Highlights from the RICH 2022 Conference

Antonis Papanestis

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RICH = Ring Imaging Cherenkov detector

Edinburgh Sep 2022





Science and Technology Facilities Council







CARBERRY TOWER

Looking back as well as forward





Homage to Sheldon Stone

Cherenkov radiation







KK



Lake Placid – LHCb Collaboration Week

Cherenkov principles



Threshold:

$$\beta_{th} = \frac{\nu_{th}}{c} = \frac{1}{n(\lambda)}$$
Cherenkov angle:

$$\cos \theta_c = \frac{1}{\beta n(\lambda)}$$

Number of produced photons:

$$N_{photons} = L \frac{\alpha}{\hbar c} Z^2 \int \sin^2 \theta_c(E) dE$$



Refractive index range





Momentum









- > Measures both the Cherenkov angle and the number of photoelectrons detected.
- > Can be used over particle identification over large surfaces.
- > Requires photodetectors with single photon identification capability.



RICH performance





For particles well above threshold

B. N. Ratcliff, NIMA 502 (2003) 211-221



 $\sigma[\theta_c(tot)]$ components:

- Emission point error
- Detection point error (pixel size)
- Chromatic error





The performance of the LHCb RICH detectors during the runs 1 and 2 of the LHC

Antonis Papanestis STFC – RAL on behalf of the LHCb RICH Collaboration

Overview



- The LHCb RICH detectors
- Cherenkov angle resolution and alignment
- Number of photons
- Real-time Online calibration
- PID performance
- Physics impact

Performance of the LHCb RICH detectors during LHC Run 2 R. Calabrese et al 2022 *JINST 17 P07013*

Performance of the LHCb RICH detector at the LHC Adinolfi, M. et al. Eur. Phys. J. C 73, 2431 (2013).



The LHCb experiment









The Pixel Hybrid Photon Detectors





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The encapsulated electronics operate at a maximum read-out rate of 1 MHz; not compatible with 40 MHz readout



RICH 1: From 2 radiators to 1

- Original design of RICH 1 was to accommodate 2 radiators to cover a wider momentum range:
 - C₄F₁₀
 - Aerogel
- However:
 - Increased luminosity compared to original design and increased background (and underestimate of track multiplicity) made aerogel photons difficult to identify
 - The requirement for offline quality results from High-Level Trigger output put strict conditions for processing time
 - Online alignment and calibration before HLT
- Removal of aerogel extended the length of the gas radiator









Aerogel removal

π/K PID 2012/2015





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Cherenkov angle reconstruction

HPD photocathode position



HPD Image centre effect





Magnetic field distortions







RICH 1

Before corrections After corrections RICH 2

Mirror alignment





Cherenkov angle resolution



From low track multiplicity events









Number of detected photons

Using low multiplicity events, identified as muons by the muon system

The number of photons is estimated per track from the resolution plot with the background subtracted

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LHCb operates with two magnetic field polarities to avoid systematic errors: Field UP **Filed DOWN**



Calibration

Refractive index calibration







Decays for PID calibration



Identified without RICH information

Species	Low momentum	High momentum		
e^{\pm}	$B^+ \to J/\psi K^+$ with	n $J/\psi \to e^+e^-$		
μ^{\pm}	$B^+ \rightarrow J/\psi K^+$ with $J/\psi \rightarrow \mu^+ \mu^-$	$J/\psi \to \mu^+\mu^-$		
π^{\pm}	$K^0_{ m s} ightarrow \pi^+\pi^-$	$D^{*+} \rightarrow D^0 \pi^+$ with $D^0 \rightarrow K^- \pi^+$		
K^{\pm}	$D_s^+ \to \phi \pi^+$ with $\phi \to K^+ K^-$	$D^{*+} \rightarrow D^0 \pi^+$ with $D^0 \rightarrow K^- \pi^+$		
p,\overline{p}	$\Lambda^0 \to p \pi^-$	$\Lambda^0 \rightarrow p\pi^- ; \Lambda^+_c \rightarrow pK^-\pi^+$		

EPJ Techn Instrum 6, 1 (2019). https://doi.org/10.1140/epjti/s40485-019-0050-z



Kinematic range (K, \pi)







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PID performance

Global likelihood PID method

In the busy LHC environment, most of the "background" to the identification of a given track comes from signals of other tracks in the event

 \rightarrow make a global optimisation of the mass hypotheses

- For each track in the event, for a given mass hypothesis, determine the expected distribution of Cherenkov photons on the detector plane using the knowledge of the geometry of the detector and its optical properties
- Repeat for all the tracks in the event
- From the photon distribution on the detector plane calculate the probability that a signal would be seen in each pixel of the detector from all tracks
- Compare this with the observed set of photoelectron signal on the pixels and calculate a likelihood
- Repeat the above, changing the set of mass hypothesis of the tracks to find the set of mass hypotheses which maximize the likelihood







1 Event Snanshot | Run 210787 Event 64606849

PID performance (2017)





PID stability

KK





Physics impact of hadron PID





First measurement of the differential branching fraction and *CP* asymmetry of the $B \pm \rightarrow \pi \pm \mu + \mu - \text{decay}$, JHEP 10 (2015) 034 [arXiv:1509.00414]





- The data from years 2016 to 2018 have been analysed leading to a better understanding of the detectors and knowledge towards future designs
- The original LHCb RICH detectors have been replaced with the new upgraded versions
 - Brand new RICH 1, new photon detectors and new electronics everywhere
- This is an opportunity to celebrate the success of the original design showing the exceptional performance and stability in a difficult hadron environment







LHCb Upgrade of the RICH Detectors

Antonino Sergi University of Genova and INFN on behalf of the LHCb RICH collaboration

11th International Workshop on Ring Imaging Cherenkov Detectors "RICH 2022"

RICH Upgrade

- Adapt the current RICH detectors to run at higher luminosity and with continuous 40 MHz read-out
- Mechanical and optical changes
 - RICH1 spherical mirrors focal length increased to reduce occupancy (optical system re-designed)
 - New support mechanics and cooling
- Electronics and data acquisition changes
 - Replace HPDs with commercial MultiAnode PhtotoMultiplier Tubes (MaPMTs) with 64 channels
 - Use 40 MHz front-end electronics and data acquisition
 - CLARO8 amplifier/discriminator ASIC
 - FPGA-based digital board
 - GigaBit Transceiver (GBT) chip for data transmission

LHC6

New Mechanics

- Peak occupancy should remain < 30% to maintain PID performance
- Focal plane and spherical mirror moved back to increase ring size
- New spherical mirrors with larger curvature radius
- Larger gas enclosure
- Compact photo-detection system required



LHC6

New Mechanics

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MultiAnode PhotoMultipliers

LHC6

- Hamamatsu MaPMTs
 - 3100 R13742 and 450 R13743, including spares
 - Super-bialkali photocathode
 - UV glass window
 - Minimum gain 1×10⁶ at 1 KV
 - 1:4 pixel gain spread in 1" PMTs, 1:3 pixel gain spread in 2" PMTs
 - Low dark count rate
 - Single photon spectrum well separated from the noise pedestal
- Higher QE of MaPMT in the green
 - Chromatic error reduction
- Sensitive to magnetic fields
 - Shielding applied





RICH2022

Antonino Sergi



Hamamatsu MaPMTs with 8×8 pixel matrix, arranged in

55

mm

- 1" R13742 (from R11265 series)
- 2" R13743 (from R12699 series)
 - EC-H type: 2" MaPMT .
 - ~400 modules 0
 - Outer regions of RICH2 0
 - One "A"MaPMT per EC 0 (larger model 2×2 inches)
 - EC-R type: 4×1" MaPMTs
 - 2×2 matrix of "B" MaPMT 0
 - per EC (smaller module 1×1 inches)
 - ~ 2700 MaPMT 0
 - ~ 700 modules 0
 - **RICH1** and central 0

region of RICH2

RICH2022

Antonino Sergi



Columns

- Mechanical support for Elementary Cells, PDMDB, harness and cooling
 - Easy to remove a column for maintenance
 - Same mechanical structure for columns in RICH1 and RICH2 but different supports
 Translation of a column





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Radiation Hardness

- High radiation levels expected for whole upgrade phase (50 fb⁻¹) at the RICH photodetector plane location
 - \circ ~ 200 krad, 3×10^{12} 1 MeV $n_{eq}^{}/cm^2$, 1.2× 10^{12} HEH/cm^2

LHC6

- The read-out (CLARO and FPGA) have been tested for Single Event Effects (SEE) and Total Ionizing Dose (TID)
 - CLARO uses radiation-hard by design cells(IMS-CNM Sevilla)
- Xilinx Kintex-7 FPGA is suitable for operation in LHCb RICH



• Periodic scrubbing foreseen for error mitigation

Estimated performance

	Photon yield		Cherenkov angle resolution [mrad]			d]	
	$N_{\rm ph}^{\rm optimal}$	$N_{\rm ph}^{\rm typical}$	$\operatorname{chromatic}$	emission point	pixel	$\sigma_{ heta}$	$\Delta \theta_{\rm C}$
RICH1	63	59	0.52	0.36	0.50	0.81	0.18
RICH2	34	30	0.34	0.32	0.22	0.52	0.17

Included in the current simulation

- All measured parameters of optical components
- All feedback from test beams and commissioning
- Experience from the simulation of the previous implementation of this detector



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RICH1 Installation: Down Box (12/2021)

LHC6



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RICH1 Installation: Up Box (01/2022)







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Collisions and Light (June-July 2022)

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OnlineMon/RICH/RICH2

Save - Ø Rendering Info



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Characterisation and operations of the Multianode Photomultiplier Tubes for the LHCb RICH detectors

Giovanni Cavallero



LHCL

on behalf of the LHCb RICH collaboration

CERN and Imperial College London

Imperial College London

RICH 2022, 12-16 September, Edinburgh, UK

Quantum efficiency

- quantum efficiency measured in a dedicated setup at CERN on a subsample of devices (technical specification from Hamamatsu on the Blue Sensitivity Index)
- UV-glass entrance window: sensitivity to single-photon between 200 and 600 nm
- ultra bi-alkali photocathodes allow to reach an excellent quantum efficiency of 40% at 300 nm in average!



6/20

MaPMTs in the LHCb experiment during 2018 operations



Characterisation and operations of the MaPMTs for the LHCb RICH detectors

Observation of Signal Induced Noise (SIN)

JINST 16 (2021) P11030

- first data acquired in an LHC collision scheme with isolated bunches (to synchronise the system) and with a 3 μ s-wide acquisition window
- detection of out-of-time hits in R11265 tubes, delayed with respect to the expected arrival time of Cherenkov photons, indicating an unexpected source of noise
- characterised by the mean number of SIN pulses $\mu_{SIN} = B/S$



Localisation of SIN and device dependence

JINST 16 (2021) P11030



- the localisation is a general feature of SIN, but with absolute values of μ_{SIN} strongly depending on the device under consideration
- weak correlation against the pixel gain, increasing at lower high-voltages
- no correlation with other MaPMT properties
- no connection between ageing effects and SIN and no significant changes when testing different voltage dividers

Characterisation and operations of the MaPMTs for the LHCb RICH detectors

Mitigation strategies

JINST 16 (2021) P11030

- a new series (FD) of R11265 MaPMTs has been produced in 2019 by Hamamatsu to reduce the contributions of SIN pulses by means of a change of the internal mechanical design of the tube ⇒ installed in the central region of RICH1
- exploit the strong dependance on the HV to operate at the lowest possible HV as a compromise between single-photon detection efficiency and low SIN rate
- exploit the prompt (time spread: O(10 or 100 ps)) arrival time of Cherenkov photons on the photon detector planes to implement of a 6.25 ns time gate at the frontend readout to increase the signal to noise ratio of a factor four (see Floris Keizer's talk)







A novel fast-timing readout chain for LHCb RICH LS3 enhancements and prototype beam tests

Floris Keizer on behalf of the LHCb RICH collaboration



11th International workshop on Ring Imaging Cherenkov Detectors, RICH 2022, 12–16 September, Edinburgh

A time-resolved RICH detector





In this presentation, aim to address:

- > How to make use of this feature to improve the PID.
- > Which readout technologies and functionality to install during LHC Long Shutdown 3.
- Prototype developments and the ongoing test beam campaign.

Hit map for a single LHC bunch crossing (Run 3 simulation)

Simulating the Run 3 detector with an 'ideal' photon detector with zero time jitter shows distinct peaks originating from different Primary Vertices (PVs).

- In practice, the photon detector time resolution blurs the image in time.
- > The RICH reconstruction maximum-likelihood approach works with tracks and single hits.

To reduce background and improve PID, need to accurately predict when the photons from a given track ought to arrive.



Evolution of the RICH photon detector



Relatively long period of LS3 central to the RICH evolution.

- LS3 / Run 4 : focus on FastRICH readout electronics with fast timing and wide input dynamic range.
- LS4 / Run 5 : focus on sensor technology.

Fast-timing is essential for the luminosity challenge after Upgrade II. [Talk by Stephen Wotton]

Readout chain for LS3 and LS4

The LHCb RICH LS3 enhancements aim to equip the detector with new front-end readout electronics including the **FastRICH ASIC** capable of timestamping photon detector hits with ~ 25 ps time bins.

- Improve PID performance during Run 4.
- ✓ Introduce technologies for high-luminosity operation ahead of Upgrade II.
- ✓ Gather valuable experience with novel fast-timing and data compression techniques.



	Sensor	ASIC timewalk	FE time gate	TDC time bin
LHC Run 3	$150 \mathrm{~ps}$	$< 4 \mathrm{ns}$	$6.25 \mathrm{ns}$	None
LHC Run 4	$150 \mathrm{\ ps}$	CFD correction	$2\mathrm{ns}$	$25\mathrm{ps}$
HL-LHC Run 5	$\sim 50\mathrm{ps}$	CFD correction	$2\mathrm{ns}$	$25\mathrm{ps}$

FastIC and FastRICH ASICs

[Poster by Rafael Ballabriga et al].

The Fast Integrated Circuit (FastIC) is an ASIC designed in 65 nm CMOS technology by the University of Barcelona (ICCUB) and CERN-EP-ESE.

- 8-channel chip with wide input dynamic range (5 uA to 25 mA) for pos/neg signal polarities.
- > 'Analog' ASIC with fast discriminator (~ 30 ps jitter).
- > Not designed to be specifically radiation hard.



RICH elementary cell with FastIC ASICs.

Next-generation FastRICH is based on the FastIC and specific requirements of the RICH detector.

- > 16-channel chip with **analog and digital** signal processing.
- Hardware shutter time (configurable) to limit the timestamp range to ~ 1 to 2 ns.
- Constant-fraction discrimination (CFD).
- Zero-suppressed output over configurable number of output links to IpGBT.
- > TDC with \sim 25 ps time bins and 40 MHz readout rate.
- > Radiation hard by design (~ $10^{13} n_{eq}/cm^2$ and ~ 5 kGy).
- Compatibility with IpGBT and the architecture of the Run 4 and Run 5 DAQ.

FastRICH design is ongoing (CERN-ICCUB) with the analog parts far advanced.

The prototype fast-timing readout chain

Digital board for the testbeam, containing a Kintex7 FPGA with 34-channel TDC with 150 ps time bins

[Poster by Lucian Cojocariu et al].

FEBs with FastIC ASICs.

 Output of fast-timing channel: ToA + non-linear ToT.

MAPMT / SiPM baseboard.

[Talk by Roberta Cardinale]

FastICs coupled to MAPMTs (1 and 2-inch devices, Run 4) and an SiPM array (Run 5 candidate).

LHCb RICH Upgrade II

XI International Workshop on Ring Imaging Cherenkov Detectors, RICH 2022, 12th-16th September

Steve Wotton University of Cambridge *LHCb* ГНСр

on behalf of the LHCb RICH collaboration

LHCb & the High Luminosity LHC

From around 2035 the LHCb physics program will benefit from a factor 7.5 increased luminosity compared to Run 4. The full Upgrade program is described in the recently published FTDR.

The RICH detectors will remain a vital element of LHCb and will be essential to exploit new physics opportunities in this new high luminosity era (Run 5 & Run 6).

Luminosity: 1.5×10^{34} cm⁻² s⁻¹ RICH1 n-equivalent dose: ~ 10^{13} cm⁻² (1 MeV equivalent) Total Ionising Dose: ~5 kGy for 350 fb⁻¹

Chromatic Error

The wavelength dependence of the refractive index gives rise to a chromatic error that can be a significant contribution to the overall error.

This chromatic error is driven by the convolution of:

Cherenkov photon spectrum;

spectral sensitivity of the sensor; absorption coefficients;

reflectivity.

There is a clear benefit to operating at longer wavelength where the photon spectrum is flatter.

For example, by using SiPMs as the photon sensor and with optimisation of the optical layout, we estimate a contribution of about 0.1 mrad.

	Current	Future
RICH1 [mrad]	0.52	0.11
RICH2 [mrad]	0.34	0.10

Emission Point Error

In LHCb, the spherical mirror is tilted to allow the sensor region to be kept outside the detector acceptance.

This introduces an error that arises from optical aberrations that make the detected photon position depend on its emission point within the radiator volume.

Closer to ideal geometry can be achieved with smaller mirror tilt:

Flat mirrors move inside the acceptance

Must be made of light materials

By making this change we estimate that the emission point error can be reduced to 0.12mrad

	Current	Future
RICH1 [mrad]	0.36	0.12
RICH2 [mrad]	0.32	0.05

Summary of contributions to angular resolution

Overall [mrad]	Chromatic [mrad]	Emission pt [mrad]	Pixel [mrad]	Yield		
RICH 1						
0.8	0.52	0.36	0.5	63		
0.40	0.11	0.36	0.15	47		
0.22	0.11	0.12	0.15	34		
RICH2						
0.50	0.34	0.32	0.22	34		
0.13	0.10	0.05	0.07	20-30		
	Overall [mrad] 0.8 0.40 0.22 0.50 0.13	Overall [mrad] Chromatic [mrad] 0.8 0.52 0.40 0.11 0.22 0.11 0.50 0.34 0.13 0.10	Overall [mrad]Chromatic [mrad]Emission pt [mrad]RICH 10.80.520.360.400.110.360.220.110.12RICH20.500.340.320.130.100.05	Overall [mrad]Chromatic [mrad]Emission pt [mrad]Pixel [mrad]RICH 10.80.520.360.50.400.110.360.150.220.110.120.15RICH20.500.340.320.220.130.100.050.07		

Significant improvements are possible with respect to the current LHCb RICH configuration.

The table compares expectations for the current MaPMT RICH with a possible future design using SiPMs

Realising these improvements also requires corresponding improvements in tracking performance.

The impact on PID performance

The better the photon time resolution the better the PID performance.

The curves in upper plot are a standard metric that we use in LHCb to characterise PID performance.

Best performance is furthest to the right and bottom.

i.e. High Kaon efficiency with low Pion misidentification probability

Effect seen in both conventional (global maximum likelihood) reconstruction and when using CNNs (lower plot).

The benefit arises because better timing allows tighter cuts to remove out-of-time photons.

Steve Wotton, RICH 2022

Summary of Sensor Attributes

	MaPMT	SiPM	MCP/LAPPD
σ _t [ps]	150	60	30
Pixel size [mm]	≥ 2.8	≥1	Custom (R&D)
QE	> 35% at 350 nm	> 45% at 460 nm	20-30% at 350 nm
Dark count rate [Hz mm ⁻²]	1	10 ⁵ - 10 ⁷	1
Typical operating voltage	1 kV	< 100 V	1 kV
B-field	< 5 mT	Insensitive	< 2 T
Radiation tolerance	Entrance window	Lattice defects	Entrance window
Gain ageing limits	I_{anode} 100 μA	N/A	10 C cm ⁻²
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There is not yet a candidate sensor that is proven to meet all LHCb Upgrade 2 requirements but there are candidates that come very close.

Further R&D is needed.

Status and perspectives of SiPMs

Alberto Gola Chief Scientist

F. Acerbi, A. Ficorella, S. Merzi, L.P. Monreal, E. Moretti, G. Paternoster, M. Penna, M. Ruzzarin, N. Zorzi

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Test Beam 2 – LNS Catania DCR at LN after irradiation

- Cooling is extremely effective in reducing DCR after irradiation up to ~1.10¹² n_{eq}/cm²
- Further investigations needed to understand what happens at the higher doses
- Worth checking different / new SiPM structures
- Check possible effect of annealing

Radiation Hardness Definition + Mitigation strategies

It is rather obvious that we cannot prevent the bulk damage from increasing the DCR of the SIPM.

A possible definition of Rad-Hardened / tolerant SiPM is a SiPM that retains its target performance in a given application even after radiation damage.

- \rightarrow Depends on the application!
- → Radiation damage mitigation strategies (+ annealing)

	Use	e of small cells + Eng	gineering of electric field	
Issue / Hypothesis		Technical Solution	Mitigation	
Increase of primary DCR		Electric field engineering	Better DCR temperature coefficient High PDE at lower bias (to reduce field-enhanced effects)	
PDE loss due to cells busy triggering dark counts.		Smaller Cells	More cells and faster recharge: lower PDE loss.	
Increased power consumption due to higher DCR.		Smaller Cells	Lower gain: less current (for a given DCR).	
-><		. But, at the same time,	we need high PDE!	15/09/2022 32

Mitigation of Radiation Damage NUV-HD-RH SiPMs for CMS-BTL

SiPMs with extreme radiation tolerance are required for the Barrel Timing Layer of the CMS experiment, at CERN: 1.9×10^{14} 1 MeV n_{eq}/cm².

Custom SiPM technology was developed, combining *electric field engineering with small-pitch SiPM technology*, for enhanced radiation hardness.

NUV-HD-RH SiPMs

The advantage of using small cells for radiation hardness is relevant *only if they can still provide very high PDE*