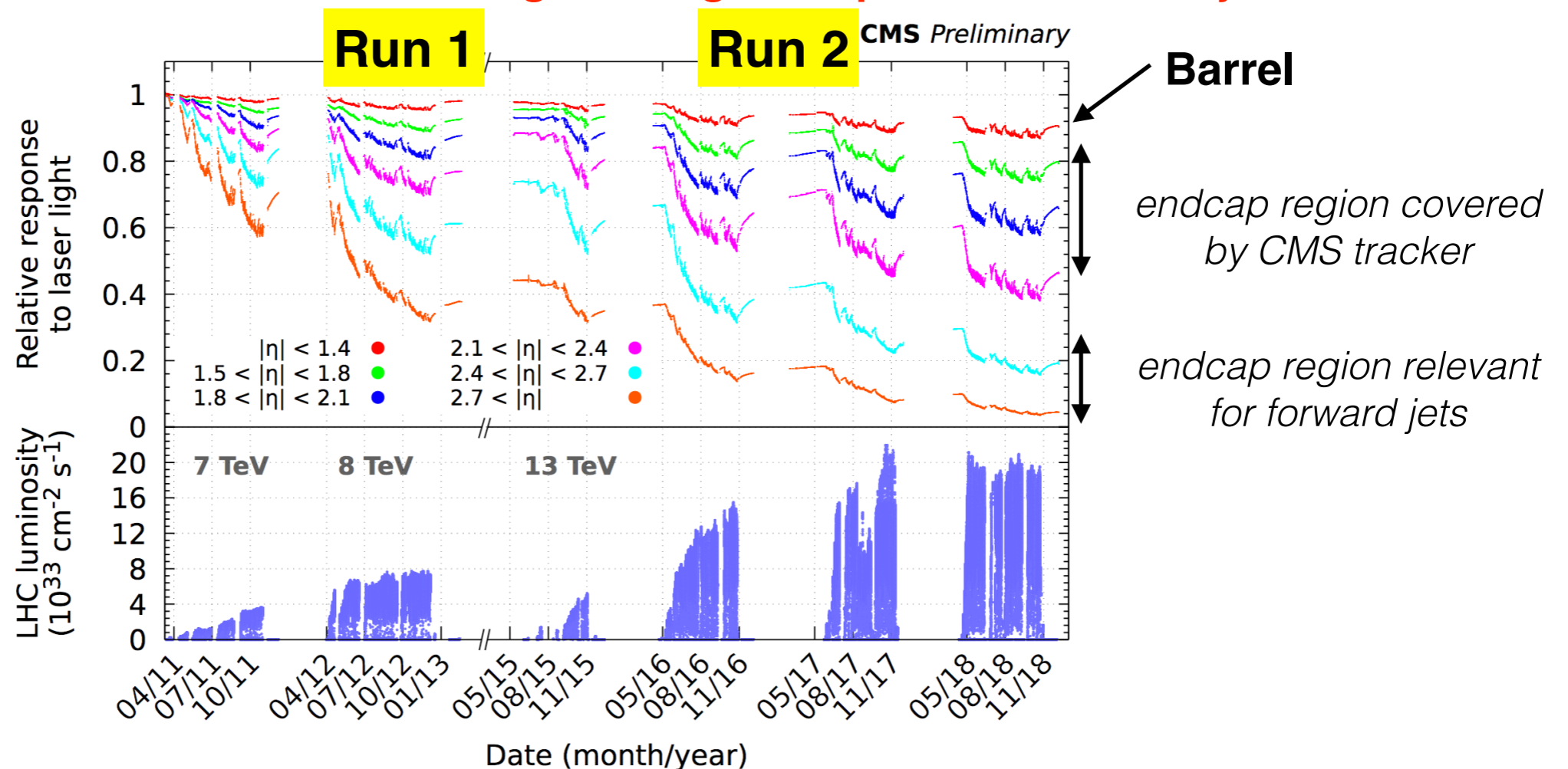
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# Lessons learned from 10+ years of CMS ECAL operation



# ECAL Calibration challenges

- **Significant response changes (crystal + photodetector) due to LHC irradiation**
  - on both short (few h) and longer timescales (EM and hadron damage to ECAL crystals)
- **Need for both short term and long term corrections - both online and offline**
  - via dedicated laser monitoring system (corrections within 48h)
  - and physics-based calibration using  $\pi^0/\eta$ , minimum bias, W, Z events
  - **special attention must be devoted to high eta region to prevent biases in jets and MET**



**These corrections are crucial to maintain stable ECAL energy scale and resolution over time. Requires a dedicated team during LHC operations**

**Lesson learned - do not underestimate this challenge!**

# ECAL Calibration methods

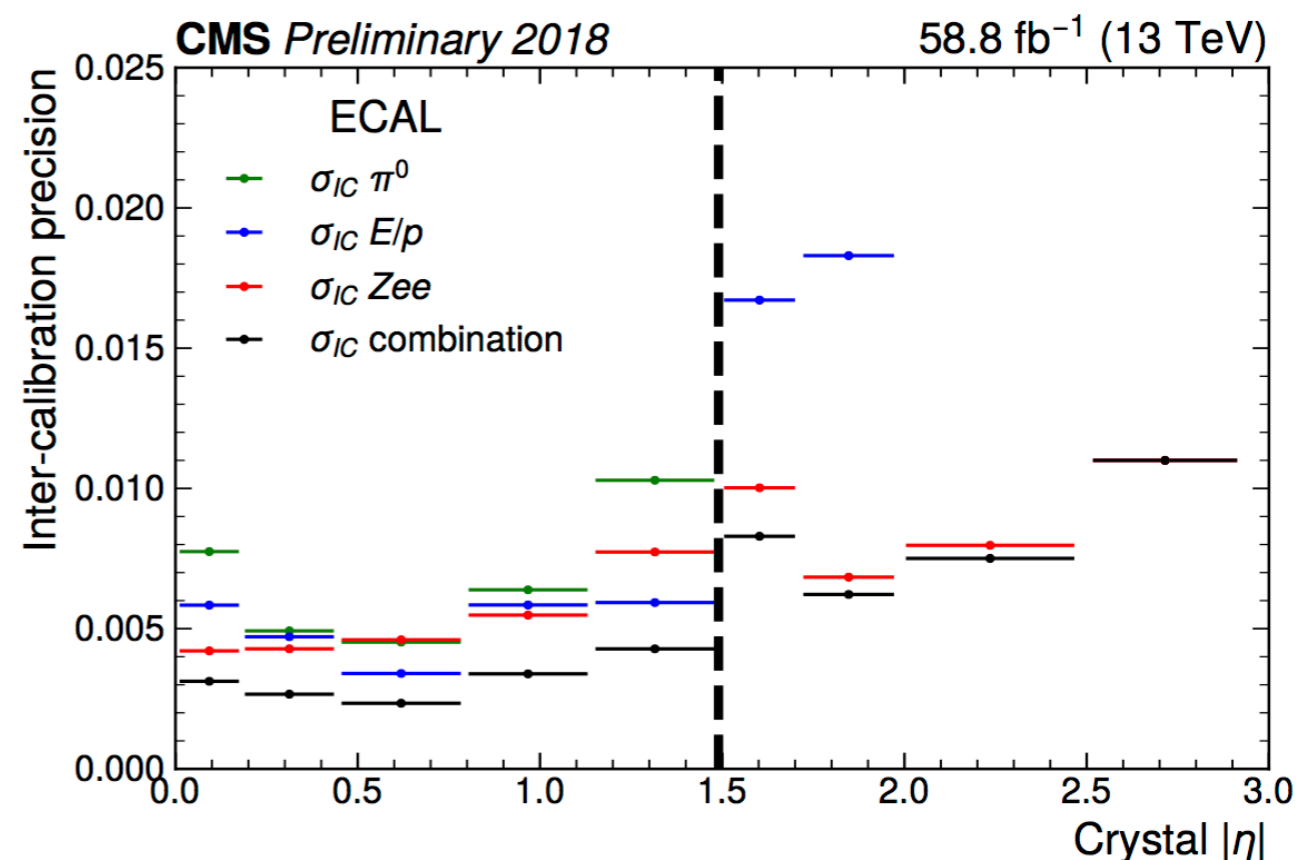
## ECAL intercalibration sources

physics data are used to equalise the response of each channel in EB and EE

method	time needed
$\phi$ -symmetry	few days
$\pi^0/\eta \rightarrow \gamma\gamma$	1 month
electron E/p	20 fb <sup>-1</sup>
Z $\rightarrow$ ee mass	20 fb <sup>-1</sup>

Dedicated calibration streams (with limited event content) are used to collect enough stats.

## ECAL intercalibration precision



Can achieve precision of better than 0.5% in EB and 1% in EE with a combination of calibration methods

## Lessons learned:

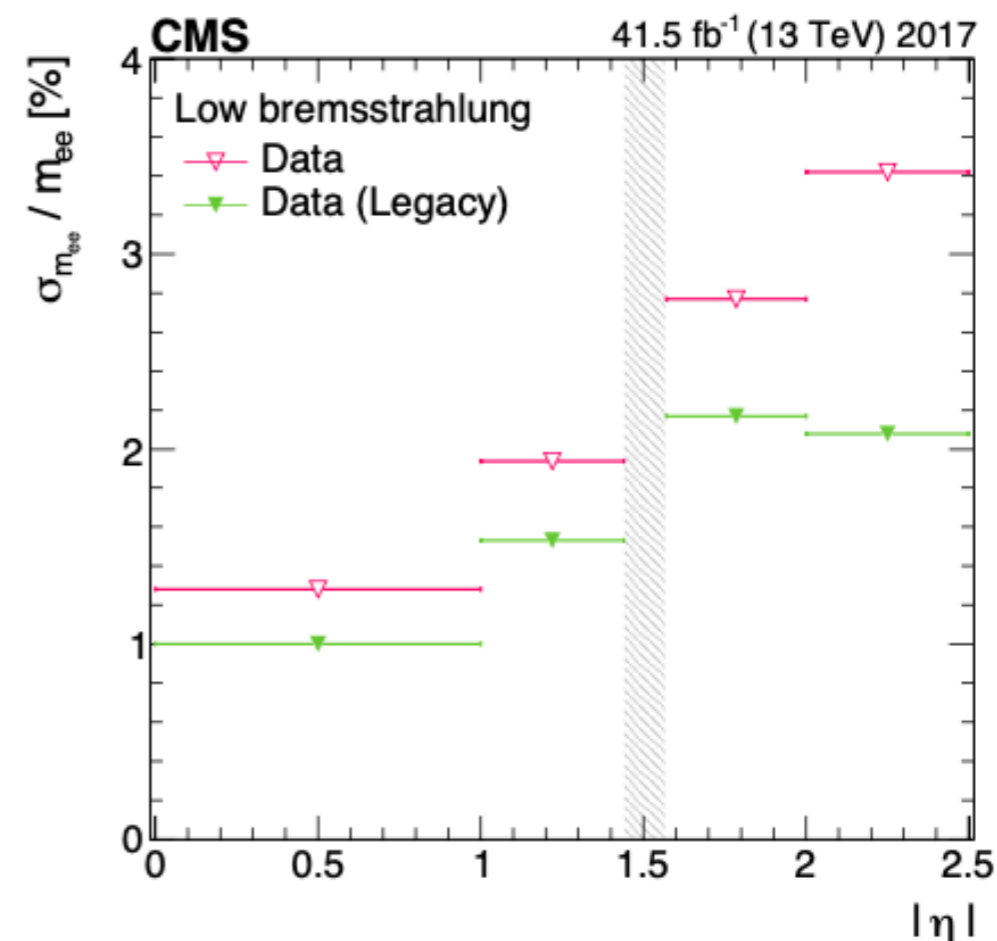
### Maintain multiple calibration methods

#### CMS ECAL experience:

- 1) calibration methods involving low energy signals ( $\pi^0/\eta$ , phi-symmetry) are affected by noise and pileup (these methods were not usable for  $|\eta| > 2.0$  in 2018)
- 2) some methods (phi-symmetry, E/p from  $W \rightarrow e\nu$ ) suffer from systematics due to uncertainties in tracker material distribution in phi
- 3) Z  $\rightarrow$  ee proved to be the most effective all-purpose calibration method in Run 2

# Importance of recalibration

- **Refined physics-based calibrations using full dataset are derived at the end of each running year**
  - **these are required to obtain optimal energy resolution in all regions of the detector**
  - **they correct for time-dependent drifts in calibrations**



Di-electron Z mass resolution before and after end-year recalibration

## Lessons learned:

**Do not assume that calibrations remain constant!**

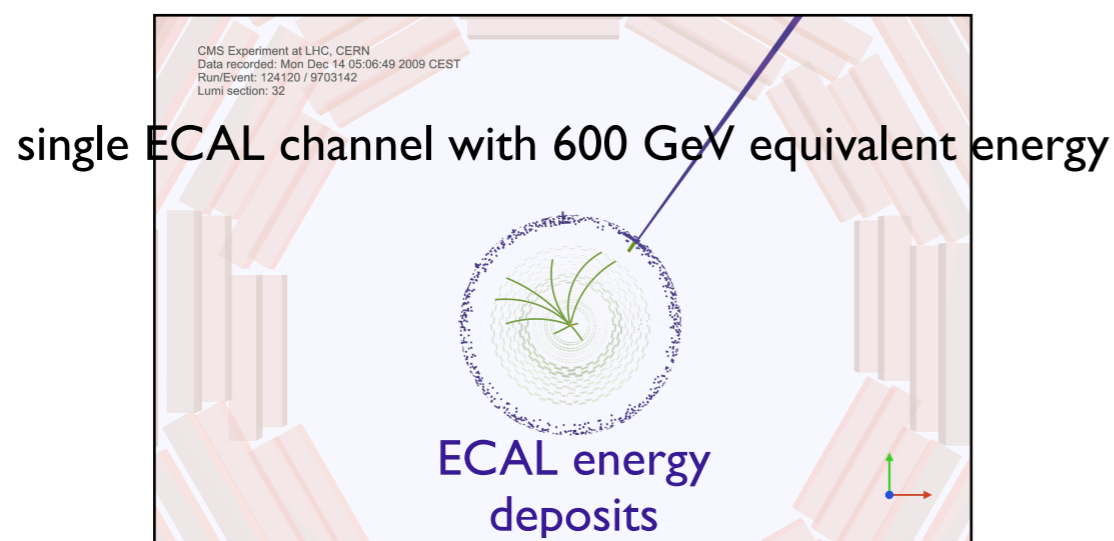
many relevant observables (pedestals, signal pulse shapes, channel response) can be affected by irradiation and require frequent calibration updates to maintain optimal pulse reconstruction, energy and timing resolution

Note that resolution vs eta largely follows distribution of upstream tracker material: **need to minimise this in future detector designs to preserve intrinsic ECAL resolution**

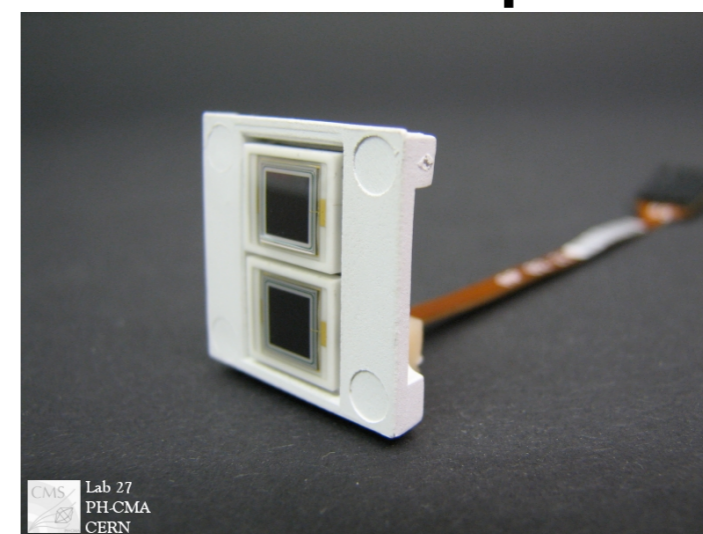
# ECAL spikes

- Anomalous signals (“spikes”) unexpectedly observed in ECAL Barrel: large apparent energy deposits with non-physical topological and timing signatures
- Caused by direct ionisation of APD active volume by collisions products (chiefly hadrons/pions)

## ECAL APD “spike”



## ECAL APD capsule



- Mitigation was challenging, especially for L1 trigger:
  - no possibility to cure at source - APDs inaccessible
  - spikes will typically hit one of 2 APDs serving one ECAL crystal. However, decision was made to sum these signals rather than read them out individually to reduce cost
  - eventually found a way to remove spikes using **extra unused feature of ECAL front-end ASIC**

**Lessons learned:** Must rigorously check system in test beam campaigns. Self-triggering would have revealed this problem. Build sufficient flexibility in on-detector and off-detector electronics to deal with unexpected signals. Add redundancy to readout signals?



# ECAL mechanics

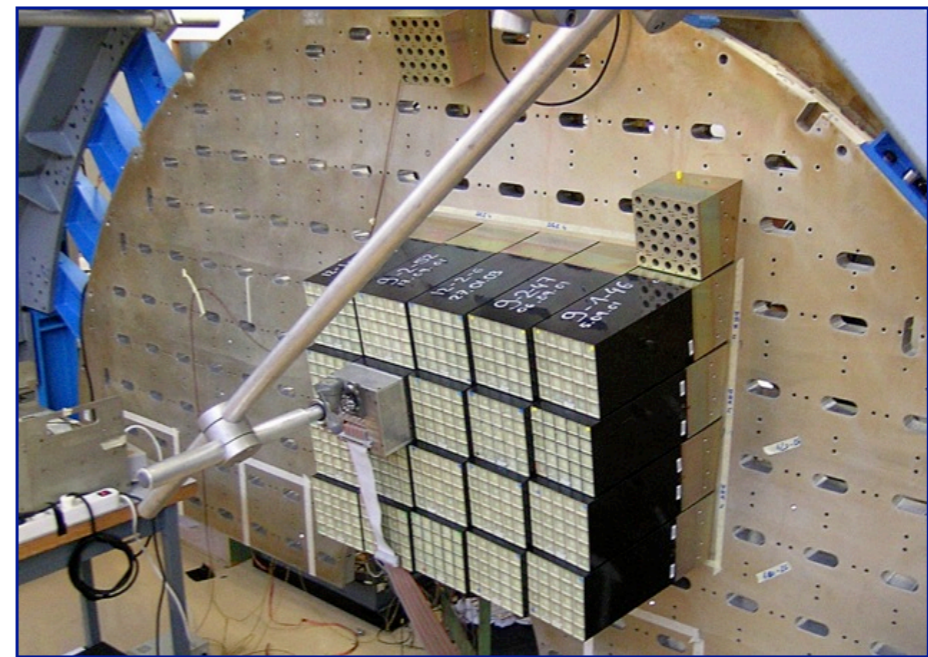
- **Significant differences in mechanical design of ECAL Barrel and Endcaps**

- barrel design incorporated 17 different module types and 17 different crystal shapes
- endcap design involves a single module type and one crystal shape

## Barrel mechanics: 17 crystal types



## Endcap mechanics: 1 crystal type



- **This has implications for crystal production and detector construction**

- much simpler if you only have to deal with a single module/crystal type

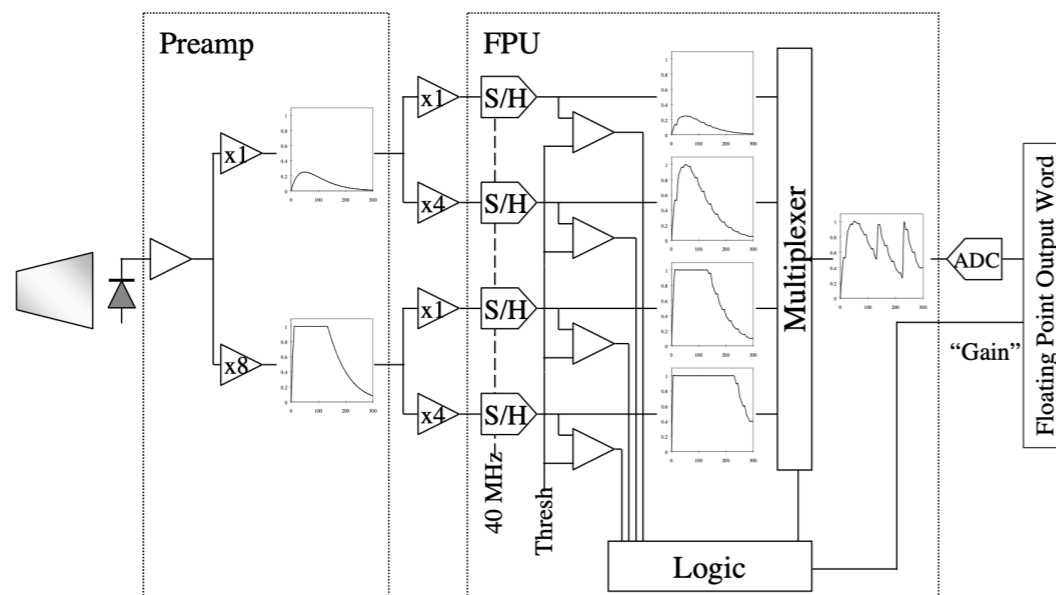
- **Should also consider possibility for partial dismounting/replacement of modules**

- ECAL was not designed with this possibility in mind - partial dismounting difficult/impossible
- might be a desirable feature for future detectors if certain regions need to be removed/replaced due to large radiation-induced response losses or other performance issues

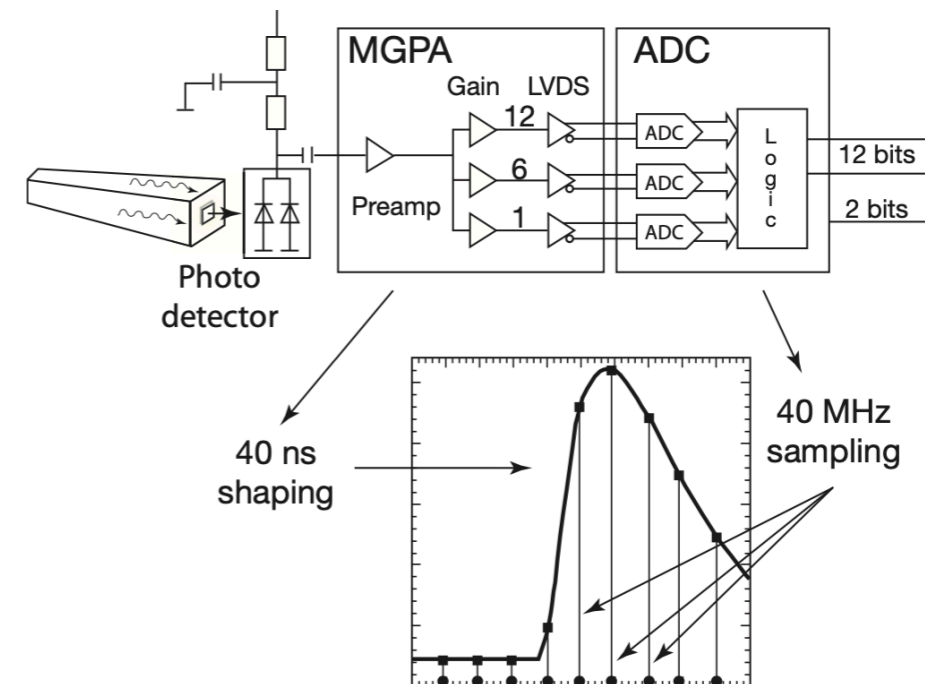
# ECAL ASICs

- UK involvement in ECAL very-front-end ASICs came about due to noise/performance problems with the original TDR designs
- Original preamp and ADC designs had to be dropped and new ASICs developed from scratch

## TDR very-front-end design



## Final very-front-end design



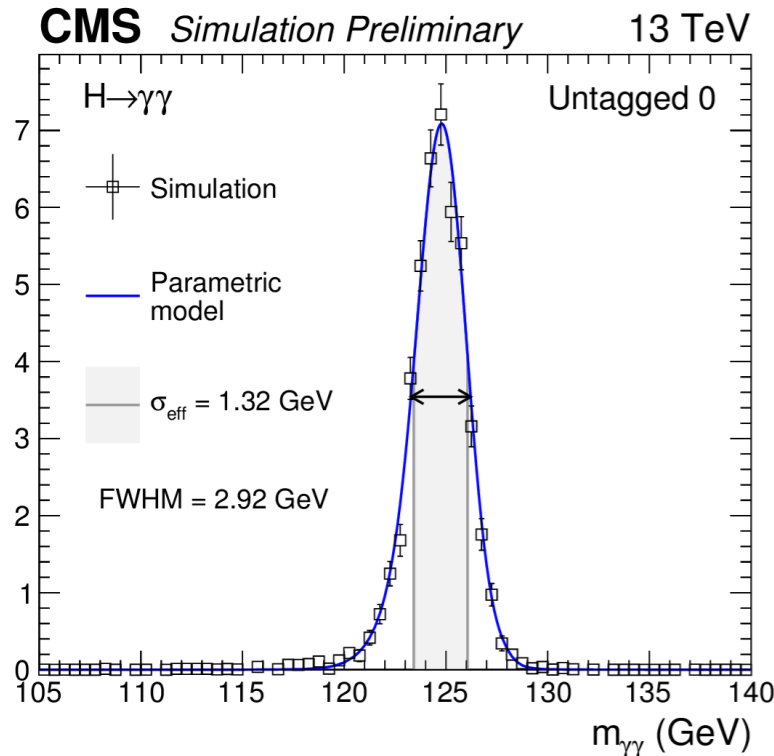
## Lessons learned:

Issues with ASICs are not uncommon in HEP - but problems can be minimised by careful and conservative design methodologies. Early full-system tests with detector prototypes are a **must** to check system performance and identify any noise issues in a realistic data-taking environment

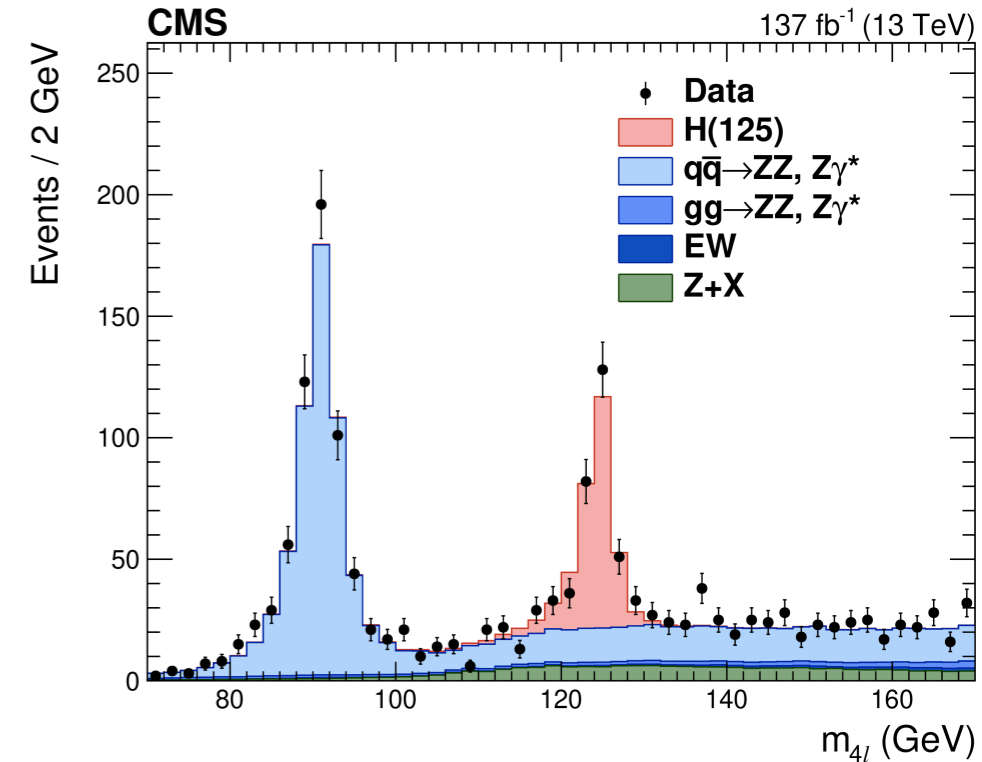
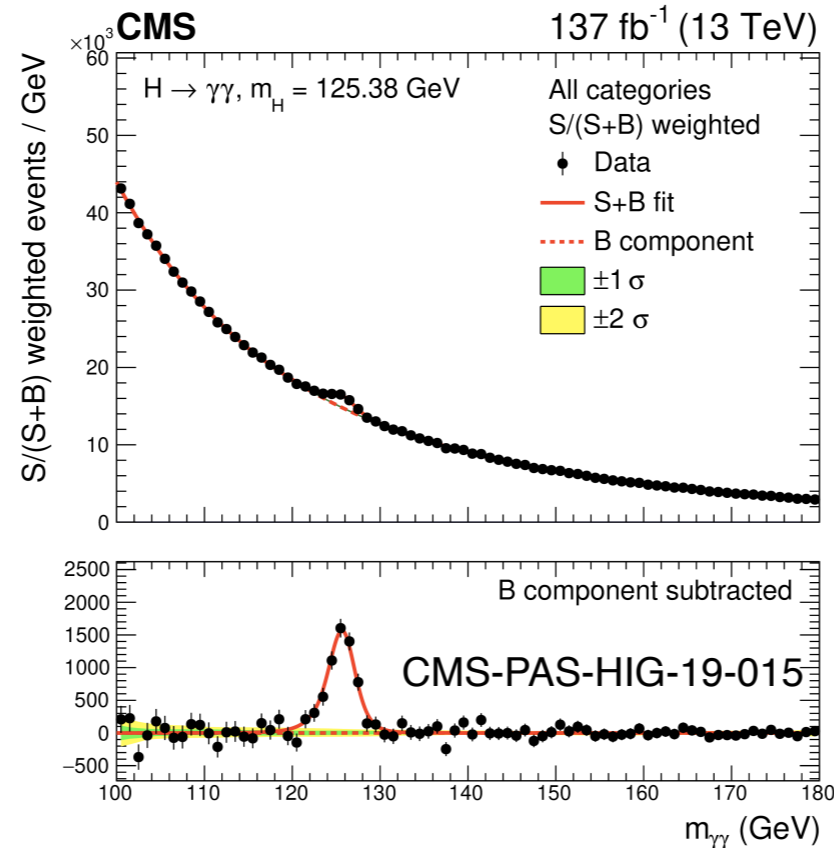
# Despite the challenges:

## $H \rightarrow \gamma\gamma$

## $H \rightarrow ZZ \rightarrow 4l$



Mass resolution in best category  $\sim 1\%$



**... it was all worth it**

The excellent resolution and electron/photon ID of the CMS calorimeters was crucial in the discovery and subsequent characterisation of the 125 GeV Higgs Boson

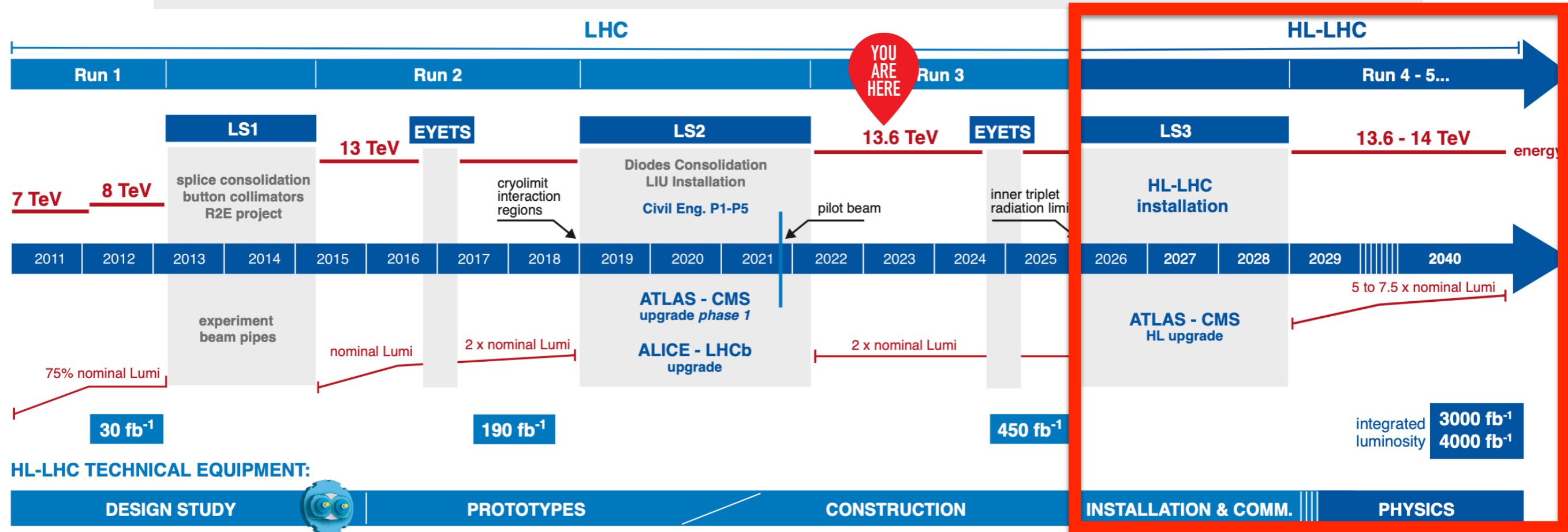


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# Upgrades



# High Luminosity LHC



**HL-LHC: major upgrade to accelerator complex during Long Shutdown 3 (2026-8)**  
 will provide **10x** larger dataset for physics compared to LHC run (4000fb<sup>-1</sup>)  
**4x** higher instantaneous luminosity compared to peak LHC value

## Consequences:

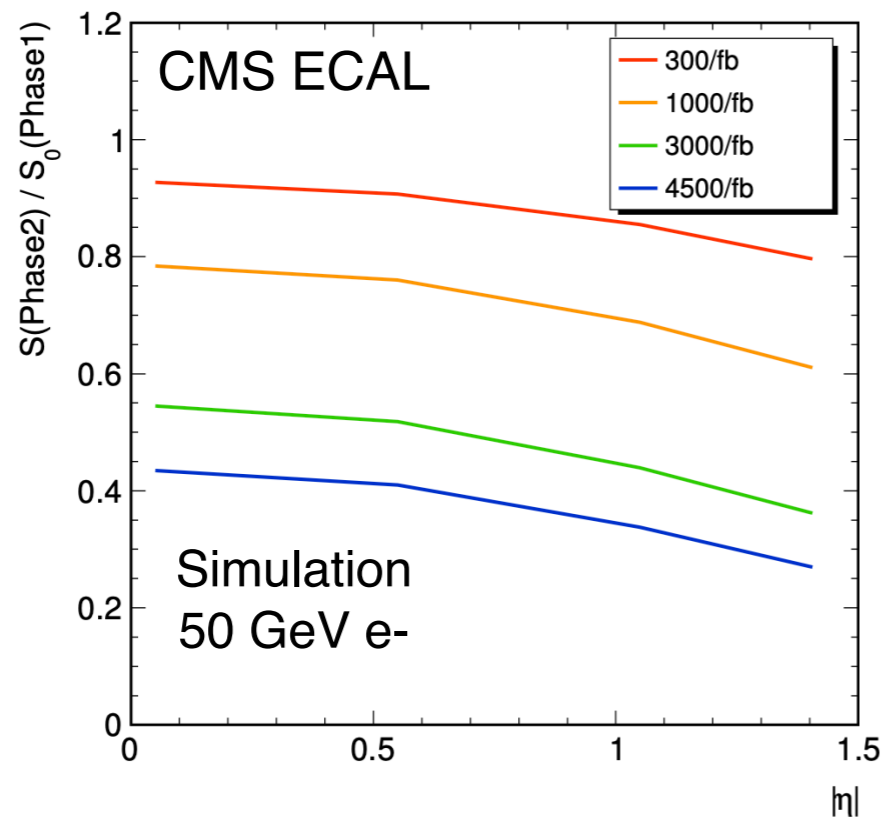
**Run 2 challenges, pileup and detector ageing, are amplified**  
**New and upgraded detectors needed after 2025:**

Focus on increased detector **granularity** and **precise timing** capability (for pileup mitigation), and increased **radiation tolerance**.

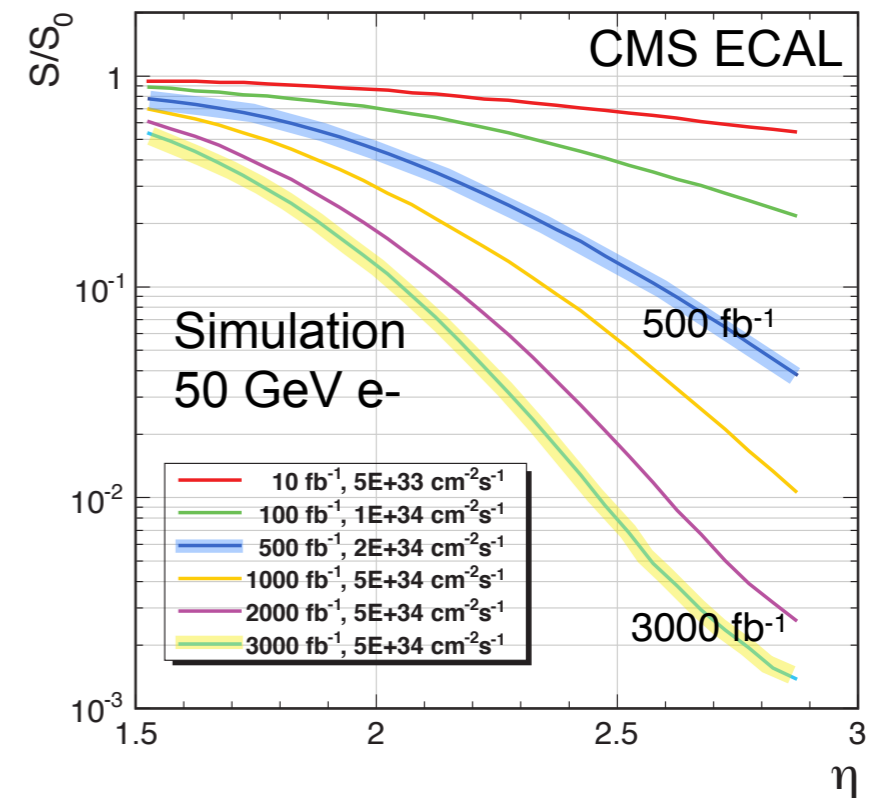
Improved **triggering** capabilities made possible by improved detector granularity and advanced algorithms off-detector processors

# ECAL and HCAL longevity

- ECAL and HCAL barrel ( $|\eta| < 1.48$ ) will retain significant light output and will be **retained for HL-LHC operation**
- ECAL and HCAL endcaps ( $|\eta| > 1.48$ ) will suffer significant radiation damage after  $500\text{fb}^{-1}$  and **will need to be replaced during LS3**
  - loss of light transmission in  $\text{PbWO}_4$  crystals caused by hadron irradiation.
  - loss of signal response from plastic scintillator tiles + WLS fibre



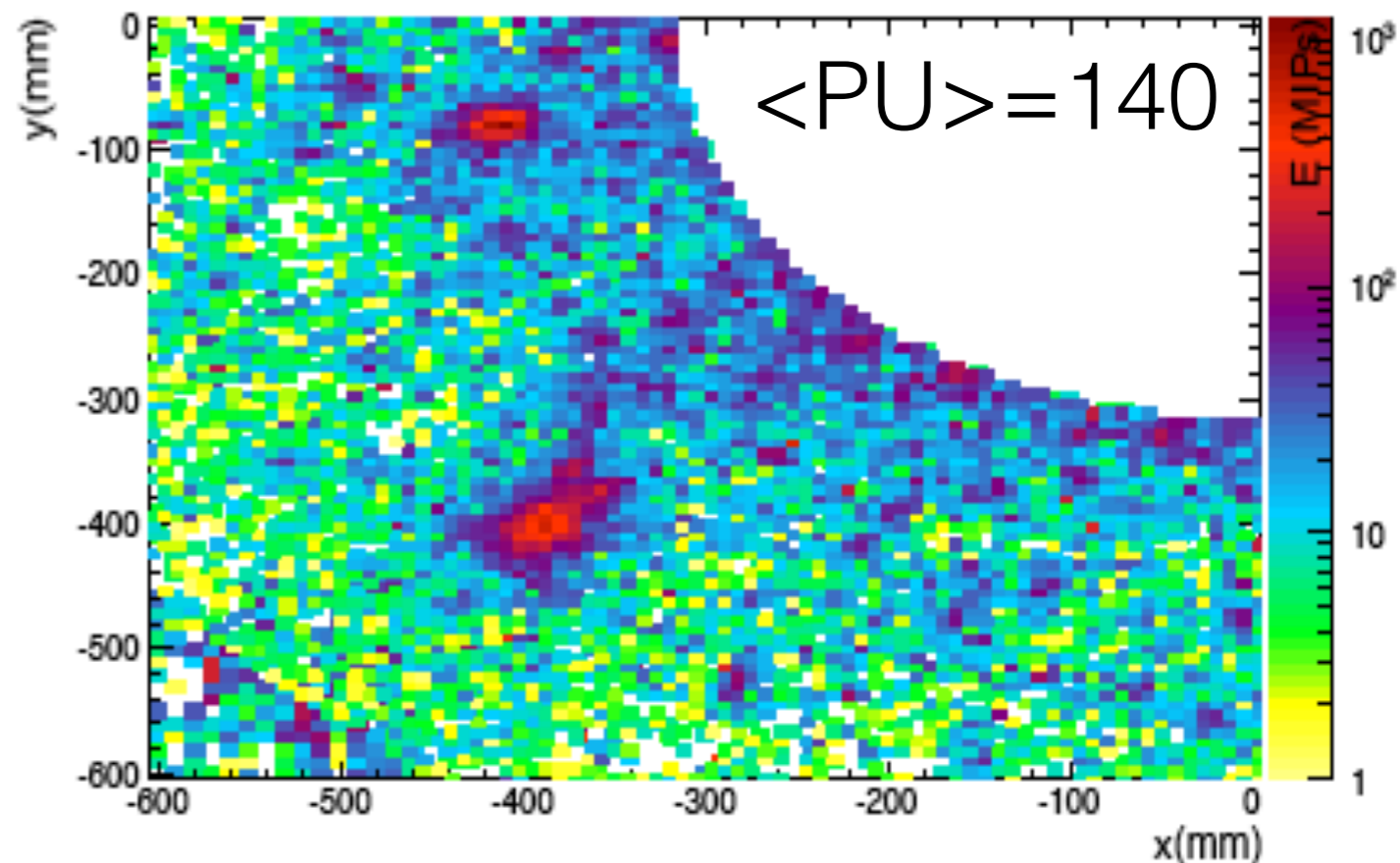
Predicted ECAL Barrel signal response versus integrated luminosity and  $\eta$



Predicted ECAL Endcap signal response versus integrated luminosity and  $\eta$

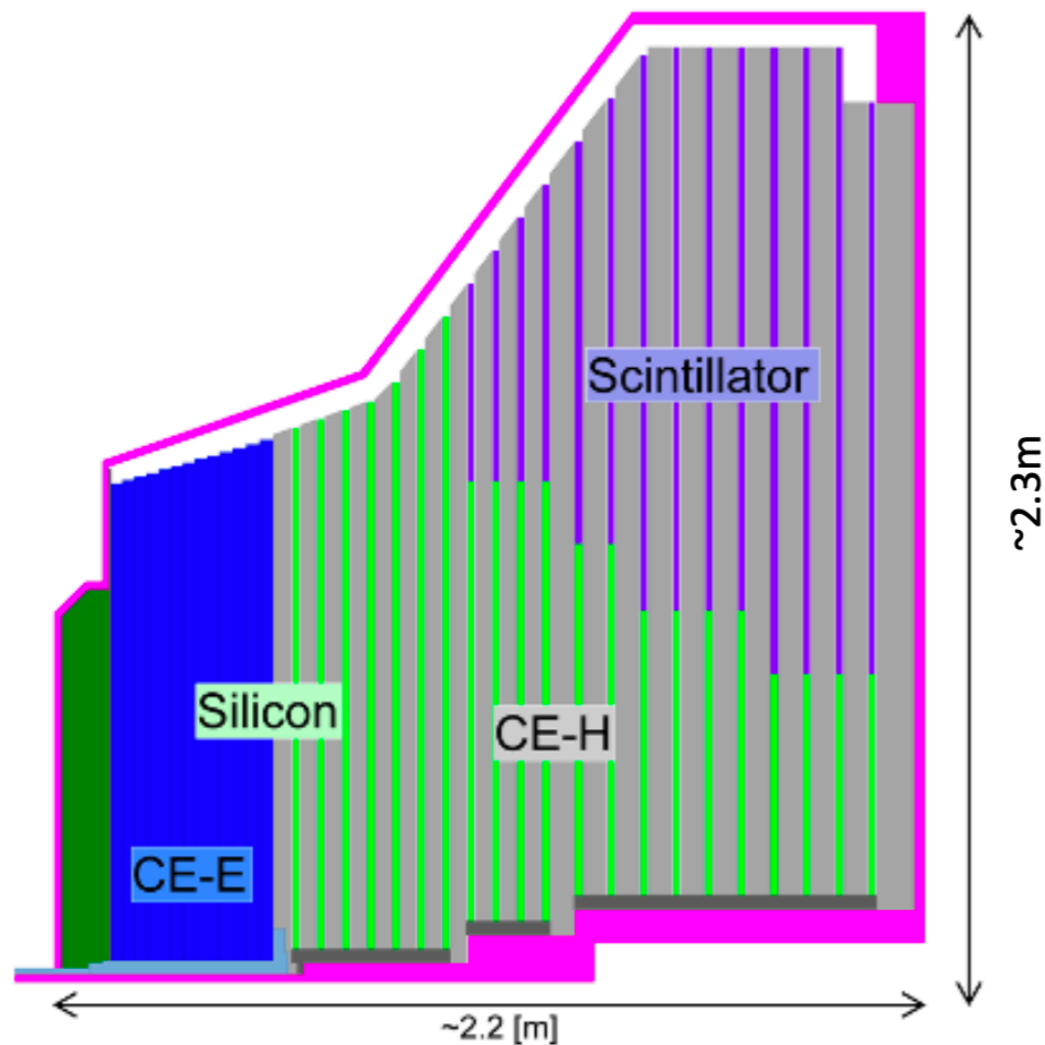
# Challenges for forward calorimetry at HL-LHC

- **Expect LHC to deliver very high luminosity beams:**  
 **$\langle \text{pileup} \rangle \sim 200$**
- Disentangling event properties at such high particle densities requires **good transverse and longitudinal segmentation**, and **advanced reconstruction methods**
- **Endcap calorimeter is a highly granular radiation-hard detector** designed to meet the challenges of **high beam intensity and event pileup**



**Event display of VBF jets (H->gg)**

# Endcap Calorimeter layout



- Hexagonal modules based on Si sensors in CE-E and high-radiation regions of CE-H
- Scintillating tiles with SiPM readout in low-radiation regions of CE-H
- **Full system maintained at -30°C**
  - ~620m<sup>2</sup> of silicon sensors
  - ~370m<sup>2</sup> of scintillators
- **6 Million Si channels**, 0.5 or 1.2 cm<sup>2</sup> cell size
  - ~26000 Si modules

**Electromagnetic calorimeter (CE-E):** **Si**, Cu/CuW/Pb absorbers, 26 layers, 27.7  $X_0$   
**Hadronic calorimeter (CE-H):** **Si** + **scintillator**, steel absorbers, 21 layers, 10.0  $\lambda_1$

## Complete replacement for EE and HE in LS3

### Sampling calorimeter with fine transverse granularity

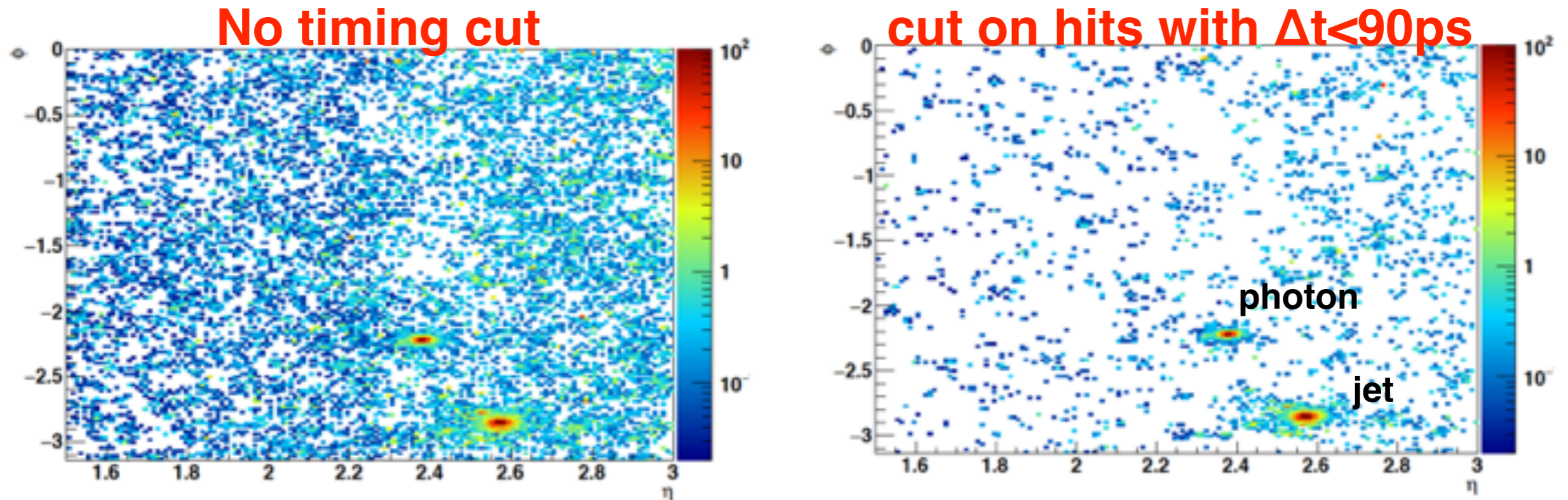
silicon sensors in CE-E and inner CE-H region: intrinsically rad-hard  
 must operate at -30 degC to limit Si leakage current



# Impact of precise timing

- **Reconstruction at 200 PU is a significant challenge**
  - pattern recognition techniques and vertex identification struggle in dense environment

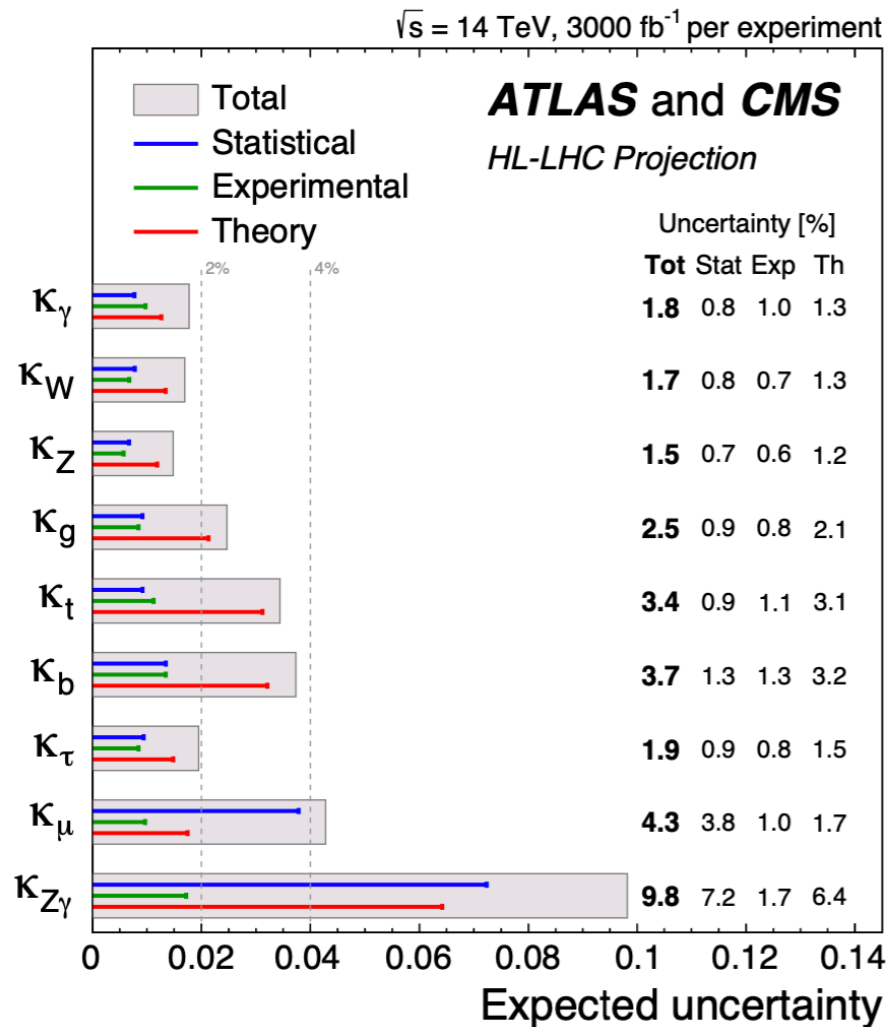
## VBF $H \rightarrow \gamma\gamma$ with forward jet



- **Improved vertex localisation and pileup suppression possible with precise timing ( $\sigma_t \sim 30\text{ps}$ ) in EB and HGCAL**
  - precise timing a critical feature of CMS and ATLAS HL-LHC upgrades

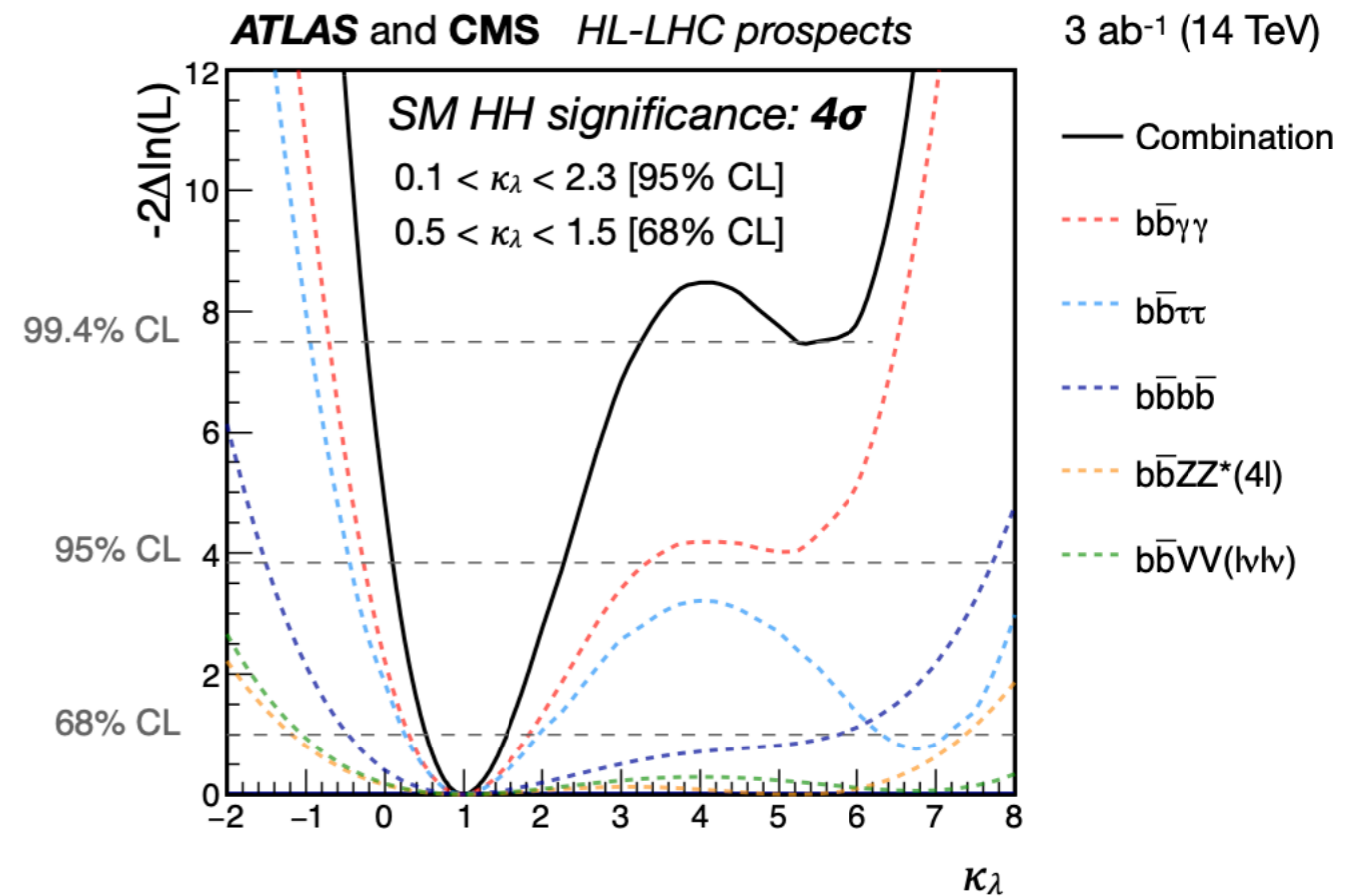
# Physics capabilities of ATLAS+CMS at HL-LHC

- Precision measurements of Higgs properties**



## Precise (%-level) measurements of Higgs couplings

*search for hints of BSM physics*



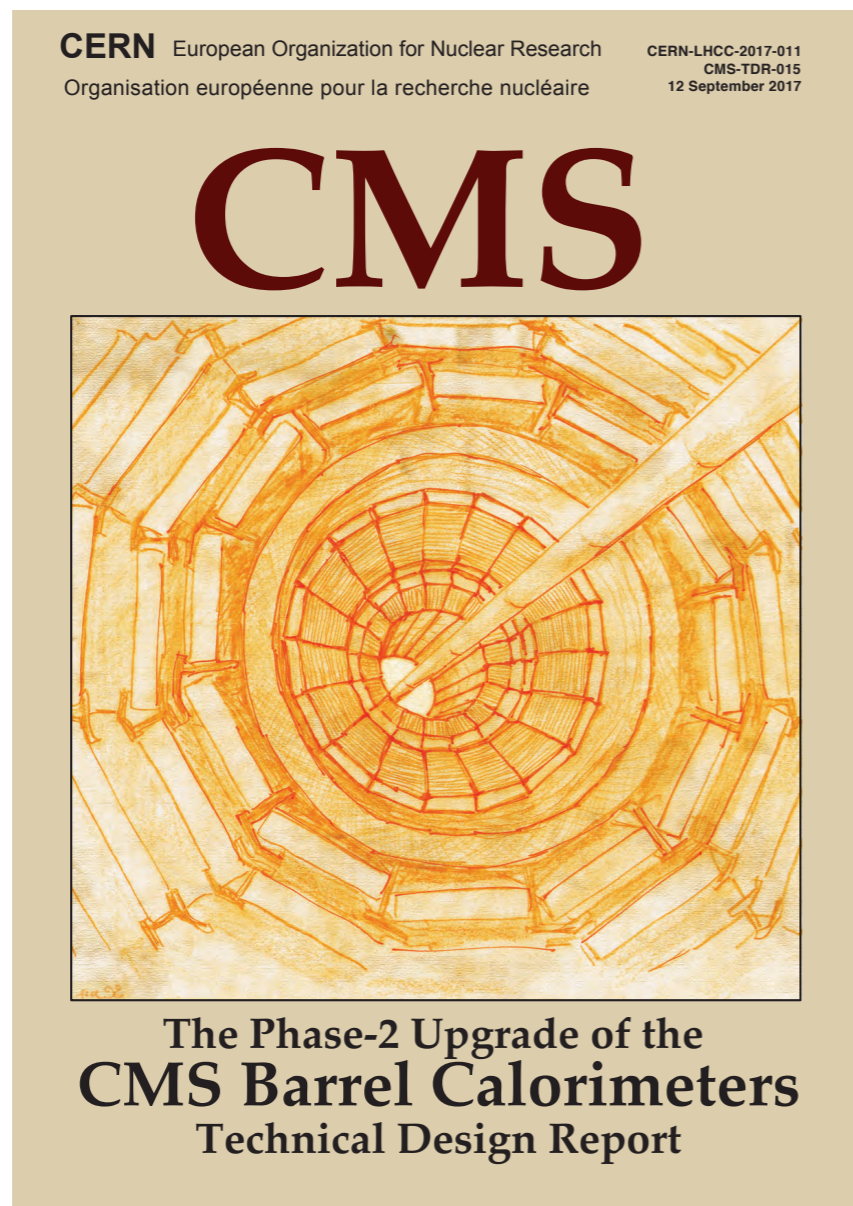
## $4\sigma$ measurement of Higgs self-coupling

provide constraints on the shape of the Higgs potential close to the minimum and would allow to verify the electroweak symmetry breaking mechanism of the SM

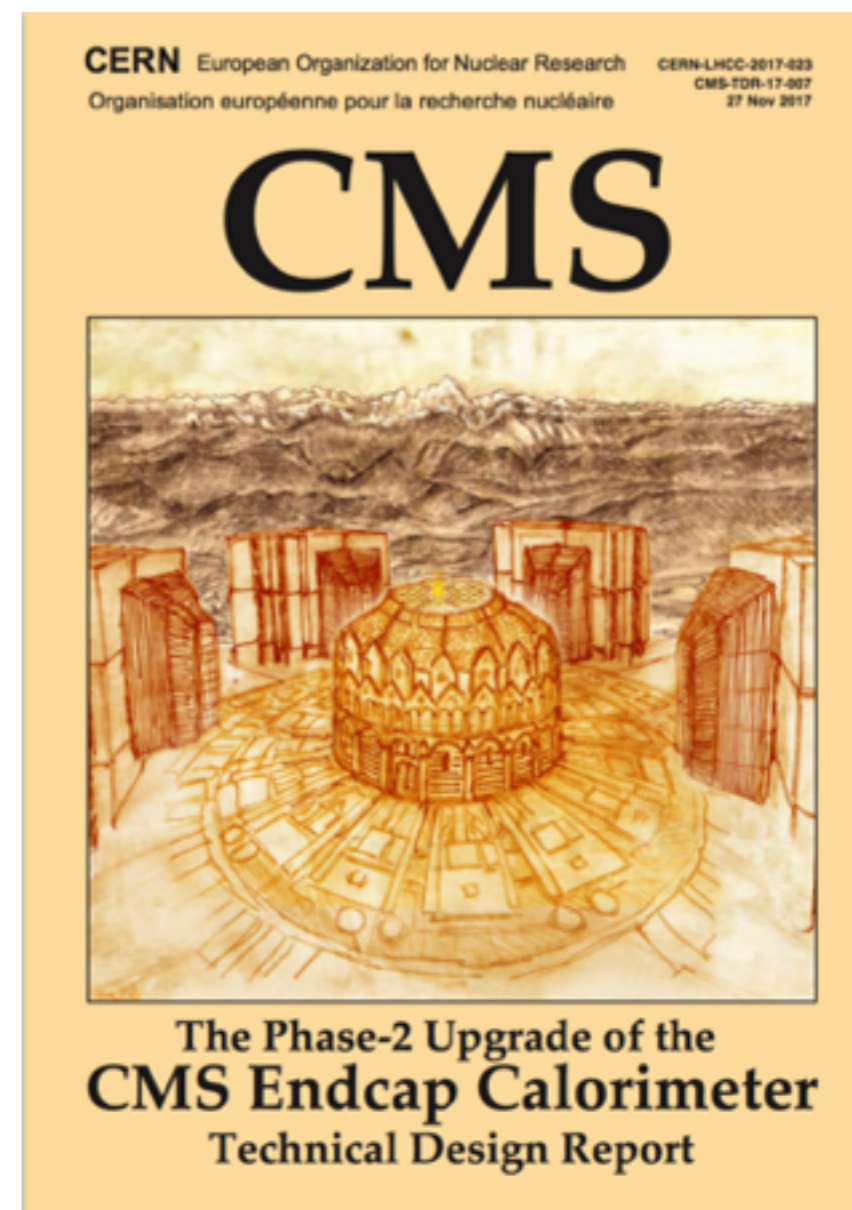
*$HH \rightarrow b\bar{b}\gamma\gamma$  most sensitive channel*

# Coming full circle

- **HL-LHC TDRs: released 20 years after the original versions**



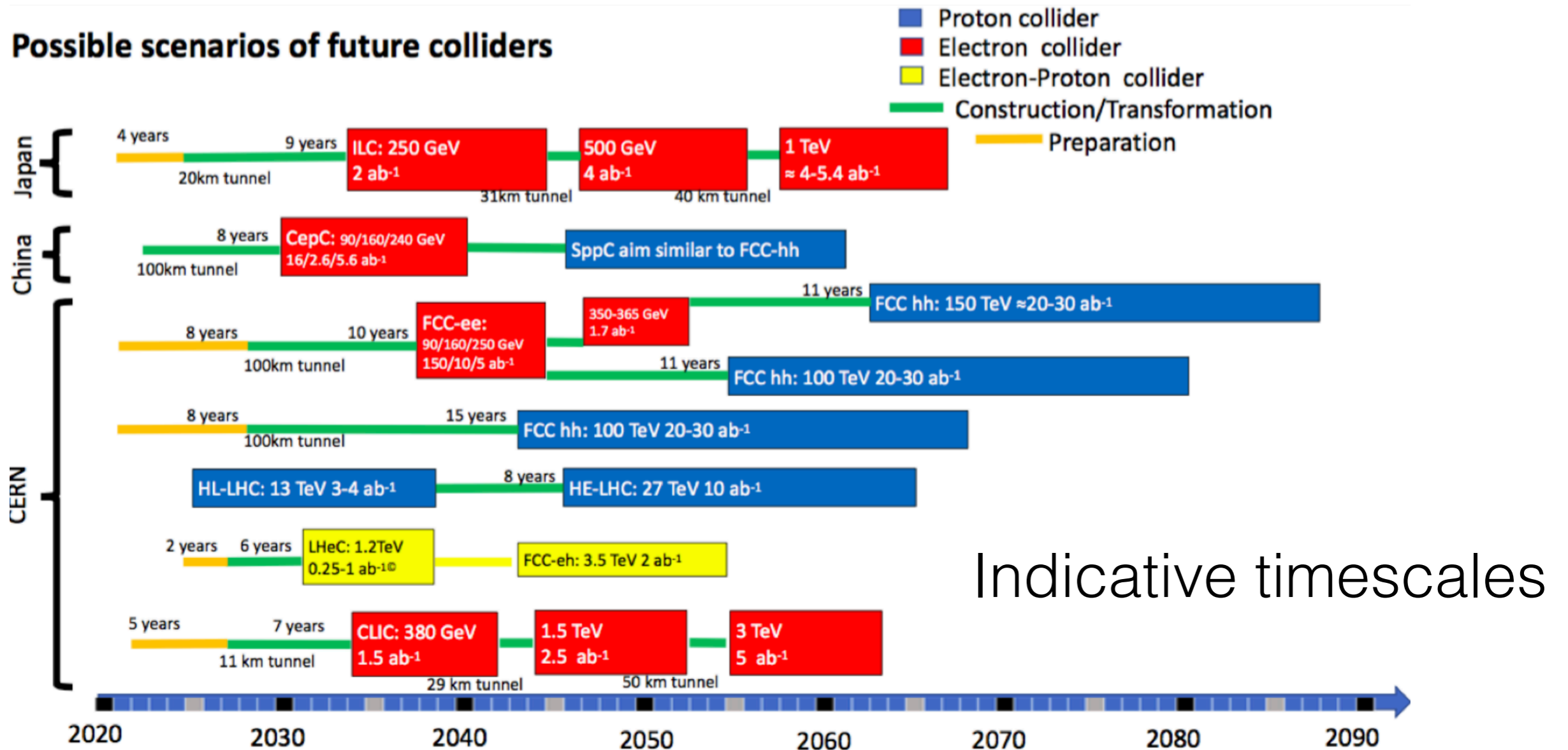
<https://cds.cern.ch/record/2283187/>



<https://cds.cern.ch/record/2293646/>

# The show must go on

- **Particle Physics community currently developing roadmap of future colliders/experiments**
  - includes both precision Higgs physics facilities (linear/circular e+e- colliders) and higher energy (100 TeV) pp discovery machines



# Calorimeters will be a key element of future collider experiments

## Detector requirements from future experiments

From the Detector R&D requirements [ECFA February session](#)

### 'No-collider' experiments

- High-intensity and radiation conditions
- Energy resolution, segmentation and timing
- Low energy particles
- Crystal purity

### Hadron colliders

- Pileup mitigation through precision timing and granularity
- Radiation tolerance (up 30 MGy for FCC-hh  $\rightarrow$   $\sim 30\times$  HL-LHC)
- Target energy resolution  $\sim 10\%\sqrt{E}$

### $\mu^+\mu^-$ colliders

- Mitigation of beam induced background (BIB) through precision timing and granularity
- Target energy resolution  $\sim 10\%\sqrt{E}$

### $e^+e^-$ colliders

- Improve  $Z \rightarrow ee$  recoil mass resolution
- Clustering of  $\pi^0$  photons
- Heavy flavor program (low energy photons)
- Target energy resolution  $\sim 3\%\sqrt{E}$

### Strong interaction experiments

- Measure low energy photons (down to 10 MeV)
- Photon pointing resolution
- Target energy resolution  $\sim 2\%\sqrt{E}$

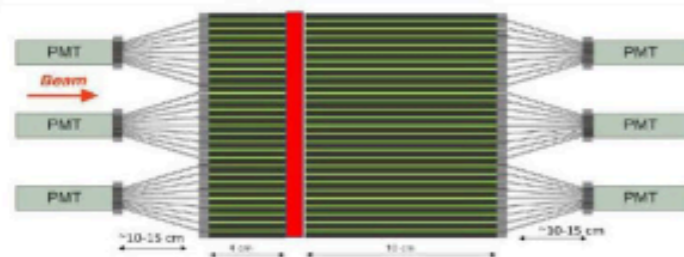
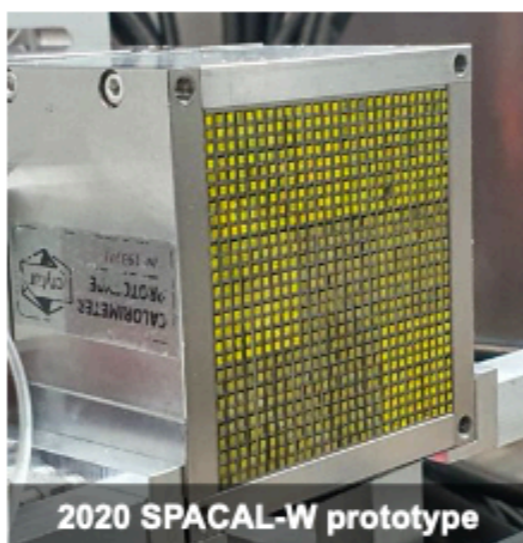
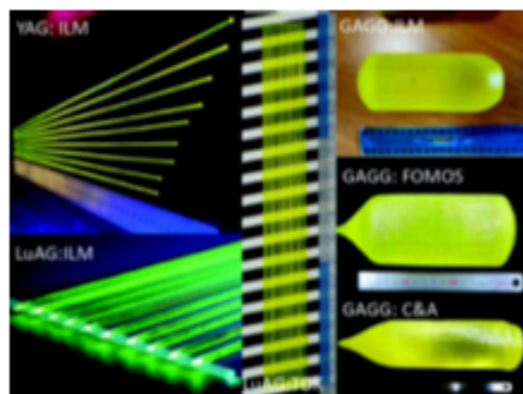
high granularity, excellent energy resolution, precise timing in focus

# Example designs - hadron colliders

## Radiation tolerant sampling crystal calorimeters

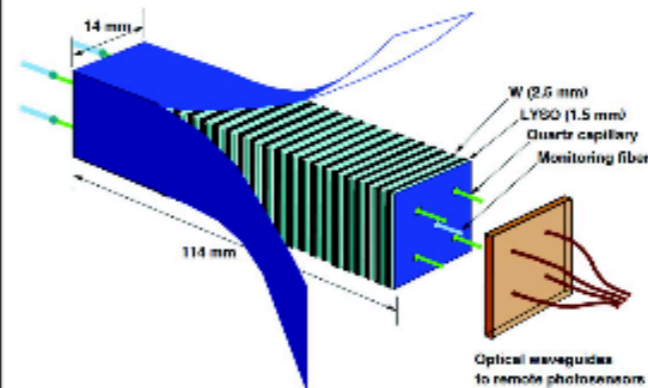
### Spaghetti calorimeter (candidate for the LHCb phase II upgrade)

- Crystal fibers inside an absorber 'groove' (more details [here](#))
- Co-doped garnet crystals (GAGG, YAG, GYAGG)
- **Possibility to mix different type of fibers** (e.g. Cerenkov, neutron sensitive)
- Targets:  $\sigma_E/E \sim 10\%/\sqrt{E}$ ,  $\sigma_t \sim O(10)\text{ps}$



### Shashlik calorimeter (was candidate for CMS phase II upgrade)

- Crystal slabs interleaved with tungsten slabs and read out with wavelength shifting fibers
- UV-emitting crystals (LYSO, CeF<sub>3</sub>)
- SiO<sub>2</sub>:Ce or LuAG:Ce fibers as WLS
- Targets:  $10\%/\sqrt{E}$ ,  $\sigma_t \sim O(10)\text{ps}$
- Ongoing R&D targeting FCC-hh applications with the *RADiCAL* detector concept ([CPAD 2021](#))



Combining tungsten with radiation tolerant crystals for compact calorimeters at hadron colliders

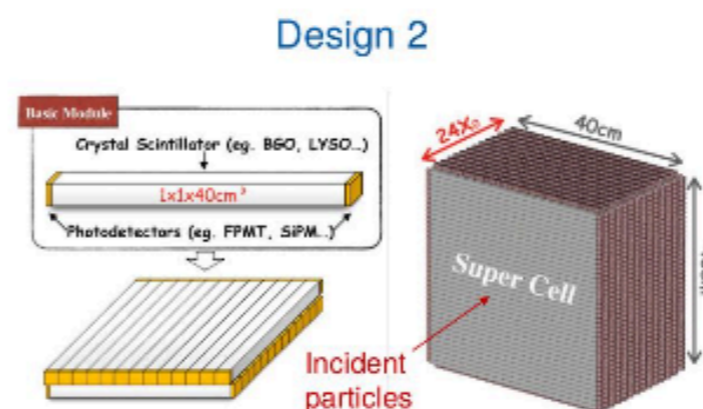
21

radiation tolerance is key for pp collider calorimeters

# Example designs - electron colliders

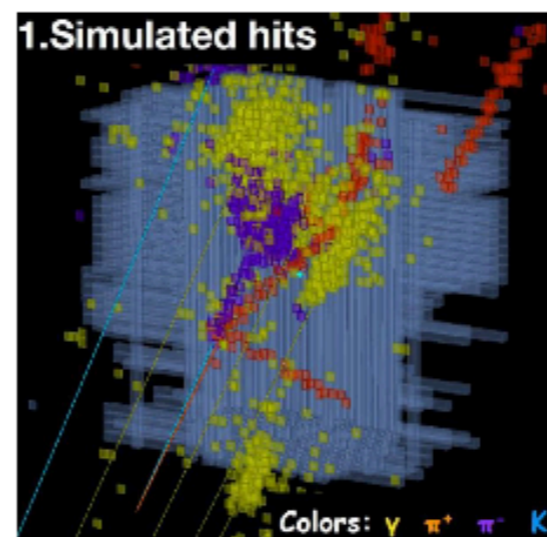
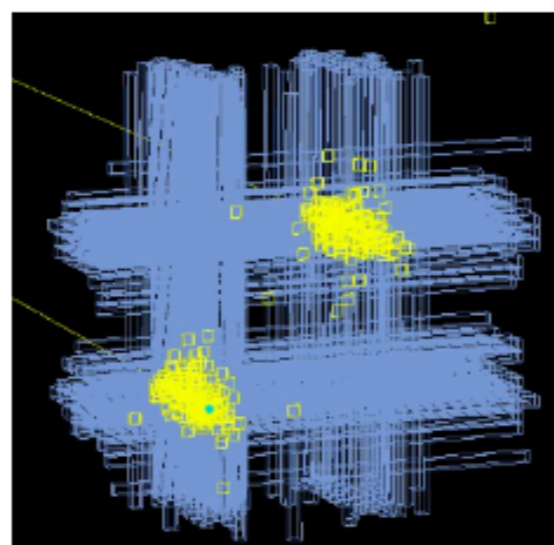
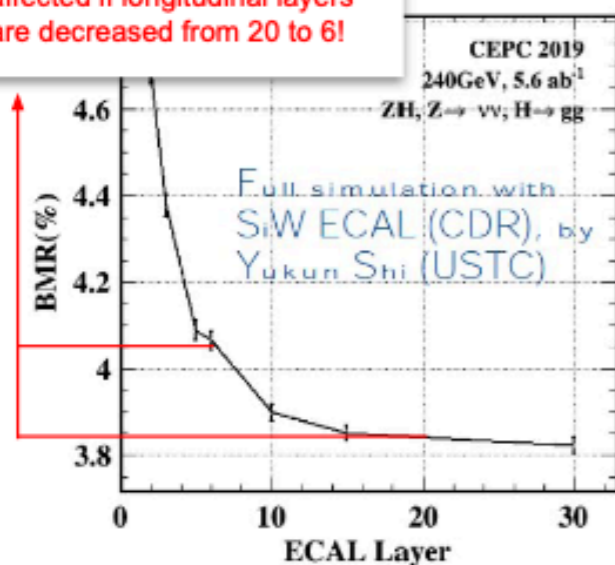
## High granularity crystal calorimeter for CEPC

Y.Liu, Detector concept with crystal calorimeter @IAS Conference 2021



Evaluating optimal crystal configuration for granular 3D imaging

PFA performance not too affected if longitudinal layers are decreased from 20 to 6!



Developing precision particle flow optimized for crystal calorimetry

Merging high granularity and high energy resolution for precision physics at  $e^+e^-$  colliders

23

Focus on energy resolution and segmentation for Particle Flow Reconstruction

# Example designs - electron colliders

High granularity crystal calorimeter for CEPC

Y.Liu, Detector concept

**Lots of new ideas on calorimeters for future hadron and lepton colliders**

**See recent Calorimeter Detector R&D (DRD6) workshop at CERN:**

<https://indico.cern.ch/event/1246381/>

***Very interesting time to get involved in Calorimeter R&D, bench tests, test beams and simulations for the future generation of calorimeter detectors***

ECAL Layer

Merging high granularity and high energy resolution for precision physics at  $e^+e^-$  colliders

23

Focus on energy resolution and segmentation for  
Particle Flow Reconstruction





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# Summary and outlook



# Summary

- **Calorimeters are a crucial element of HEP detectors**
  - provide total energy measurements of electrons/photons and jets
  - optimised for high spatial and energy resolution, often in challenging radiation environments
- **Calibration and monitoring are crucial to maintain optimal performance**
  - to minimise variations in energy response between channels and over time due to detector irradiation
- **Several different design choices have been implemented at LHC**
  - this complementary is essential - no “right” or “wrong” choices
  - physics output of LHC experiments is testament to the success of the designs
  - increased spatial and timing granularity in focus for HL-LHC upgrades to maintain performance in more challenging detector environment
  - **Thanks for listening and enjoy the remainder of the lectures!**

# References



## **CMS letter of intent**

<https://cds.cern.ch/record/290808/files/cern-lhcc-92-003.pdf>



## **ECAL and HCAL TDRs**

<https://cms-docdb.cern.ch/cgi-bin/PublicDocDB/ShowDocument?docid=2713>

<https://cds.cern.ch/record/357153/>

## **CMS detector paper**

<http://iopscience.iop.org/article/10.1088/1748-0221/3/08/S08004/>

## **ECAL Run 1 performance**

<https://cds.cern.ch/record/1554142>

## **HCAL Phase 1 TDR**

<https://cds.cern.ch/record/1481837/>

## **Phase 2 Technical proposal (see ch 3)**

<https://cds.cern.ch/record/2020886>

## **LEGO® CMS Model**

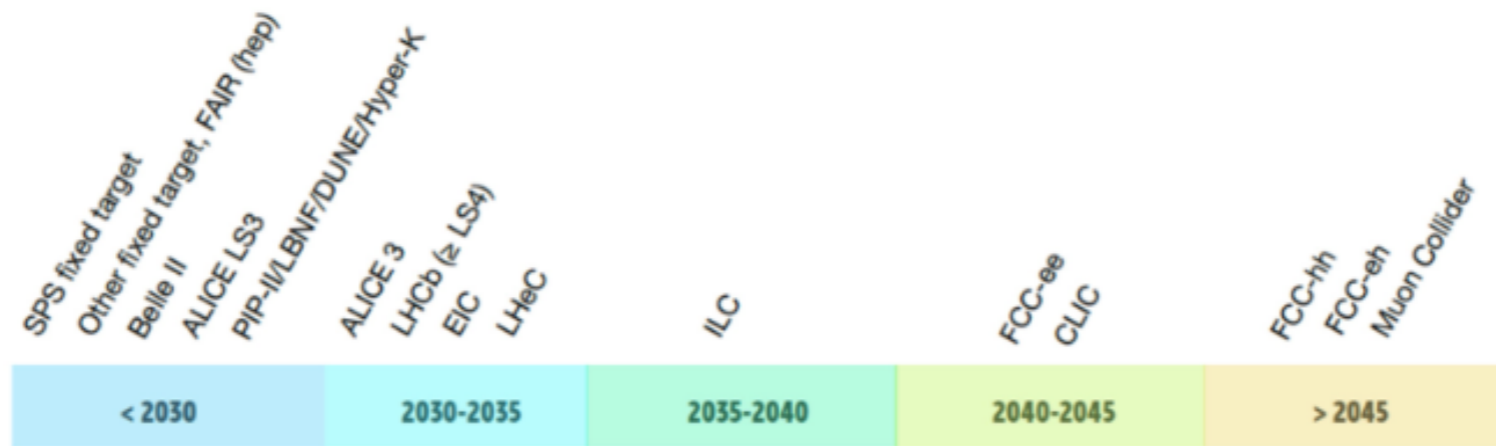
<https://build-your-own-particle-detector.org/models/cms-lego-model>



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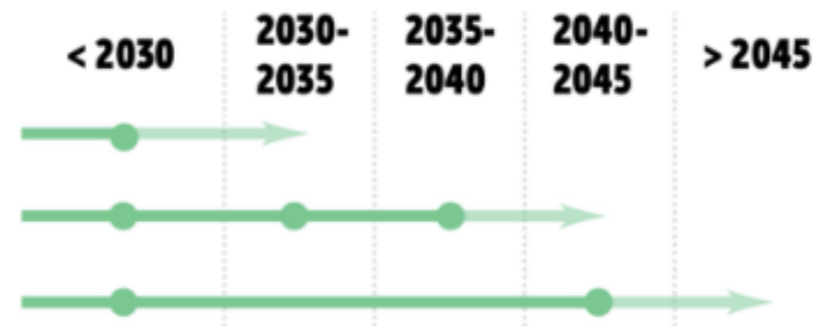
# Spares





Calorimetry

- DRDT 6.1** Develop radiation-hard calorimeters with enhanced electromagnetic energy and timing resolution
- DRDT 6.2** Develop high-granular calorimeters with multi-dimensional readout for optimised use of particle flow methods
- DRDT 6.3** Develop calorimeters for extreme radiation, rate and pile-up environments



- Calorimeters are no longer a detector to measure only Energy (1D)
- High granularity is recurrent topic in all the proposals (+ 3D)
  - 2D-segmentation
  - 3<sup>rd</sup> dimensions achieved either by physical segmentation or by timing information
- Timing is also additional “dimension” of the calorimeter (+1D)
  - pile-up rejection ( $\mu$ -collider, FCC-hh, ...)
  - better track/particle matching
  - **tens of ps** is the current paradigm for timing application

## ECFA Identified Key Technologies and R&D Tasks

Key technologies and requirements are identified in Roadmap

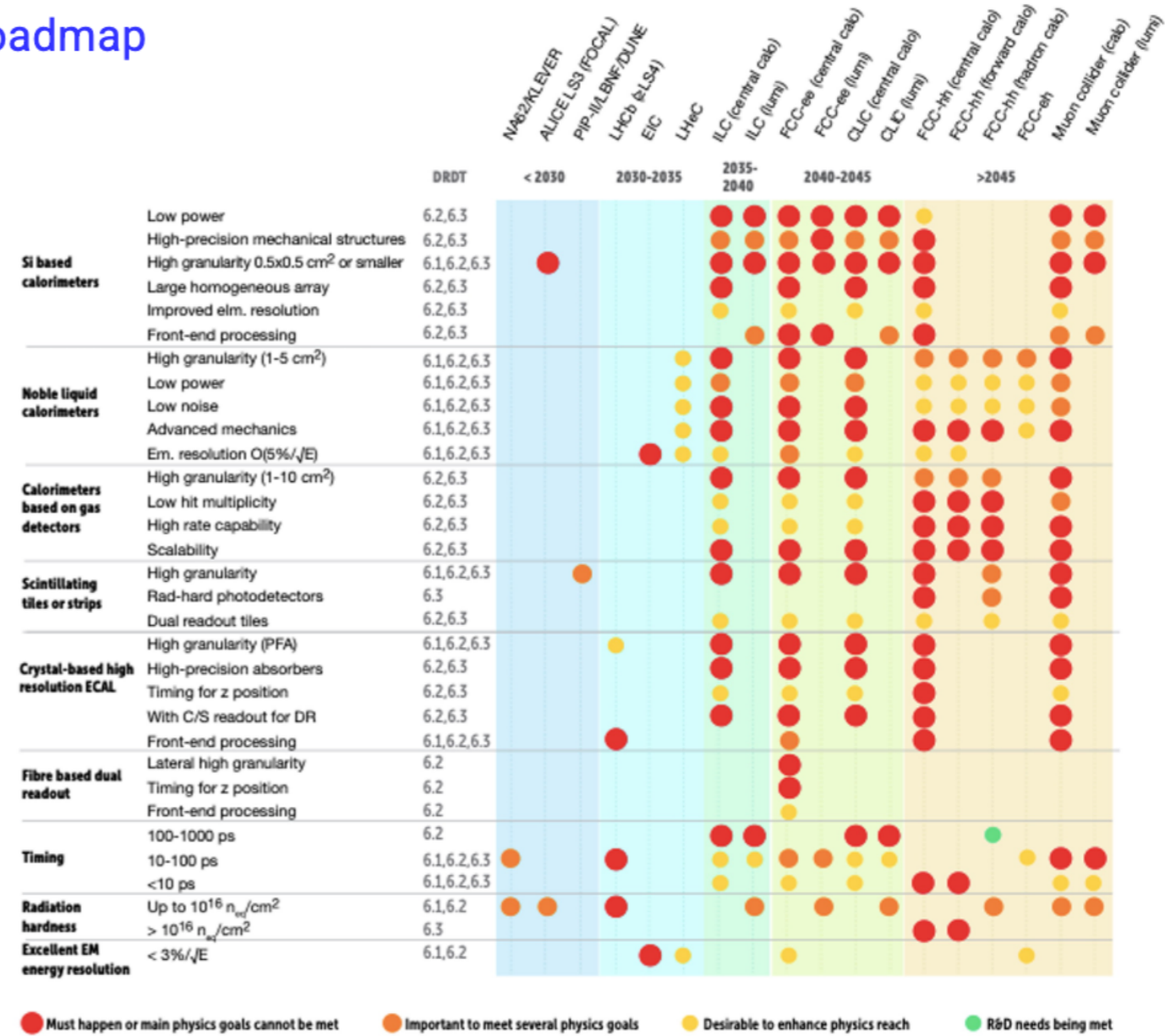
- Si based Calorimeters
- Noble Liquid Calorimeters
- Calorimeters based on gas detectors
- Scintillating tiles and strips
- Crystal based high-resolution ECALs
- Fibre based dual readout

R&D should in particular enable

- Precision timing
- Radiation hardness

R&D Tasks are grouped into

- **Must happen**
- **Important**
- **Desirable**
- **Already met**

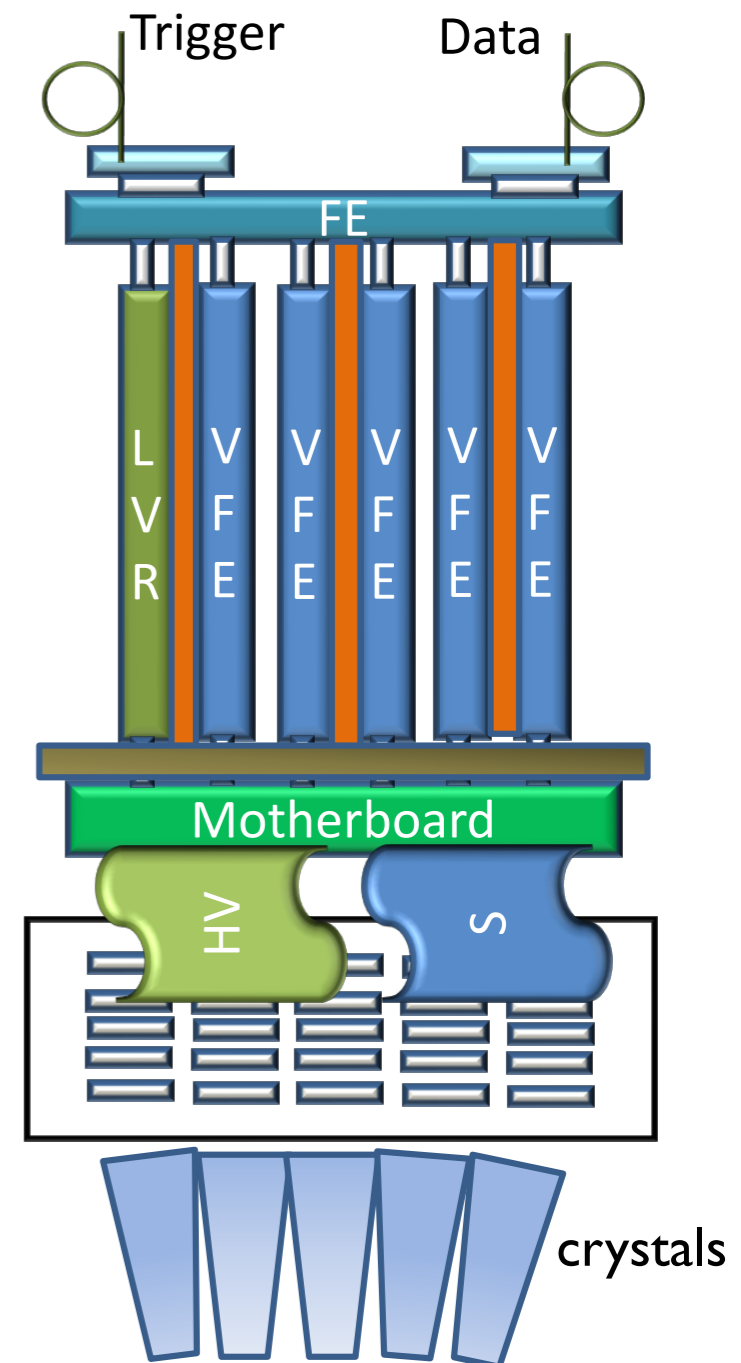




# ECAL Barrel to be refurbished

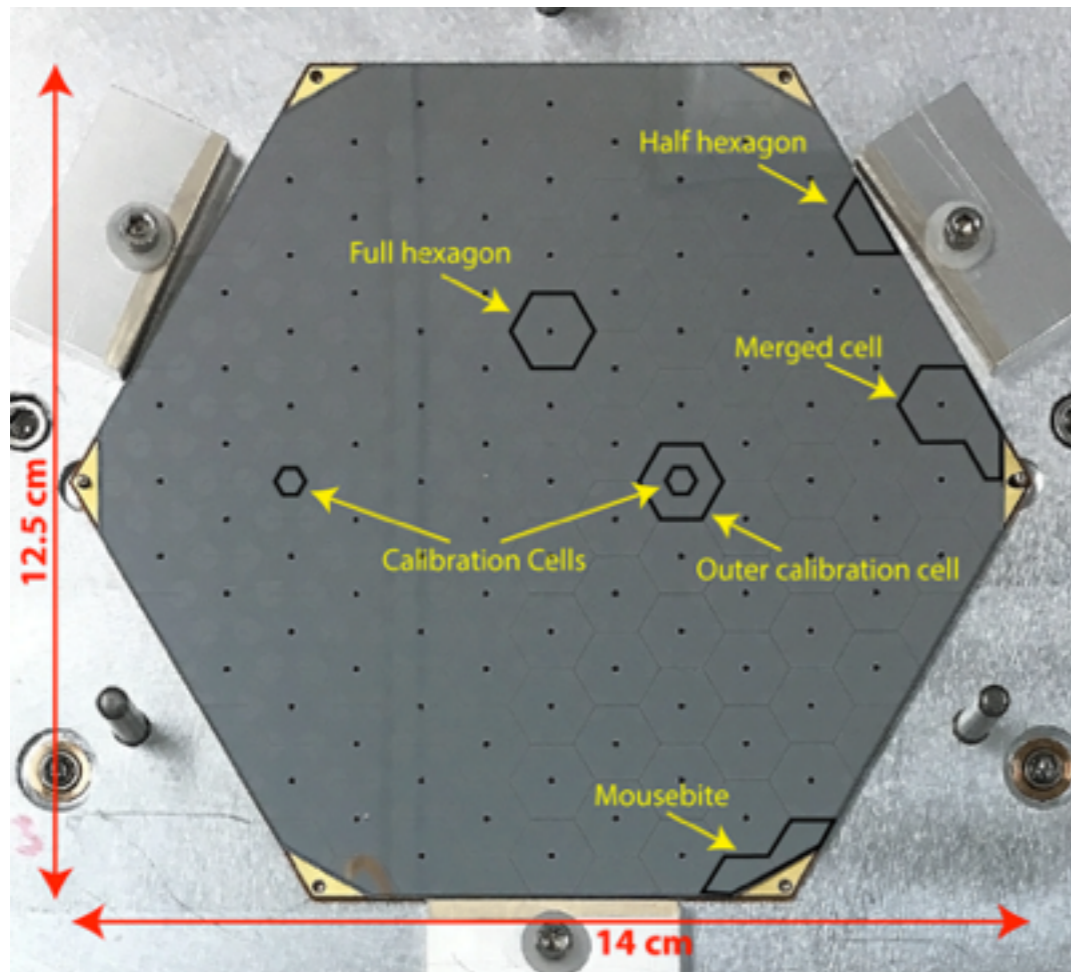
## Extraction and refurbishment of 36 EB Supermodules during LS3

- Replace Front-End (FE) and Very-Front-End (VFE) readout
  - **to be compatible with increased HL-LHC trigger requirements**
  - to cope with challenging HL-LHC conditions (noise, PU, anomalous APD signals).
  - Make precise timing measurements for high energy photons.
- **Run colder** to mitigate increase in radiation induced APD dark current
- **New off-detector readout** to cope with higher output bandwidth from FE
- **Crystals + APDs will be retained**



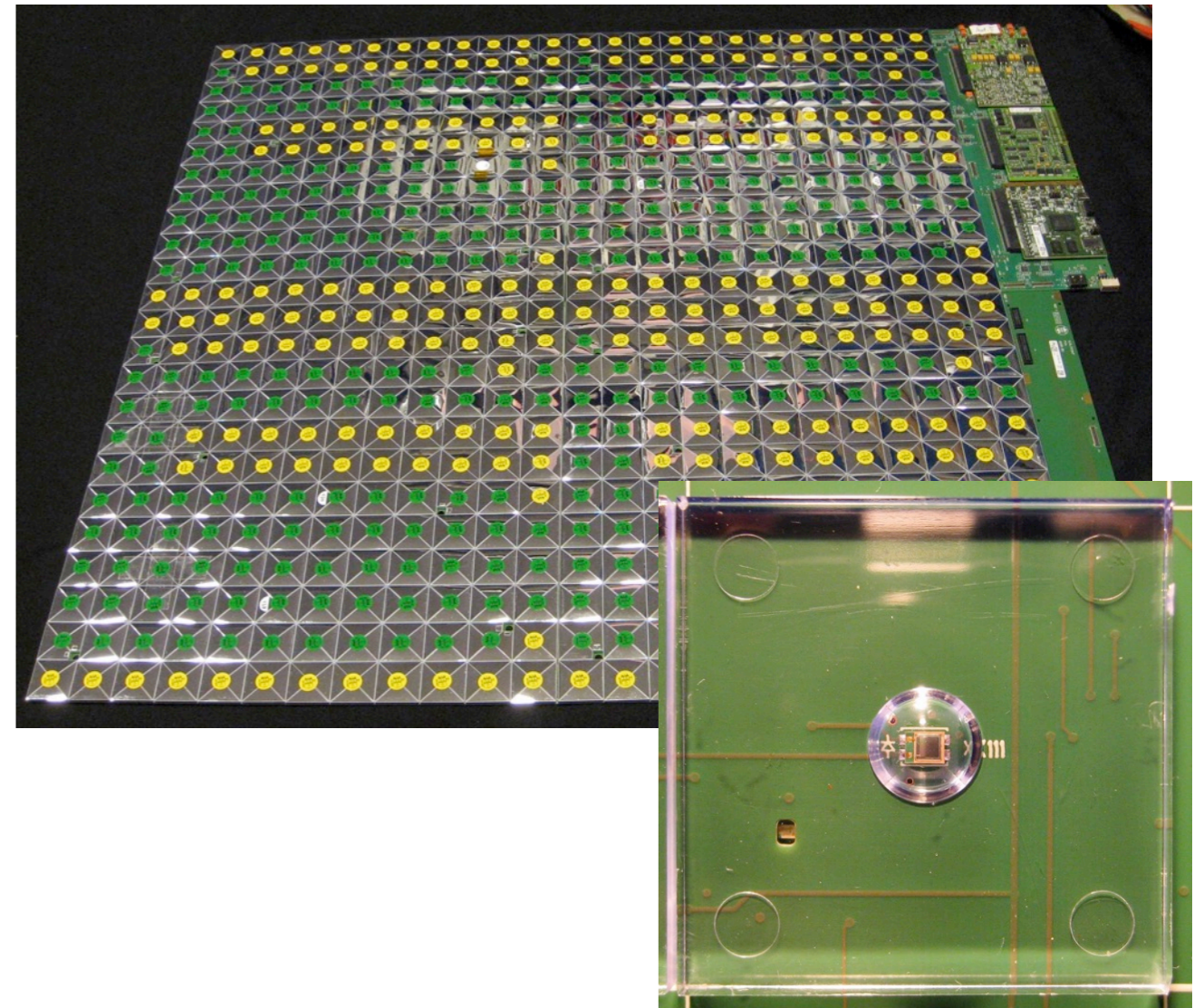
ECAL barrel trigger tower  
(25 crystals)

# Endcap Calorimeter detector elements



## Prototype silicon sensor

Hexagonal silicon detector cells  
 special high gain MIP calibration cells  
 must operate at -30 degC to limit Si  
 leakage current



## SiPM on tile scintillator cells

4cm<sup>2</sup> to 32cm<sup>2</sup> cells with direct SiPM  
 readout  
 adapted from CALICE HCAL prototype

# ECAL crystals are capable of precise timing

- **CMS ECAL crystals and APDs are capable of providing precise timing information**

- **intrinsic timing resolution: ~20 ps**

- **ECAL timing distribution system was not designed for sub-ns timing measurements**

- **achieved timing resolution is ~150ps, limited by timing distribution to front-end boards**

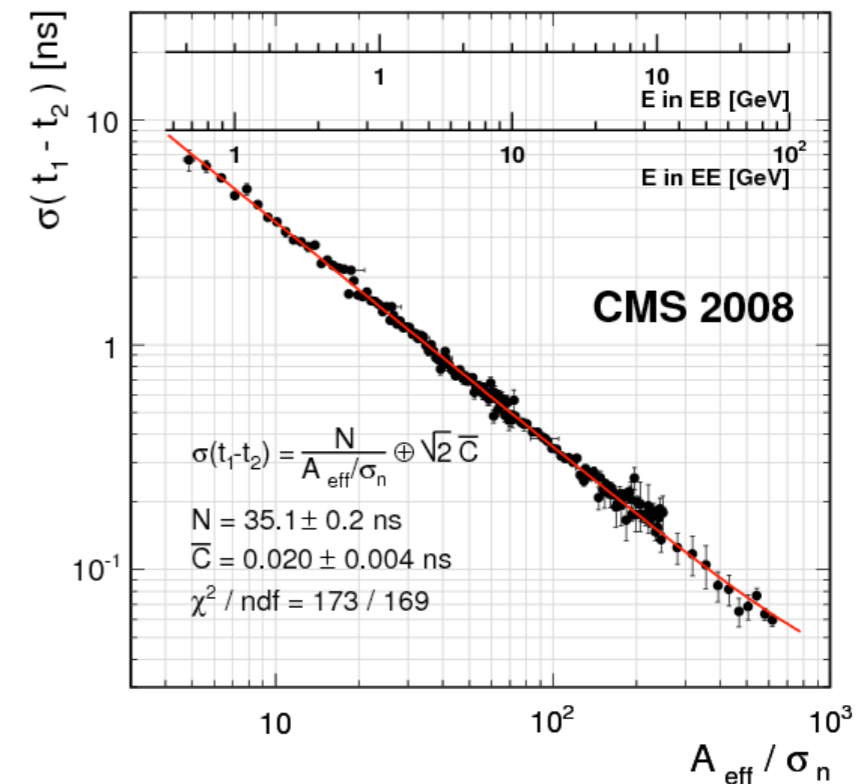
- **Phase-2 upgrade prioritises precise timing resolution**

- **Crystals and APDs will remain in Barrel**

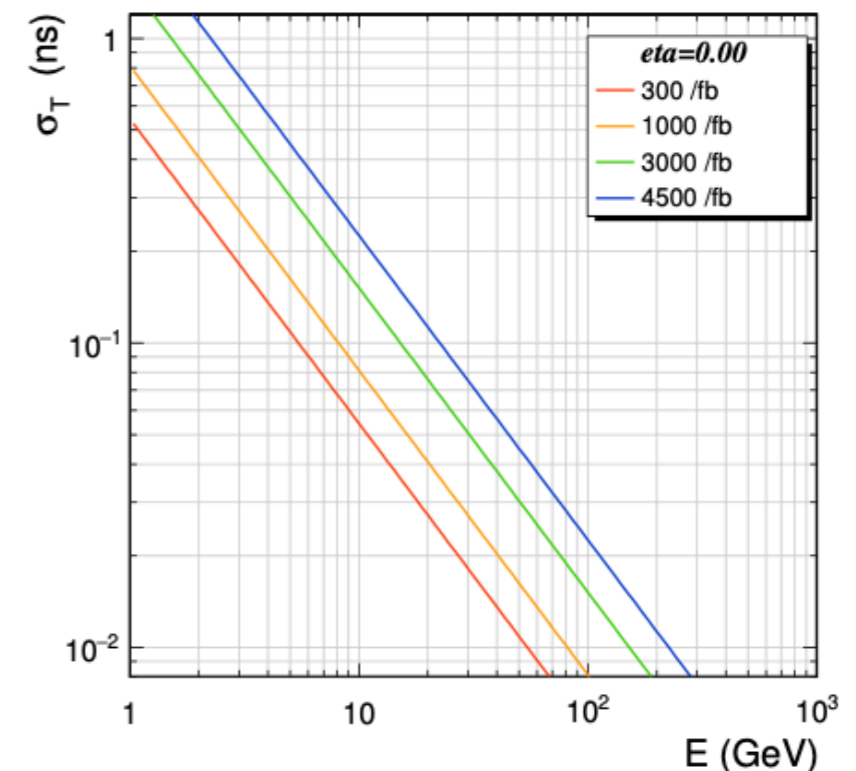
- **ECAL will use a redesigned front-end preamp and ADC to minimise pulse shaping and oversample signal pulse**

- **dedicated timing distribution system to achieve 30ps resolution**

- **ageing (APD noise increase) gradually degrades performance**

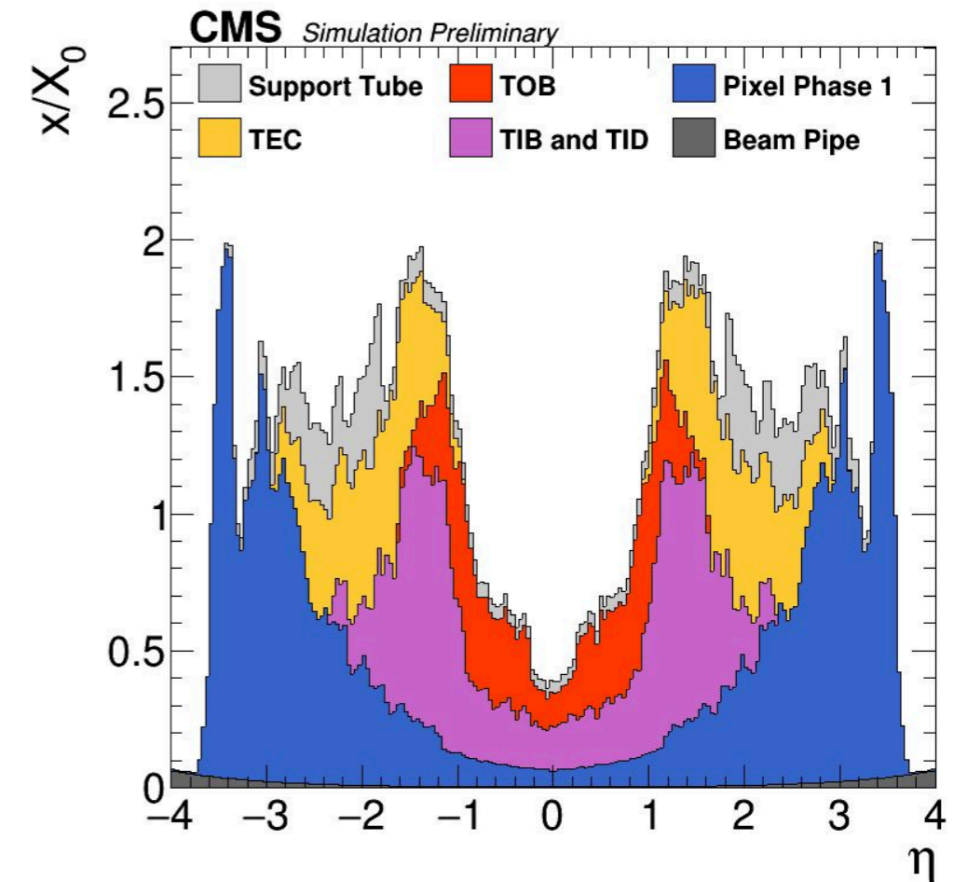
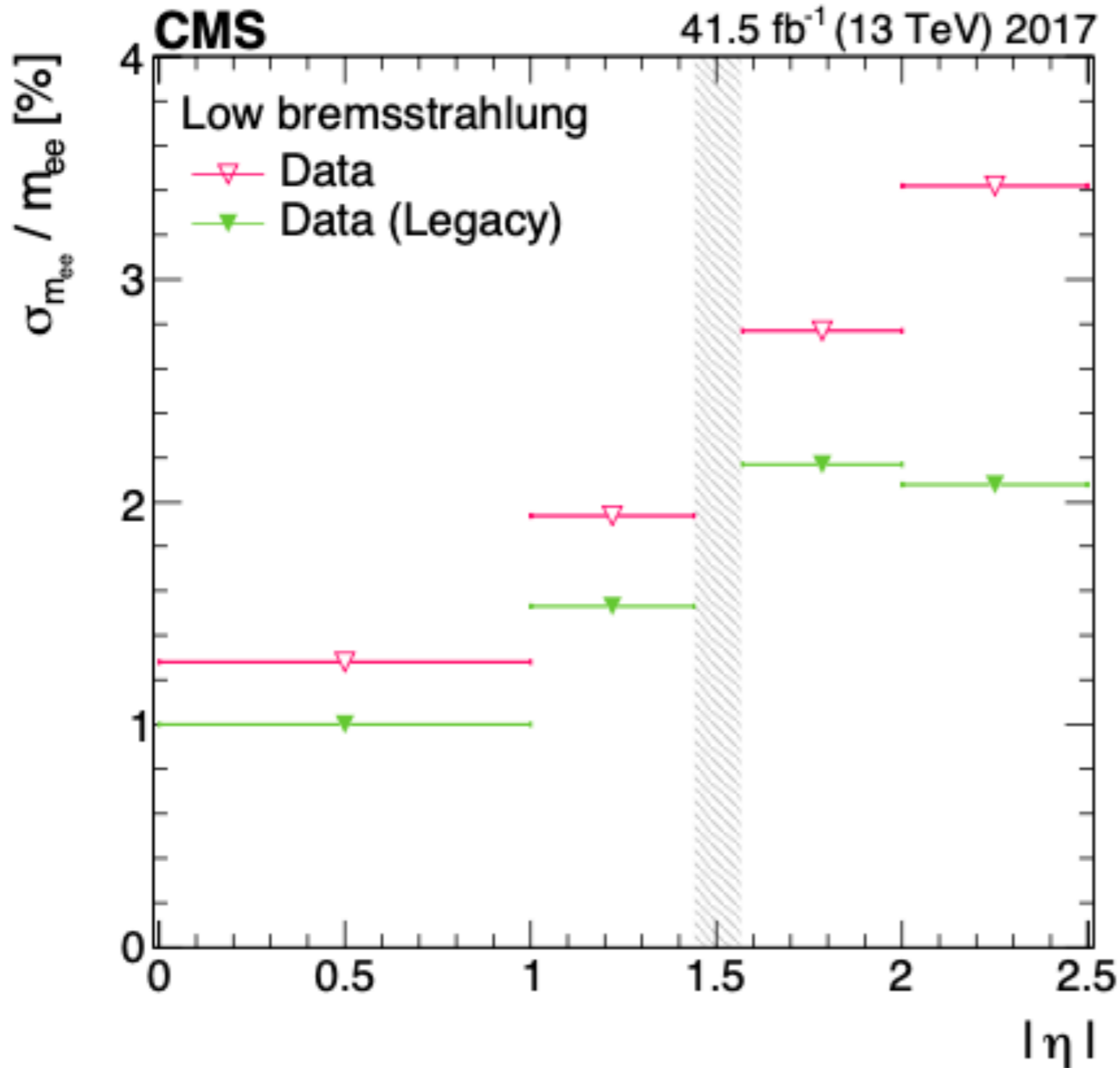


ECAL time resolution measured from test beam



Phase-2 ECAL time resolution vs luminosity

# ECAL energy resolution improves with recalibration

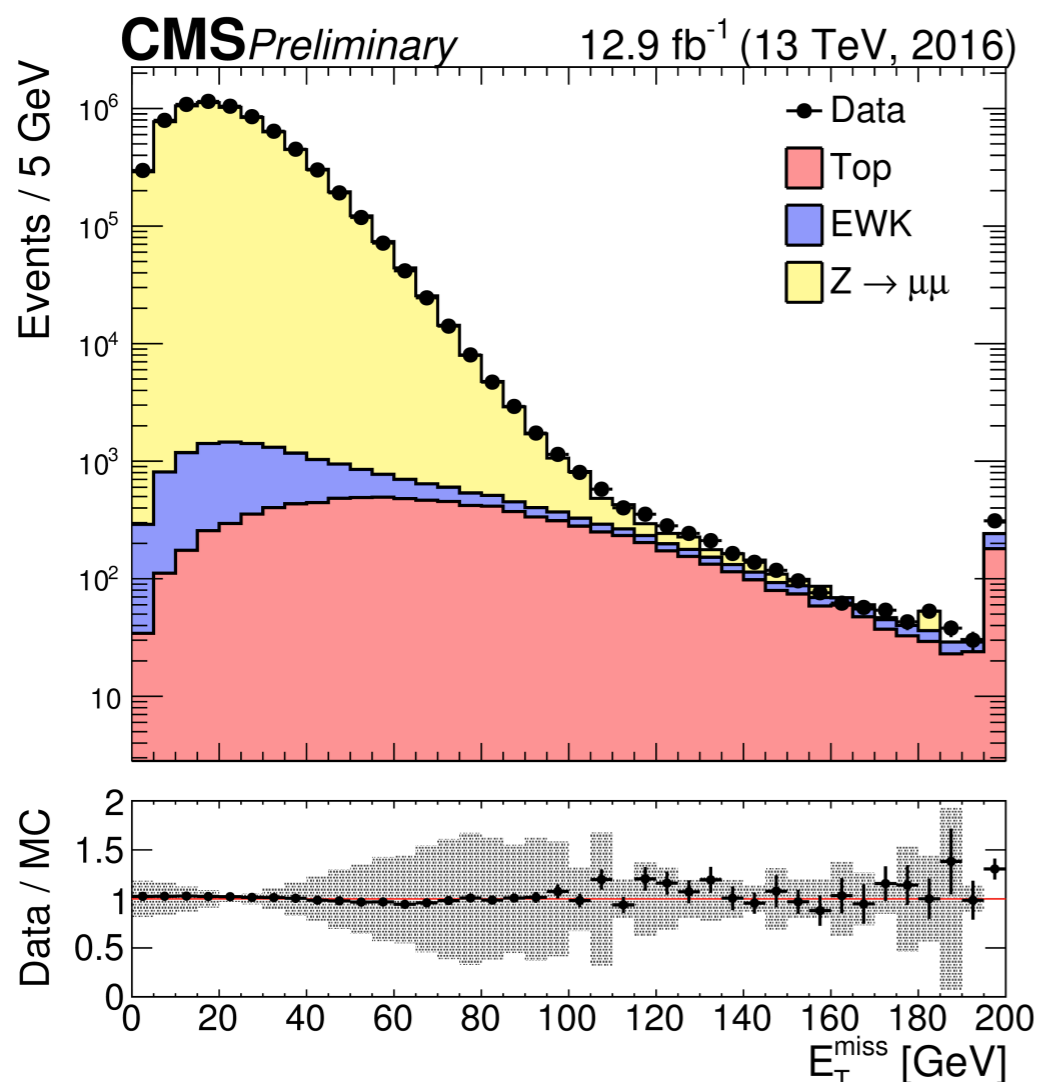


Tracker material budget (in  $X_0$ )

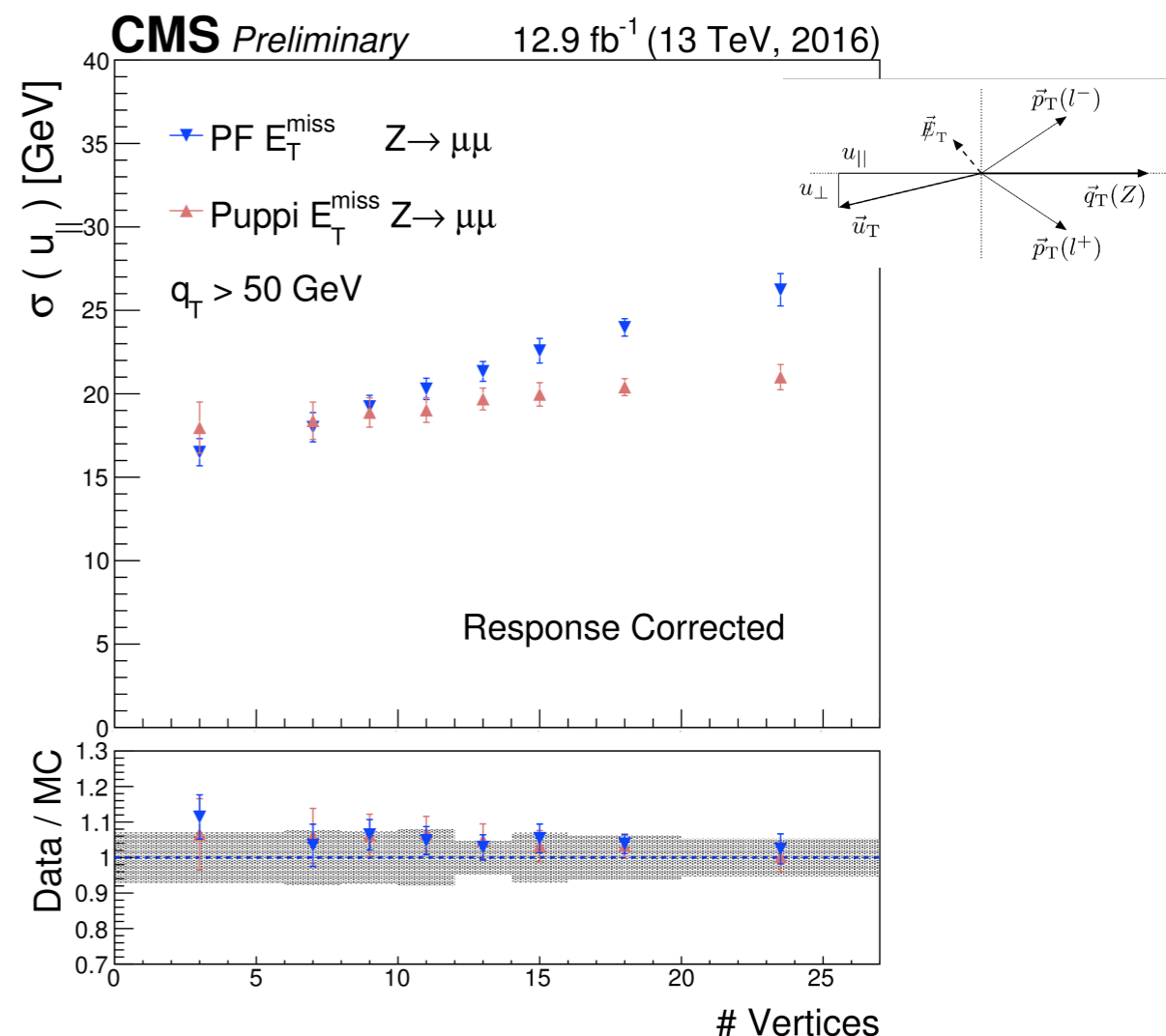
$Z \rightarrow ee$  invariant mass resolution vs eta from 2017 CMS data  
 recalibrated data (green) shows significantly better performance, particularly in EE  
[resolution vs eta trend follows material budget of CMS tracker -> best performance at  \$|\eta|=0\$](#)

# MET performance

**Missing energy distribution is an excellent test of calorimeter understanding**  
 any unexpected noise source or detector miscalibration can generate fake MET



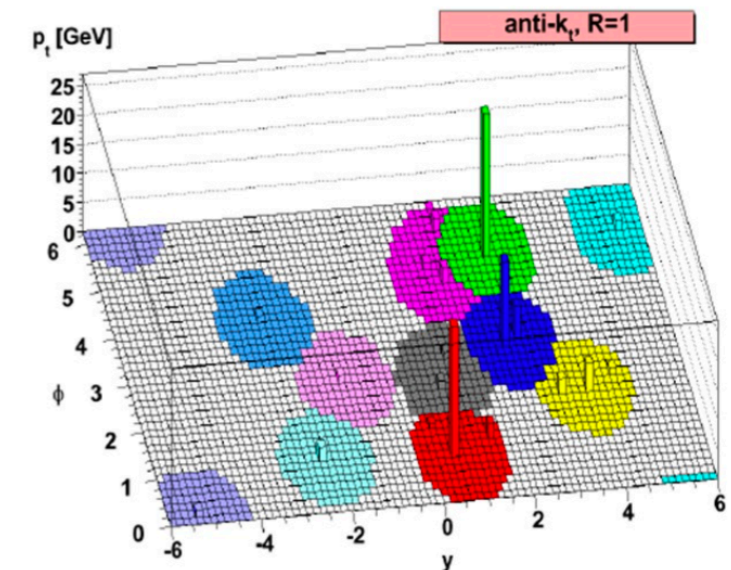
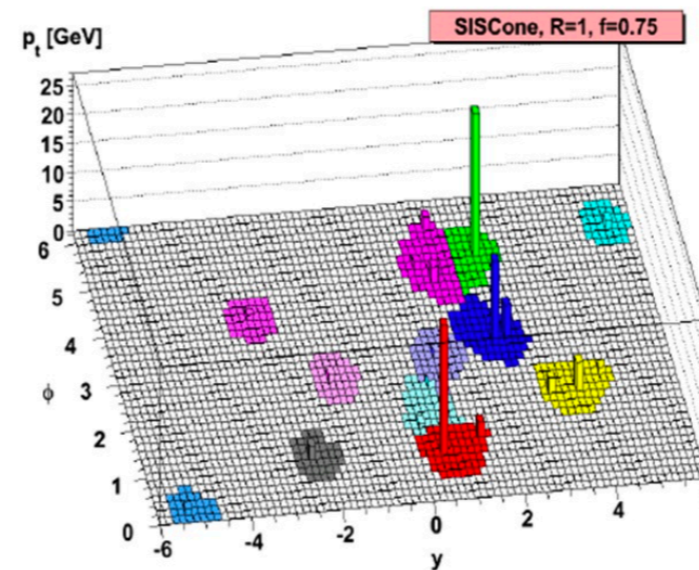
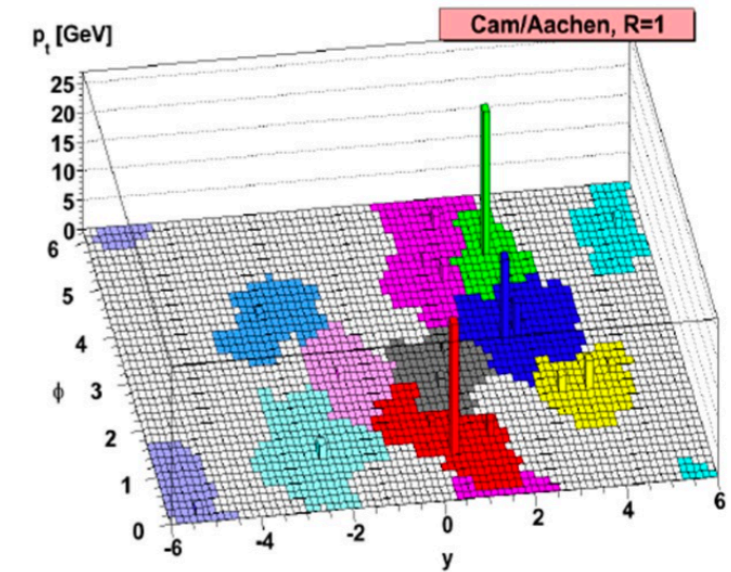
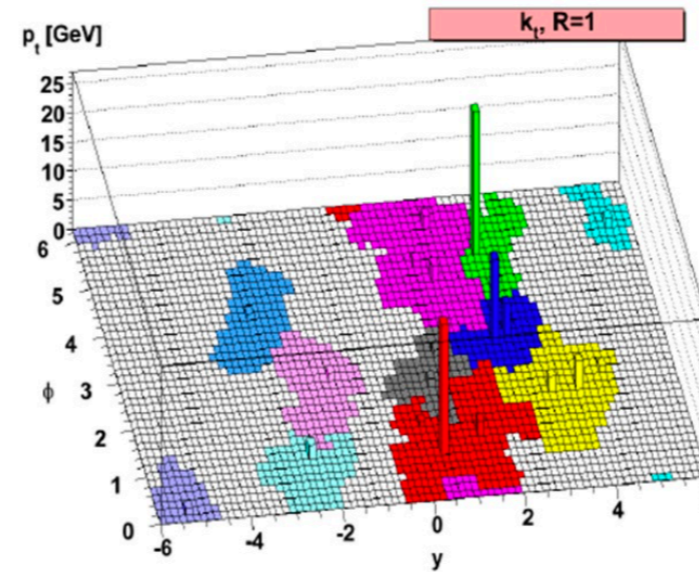
Missing  $E_T$  distribution for PF MET  
 from Z→mumu events



Resolution for PF/PUPPI MET  
 from Z→mumu events  
 showing impact of advanced PU mitigation  
 treatment, using calorimeters and tracks

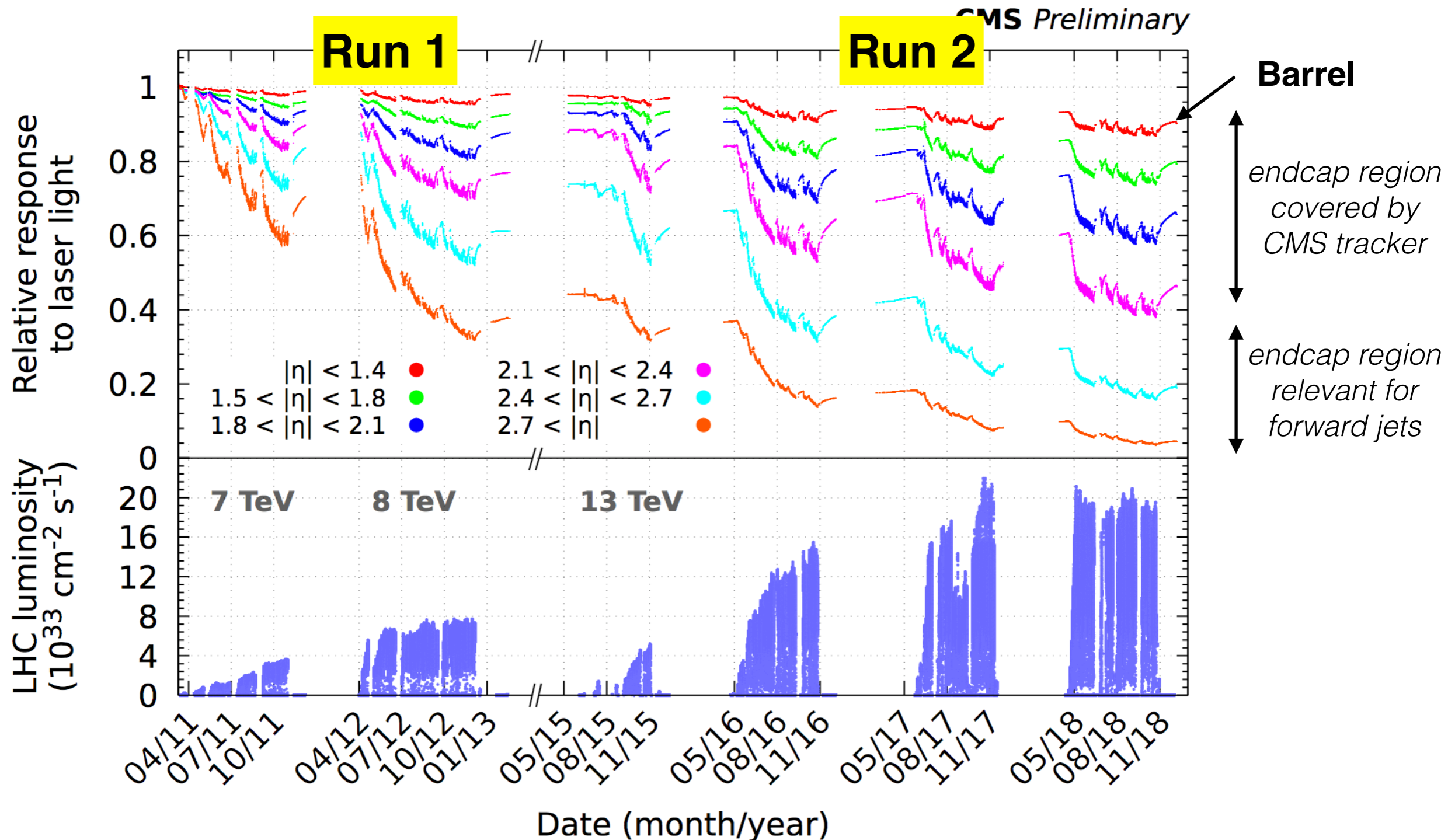
# Jet reconstruction

- **Various algorithms used to reconstruct jets**
  - iterative cone algorithms
    - cluster energy deposits based on eta/phi regions
      - not IR or collinear safe
  - sequential clustering algorithms are favoured
    - cluster energy deposits based on particle  $p_T$  and eta/phi proximity
- **Preferred approach depends on application**
  - anti- $k_T$  good for resolving jets
  - Cam/Aachen good for studying jet substructure



**Comparison of several jet reconstruction algorithms on the same input data**

# Impact of ageing on ECAL response



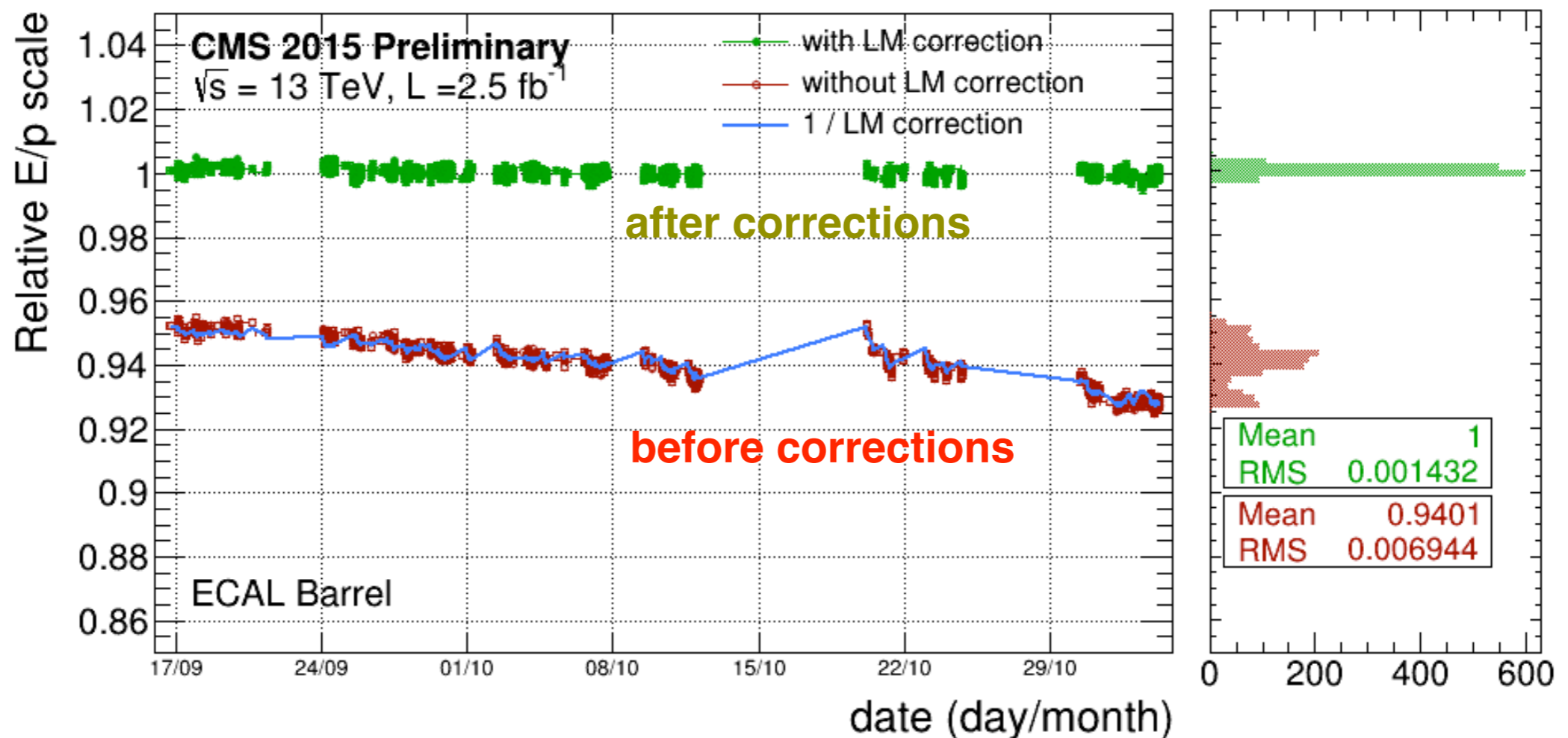
**Significant response changes (crystal + photodetector) due to LHC irradiation**

*Corrections are provided within 48h via dedicated laser monitoring system*

**These are crucial to maintain stable ECAL energy scale and resolution over time**

# Effectiveness of light monitoring corrections

**Light monitoring corrections are applied to reconstructed CMS data**  
 validated corrections are needed in <48h



**Stability of EB energy scale, from E/p ratio of  $W \rightarrow e\nu$  decays**  
**(RMS=0.14%)**



# Homogenous vs sampling calorimeters

Technology (Experiment)	Depth	Energy resolution	Date
NaI(Tl) (Crystal Ball)	$20X_0$	$2.7\%/E^{1/4}$	1983
Bi <sub>4</sub> Ge <sub>3</sub> O <sub>12</sub> (BGO) (L3)	$22X_0$	$2\%/ \sqrt{E} \oplus 0.7\%$	1993
CsI (KTeV)	$27X_0$	$2\%/ \sqrt{E} \oplus 0.45\%$	1996
CsI(Tl) (BaBar)	$16-18X_0$	$2.3\%/E^{1/4} \oplus 1.4\%$	1999
CsI(Tl) (BELLE)	$16X_0$	1.7% for $E_\gamma > 3.5$ GeV	1998
PbWO <sub>4</sub> (PWO) (CMS)	$25X_0$	$3\%/ \sqrt{E} \oplus 0.5\% \oplus 0.2/E$	1997
Lead glass (OPAL)	$20.5X_0$	$5\%/ \sqrt{E}$	1990
Liquid Kr (NA48)	$27X_0$	$3.2\%/ \sqrt{E} \oplus 0.42\% \oplus 0.09/E$	1998
Scintillator/depleted U (ZEUS)	$20-30X_0$	$18\%/ \sqrt{E}$	1988
Scintillator/Pb (CDF)	$18X_0$	$13.5\%/ \sqrt{E}$	1988
Scintillator fiber/Pb spaghetti (KLOE)	$15X_0$	$5.7\%/ \sqrt{E} \oplus 0.6\%$	1995
Liquid Ar/Pb (NA31)	$27X_0$	$7.5\%/ \sqrt{E} \oplus 0.5\% \oplus 0.1/E$	1988
Liquid Ar/Pb (SLD)	$21X_0$	$8\%/ \sqrt{E}$	1993
Liquid Ar/Pb (H1)	$20-30X_0$	$12\%/ \sqrt{E} \oplus 1\%$	1998
Liquid Ar/depl. U (DØ)	$20.5X_0$	$16\%/ \sqrt{E} \oplus 0.3\% \oplus 0.3/E$	1993
Liquid Ar/Pb accordion (ATLAS)	$25X_0$	$10\%/ \sqrt{E} \oplus 0.4\% \oplus 0.3/E$	1996

Homogeneous

Homogenous calorimeters have smaller stochastic term

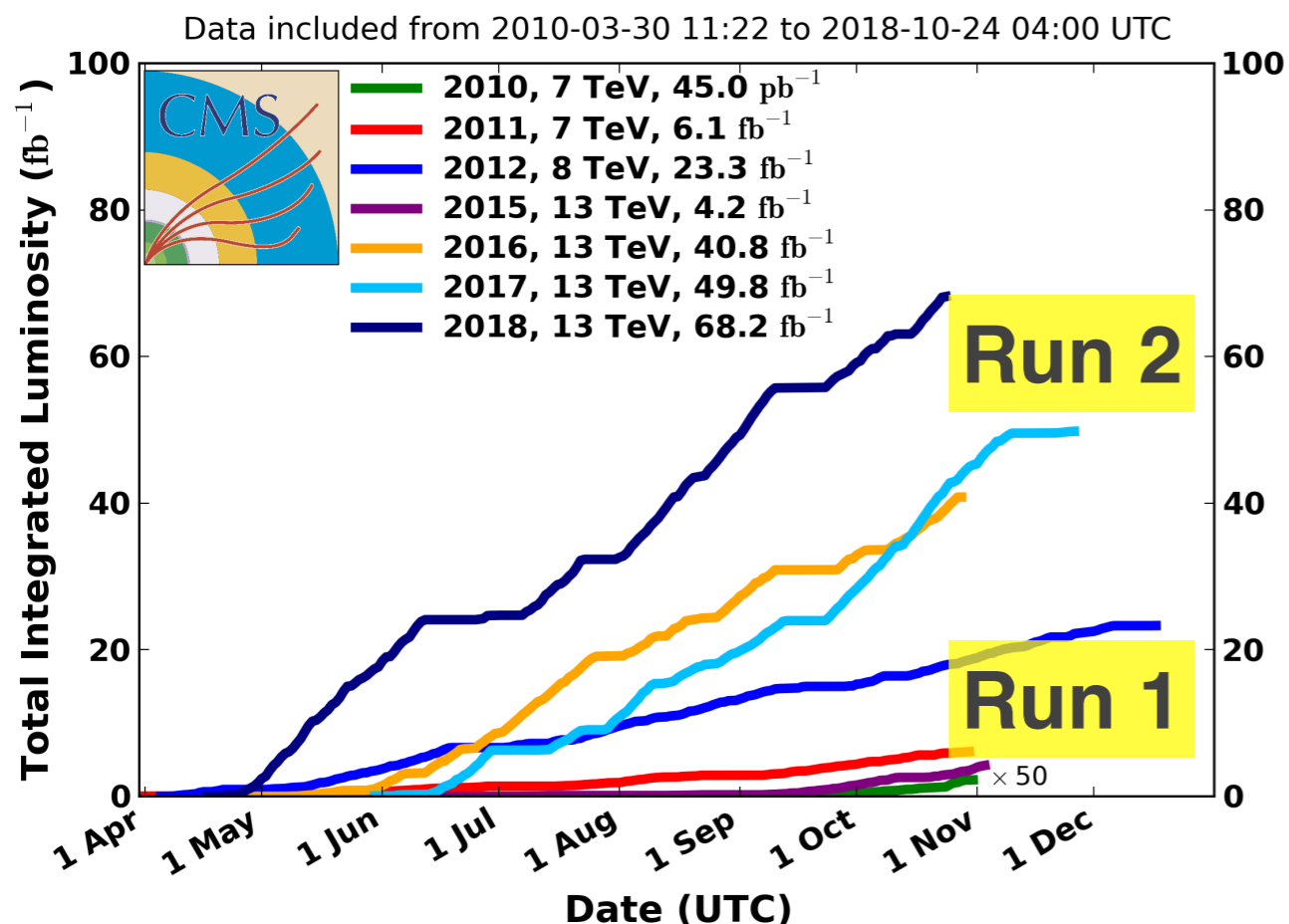
Sampling

Similar constant terms

# ECAL Challenges during Run 2

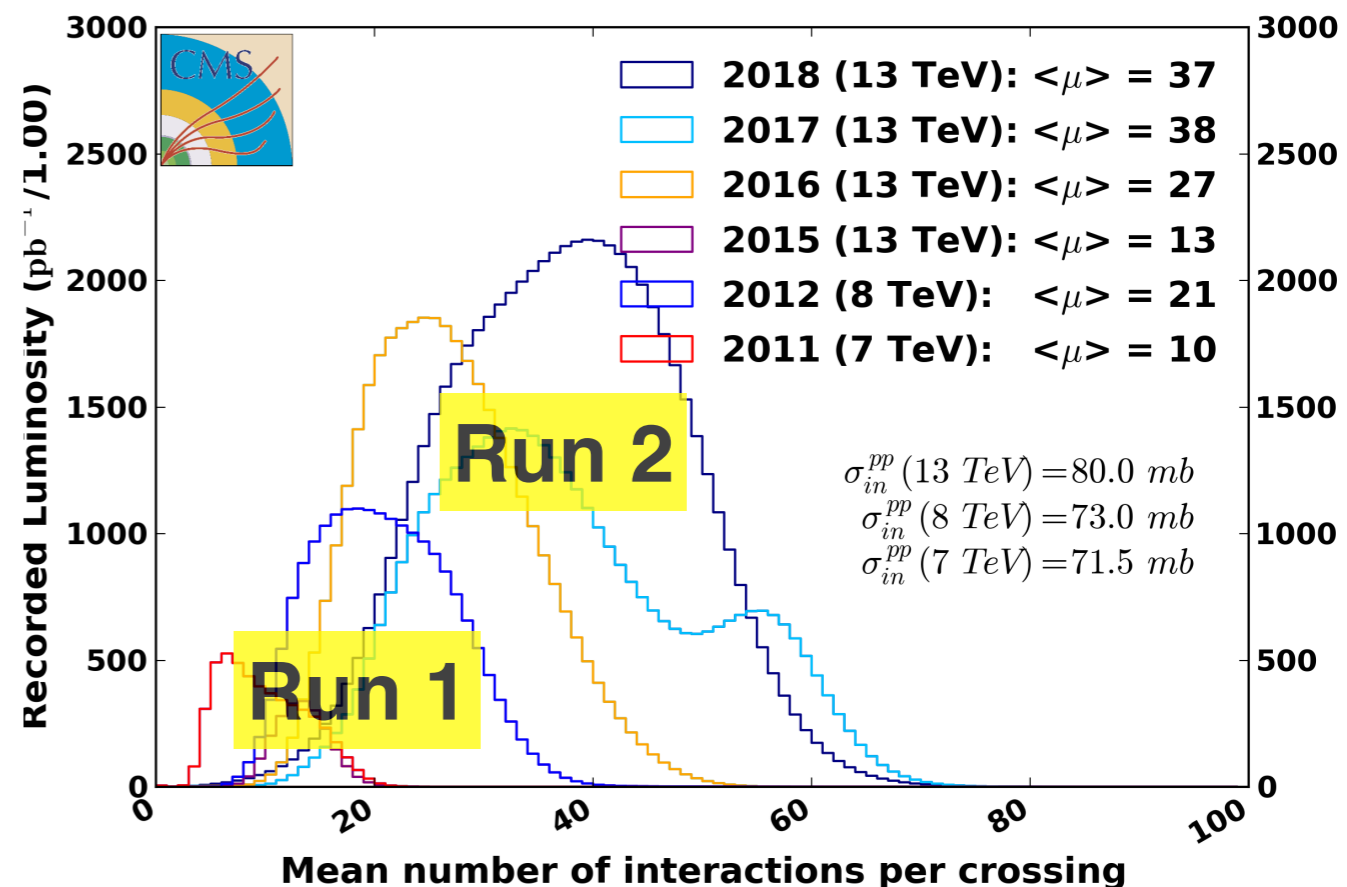
## Higher Integrated luminosity

CMS Integrated Luminosity, pp



## Larger Average pileup

CMS Average Pileup



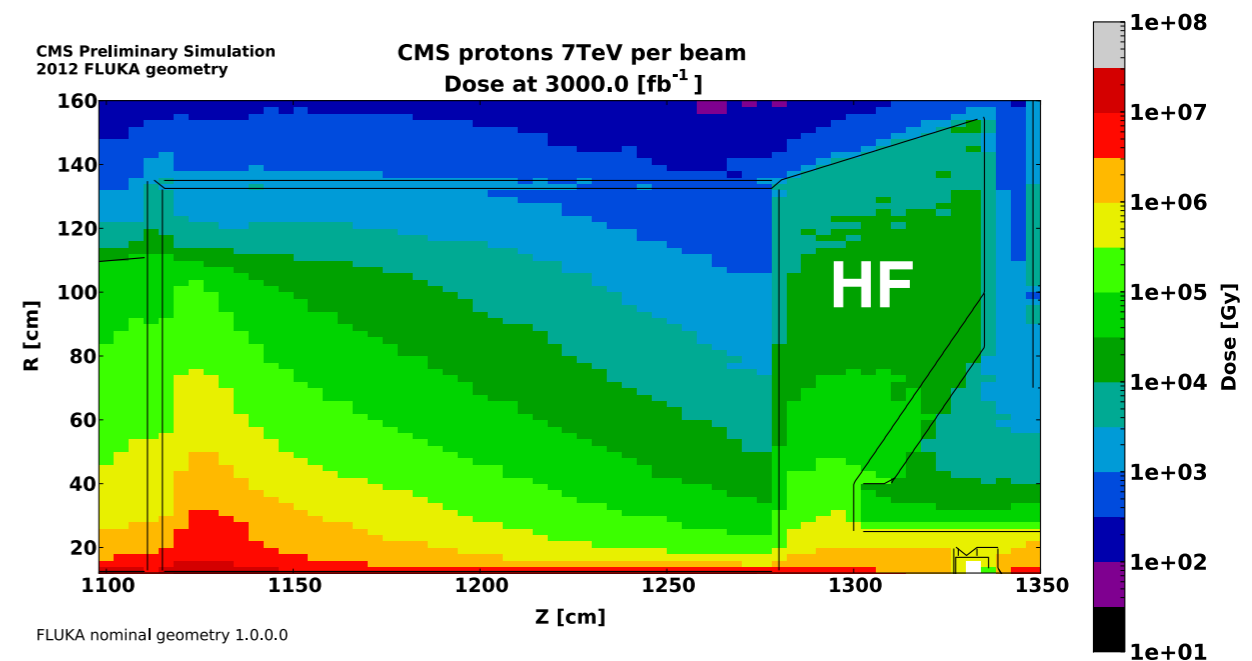
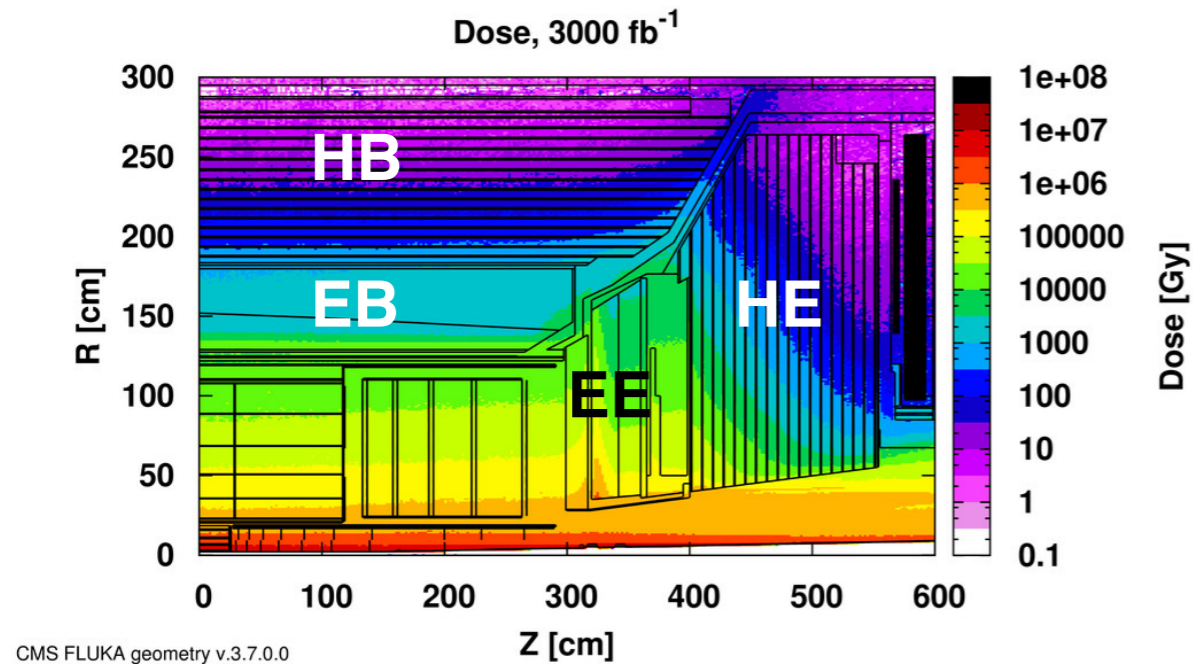
## Run 2 challenges:

**1) Larger radiation dose:** increased radiation induced ageing to crystals, photodetectors, on-detector readout

**2) Large increases in pileup (PU):** from higher bunch intensities, and from 25ns bunch spacing (larger out-of-time PU) → impact on ECAL pulse reconstruction

# CMS radiation environment at HL-LHC

- ECAL and HCAL endcaps ( $|\eta| > 1.48$ ) will experience significant radiation dose after  $3000\text{fb}^{-1}$ 
  - **ECAL:** up to 50 Mrad (EE,  $\eta=2.6$ ); below 1 Mrad (EB)
  - **HCAL:** up to 10 Mrad (HE); below 0.1 Mrad (HB); up to 500 Mrad (HF)



# Why regular recalibration is needed

## ECAL response changes significantly over time

light monitoring corrections are used to compensate for this intercalibration constants are then applied to equalise energy response

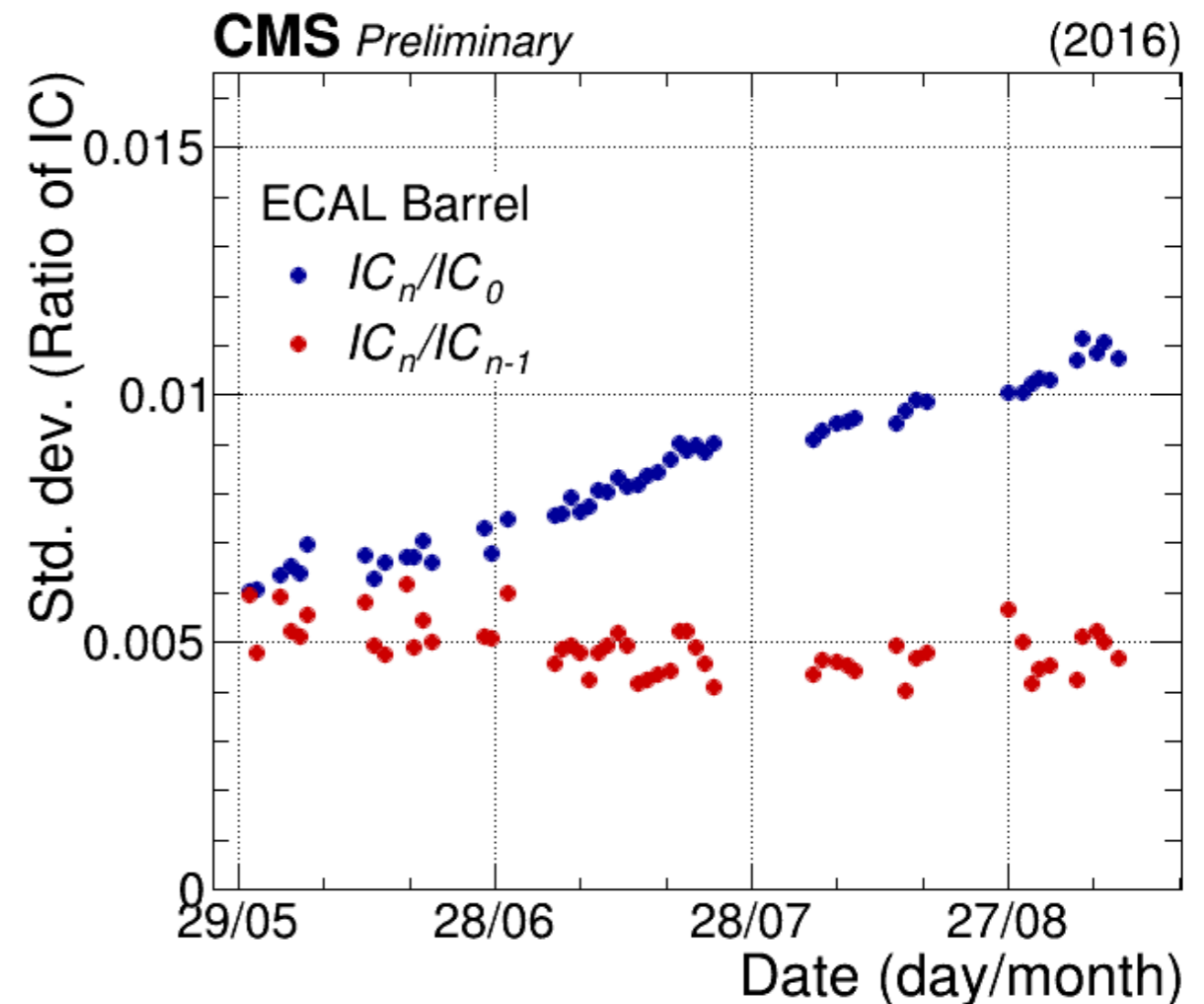
## This does not fully hold over long periods

imperfections in light monitoring corrections grow with time this causes a spread in the channel-to-channel response, degrading resolution

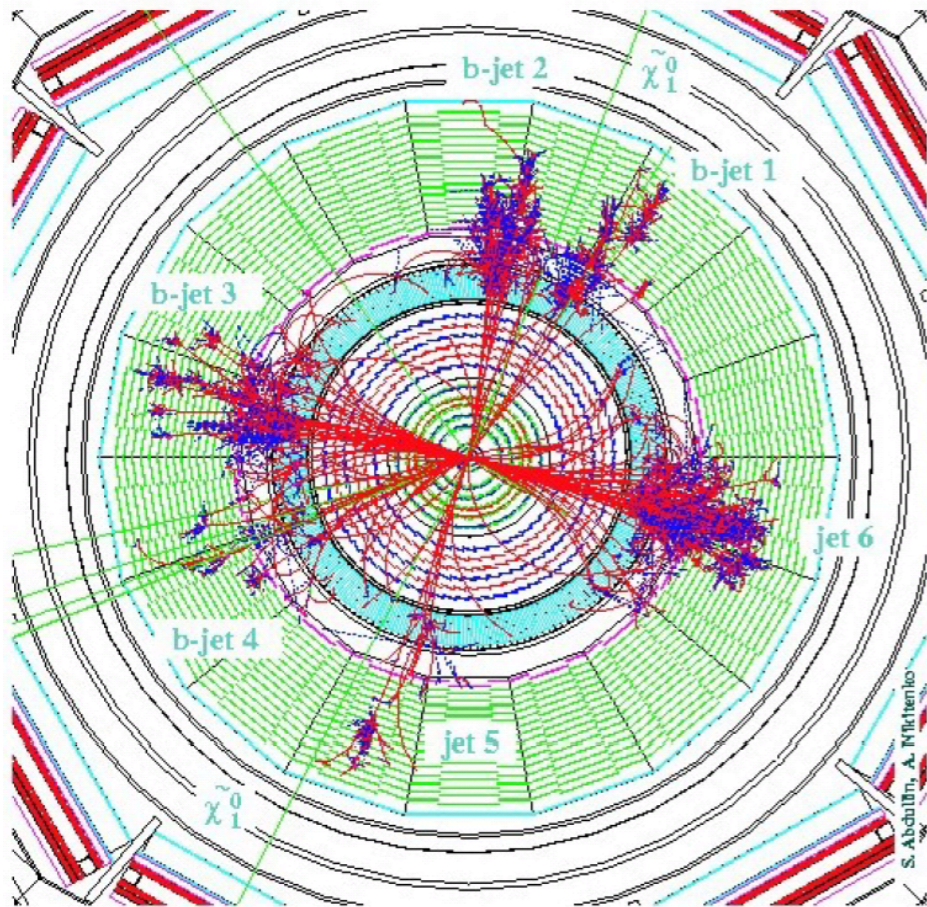
## Regular rederivation of IC needed

to maintain optimal performance usually performed at the end of each year of data taking, requiring full re-reconstruction of CMS data

## Drift in intercalibration constants over time



# HCAL performance targets



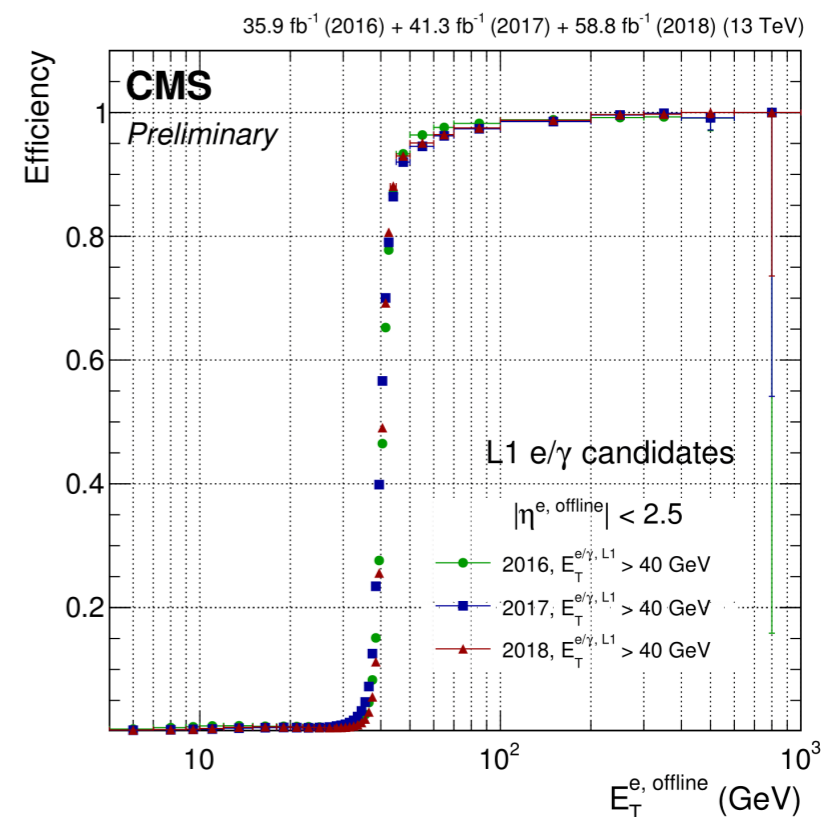
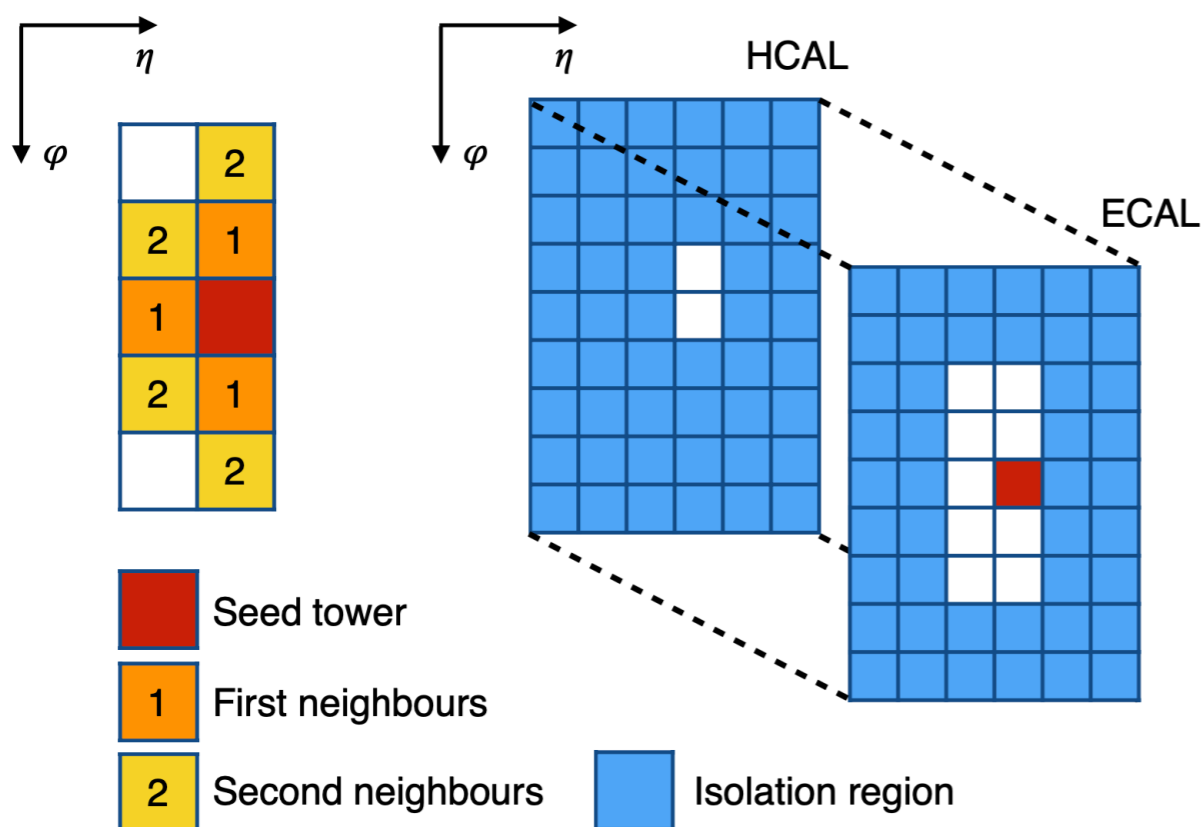
- Measure jets and missing  $E_T$
- Electron/photon ID via HCAL/ECAL energy ratio (H/E)
- Muon ID via ECAL/HCAL isolation
- Tau ID: narrow jets (for tau- $\rightarrow$ h decays)

Simulated SUSY multijet event

# CMS calorimeter trigger algorithms

- **Phase 1 upgrade in 2015-16**
  - more powerful off-detector processing boards
    - allows more complex algorithms to be used, including dynamic clustering of ECAL/HCAL towers and pileup subtraction

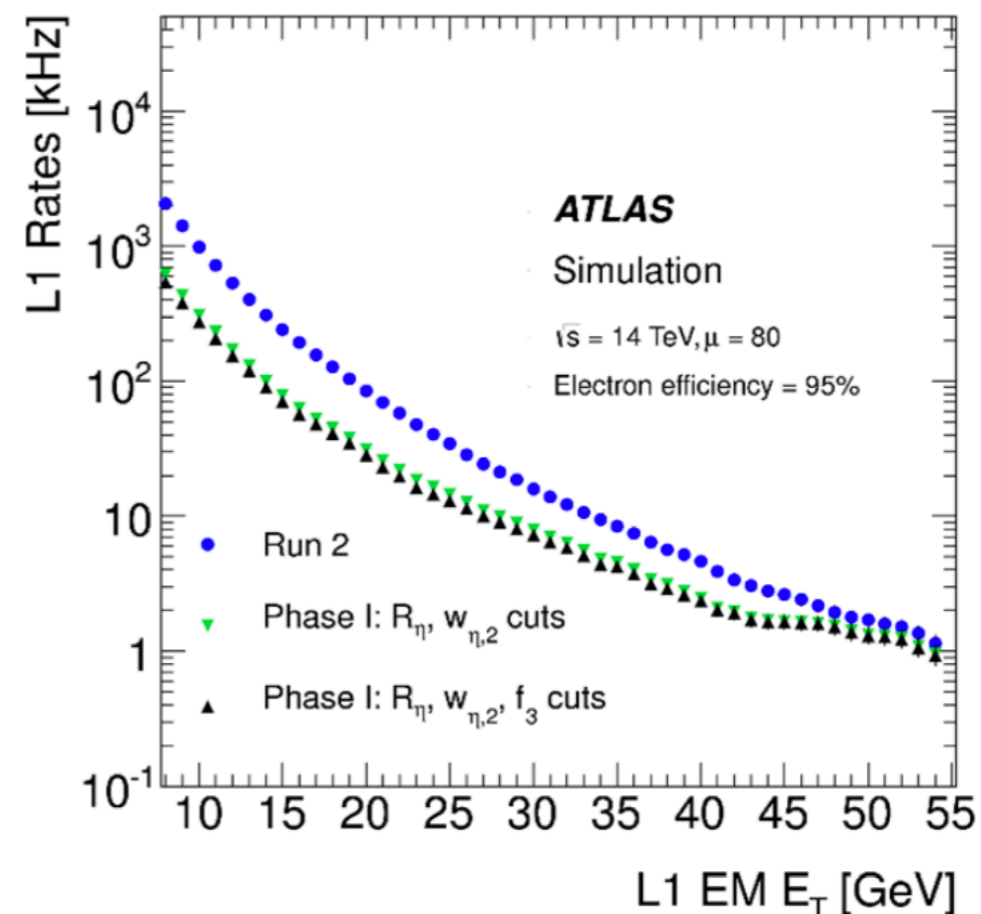
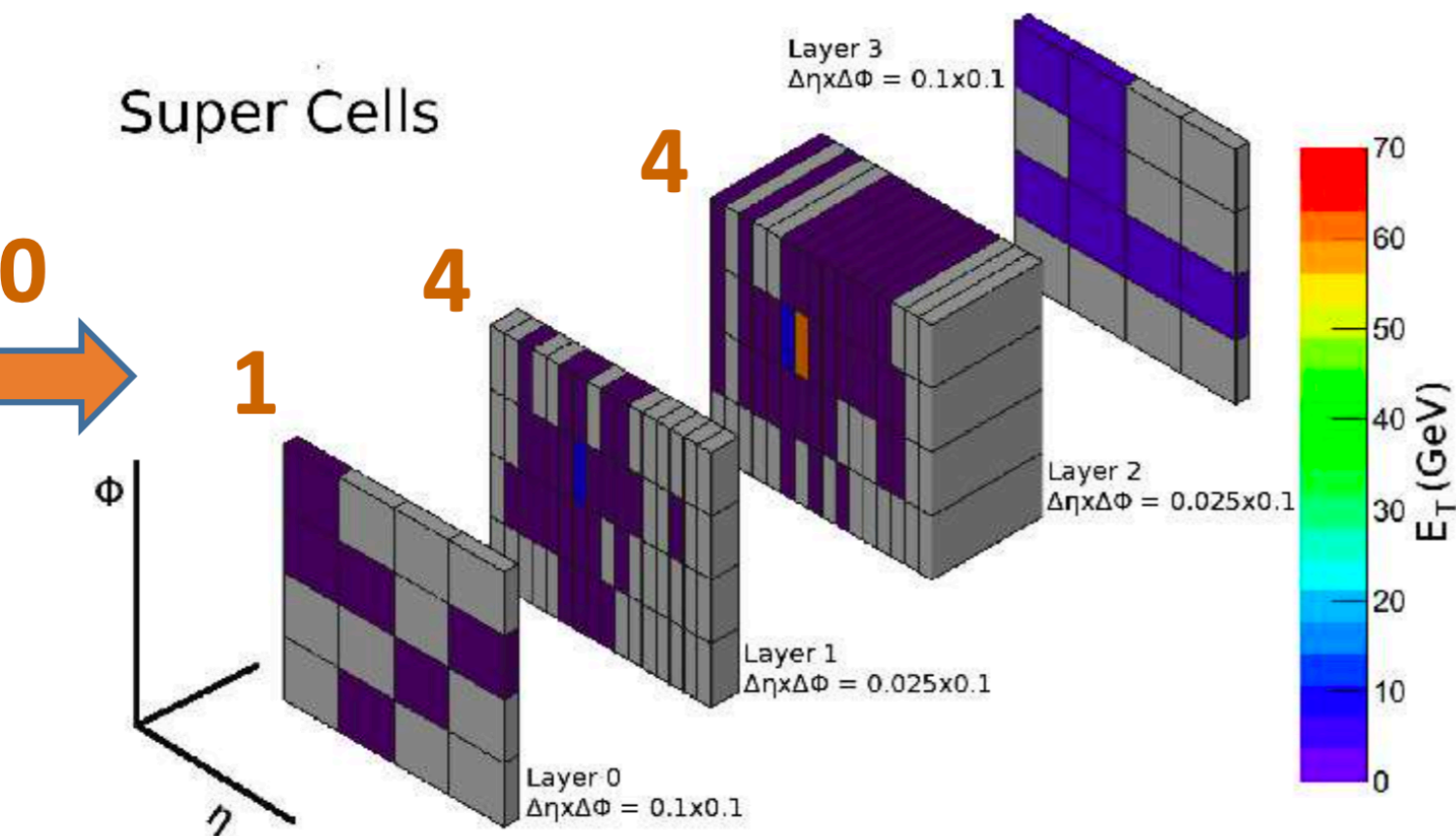
## Electron/photon dynamic clustering algorithm    Electron/photon trigger efficiency



# ATLAS calorimeter trigger upgrade

- **Preparation for Run 3 (2021+)**
  - higher granularity trigger data (with depth information)
  - more powerful off-detector processing boards
    - allows more complex algorithms to be used, including dynamic clustering of ECAL/HCAL towers and pileup subtraction

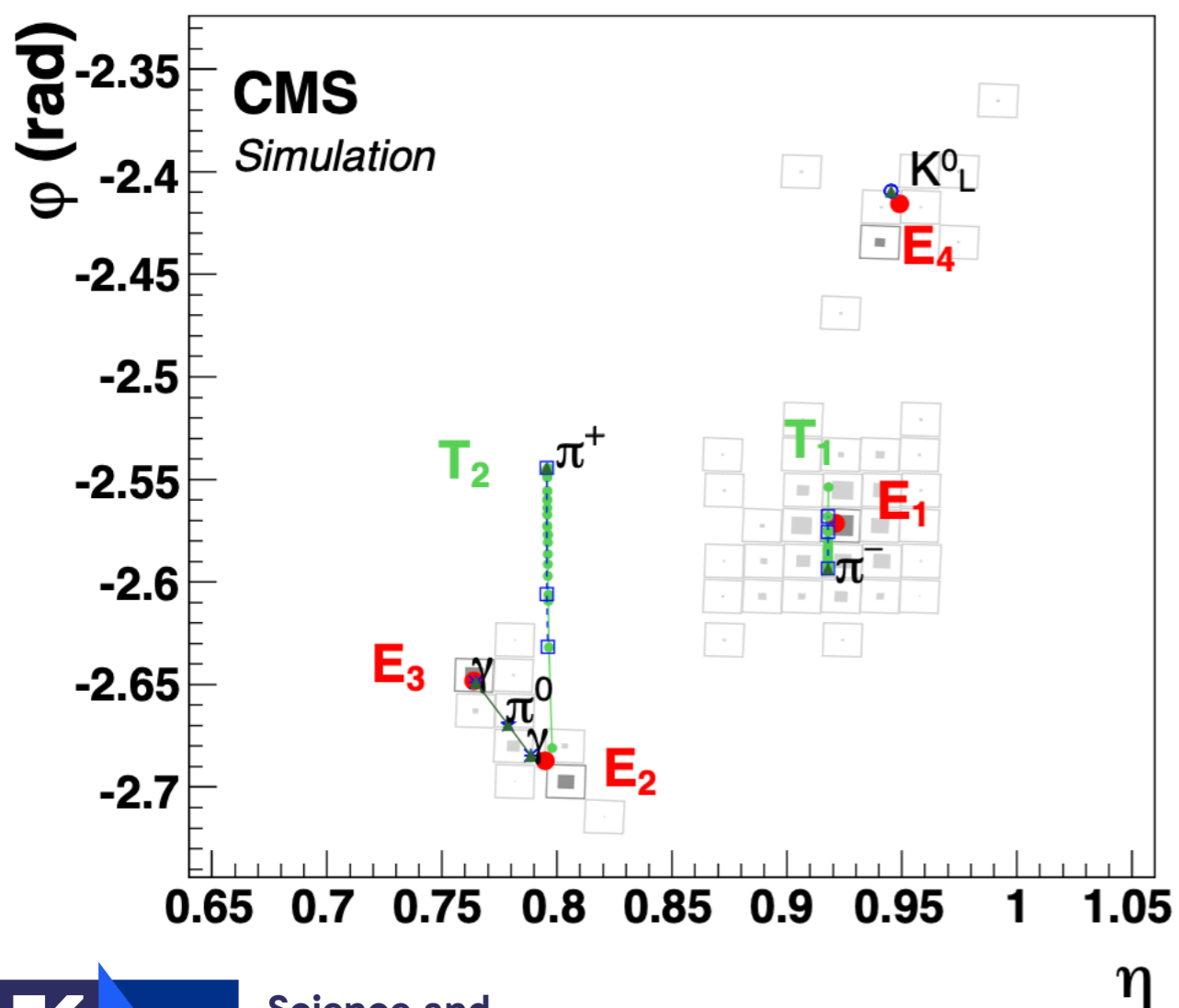
Trigger super cells (finer granularity + depth) Reduced Electron/photon fake rate



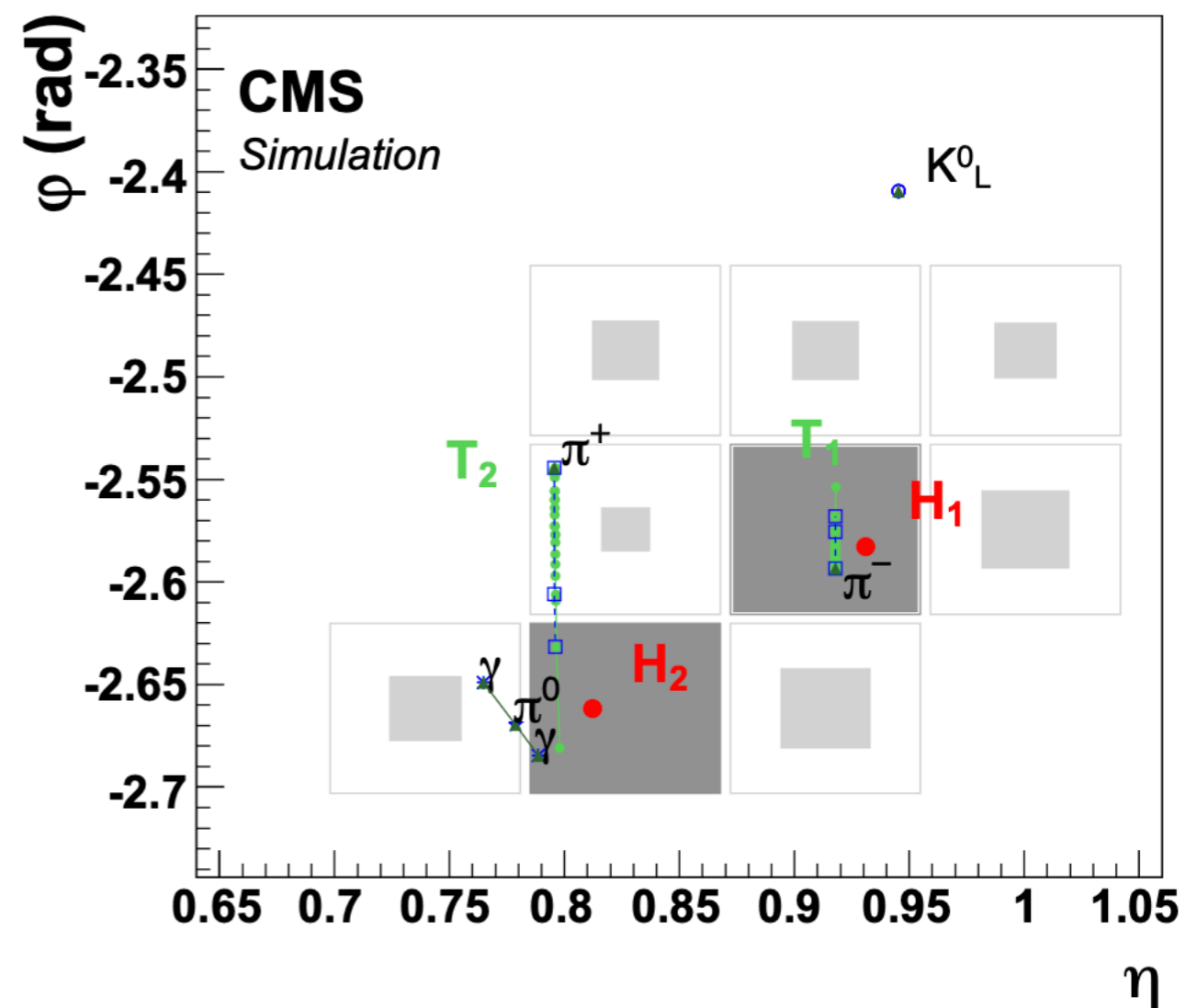
# Particle flow reconstruction

- **Particle trajectories mapped on to ECAL and HCAL energy deposits**
  - physics-based particle ID based on combined track/calorimeter information

## ECAL view



## HCAL view

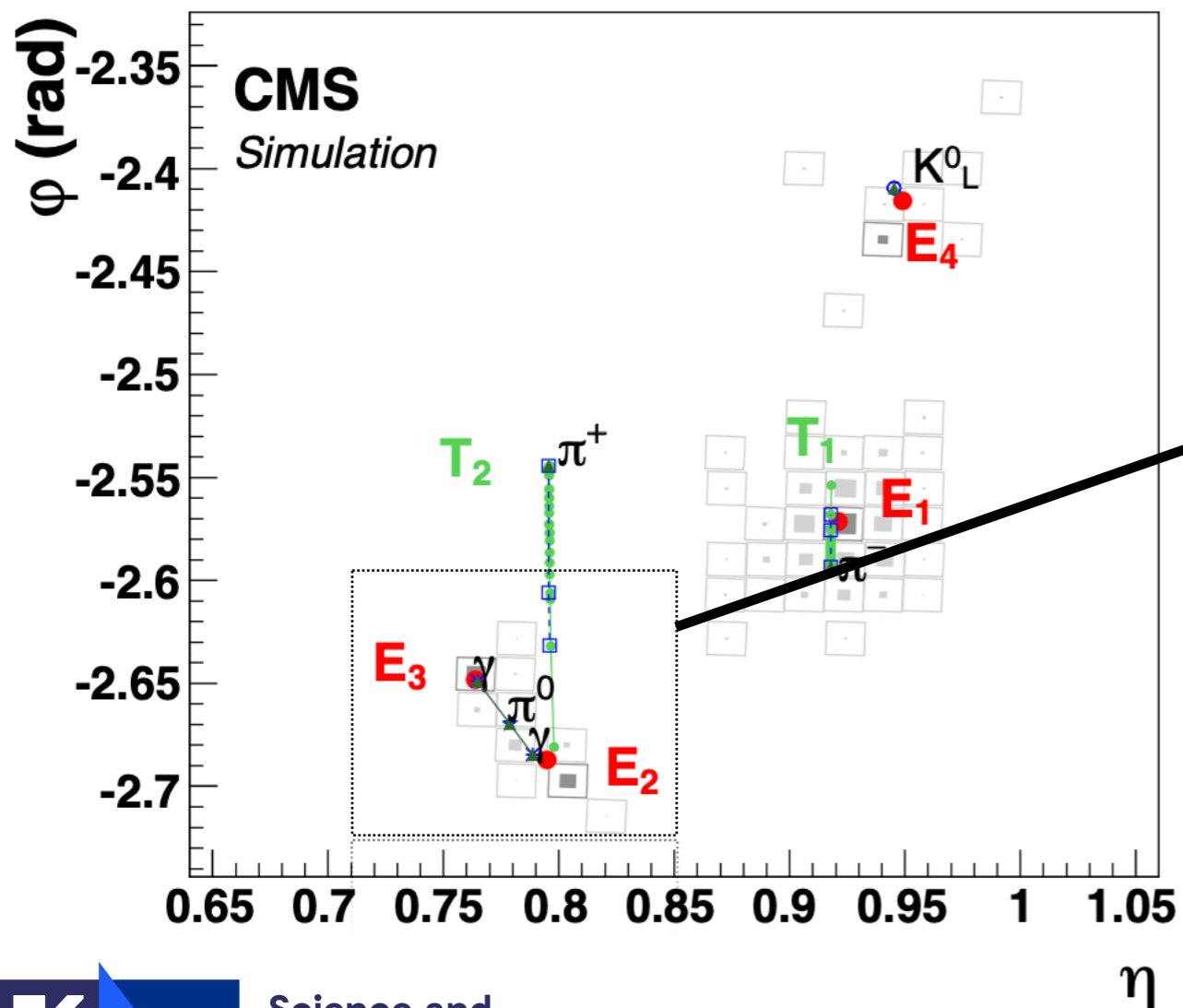




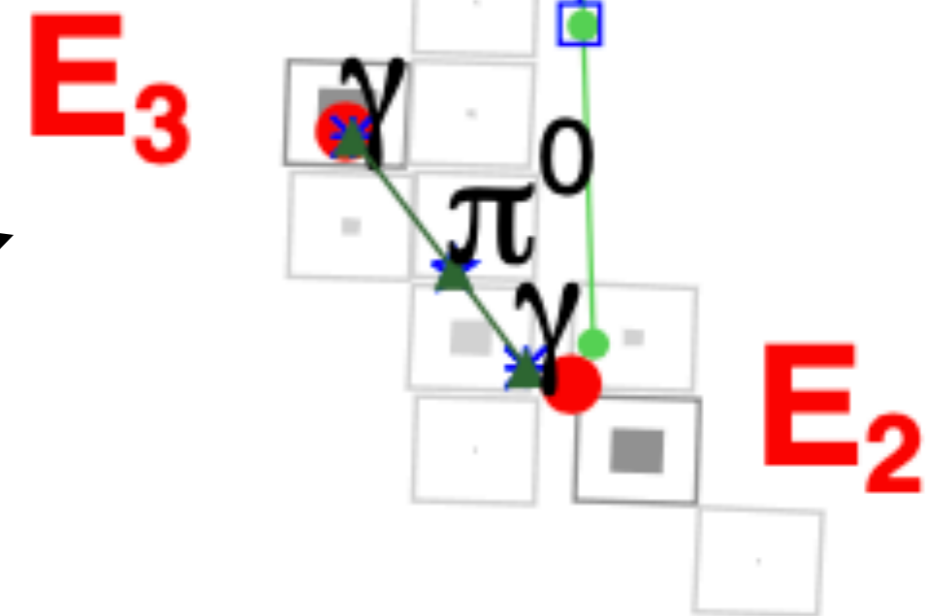
# Particle flow reconstruction

- **Particle trajectories mapped on to ECAL and HCAL energy deposits**
  - physics-based particle ID based on combined track/calorimeter information

ECAL view



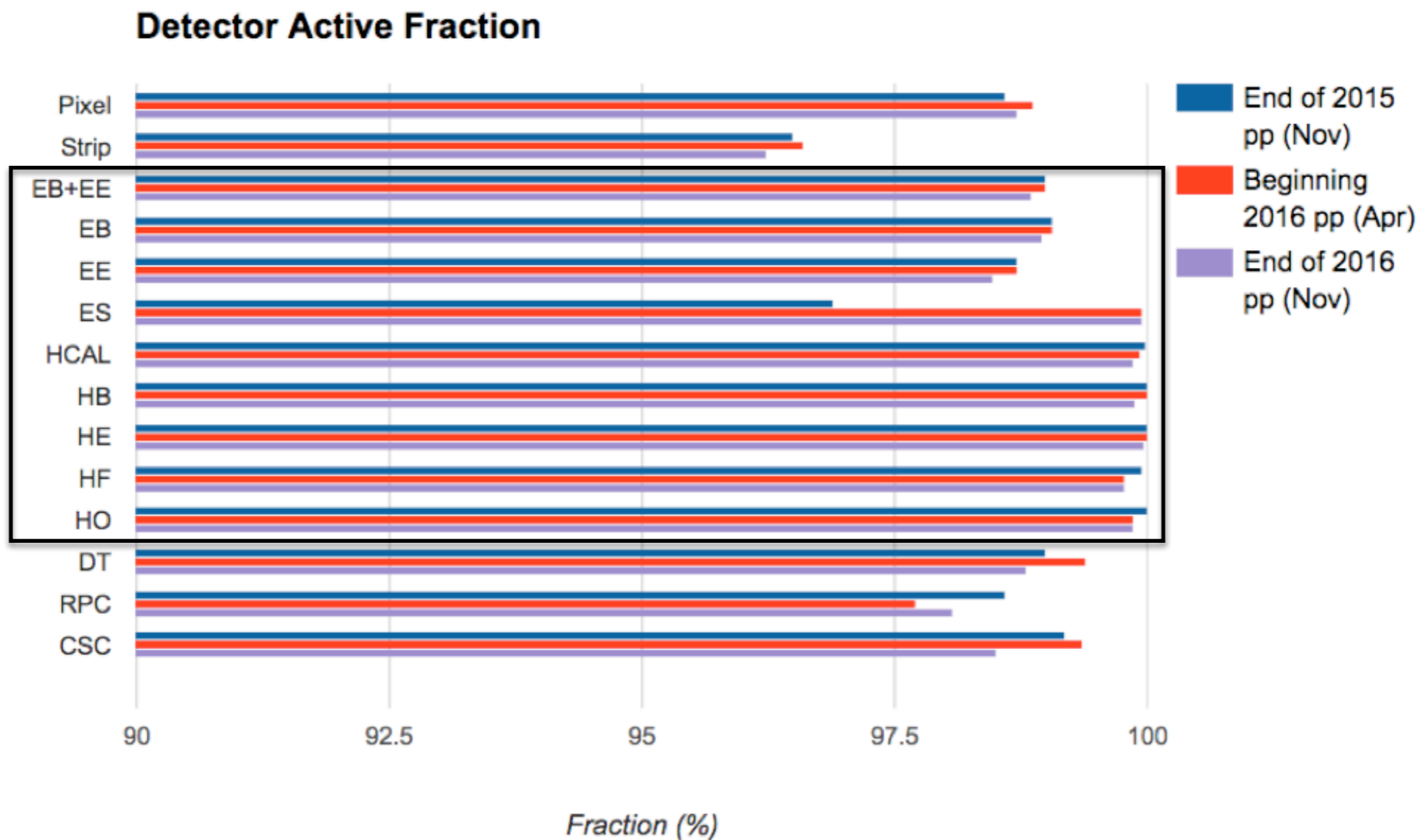
Zoom



two closely-spaced EM deposits  
classified as  $\pi^0 \rightarrow \gamma\gamma$  decay

# Detector health

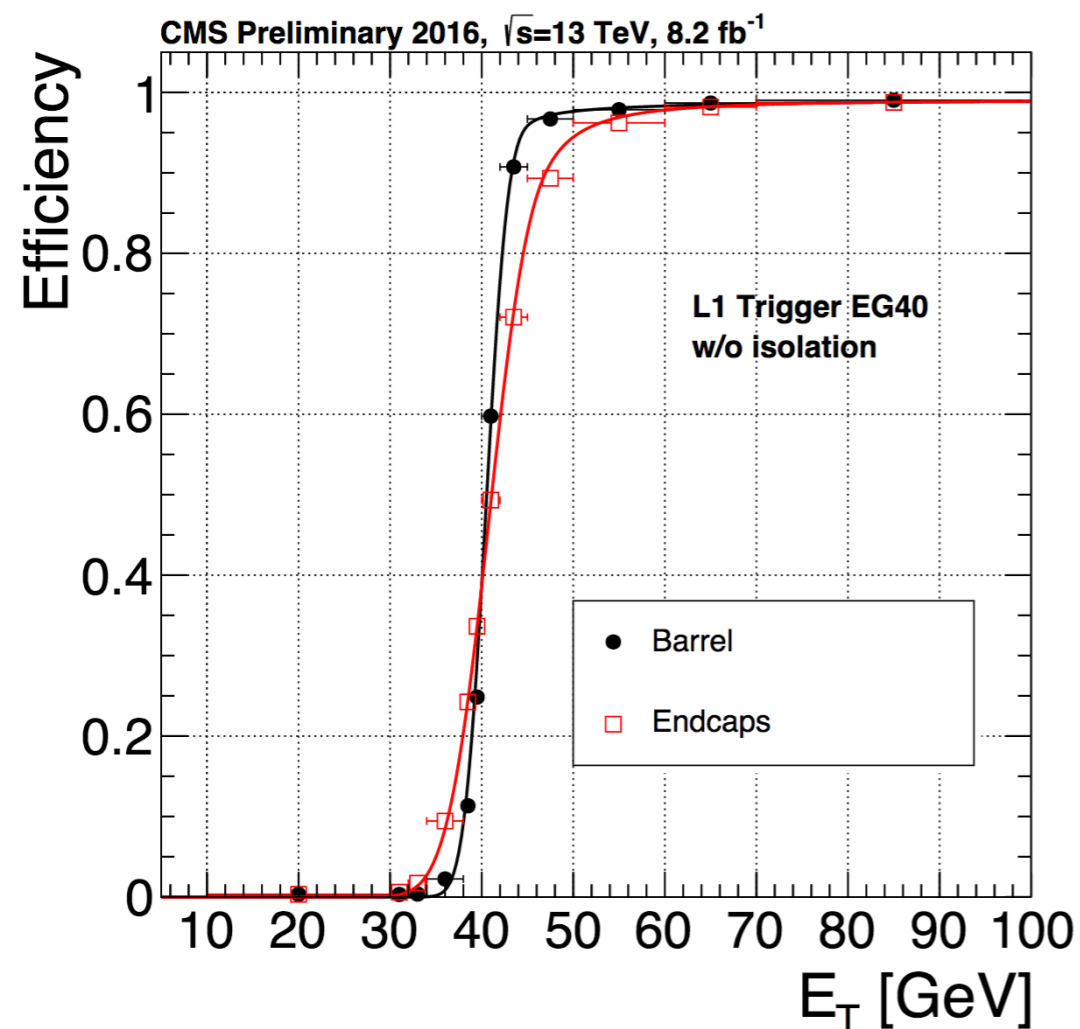
- **ECAL and HCAL detectors performing well, with high active detector fractions**



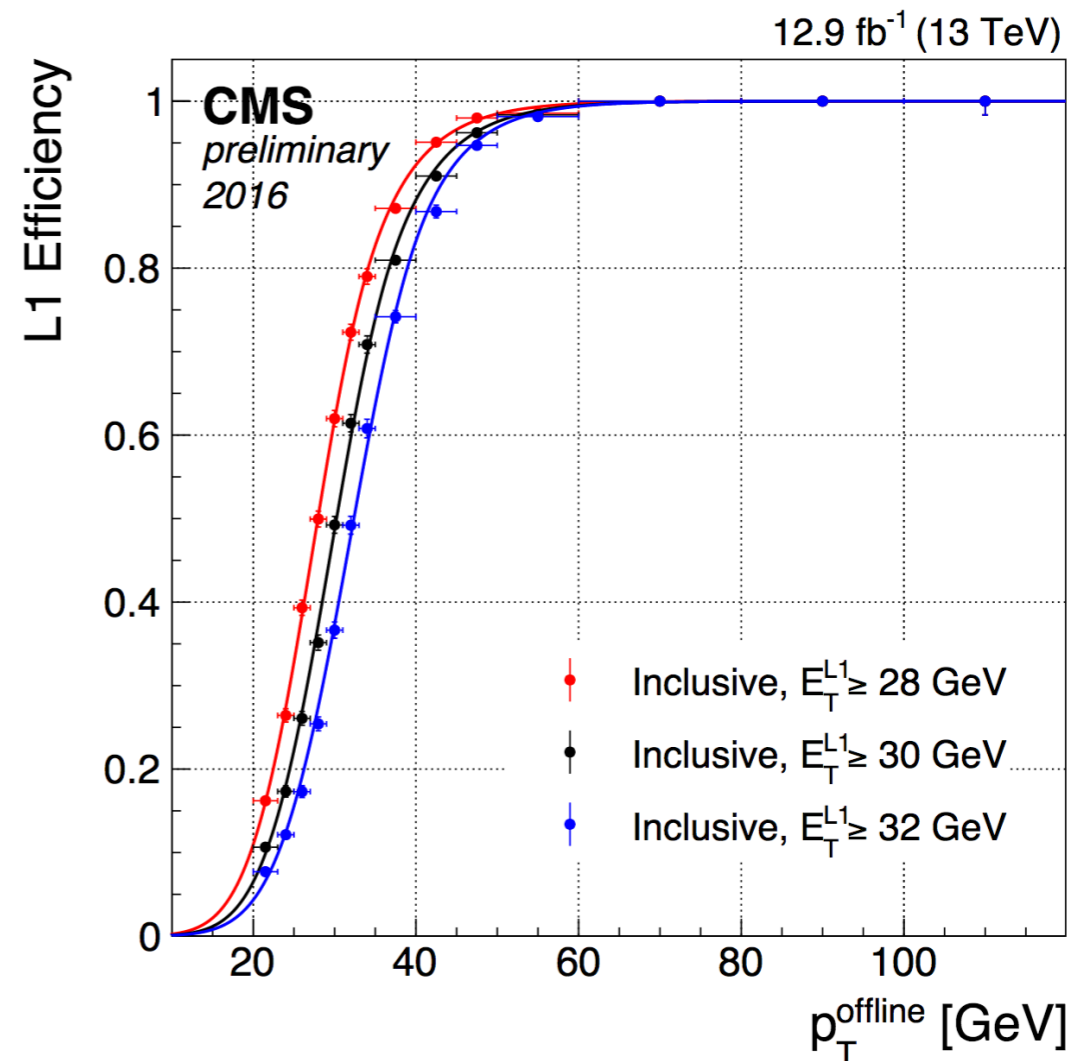
- **Thanks to dedicated efforts of detector experts and operations teams**

# Triggering

## Single electron



## Tau



### Improved L1 trigger algorithms in 2016 following Phase I upgrade

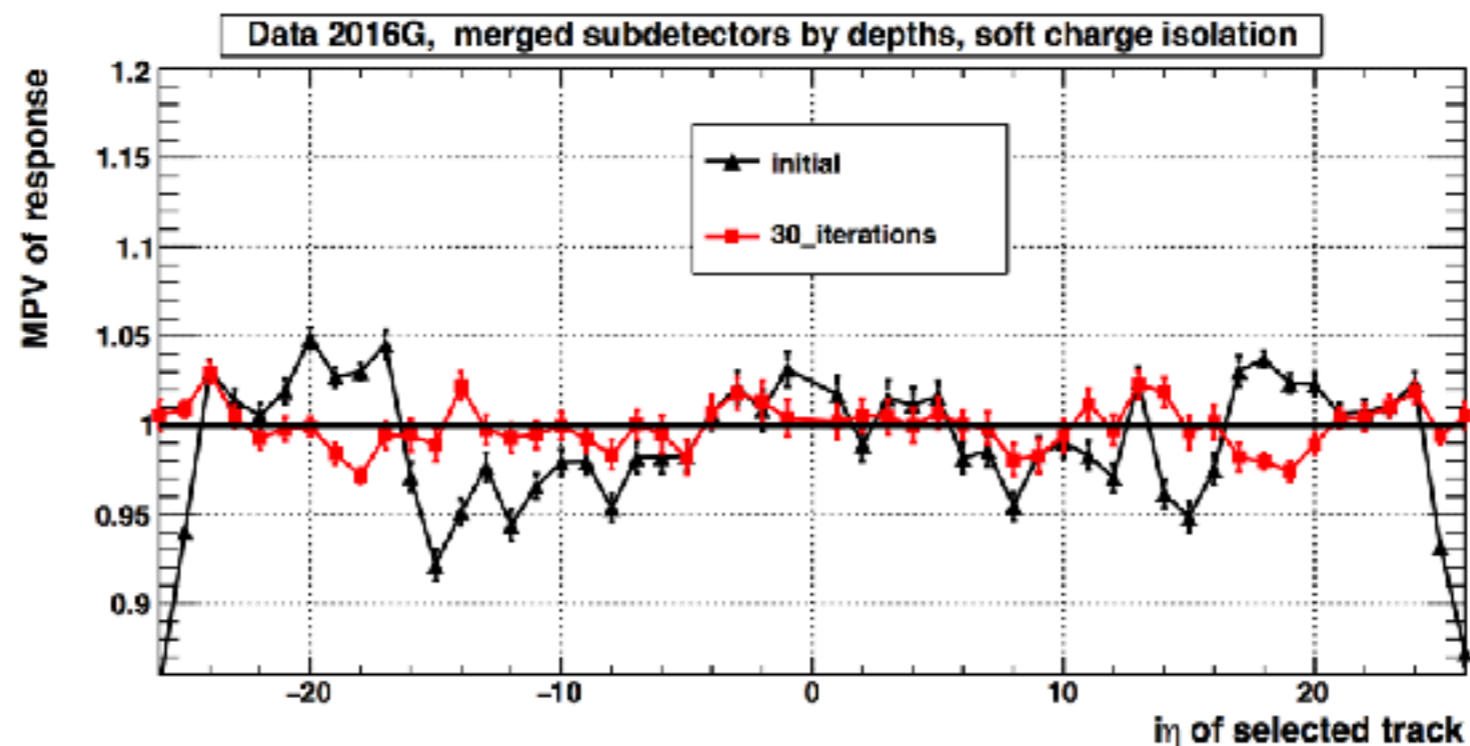
full trigger tower granularity available at Level 1

significant improvements in spatial and energy resolution, PU resilience and selection efficiency (especially for tau triggers)

# HCAL Calibration methods

- Channels **inter-calibration** at the same eta/depth: **Phi Symmetry**
  - equalizes the channels response wrt each other
  - works for HB, HE, HF
- Absolute scale in HB, HE: **Iso Track method**
  - uses 50 GeV pions momentum as a reference
- Absolute scale in HF: **Z → ee mass**
  - one electron in ECAL, the other in HF
  - check calibration of the response of the deposit in HF

Co60 sourcing (during winter shutdowns) allows absolute normalisation of scintillator + photodetector response

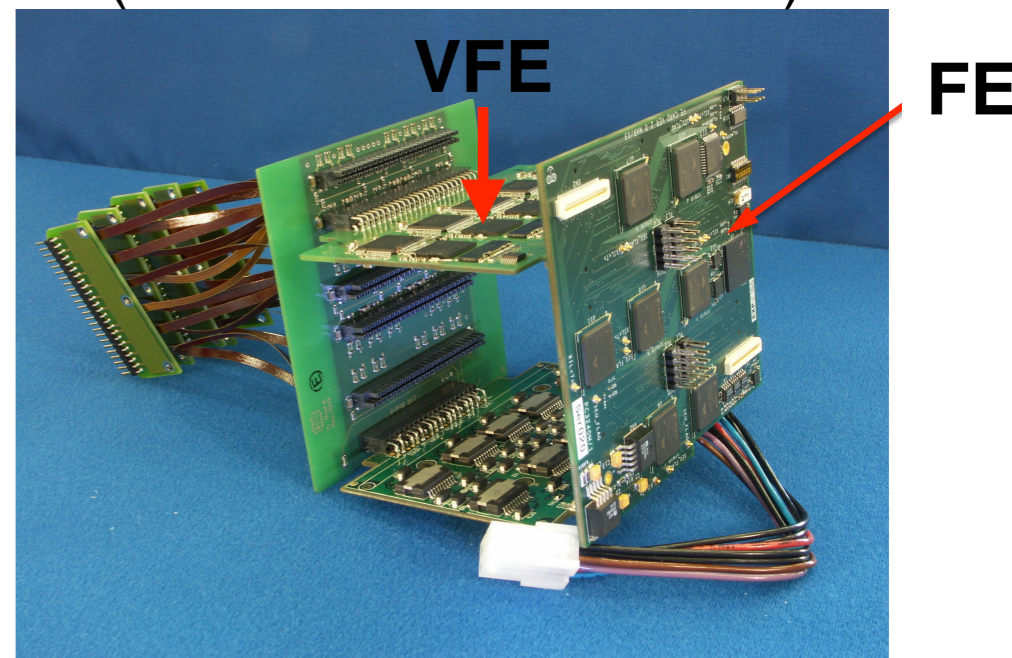


# Elements of the ECAL Barrel

36 Supermodules

2448 Trigger towers

(readout of 5x5 channels)



61200 Lead Tungstate crystals

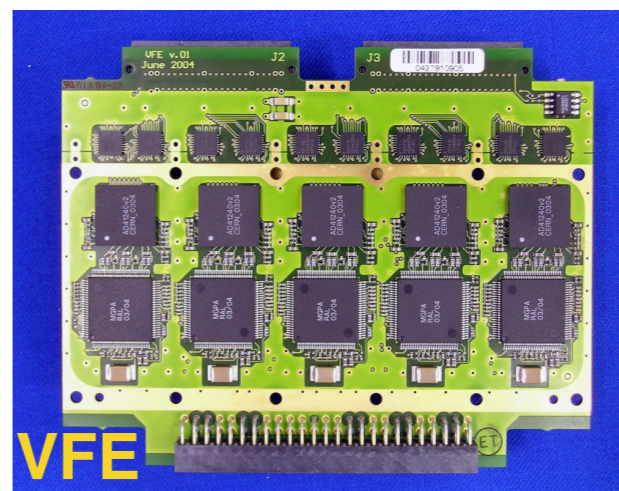
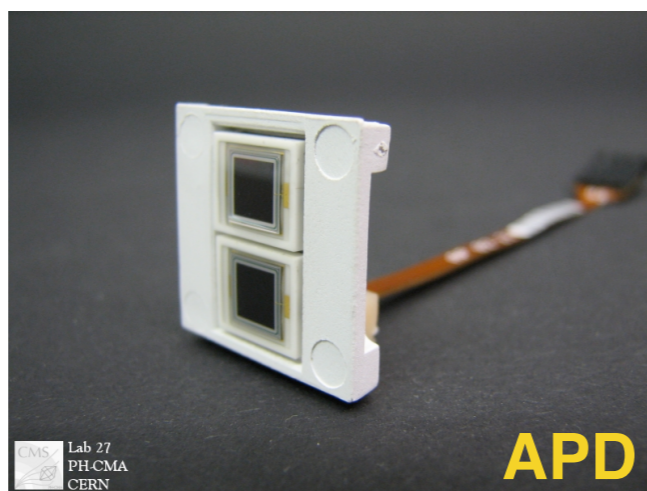
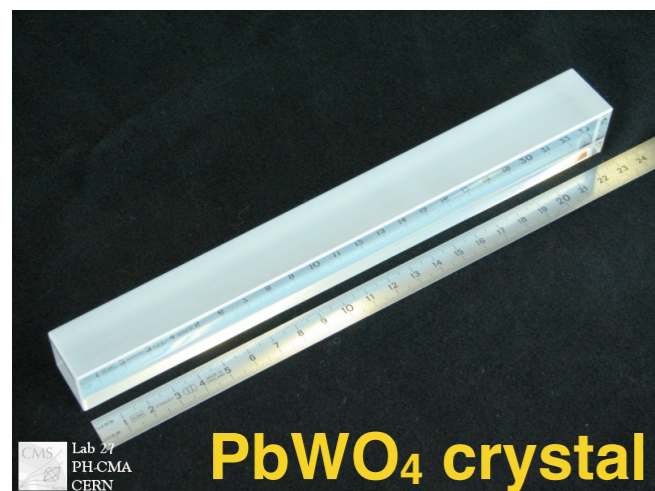
12240 Very Front End cards

pulse amplification, shaping, digitization

61200 APD pairs

2448 Front End cards

data pipeline and transmission, TP formation, clock/control



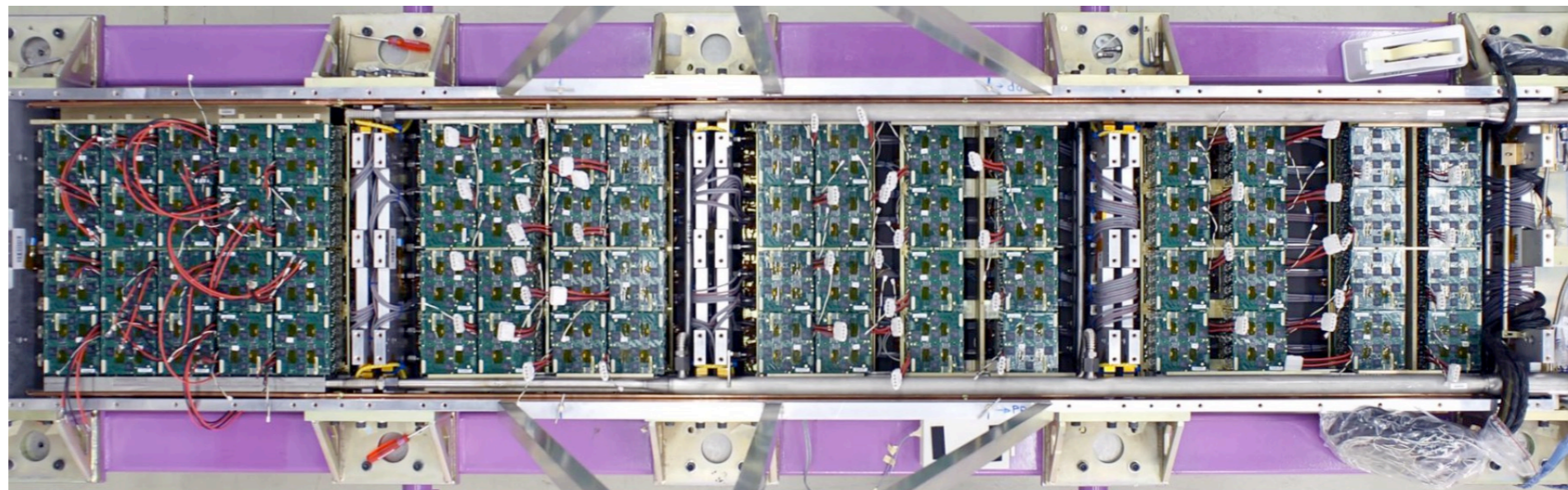
# ECAL Barrel construction



**Electronics installation**

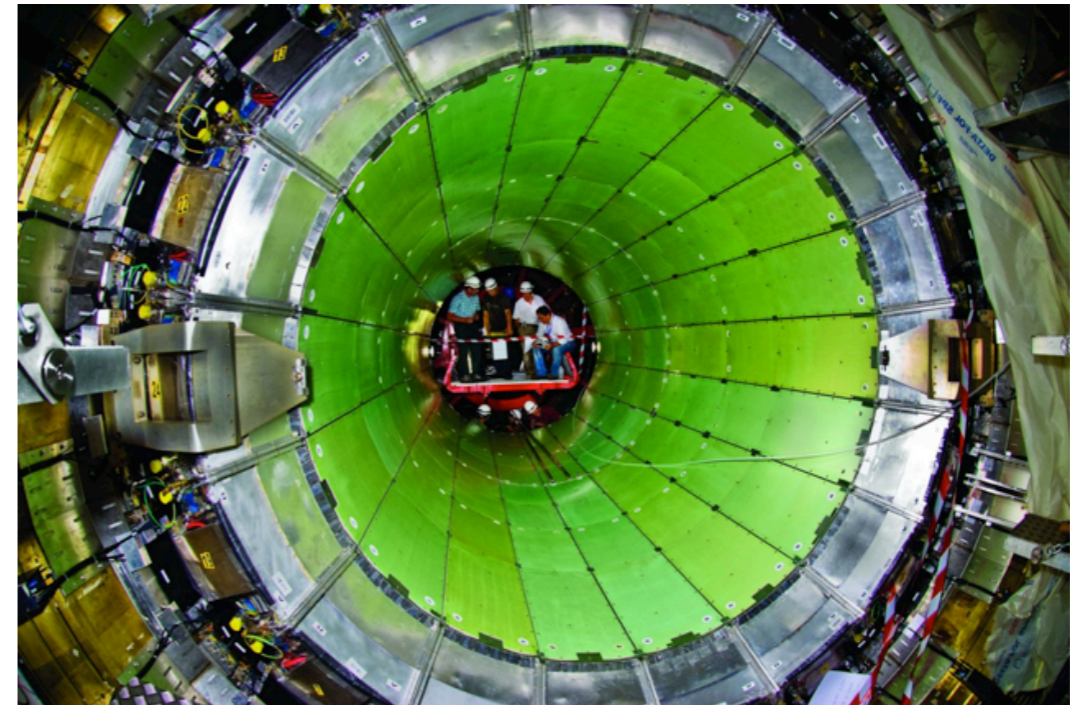
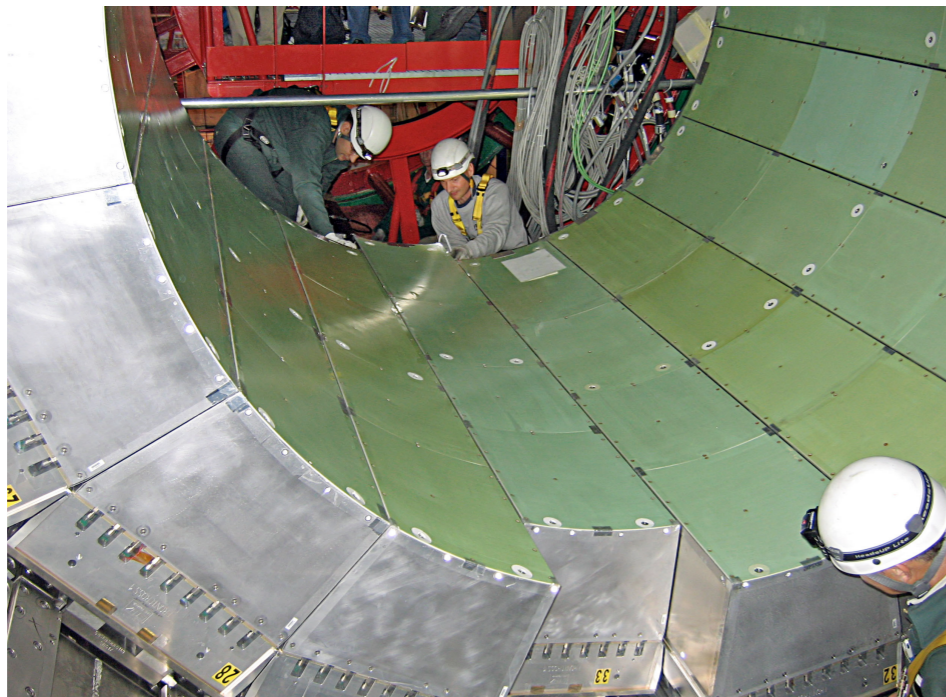
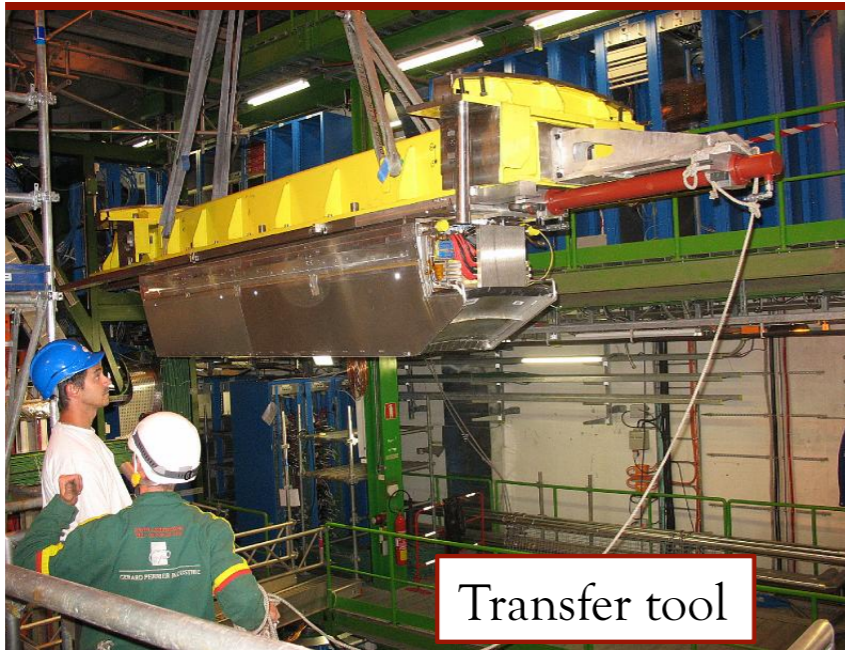


**Supermodule integration/test stands @ Prevezsin**

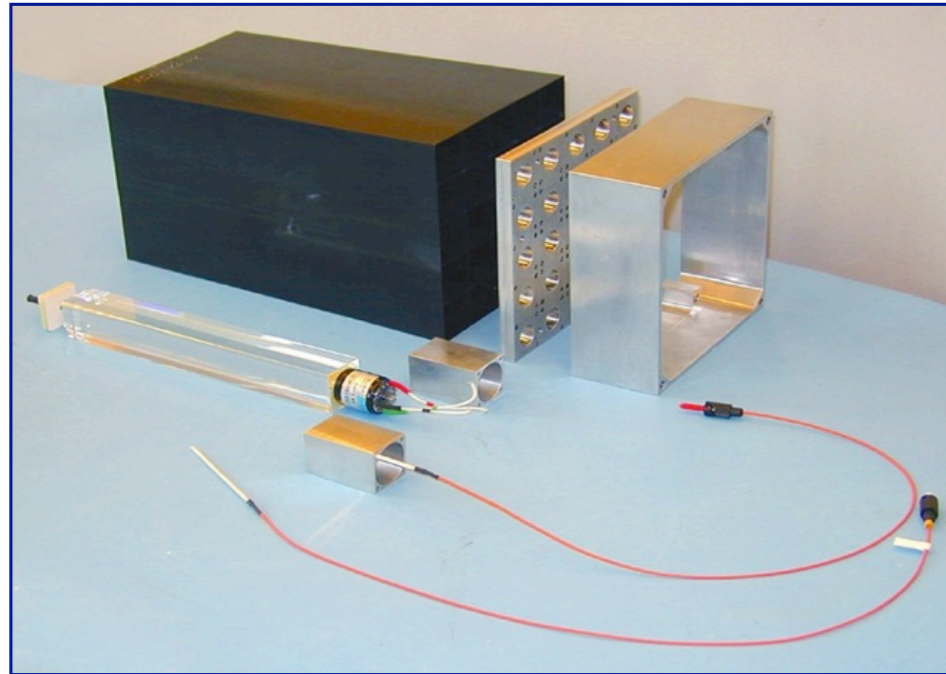


**Supermodule in the process of electronics integration**

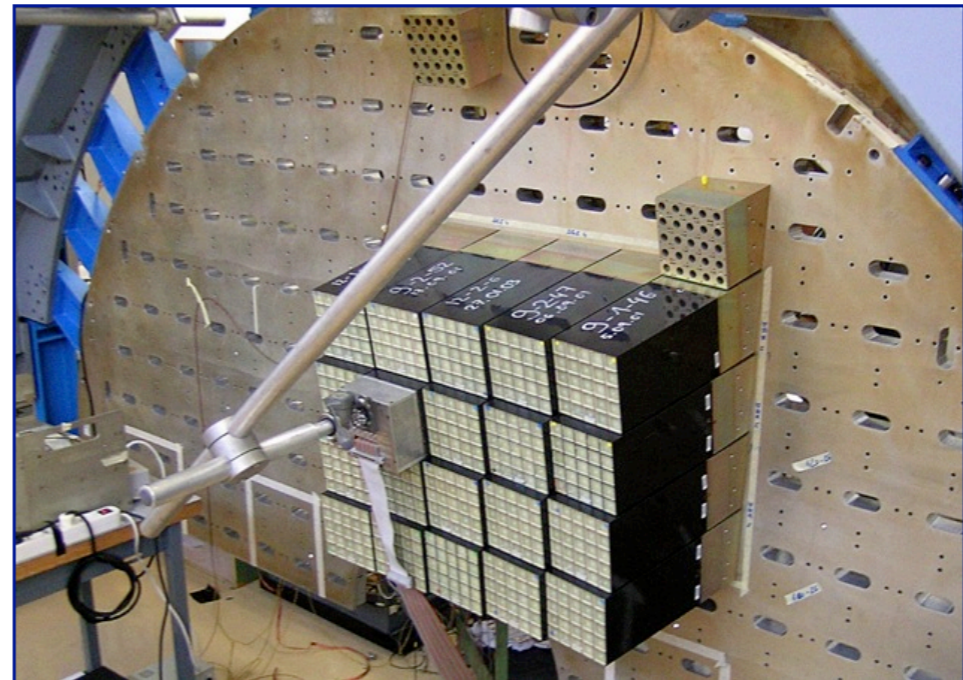
# ECAL Barrel installation



# ECAL Endcaps construction



**Elements of a EE supercrystal  
(5x5 channels)**



**Supercrystals on endcap backplane**



**Installing supercrystals**



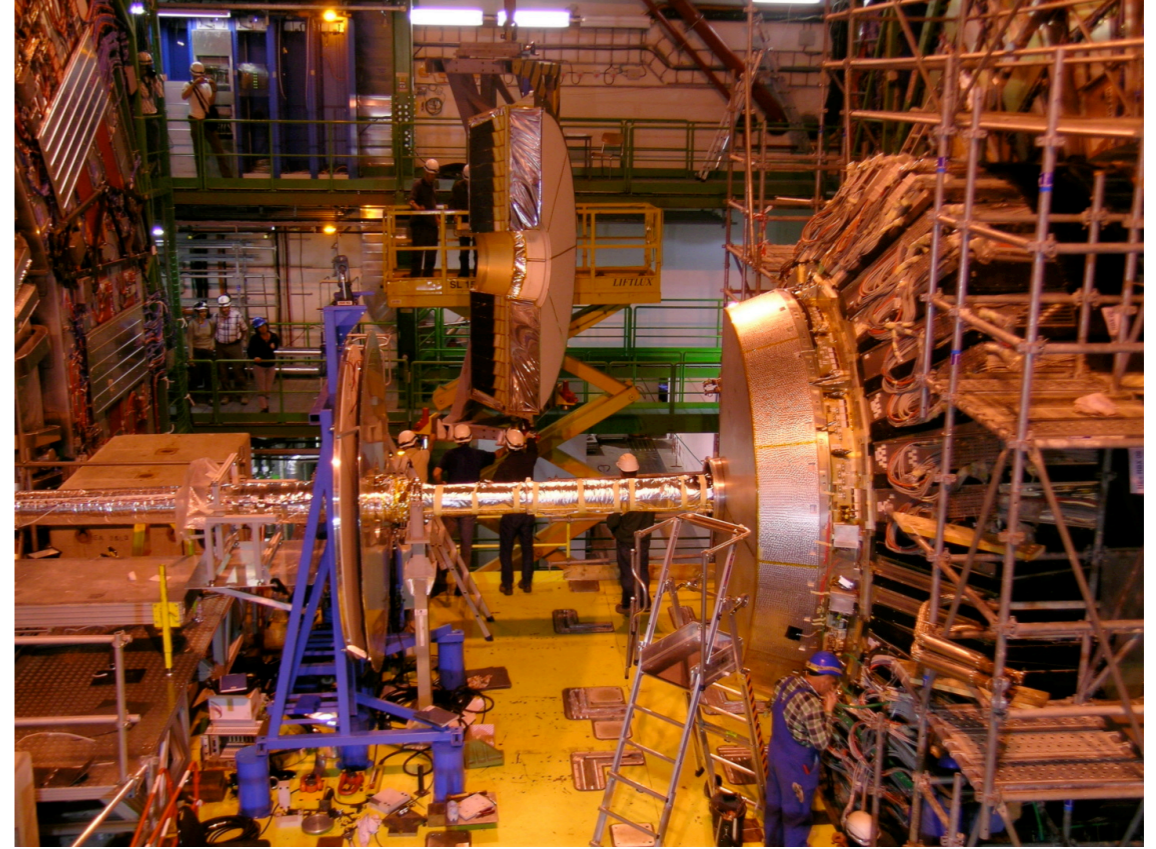
**Installation of readout electronics**



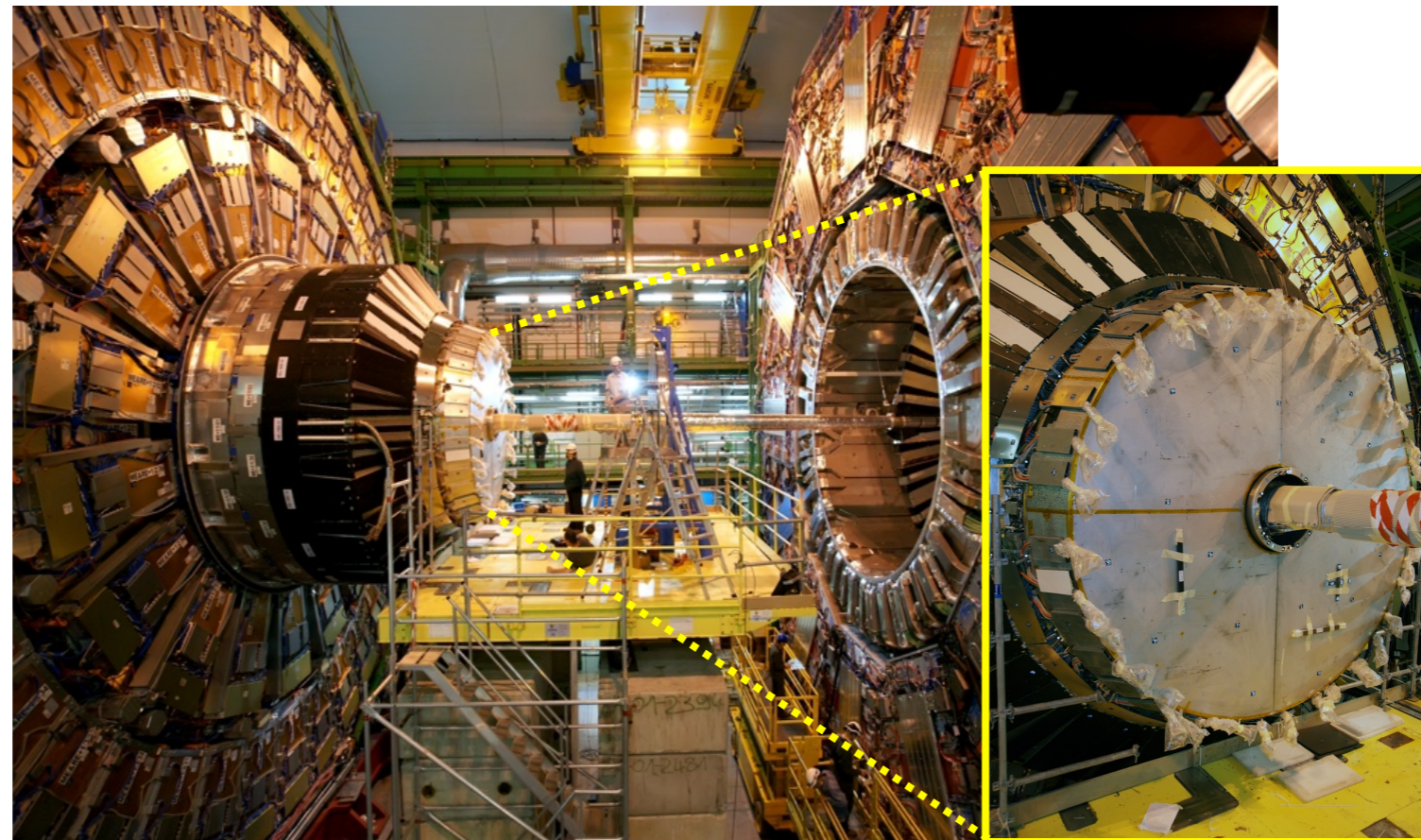
# ECAL Endcaps installation



**Endcap half disk (Dee) at Point 5**

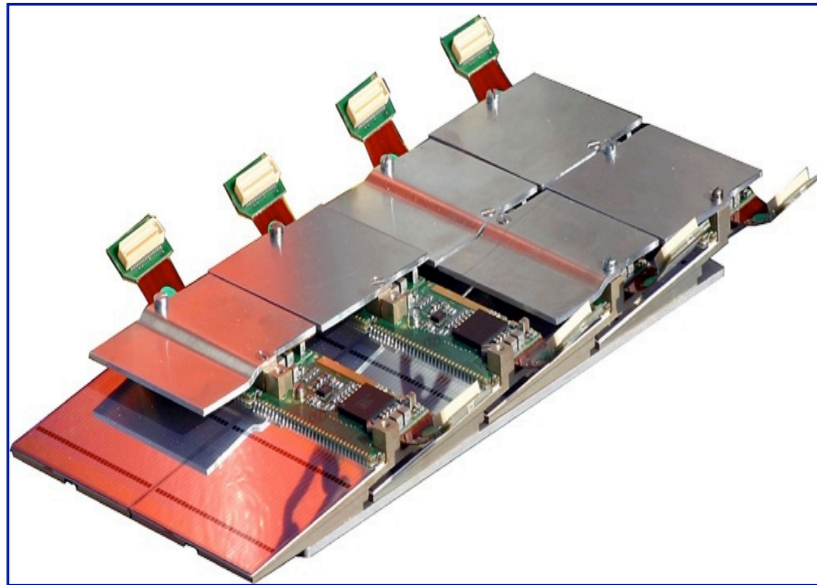


**Lowering the second half disk (Dee)**

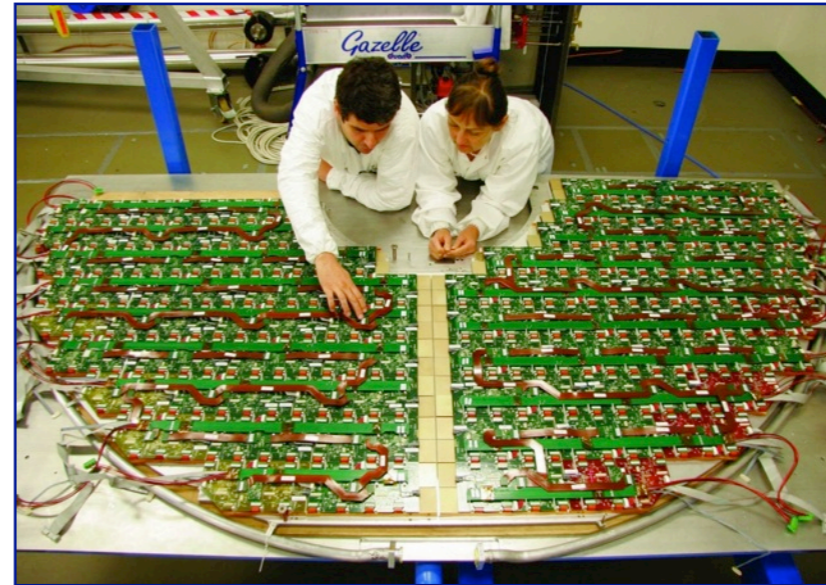


**First endcap installed (Aug 2008)**

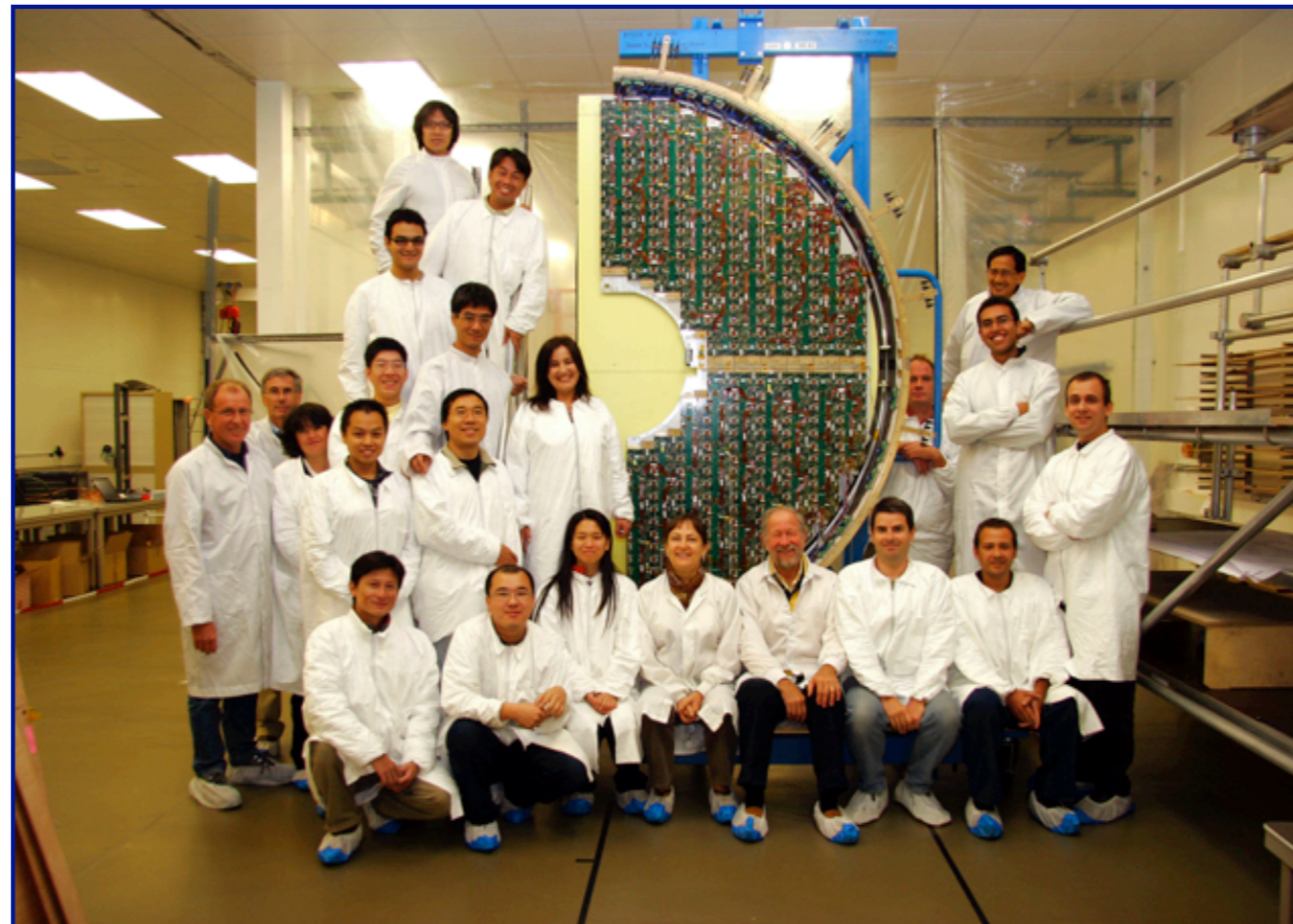
# ECAL Preshower construction



**Preshower Si hybrids**

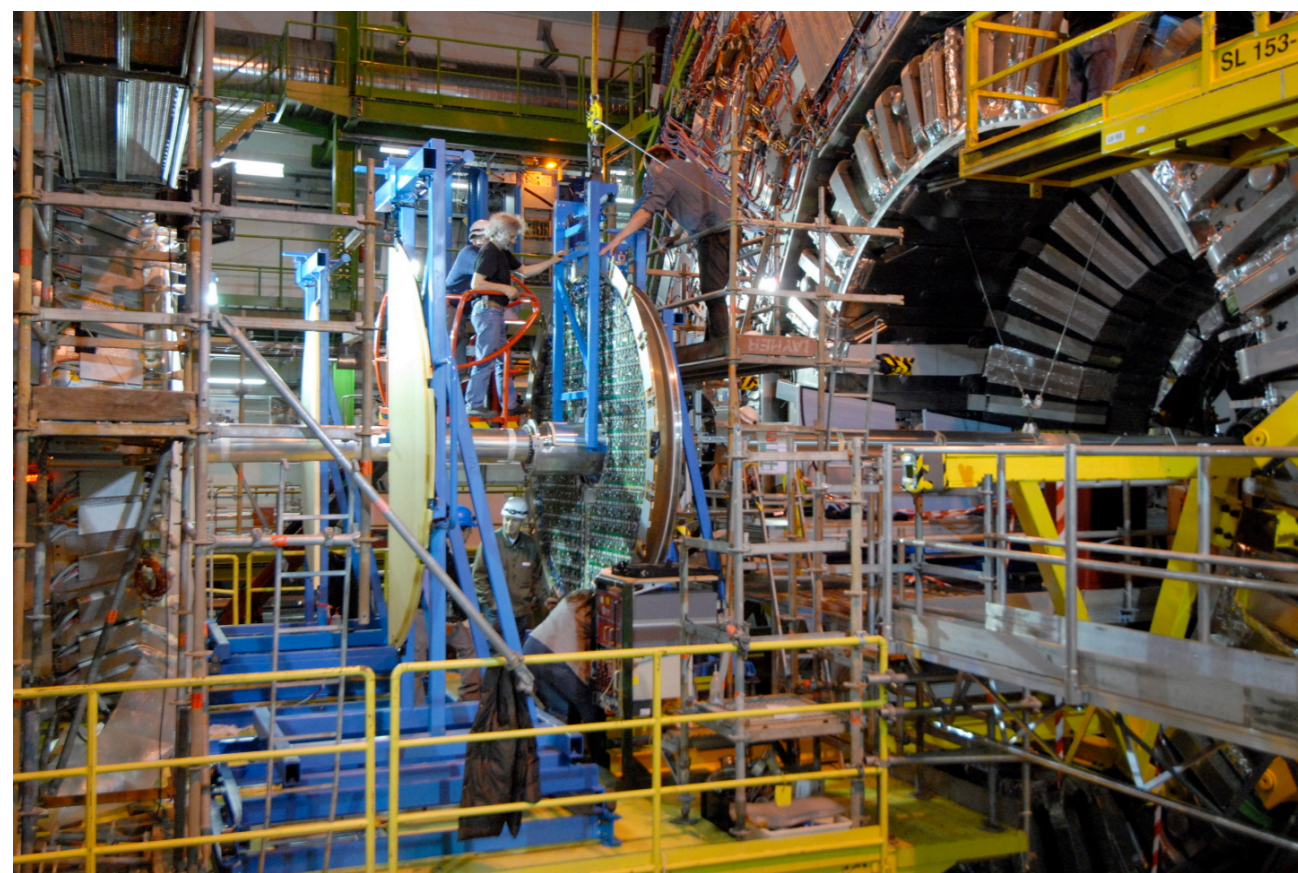


**Electronics integration**



**completed half-disk (Dee)**

# ECAL Preshower installation

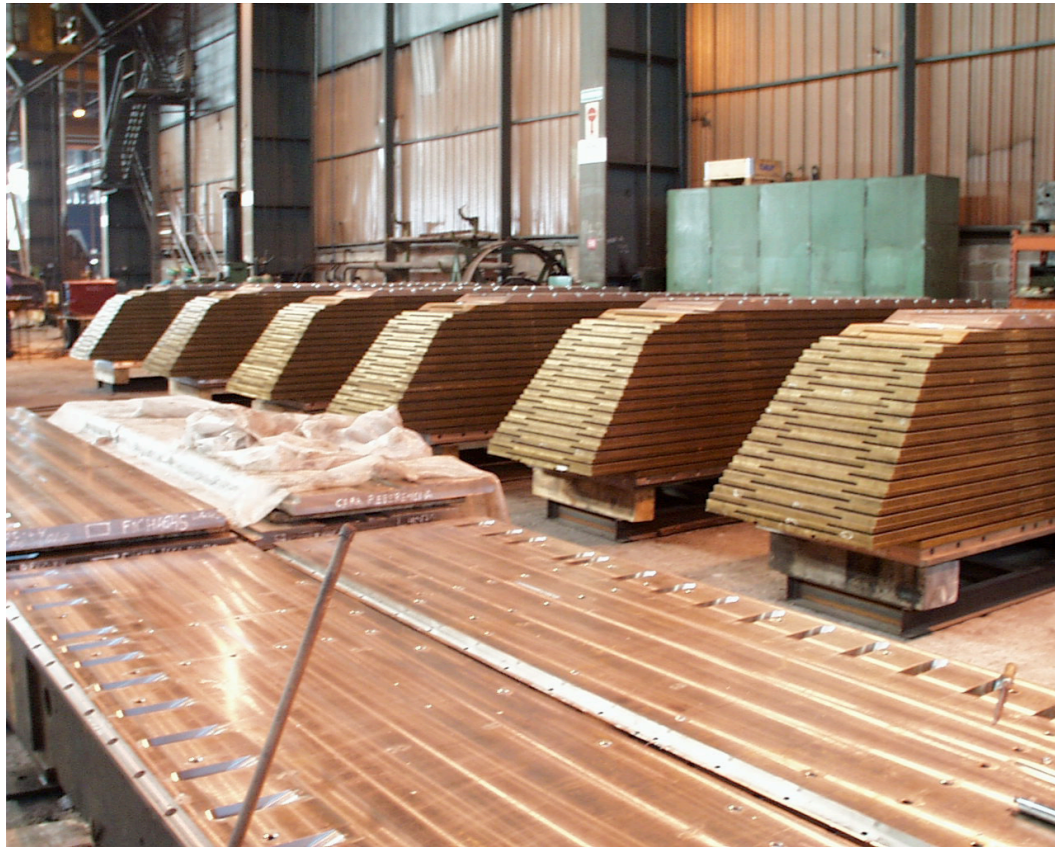


**Preshower Dees lowered in place**



**Preshower Dees positioned around beam pipe**

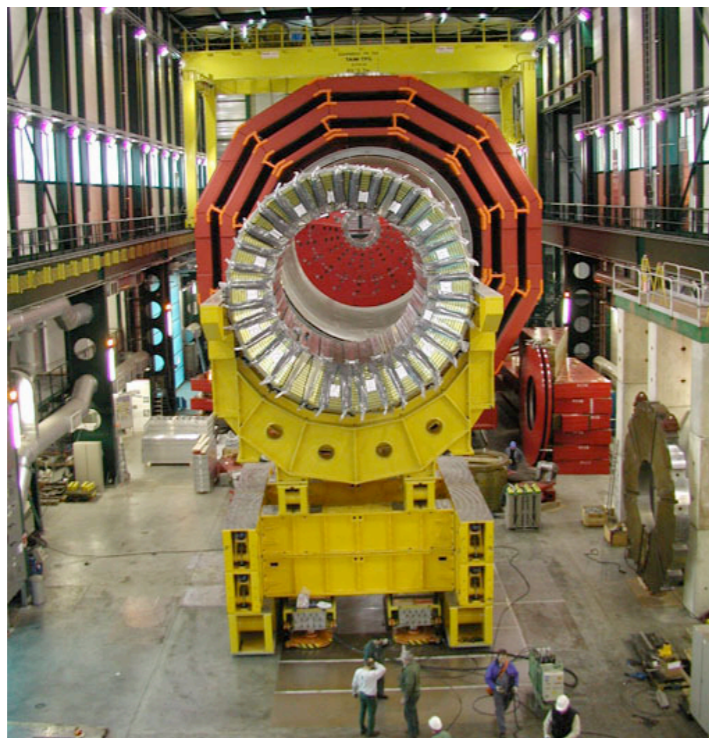
# HB construction and installation



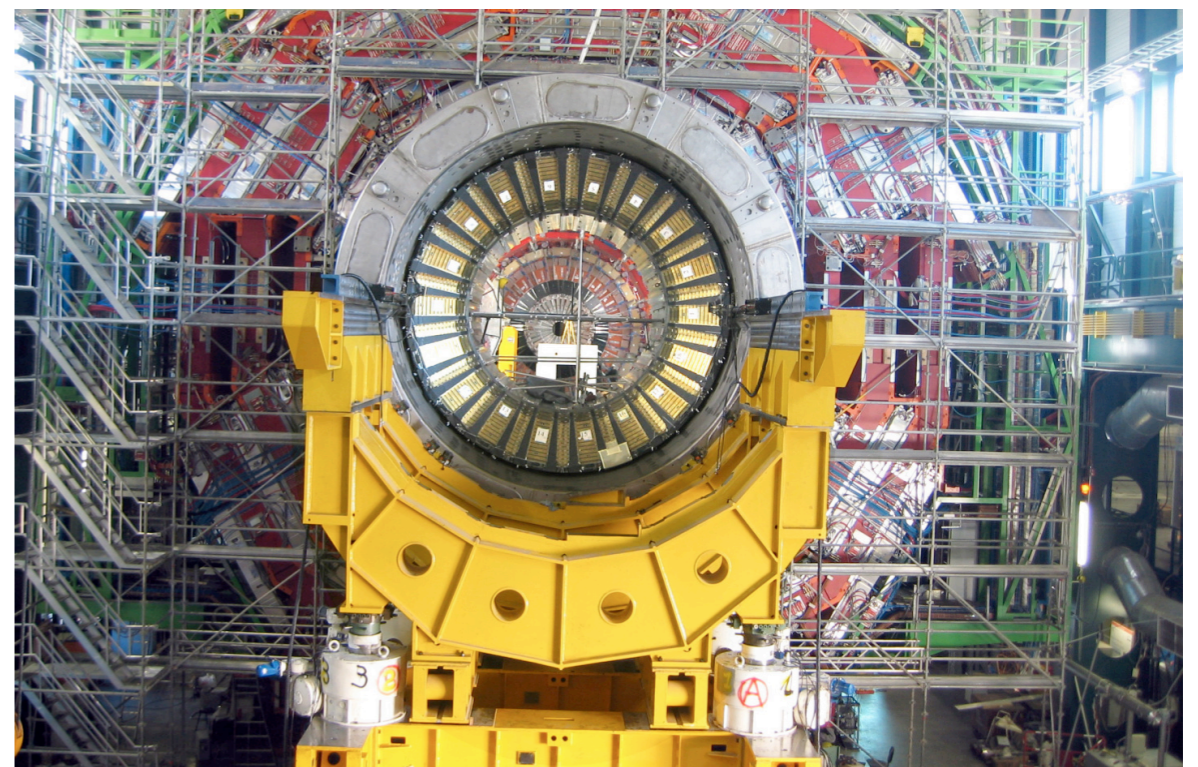
**HB brass wedges**



**HB construction**

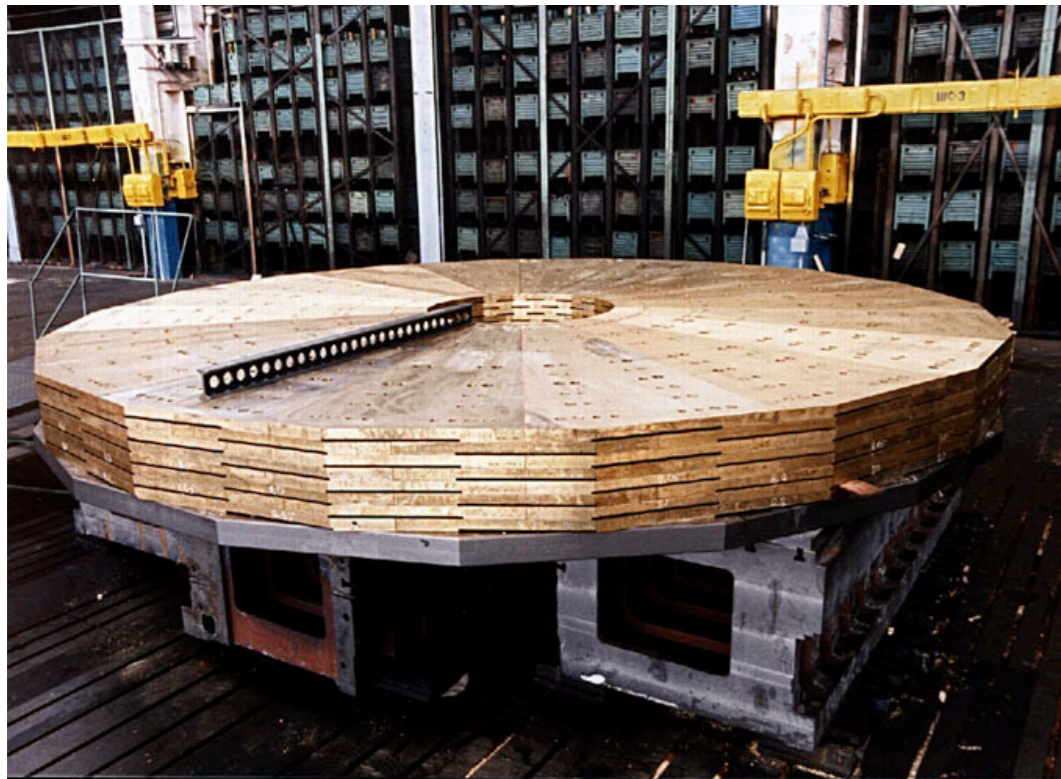


**completed HB section ready to enter yoke**



**HB section inside yoke**

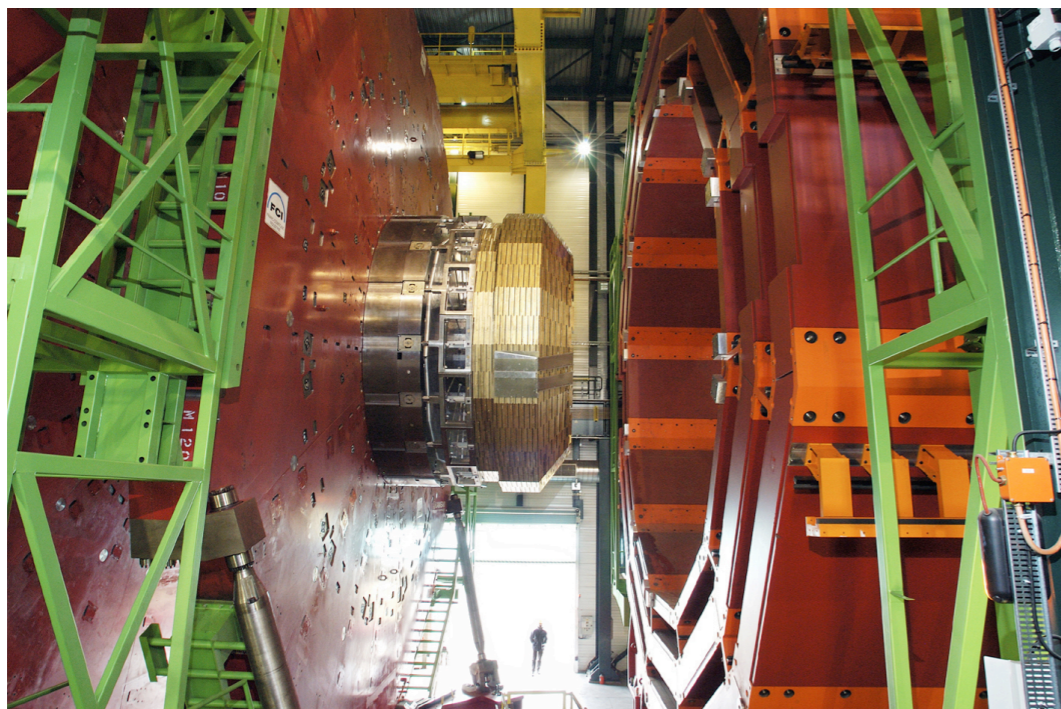
# HE construction and installation



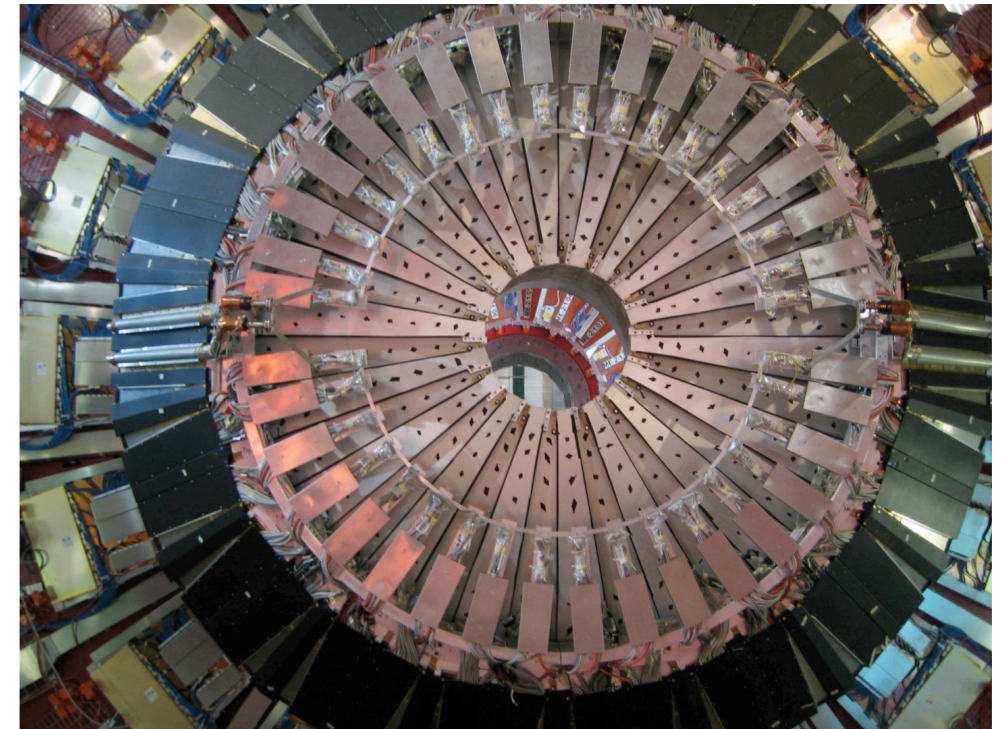
**Building up HE brass structure**



**Completed HE brass structure**



**Completed HE installed on YEI**

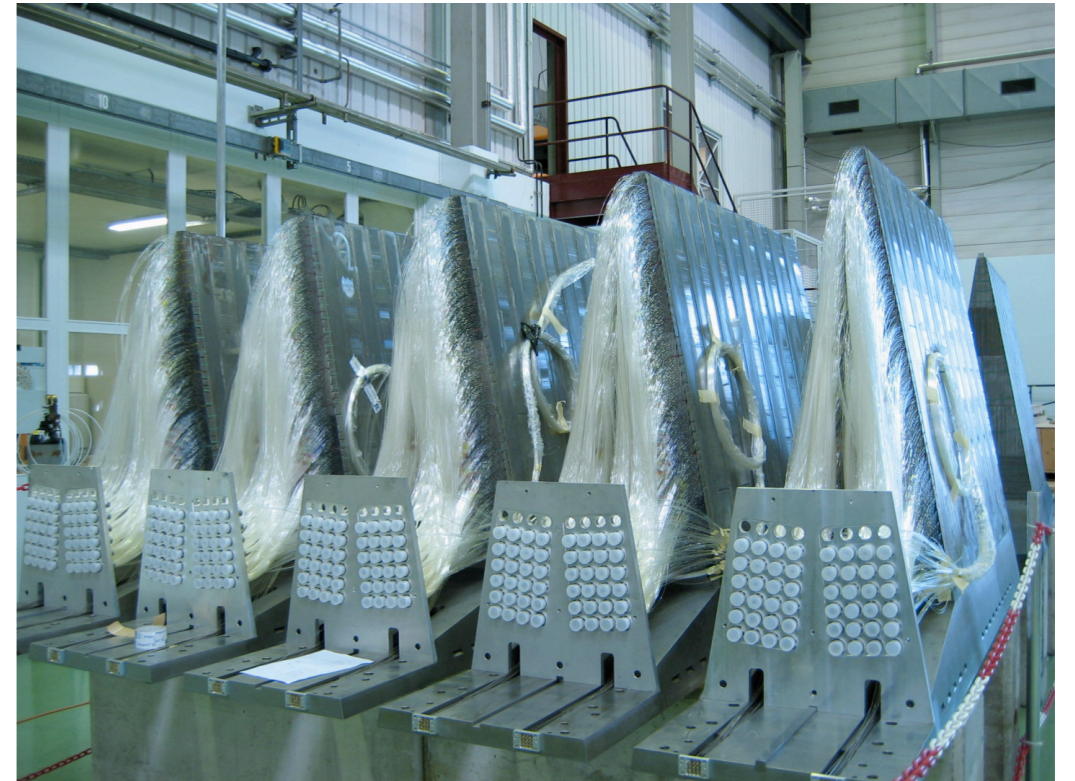


**Completed HE with ES services on top**

# Forward HCAL



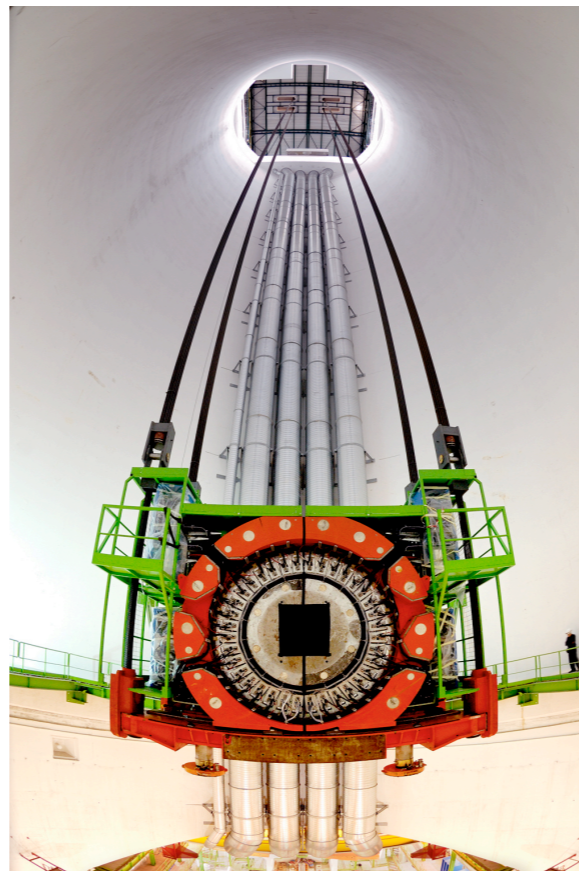
**Inserting HF quartz fibres**



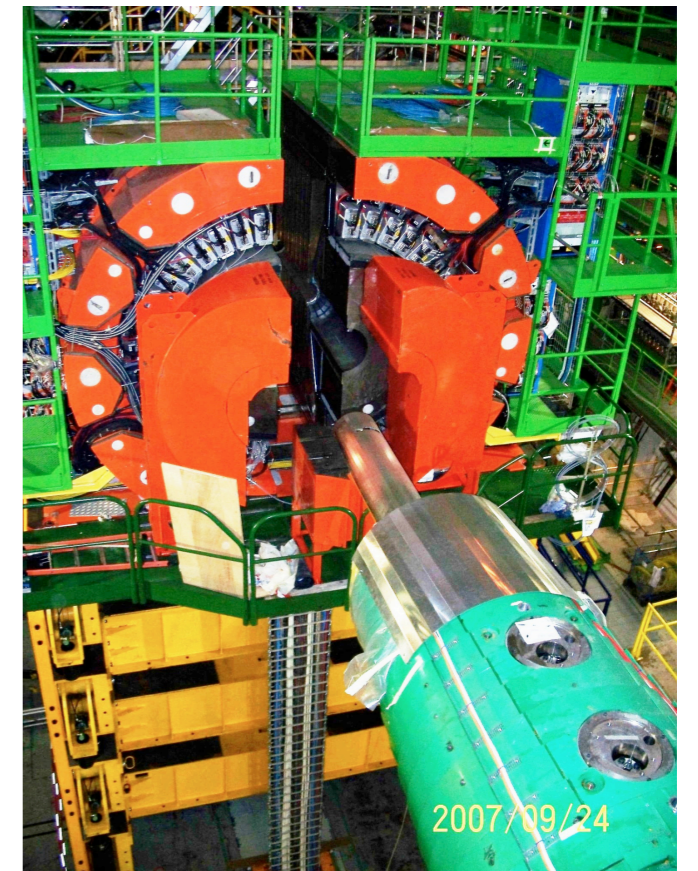
**HE wedges + fibre bundles**



**HF transport from Meyrin**

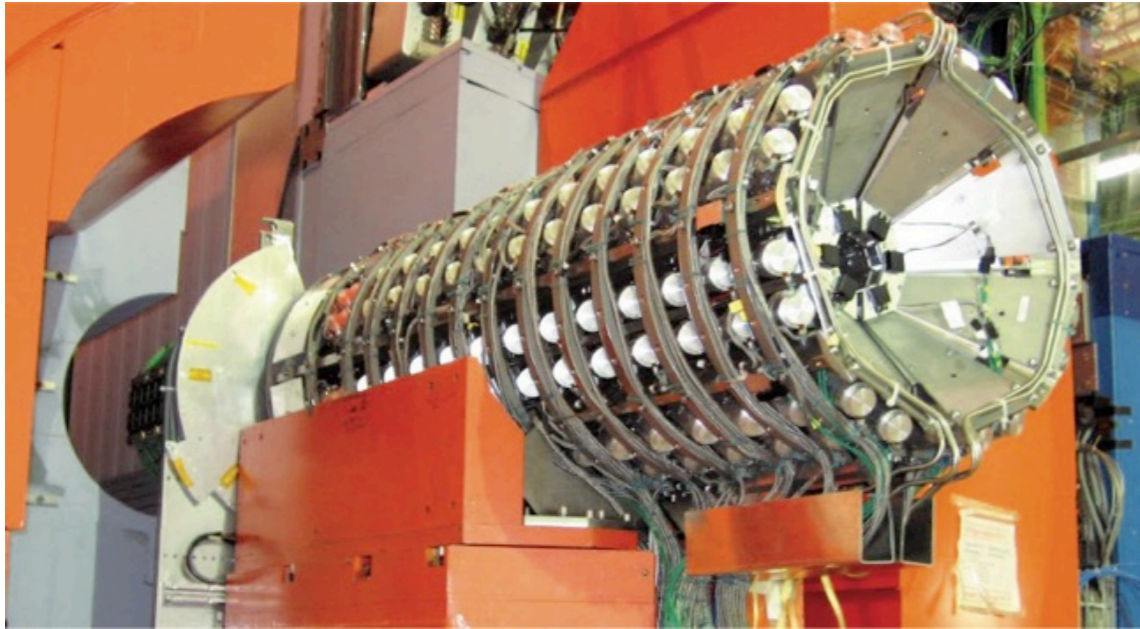


**Lowering HF**



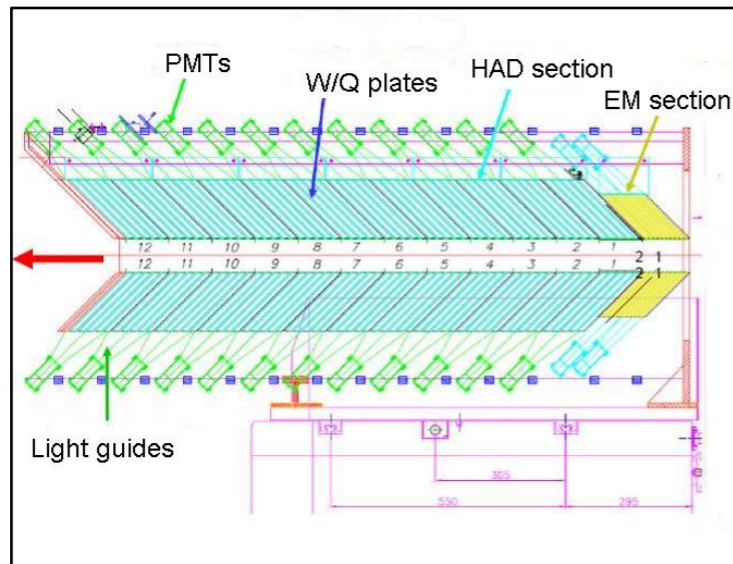
**HF installed**

# Other Forward HCAL detectors



## CASTOR

(Centauro and Strange Object Research)



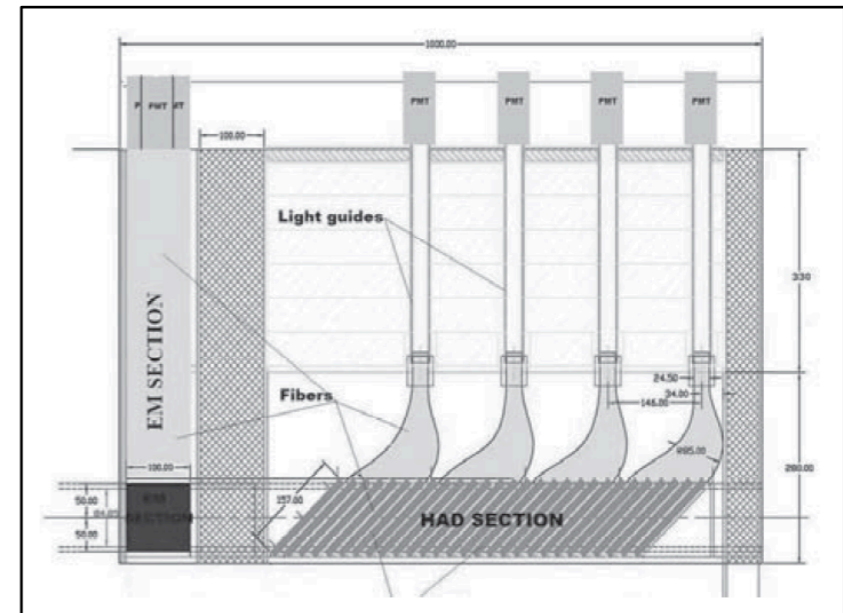
$5.2 < |\eta| < 6.6$

tungsten layers/silica quartz plates  
PMT readout



## ZDC

(Zero Degree Calorimeter - HI + diffractive physics)



$|\eta| > 8.3$

tungsten plates + quartz fibres  
PMT readout

# Reasons for the EB upgrade

## New L1 requirements

Current FE and OD readout inconsistent with L1 phase II requirements:

**750 kHz L1 accept rate**  
**12.5 $\mu$ s L1 latency**

Mandatory to replace:

**Front end card**

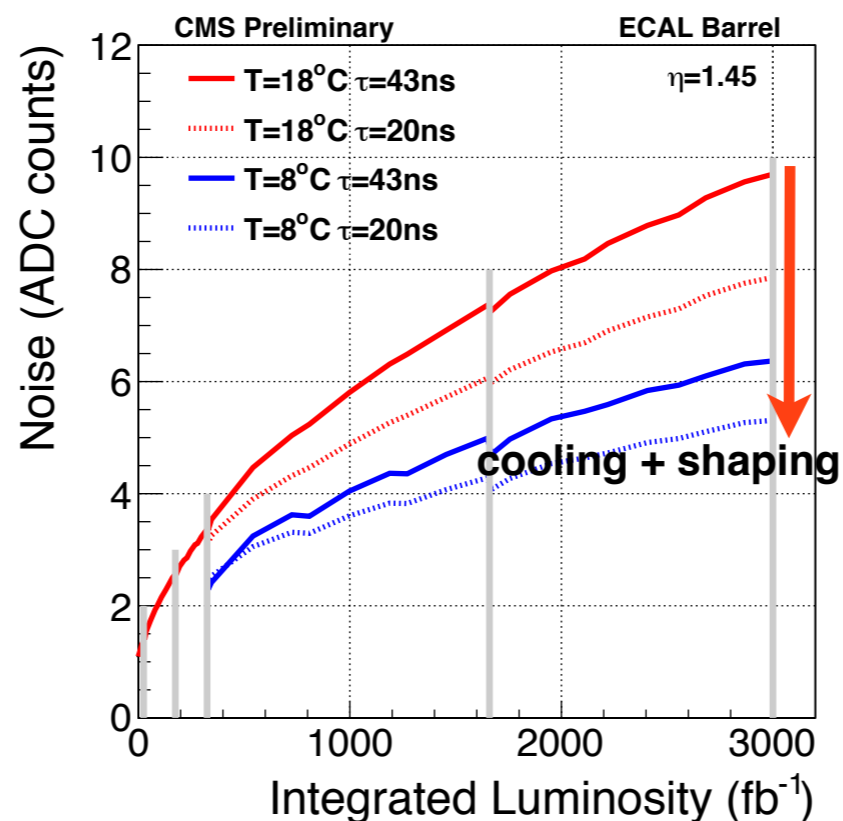
(remove on-detector latency buffer and rate limitation)

**OD electronics**

(remove rate limitation)

## APD noise mitigation

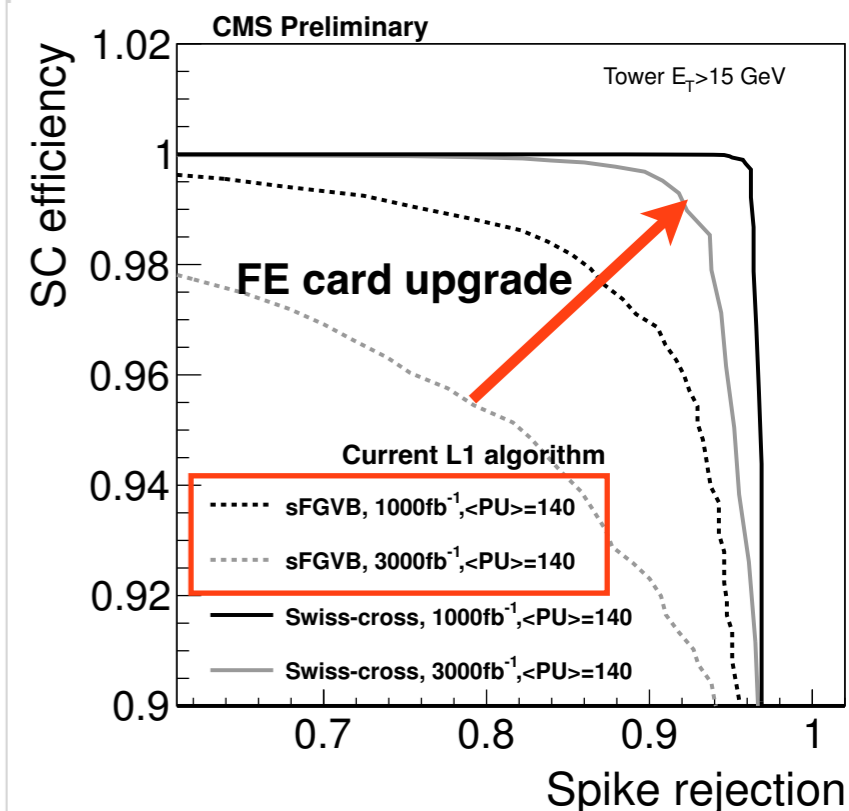
APD noise increase will significantly degrade EM resolution at HL-LHC



**Mandatory to mitigate this by cooling the APDs optimising pulse shaping (new VFE)**

## Spike mitigation

Performance of current L1 spike killer will degrade significantly.

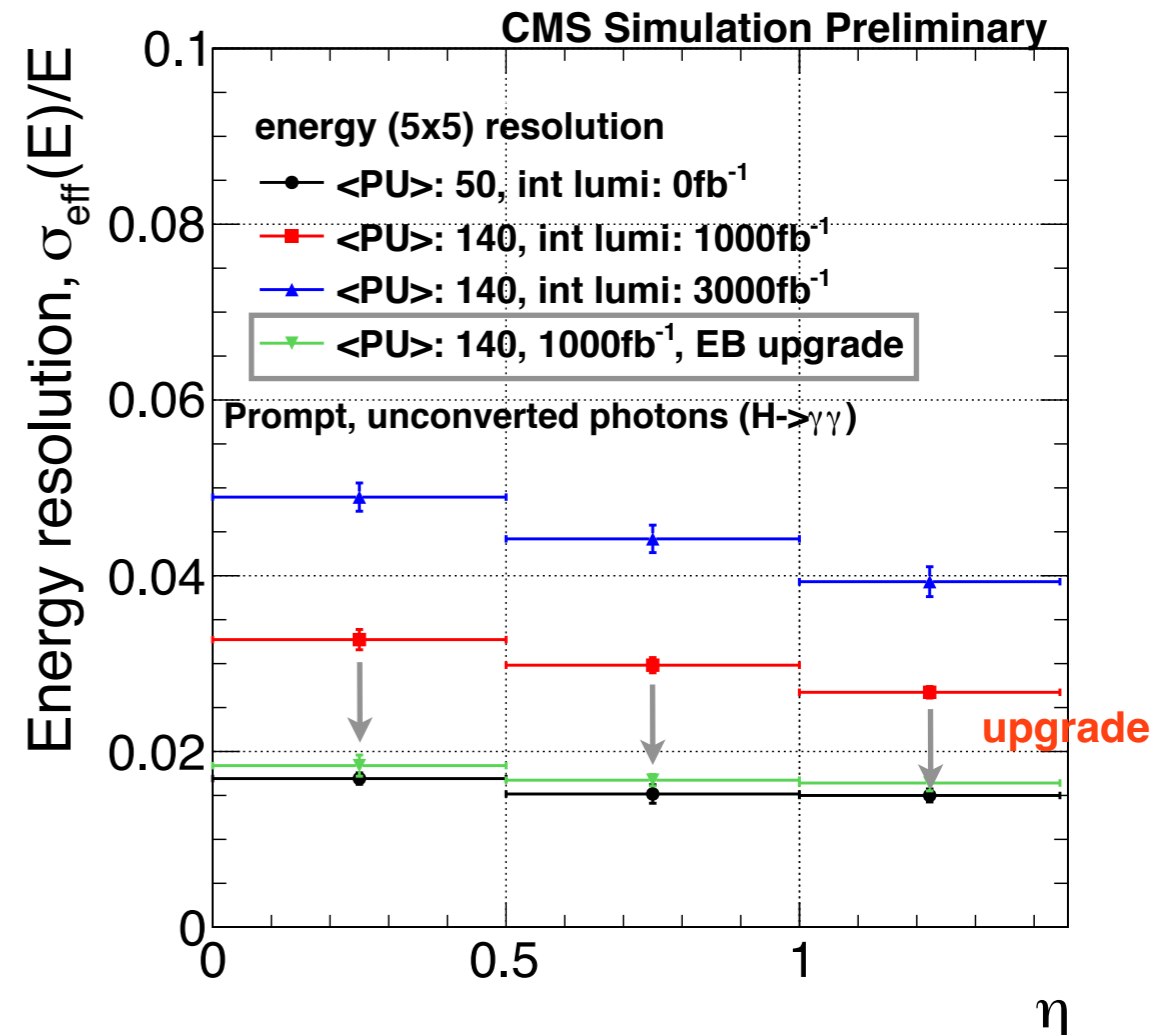


**Requires much better spike killing algorithms from new FE and VFE (>>99% efficient)**



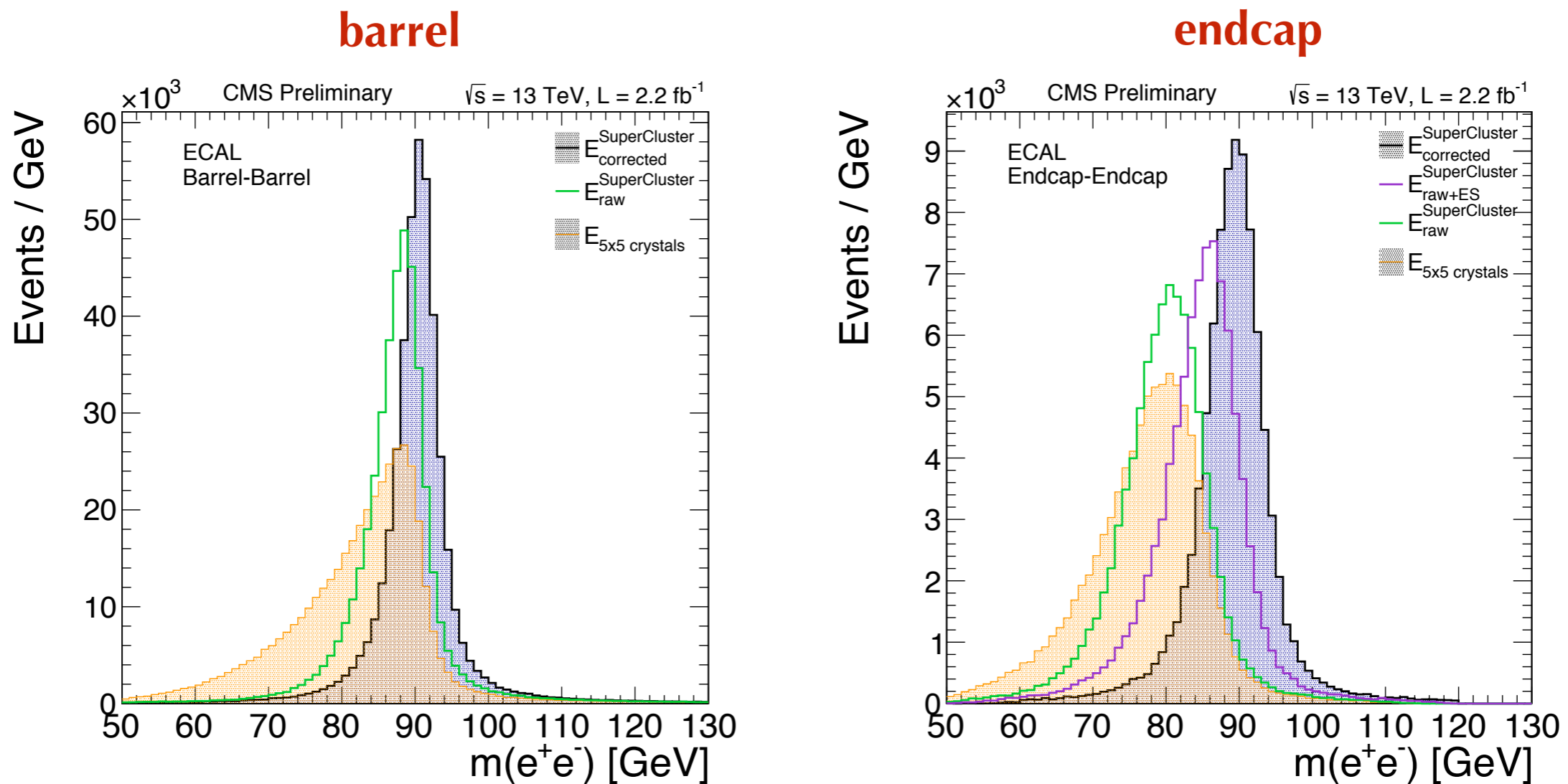
# Physics reasons for the Upgrade

- **Maintain electron/photon resolution for Phase II**
  - increase in APD leakage current otherwise dominates resolution for HL-LHC luminosities
  - Mitigation strategy:
    - Cool APDs from 18° to ~8°C
    - Implement shorter pulse shaping in a new front-end ASIC



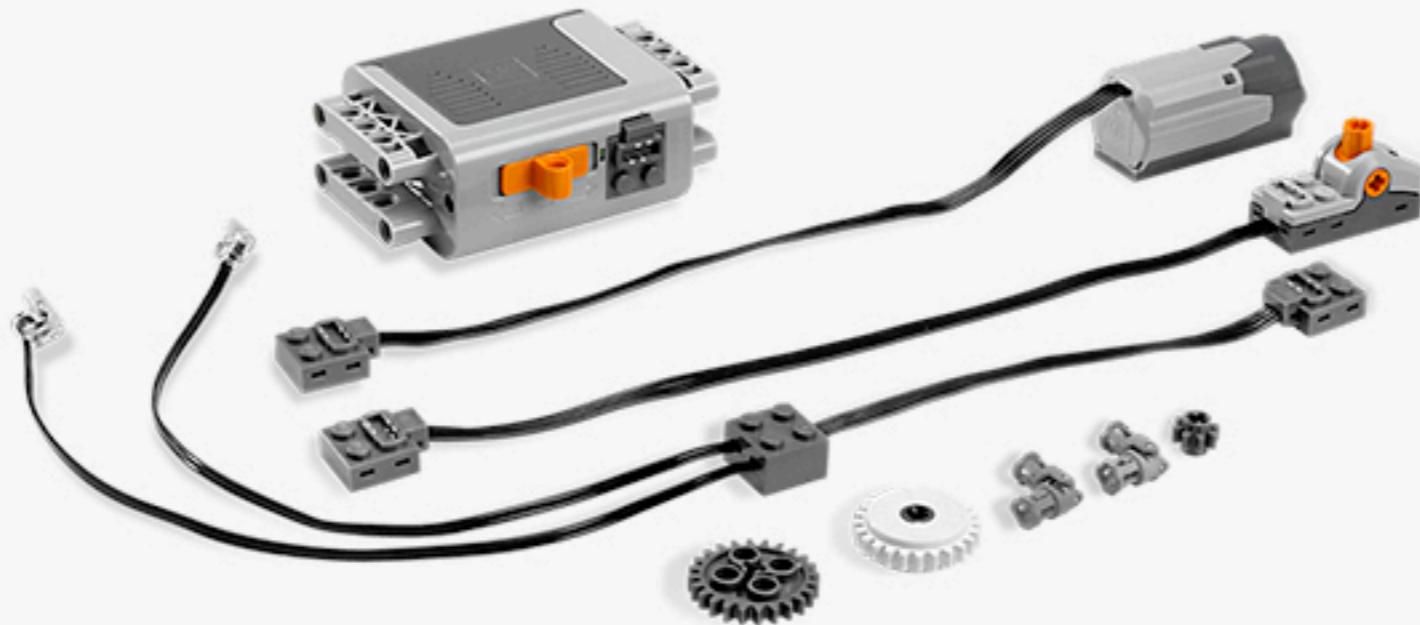
**Upgrade is mandatory to maintain good electron/photon resolution in Phase II**

# ECAL energy reconstruction



**Z- $\rightarrow$ ee invariant mass distributions for barrel and endcap**  
 The improvements from advanced clustering and cluster corrections are evident

# 5. Detector Readout



# EB/EE readout

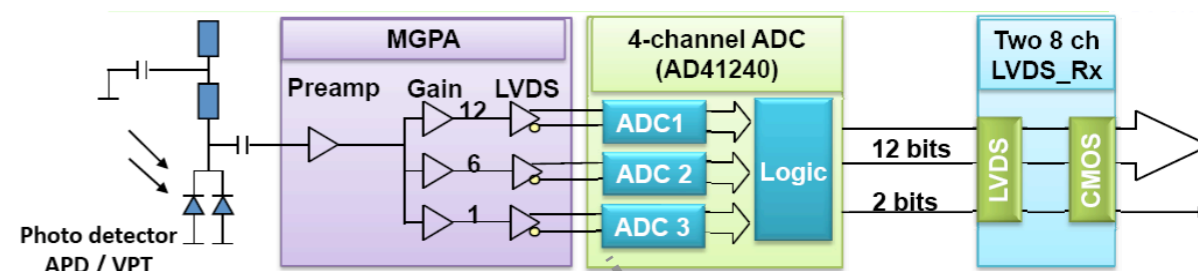
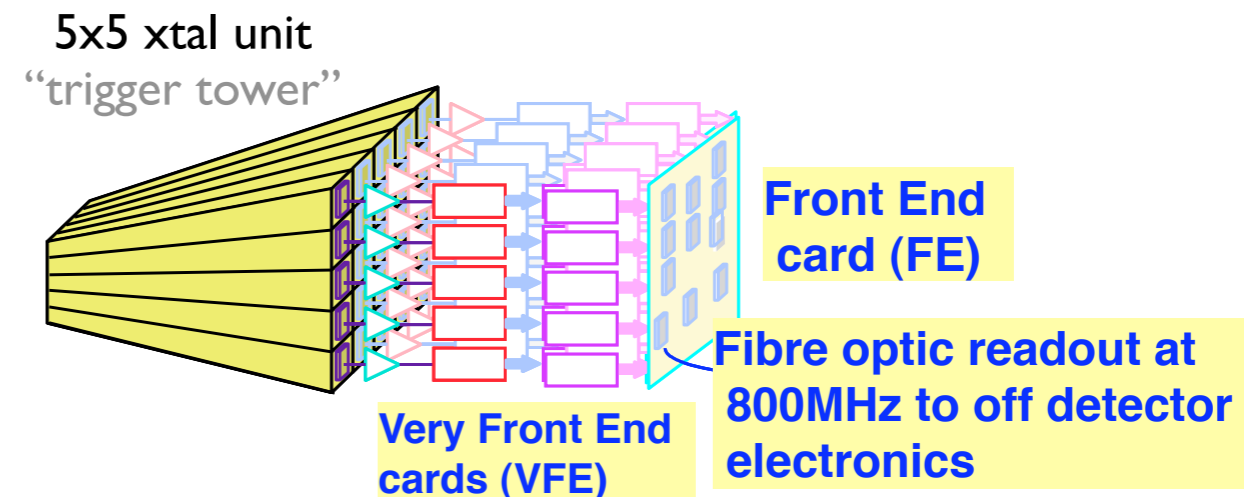
## On-detector readout:

**Trigger tower:** 25 xtals (5x5):

### 5 Very Front End cards

Pulse amplification and shaped, 3 parallel gain stages  
12 bit ADC records ten 25ns time samples, and selects  
input with highest non-saturated gain

**I Front End card** Performs trigger sums from VFE  
output. Sends crystal and trigger data on receipt of  
Level I trigger

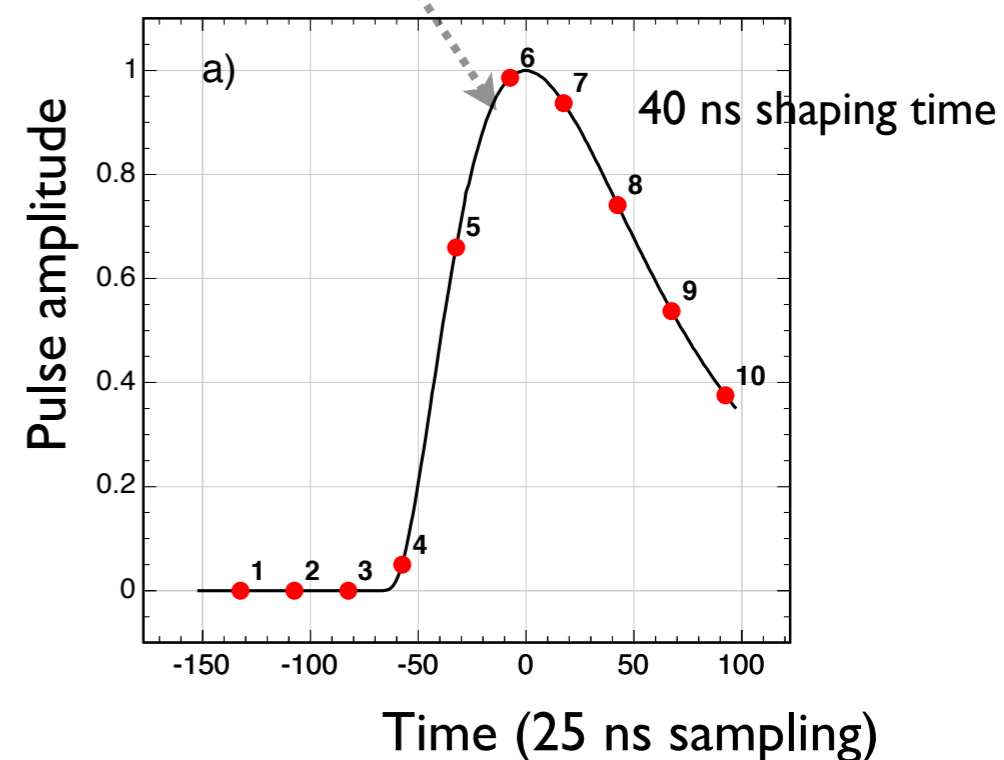


## Off-detector readout:

**TCC - Trigger Concentrator card** - receives  
trigger primitive data from FE cards, Sends trigger  
tower energy sums to Calorimeter Trigger (40MHz)

**DCC - Data Concentrator card** - receives  
crystal and trigger data on receipt of a Level I trigger.  
Applies data reduction algorithms and transfers data  
to DAQ.

+ clock & control board



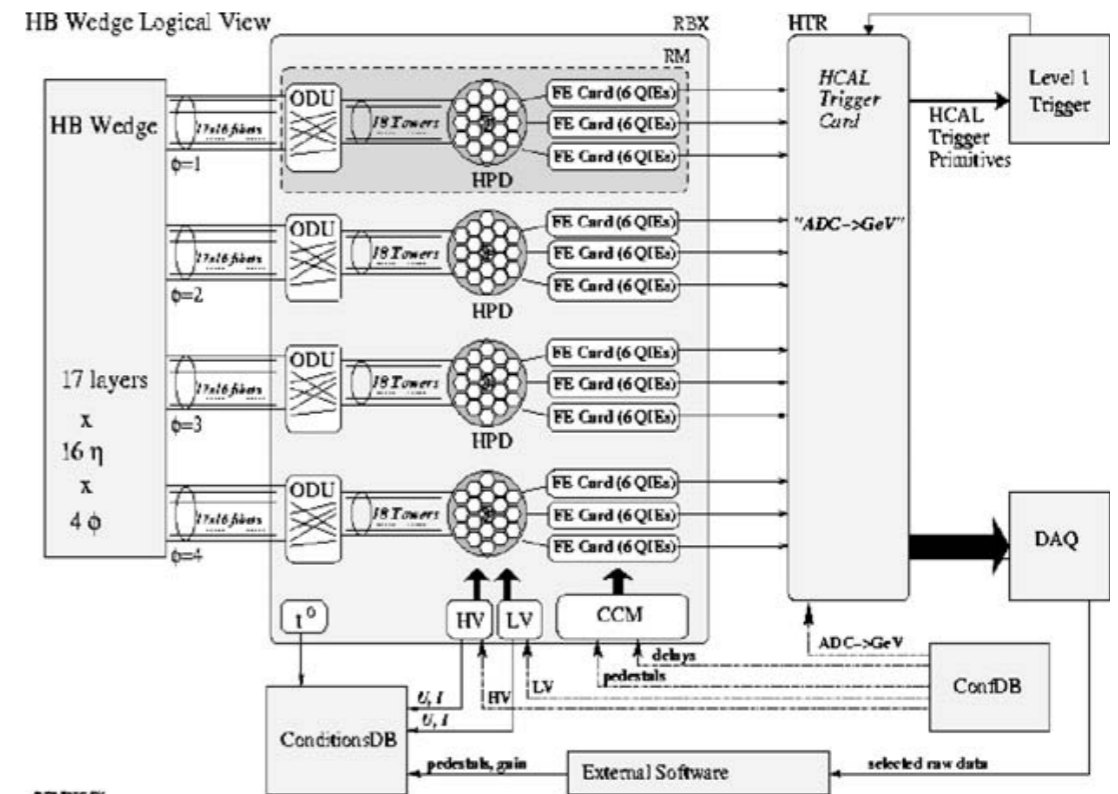
# HCAL readout

## On-detector readout:

**Readout box (RBX):** 1 per 20 degree sector, contains 4 readout modules (RM)

**Optical decoder unit (ODU):** maps fibres from one projective tower to Hybrid PhotoDiode (HPD)

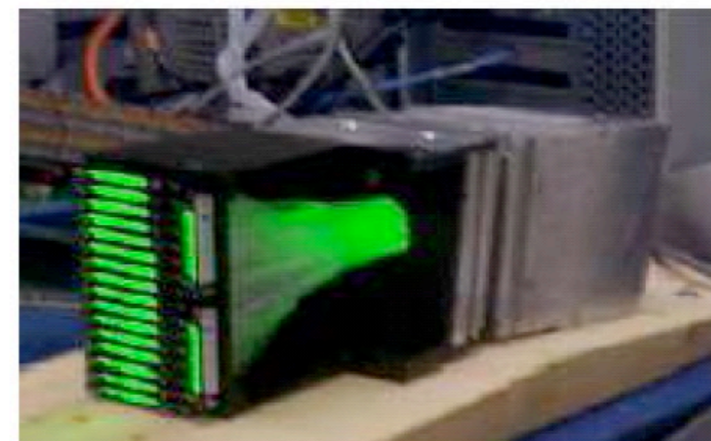
**FE card:** analogue signal from APDs digitized using charge-integrating preamplifier (**QIE**)



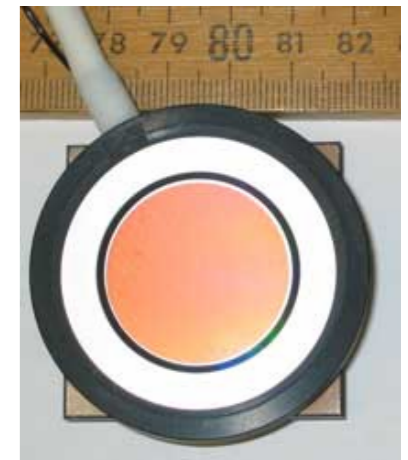
## Off-detector readout:

**HTR - HCAL Trigger and readout board** - trigger primitive formation, data and trigger pipeline  
Sends trigger tower energy sums to Calorimeter Trigger (40MHz)

**upgraded in 2015/16 to uTCA version** - for upgrade Level 1 calorimeter trigger.



RBX  
with fibre bundle



HPD