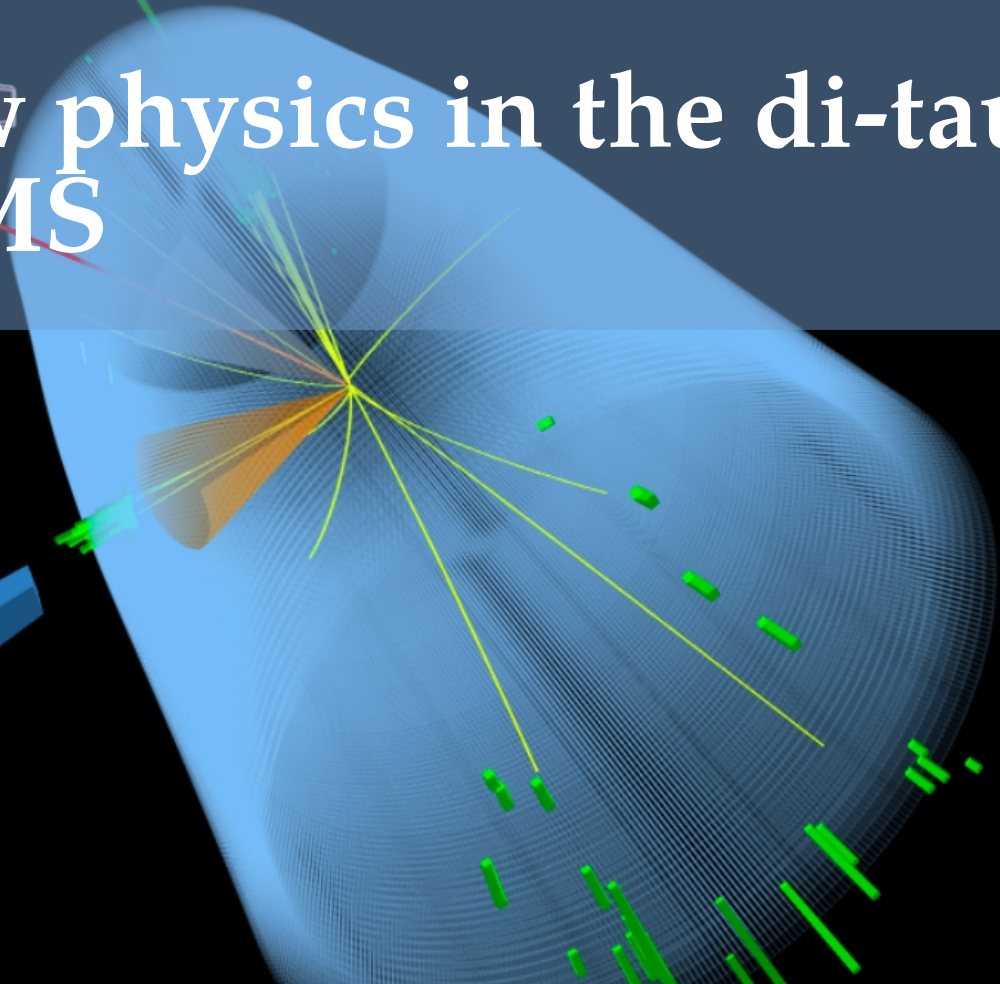
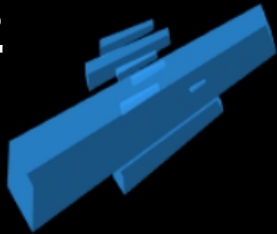




CMS Experiment at the LHC, CERN
Data recorded: 2018-Jul-17 03:21:01.157638 GMT
Run / Event / LS: 319756 / 2934016220 / 1850

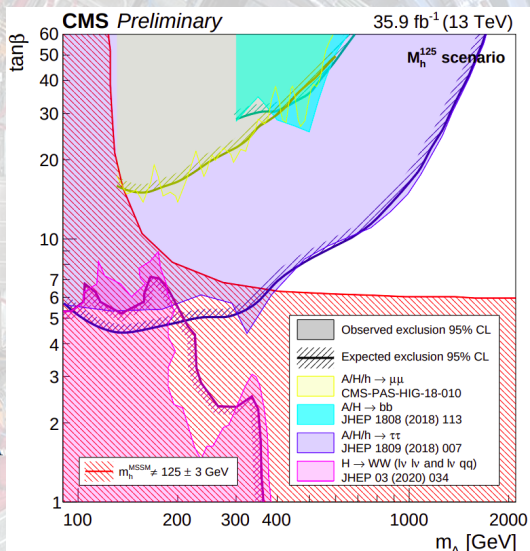
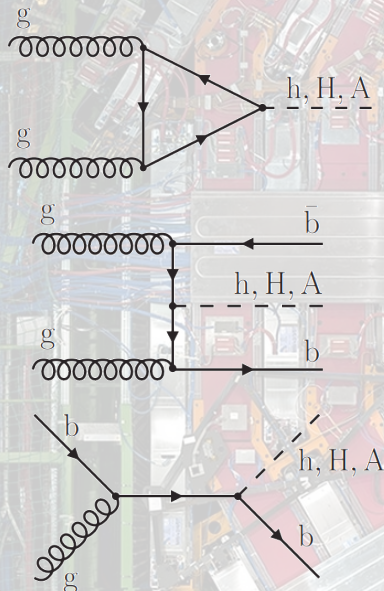
Searches for new physics in the di-tau final states at CMS

George Uttley
Imperial College London
IOP 2022 - 04/04/22



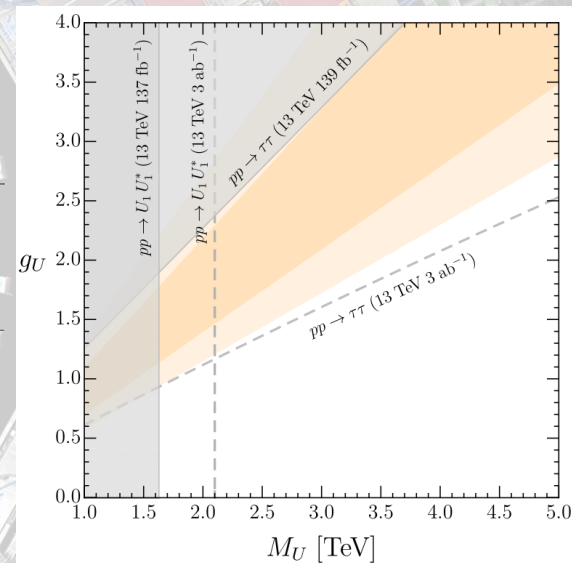
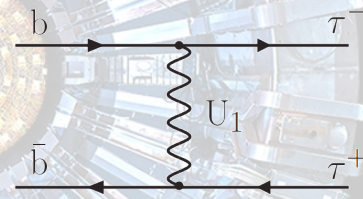
Additional neutral Higgs bosons

Predicted by two Higgs doublet models (including the MSSM). MSSM predicts h, H and A .



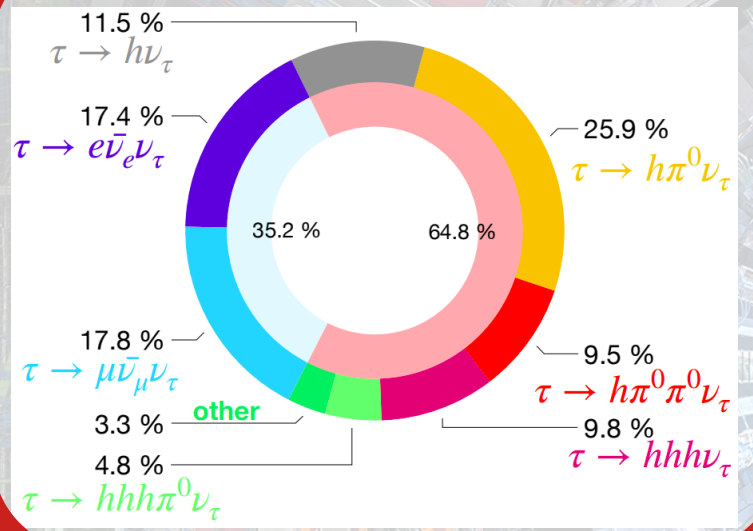
Vector Leptoquarks

Theorised to simultaneously explain the b anomalies.



Both theories offer signals in high p_T di-tau tails with possible additional b -jets.

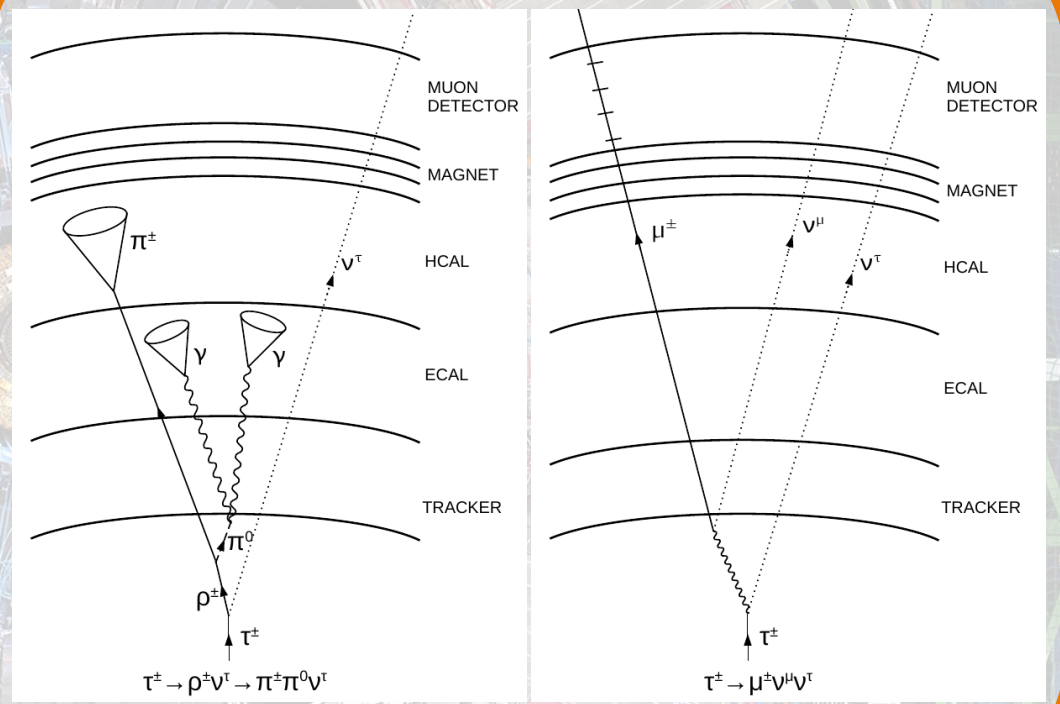
Tau Decay



- Taus decay leptonically (e, μ) or hadronically (τ_h).
- We search for 4 di-tau final states: $\tau_h\tau_h$, $e\tau_h$, $\mu\tau_h$ and $e\mu$.
- Hadronic taus selected by the DeepTau algorithm.

<https://arxiv.org/pdf/2201.08458.pdf>

Finding Taus in CMS Detector



Categories and Discriminators

- **For the model independent resonance search:** Use both low and high mass analysis
- **Vector Leptoquark search:** Use only high mass analysis.
- **MSSM search:** Use high mass analysis combined with the CMS SM $H \rightarrow \tau\tau$ analysis. <http://cds.cern.ch/record/2725590?ln=en>

High Mass Analysis ($m_\phi \geq 250$ GeV)

	No b-tag			b-tag		
$\tau\tau \rightarrow e\mu$	Low- D_ζ	Medium- D_ζ	High- D_ζ	Low- D_ζ	Medium- D_ζ	High- D_ζ
$\tau\tau \rightarrow e\tau_h$	Loose- m_T		Tight- m_T	Loose- m_T		Tight- m_T
$\tau\tau \rightarrow \mu\tau_h$	Loose- m_T		Tight- m_T	Loose- m_T		Tight- m_T
$\tau\tau \rightarrow \tau_h\tau_h$						
$t\bar{t}(e\mu)$						

Signal region (SR)
 Control region

Discriminator:

$$m_T^{\text{tot}} = [m_T(\tau_1, \tau_2)^2 + m_T(\tau_1, E_T^{\text{miss}})^2 + m_T(\tau_1, E_T^{\text{miss}})^2]^{1/2}$$

Low Mass Analysis ($m_\phi < 250$ GeV)

	No b-tag		b-tag	
$\tau\tau \rightarrow e\mu$	Medium- D_ζ $p_T < 50$ GeV $50 \leq p_T < 100$ GeV $100 \leq p_T < 200$ GeV $p_T \geq 200$ GeV	High- D_ζ $p_T < 50$ GeV $50 \leq p_T < 100$ GeV $100 \leq p_T < 200$ GeV $p_T \geq 200$ GeV	Medium- D_ζ	High- D_ζ
$\tau\tau \rightarrow e\tau_h$	Tight- m_T $p_T < 50$ GeV $50 \leq p_T < 100$ GeV $100 \leq p_T < 200$ GeV $p_T \geq 200$ GeV		Tight- m_T	
$\tau\tau \rightarrow \mu\tau_h$	Tight- m_T $p_T < 50$ GeV $50 \leq p_T < 100$ GeV $100 \leq p_T < 200$ GeV $p_T \geq 200$ GeV		Tight- m_T	
$\tau\tau \rightarrow \tau_h\tau_h$				
$t\bar{t}(e\mu)$				

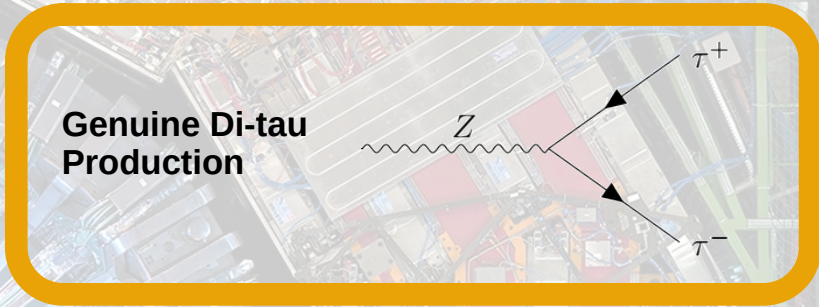
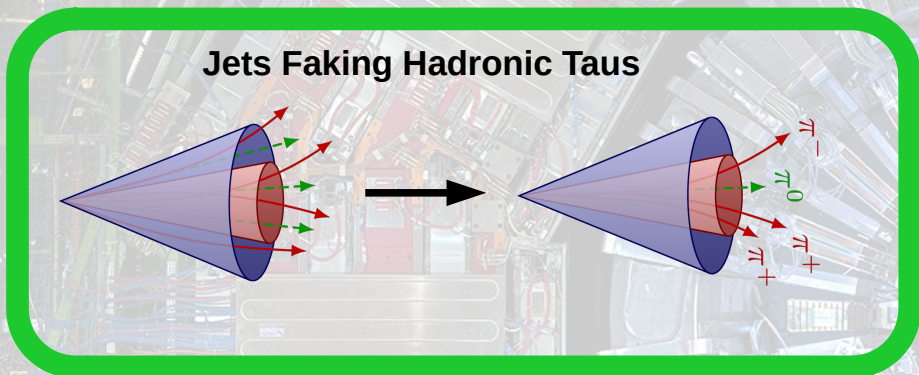
Signal region (SR)
 Control region

Discriminator:

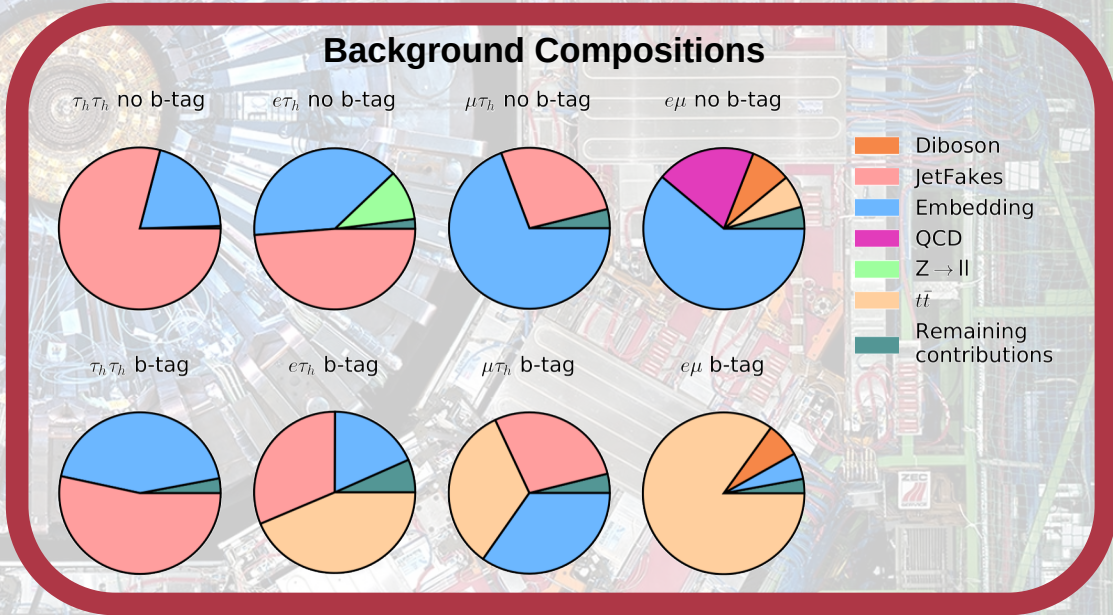
$$m_{\tau\tau}$$
 (SVFit mass)
 <https://iopscience.iop.org/article/10.1088/1742-6596/513/2/022035/pdf>

Background Modelling

- The most dominant backgrounds are from genuine di- τ pairs or jet $\rightarrow \tau_h$ misidentification.

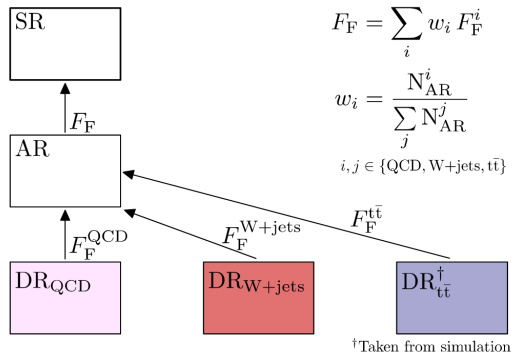


- Other backgrounds from processes with $<2\tau$ e.g. diboson, $t\bar{t}$, $Z \rightarrow ll$.
- Di- τ (embedding method), jet $\rightarrow \tau_h$ backgrounds (F_F method), and QCD (same sign τ pairs) are estimated from data-driven methods. $\sim 90\%$ of background modelling.
- Smaller remaining backgrounds estimated from MC.



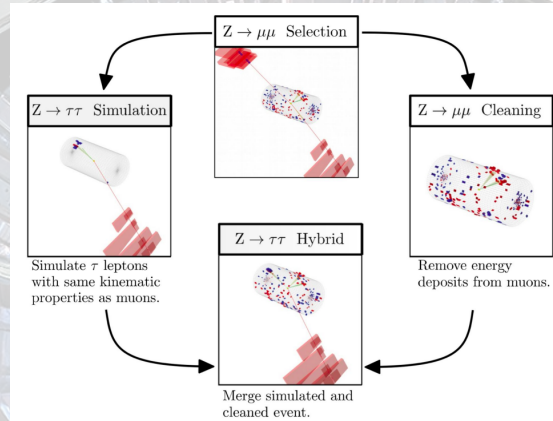
Data-Driven Methods

F_F Method



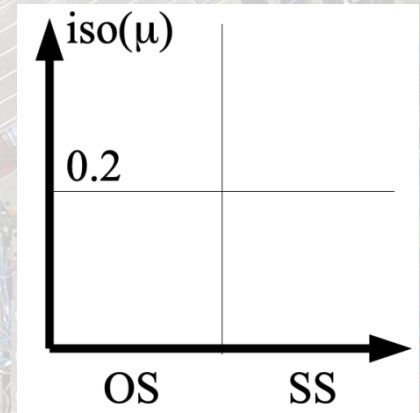
- Estimates all backgrounds with jets faking hadronic taus ($j \rightarrow \tau_h$).
- Calculate weight (F_F) as the ratio of two Tau ID working points from fake τ_h enriched sideband regions.
- Apply weights to data passing alternative working point.

Embedding Method



- Estimates backgrounds with real di- τ pairs, mainly $Z \rightarrow \tau\tau$, but a smaller contribution from $t\bar{t}$ and diboson.
- Replace muons selected in data with simulated τ lepton decays, utilising lepton flavour universality.

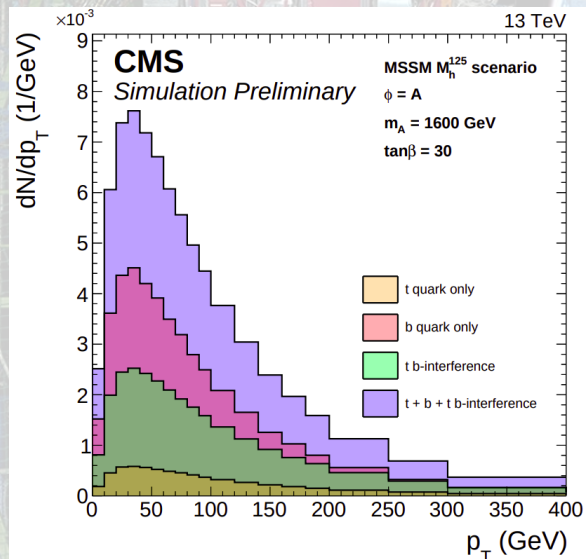
QCD Model



- Estimated (only in the $e\mu$) in a sideband with same sign $e\mu$ pair.
- Use correction based off muon isolation.

Gluon fusion and b associated production signals

- Masses between 60 GeV and 3.5 TeV.
- p_T reweighting to separate gluon fusion loop.



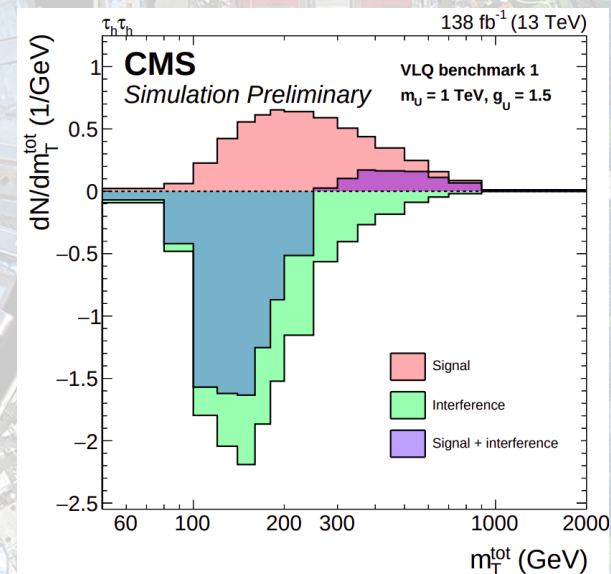
Vector leptoquark signal

- Look for U_1 vector leptoquark through t-channel process with mass between 1 and 5 TeV.

$$\mathcal{L}_U = \frac{g_U}{\sqrt{2}} U^\mu \left[\beta_L^{i\alpha} (\bar{q}_L^i \gamma_\mu l_L^\alpha) + \beta_R^{i\alpha} (\bar{d}_R^i \gamma_\mu e_R^\alpha) \right] + \text{h.c.} \quad \beta_L = \begin{pmatrix} 0 & 0 & \beta_L^{d\tau} \\ 0 & \beta_L^{s\mu} & \beta_L^{s\tau} \\ 0 & \beta_L^{b\mu} & \beta_L^{b\tau} \end{pmatrix}, \quad \beta_R = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & \beta_R^{b\tau} \end{pmatrix}$$

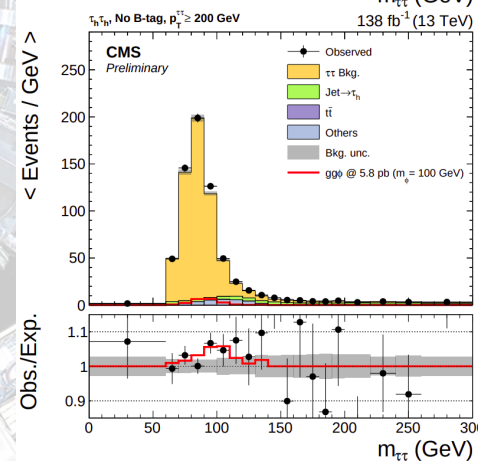
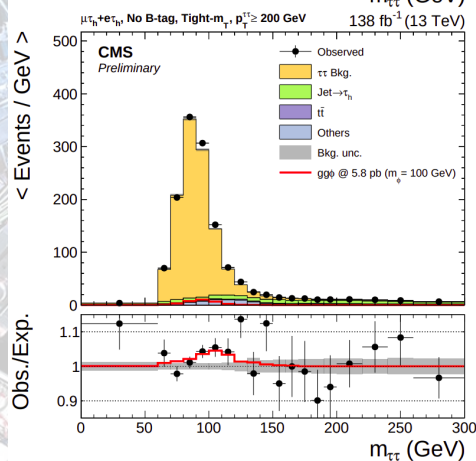
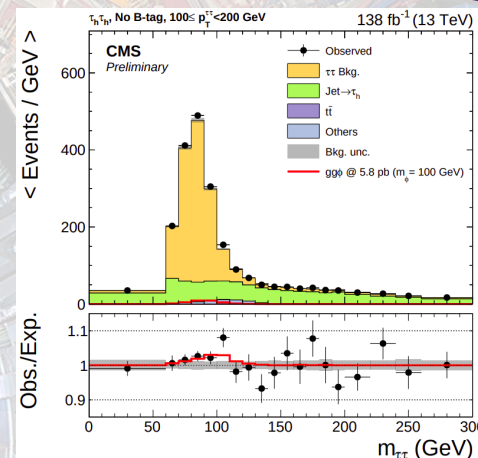
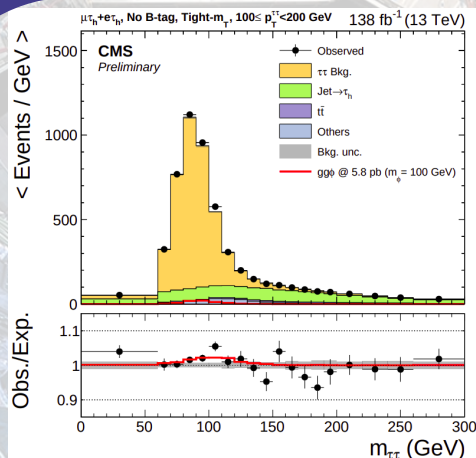
- Two benchmark (BM) scenarios considered:
 - VLQ BM 1: $\beta_R^{b\tau}=0$ - no RH couplings
 - VLQ BM 2: $\beta_R^{b\tau}=-1$ - Pati-Salam-like leptoquark
- Other matrix parameters set to best fit of b anomalies.
- Interference with background also included.

Phenomenology follows <https://arxiv.org/pdf/2103.16558.pdf>



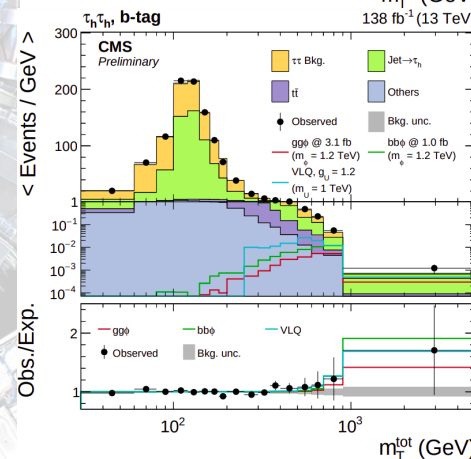
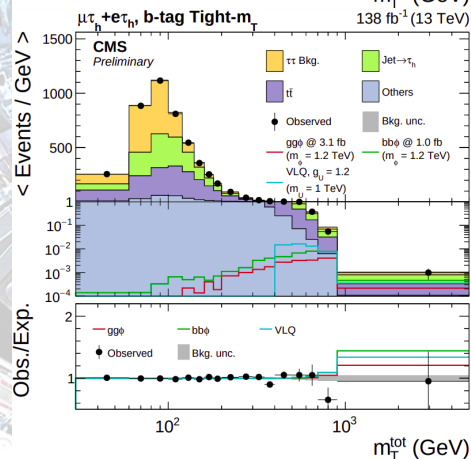
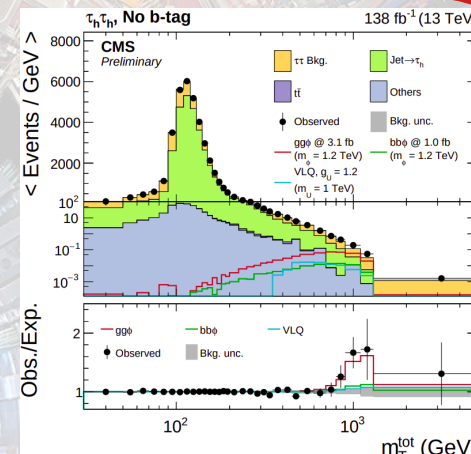
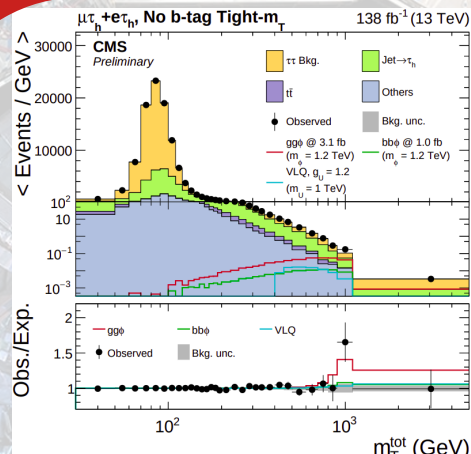
Results – Postfit Low Mass

- Most sensitive categories shown, with all years and semi-leptonic tau decay channels combined.
- Small excess observed in $m_{\tau\tau}$ bins around 100 GeV.
- The best fit signal strengths for $gg\phi$ is shown.
- The excess is fit well by a $gg\phi$ resonance of mass 100 GeV.



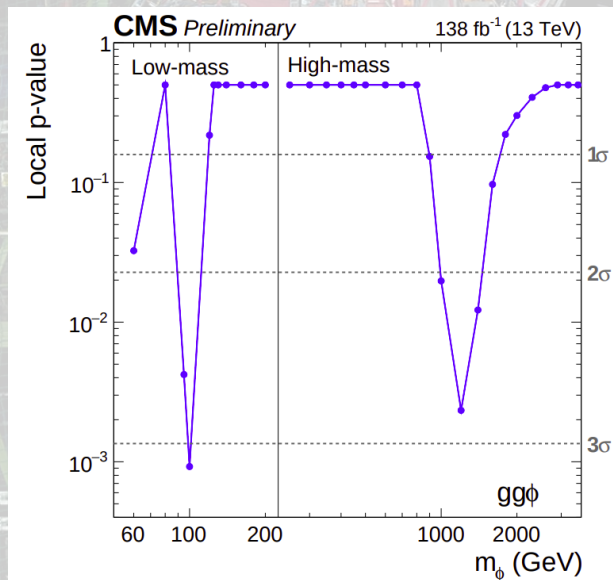
Results – Postfit High Mass

- Most sensitive categories shown, with all years and semi-leptonic tau decay channels combined.
- Small excess observed in high m_{τ}^{tot} bins.
- The best fit signal strengths for $gg\phi$, $bb\phi$ and VLQ BM 1 are drawn.
- The excess is fit well by a **$gg\phi$ resonance of mass 1.2 TeV**.
- The excess is **not** explained by the VLQ model, as b-tag categories are more sensitive.

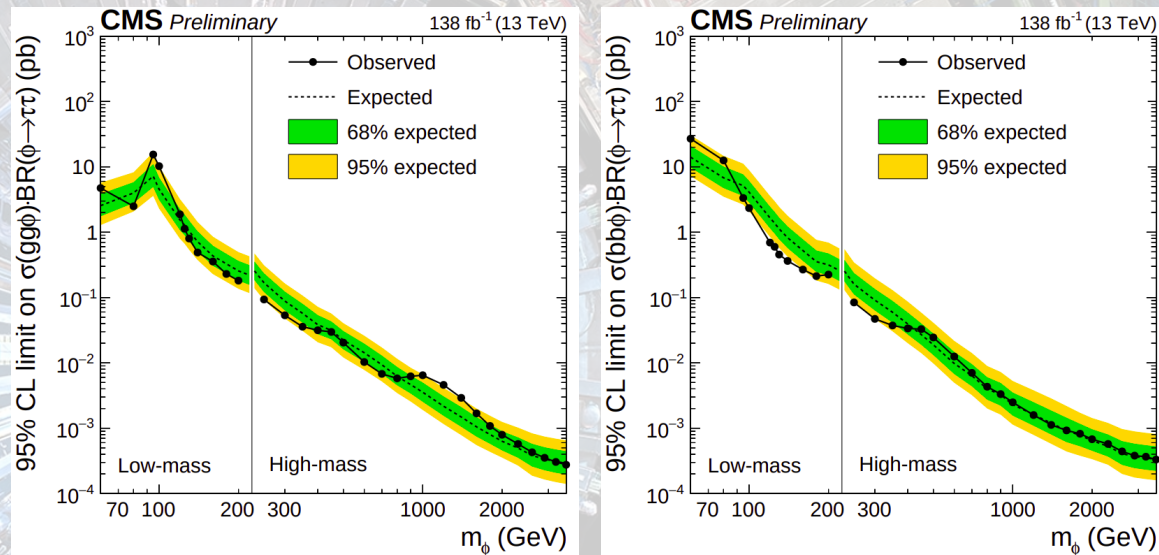


- 95% CL limits are placed on gluon fusion production and production in associated with b-quarks.

p-value Scan for Gluon Fusion



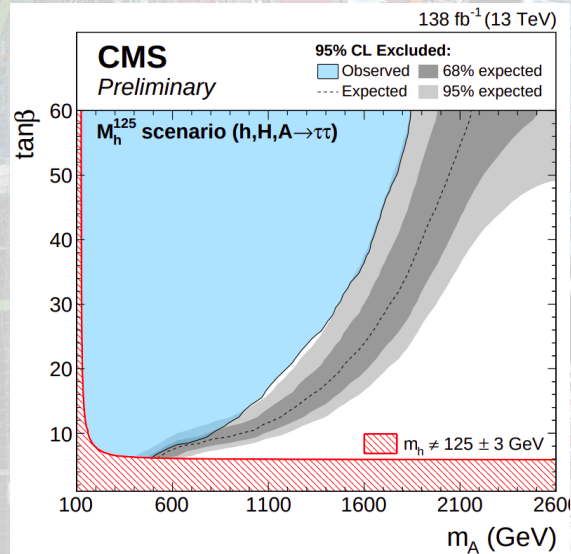
Model Independent Limits



- The excesses observed in gluon fusion production have the below local (global) significances:
 - At 100 GeV: 3.1σ (2.7σ)
 - At 1.2 TeV: 2.8σ (2.4σ)

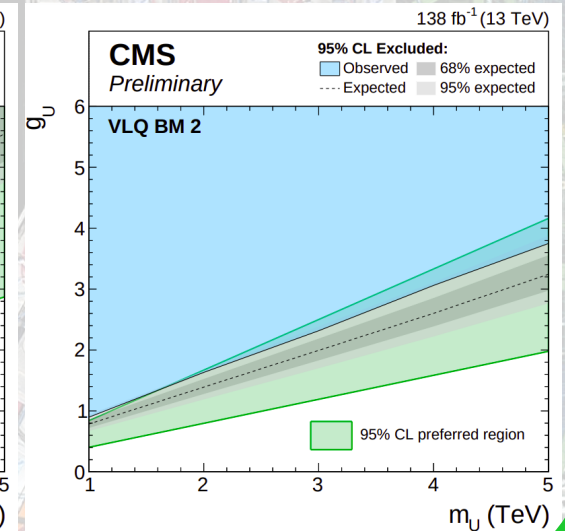
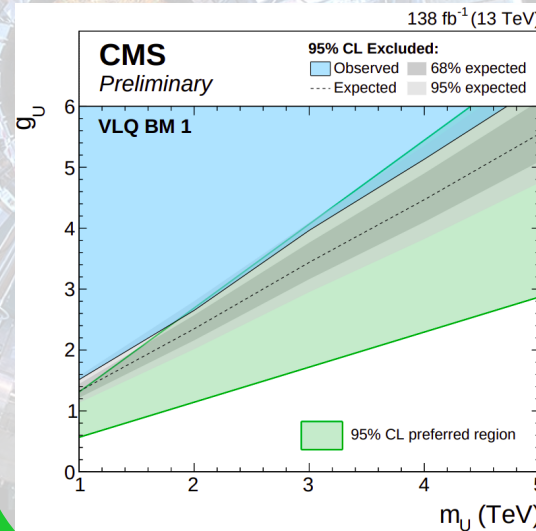
MSSM

- Model dependent 95% exclusion contours shown for MSSM Scenario M_h^{125} .
- Red hatched band – theory uncertainty.

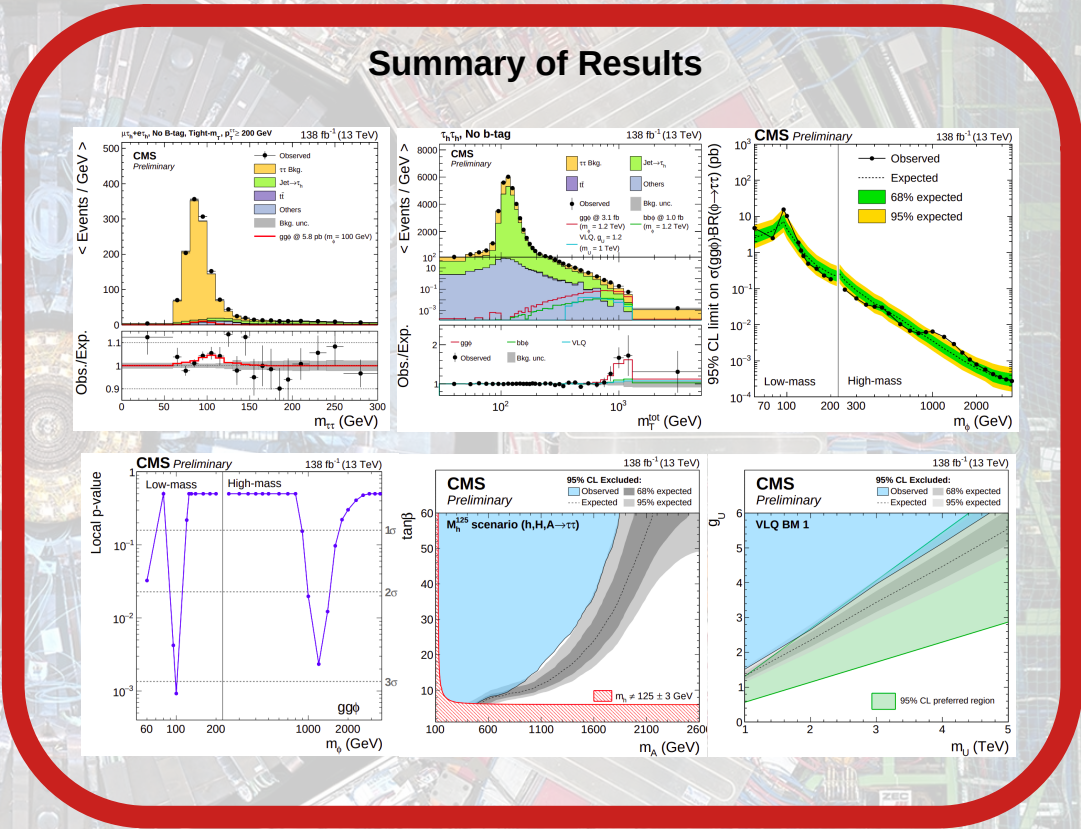


Vector Leptoquark

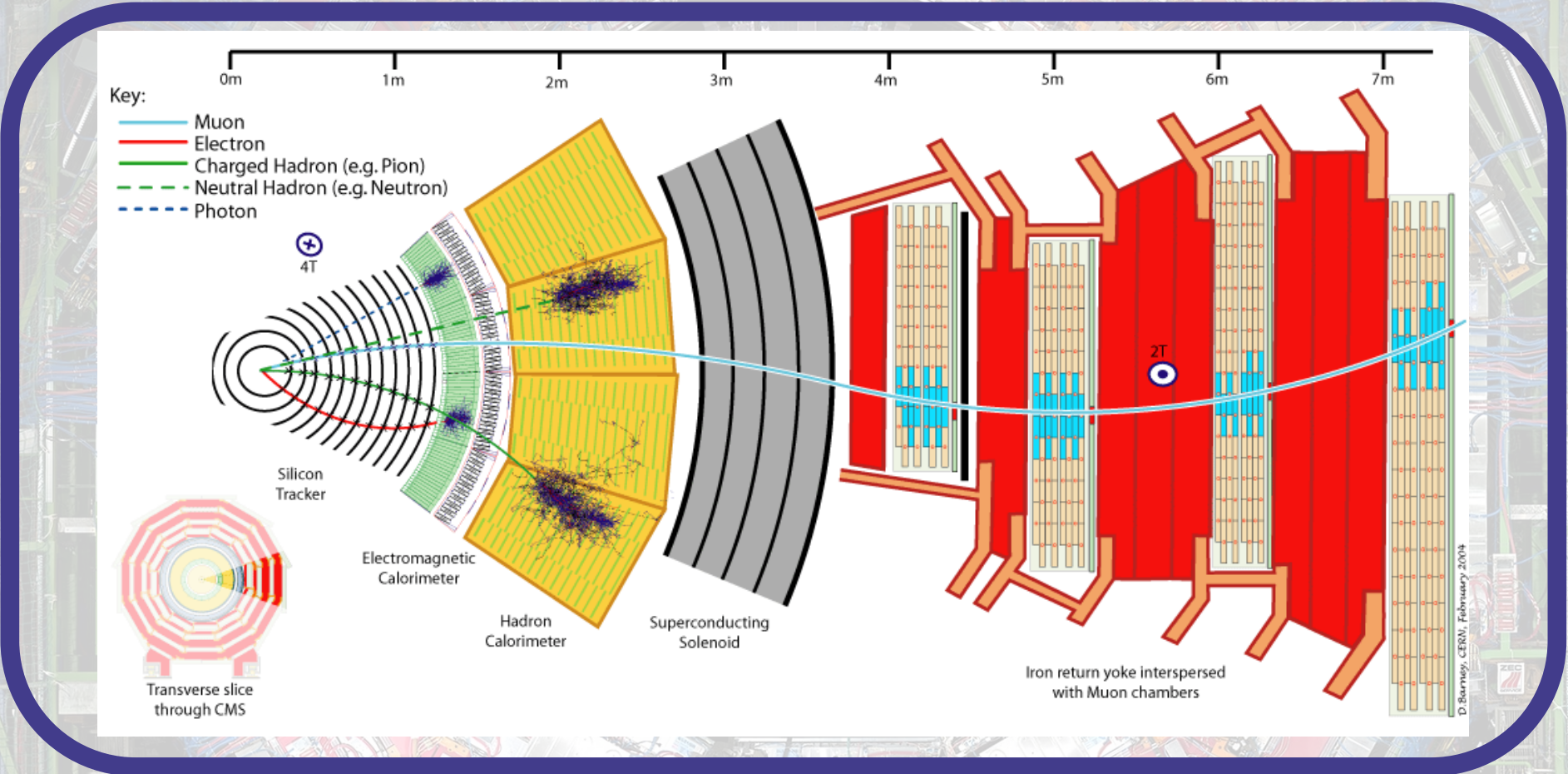
- The green shaded band represents the 95% CL preferred region for a vector leptoquark to explain the B-anomalies.
- Analysis sensitive to vector leptoquark that could explain the B-anomalies.



- Two local excess observed for gluon fusion production but no signals with global significances larger than 3σ .
- $m_\phi = 100$ GeV: Local (global) significance 3.1σ (2.7σ).
- $m_\phi = 1.2$ TeV: Local (global) significance 2.8σ (2.4σ).
- The observed b associated production lies for all mass points with 2σ of the expectation.
- Strongest constraints placed on the MSSM Higgs sector.
- Excess not compatible with either leptoquark model considered as you would expect more events in b-tag categories.
- Analysis sensitive to leptoquarks that could explain the B-anomalies.
- Presentated at Moriond 2022, link to conference note [here](#).

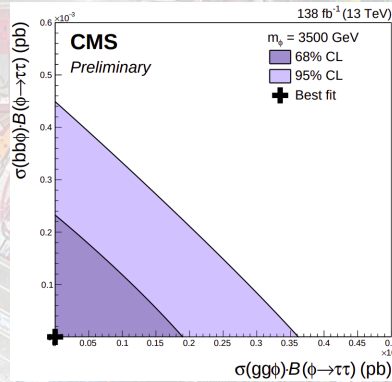
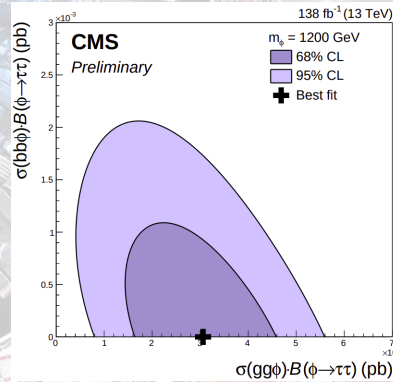
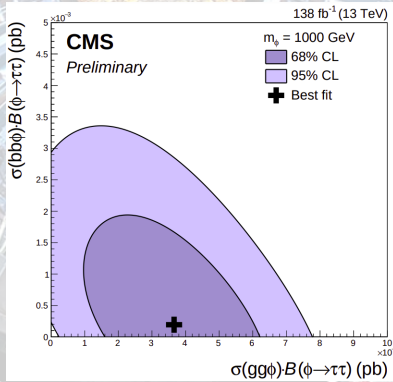
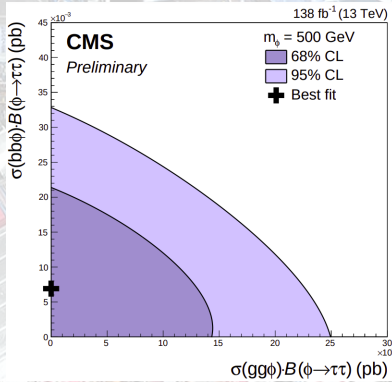
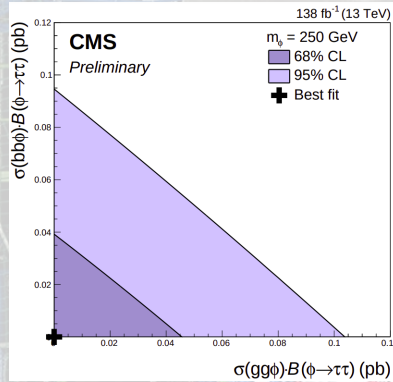
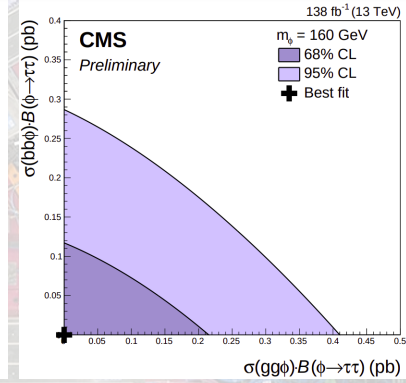
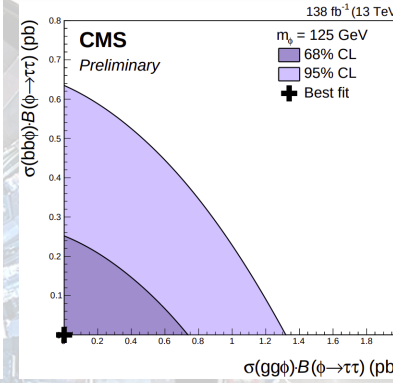
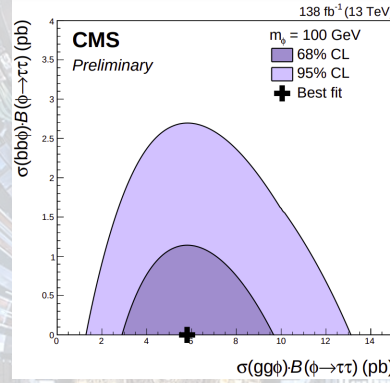
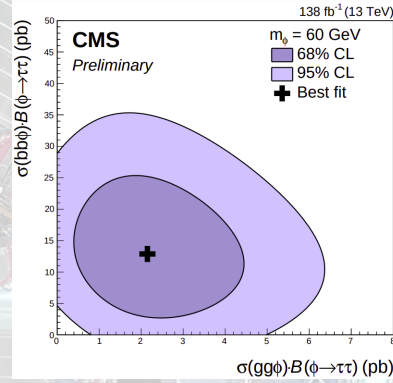


Backup



- Luminosity
- e, μ id, iso and trigger efficiency and energy scales (for e only)
- Hadronic tau ID and trigger efficiency and energy scales
- b-tagging efficiency and mis-tag
- JES / JER
- Background cross sections
- $I \rightarrow \tau_h$ fake rates and energy scales
- Embedding normalization due to selection efficiency of double muon trigger
- Embedding p_T /mass shape from $Z \rightarrow \mu\mu$ closures
- Fake-factor uncertainties (statistical and systematic)
- QCD ($e\mu$) OS/SS statistical and systematic uncertainties
- Prefiring
- Embedded MET energy scale and resolution
- MET Recoil corrections
- DY mass and p_T reweighting contamination in embedded samples
- Top quark p_T reweighting
- Bin-by-bin uncertainties
- Signal theory uncertainties

- 2D likelihood scans of gluon fusion and b associated production cross sections times di-tau branching fractions.

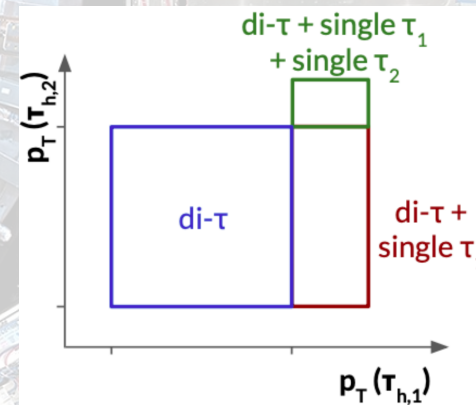
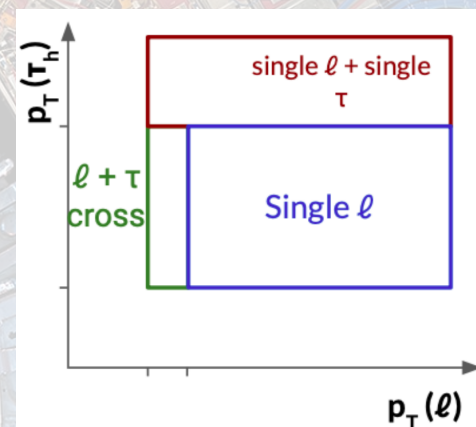


- Trigger Selections:

- $\tau_h \tau_h$: single-tau OR double-tau
- $e \tau_h$: single-electron OR electron- τ_h cross OR single-tau
- $\mu \tau_h$: single-muon OR muon- τ_h cross OR single-tau
- $e \mu$: Muon-electron cross

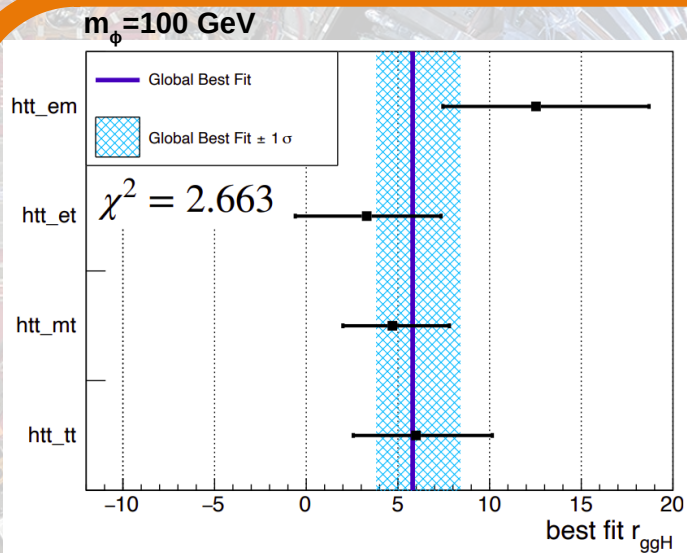
- Thresholds:

- $e \mu$: 2016/2017/2018: $e(12) \ \& \ \mu(23)$, $e(23) \ \& \ \mu(8)$
- $e \tau_h$: 2016: $e(25)$, $e(24) \ \& \ \tau_h(20/30)$, $\tau_h(120)$
2017: $e(27)$, $e(32)$, $e(35)$, $e(24) \ \& \ \tau_h(30)$, $\tau_h(180)$
2018: $e(32)$, $e(35)$, $e(24) \ \& \ \tau_h(30)$, $\tau_h(180)$
- $\mu \tau_h$: 2016: $\mu(22)$, $\mu(19) \ \& \ \tau_h(20)$, $\tau_h(120)$
2017: $\mu(24)$, $\mu(27)$, $\mu(20) \ \& \ \tau_h(27)$, $\tau_h(180)$
2018: $\mu(24)$, $\mu(27)$, $\mu(20) \ \& \ \tau_h(27)$, $\tau_h(180)$
- $\tau_h \tau_h$: 2016: $\tau_h(35) \ \& \ \tau_h(35)$, $\tau_h(120)$
2017: $\tau_h(35) \ \& \ \tau_h(35)$, $\tau_h(40) \ \& \ \tau_h(40)$, $\tau_h(120)$
2018: $\tau_h(35) \ \& \ \tau_h(35)$, $\tau_h(40) \ \& \ \tau_h(40)$, $\tau_h(120)$

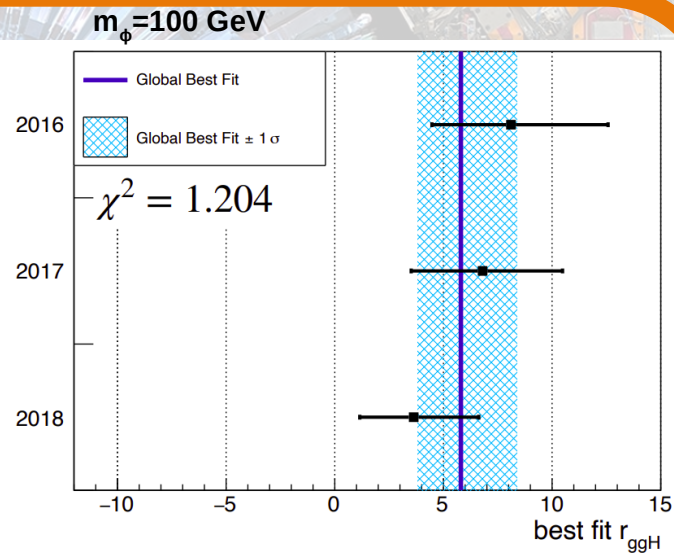


Low Mass Compatibility Plots

- $r_{ggH} = \sigma(gg\phi) \times BR(\phi \rightarrow \tau\tau)$ (pb)
- 100 GeV gluon fusion resonance shown.



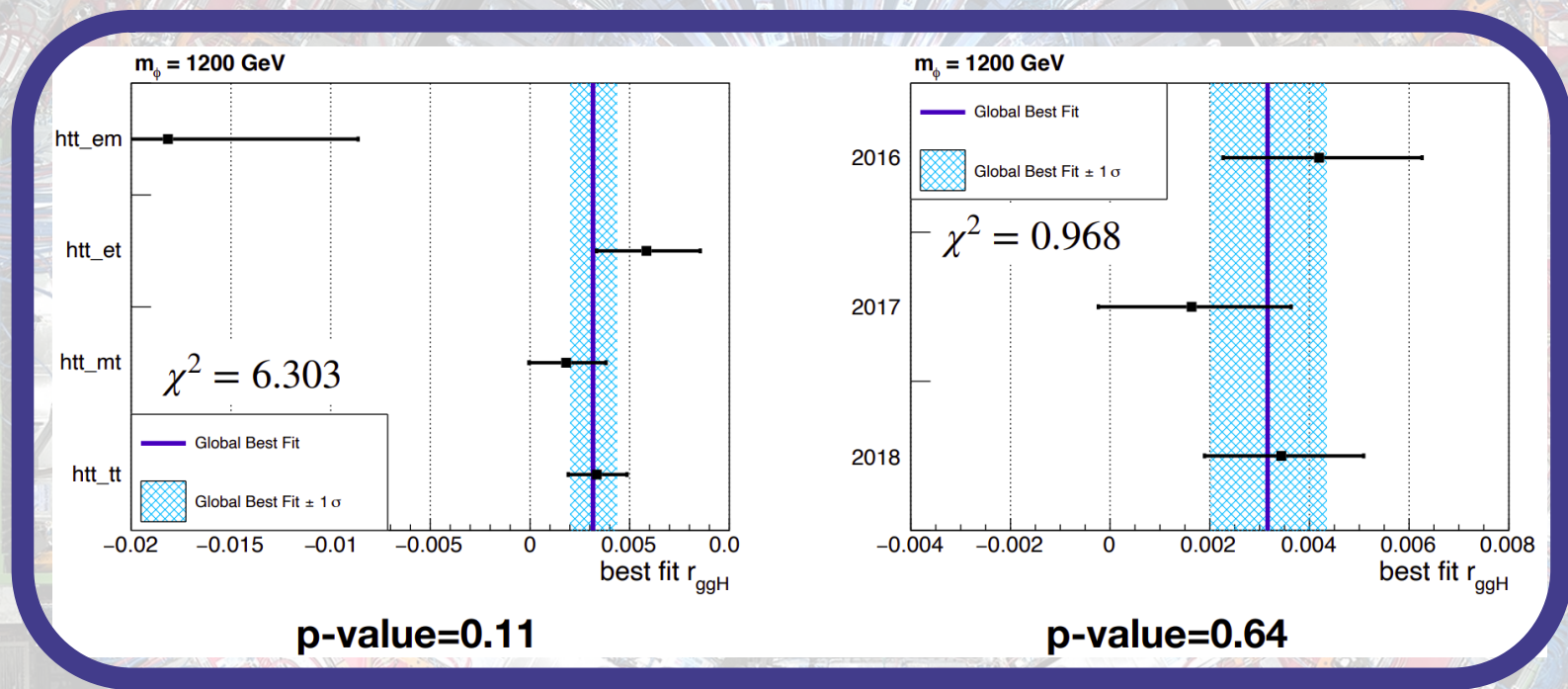
p-value=0.50



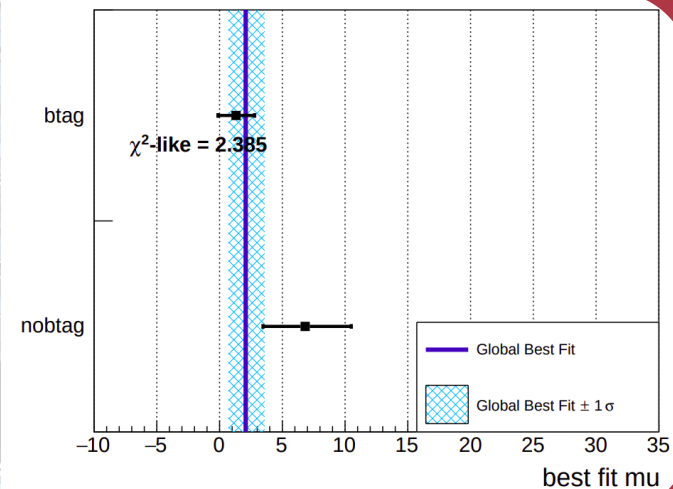
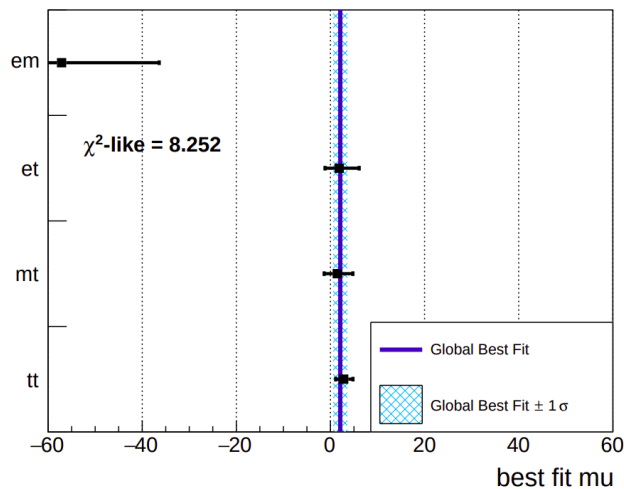
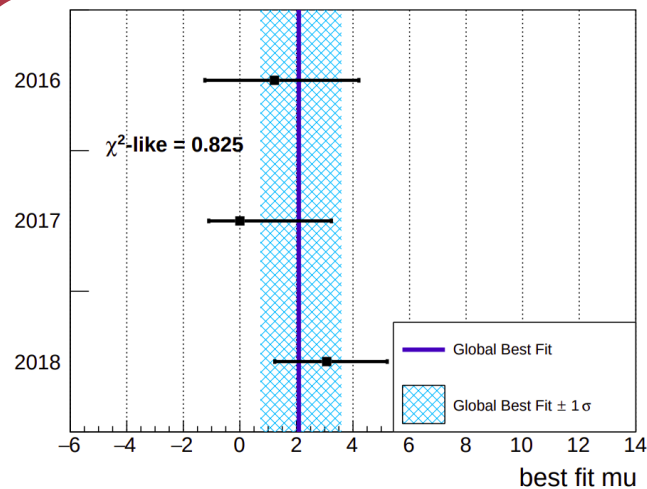
p-value=0.58

High Mass Compatibility Plots

- $r_{ggH} = \sigma(gg\phi) \times BR(\phi \rightarrow \tau\tau)$ (pb)
- 1.2 TeV gluon fusion resonance shown.



- $\mu(\text{mu}) = g_U^4$. This is defined so to allow the lower uncertainty fit to go negative in case of no crossing.
- VLQ BM 1 at 1 TeV shown.

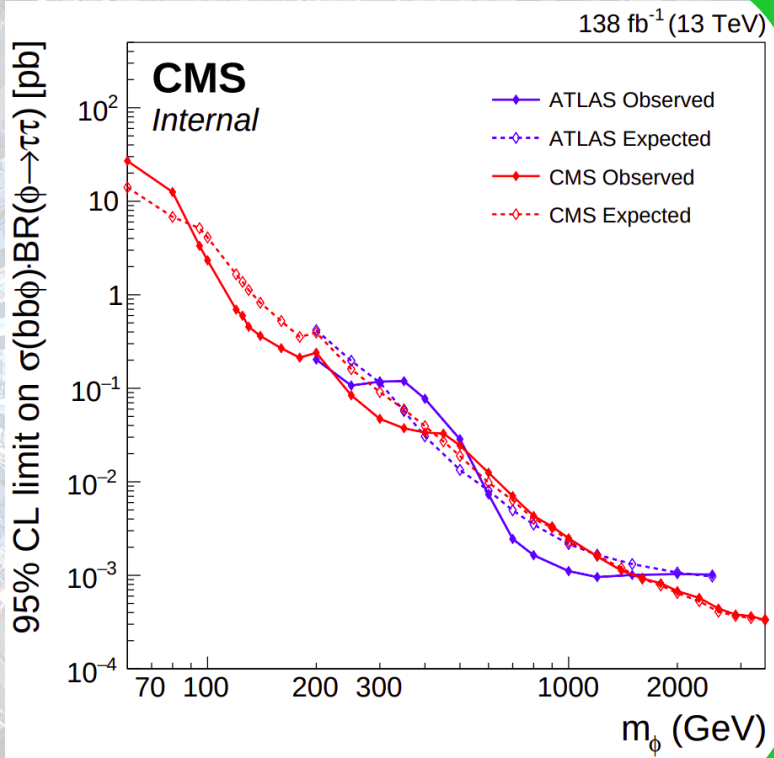
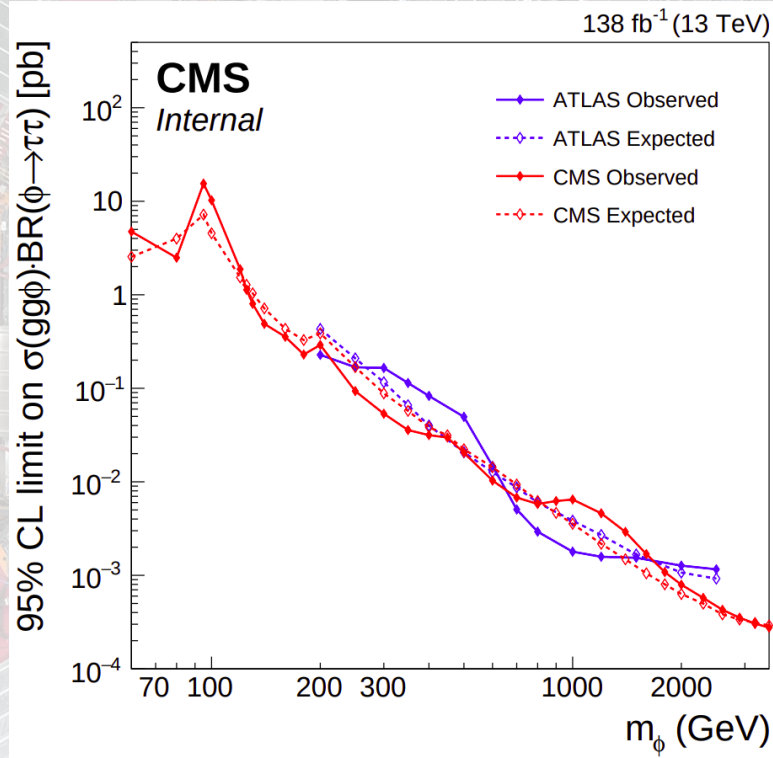


- MSSM signal model composed as linear combination of templates for h, H, and A bosons.
- SM and BSM contribution BSM templates scaled to XS and BR prediction from benchmark scenario.
- Hypothesis Test: For each considered parameter point in the $m_A, \tan\beta$ plane of the considered benchmark scenario perform hypothesis test for BSM vs. SM model prediction with the LHC test statistics.

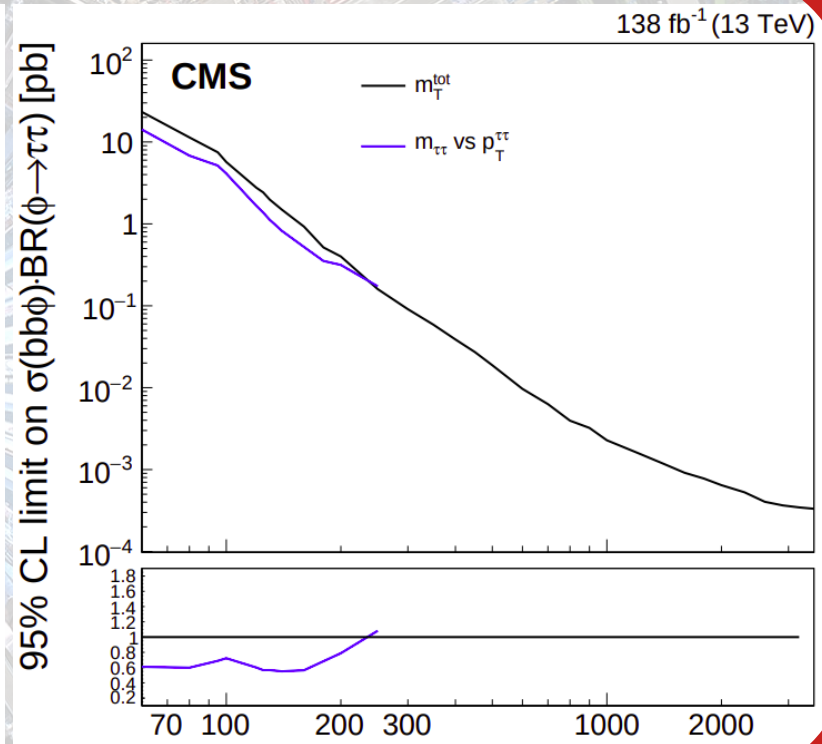
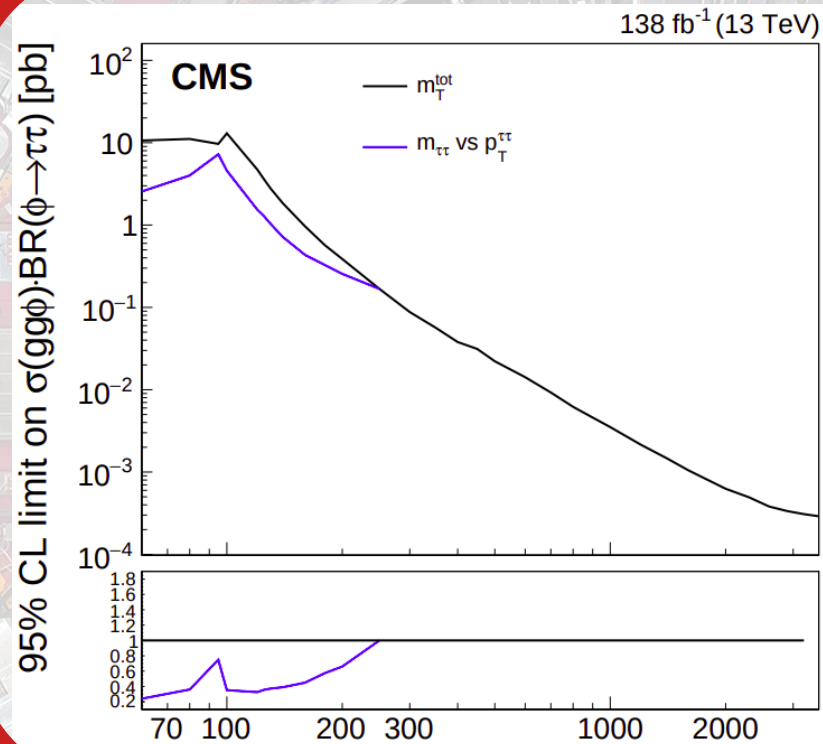
$$q_\mu = -2 \frac{\mathcal{L}(\text{data} | \mu, \hat{\theta}_\mu)}{\mathcal{L}(\text{data} | \hat{\mu}, \hat{\theta}_{\hat{\mu}})}$$

$$\mathcal{L}(\text{data} | \mu) = \mathcal{L} \left(\text{data} | \mu \left((s_h - S_{SM}) + S_H + S_A \right) + S_{SM} + b \right)$$

- Comparison of ATLAS and CMS model independent limits.
- ATLAS analysis searches for a mass range of 200 GeV to 2.2 TeV.

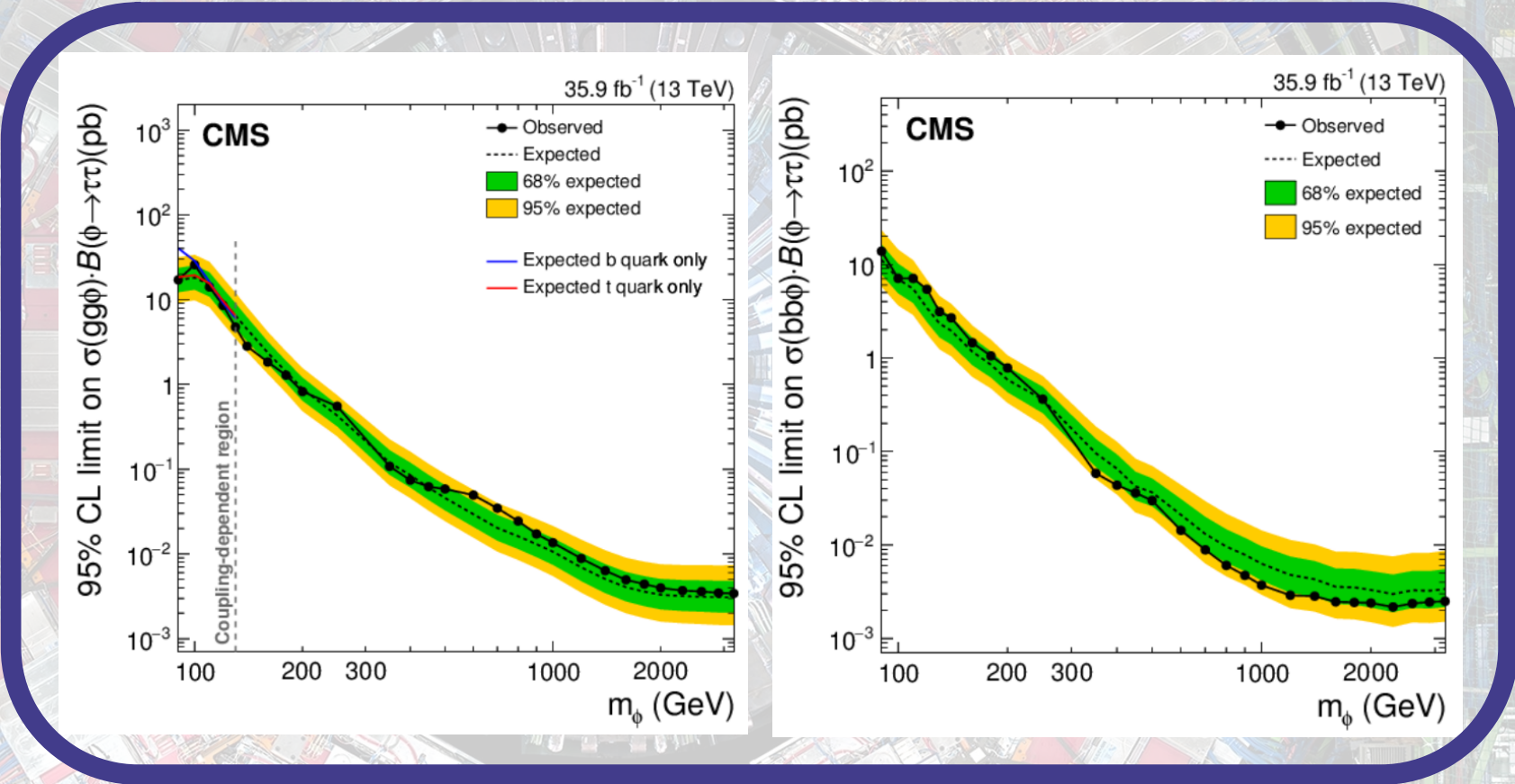


- Comparison of using m_{τ}^{tot} as discriminator instead of the $m_{\tau\tau}$ vs $p_{\tau}^{\tau\tau}$.



- Model independent limits from the CMS analysis performed on 2016 data.

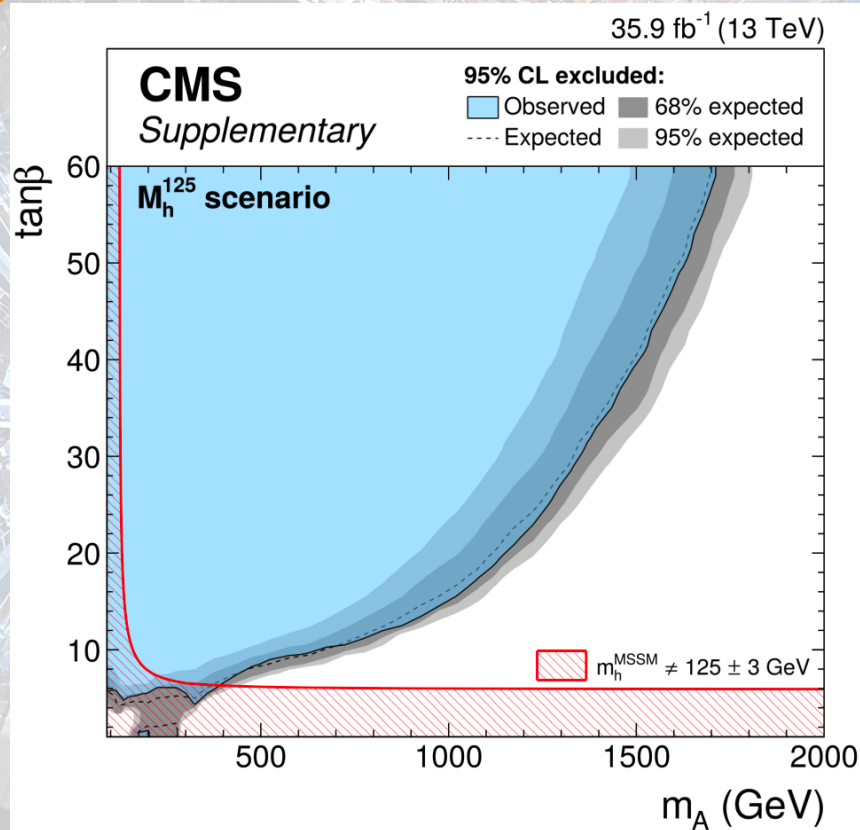
<https://arxiv.org/pdf/1803.06553.pdf>



Results for Partial Run-2 Analysis

- Model dependent limits on the MSSM M_h^{125} scenario from the CMS analysis performed on 2016 data.

<https://arxiv.org/pdf/1803.06553.pdf>

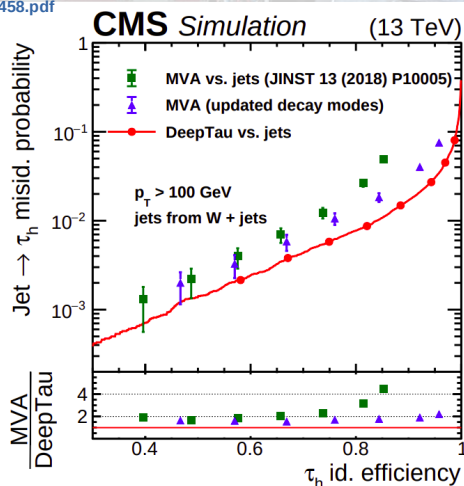


DeepTau Algorithm

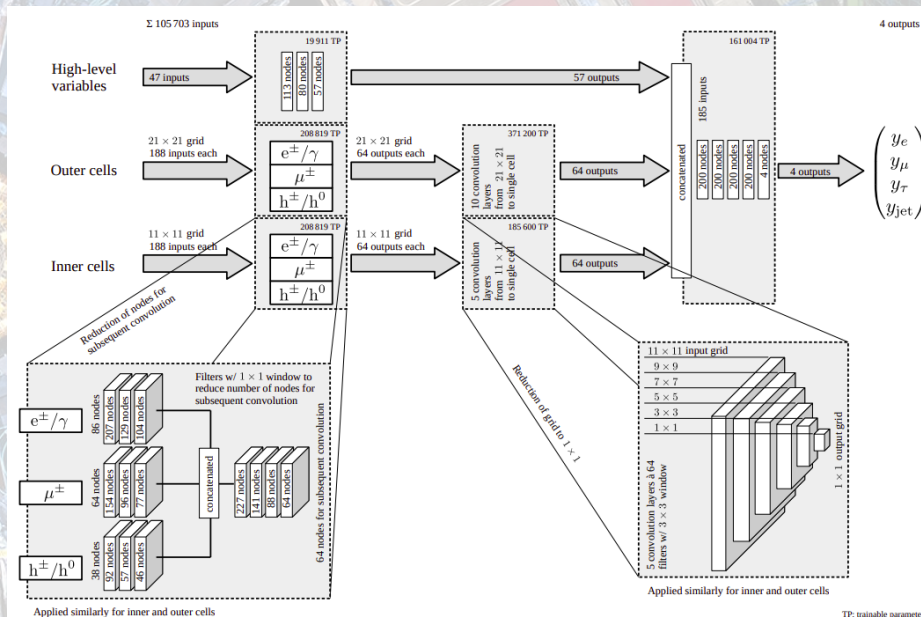
- DeepTau is a multiclass tau identification algorithm based on a convolutional deep neural network (DNN).
- DeepTau combines information from the high-level reconstructed tau features together with the low level information from the inner tracker, calorimeters and muon sub-detectors within the tau isolation cone.

<https://arxiv.org/pdf/2201.08458.pdf>

ROC Curve



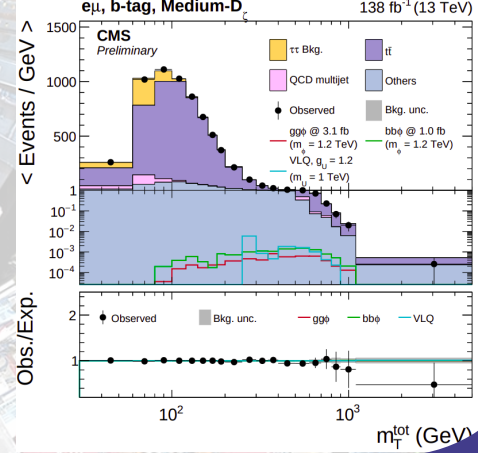
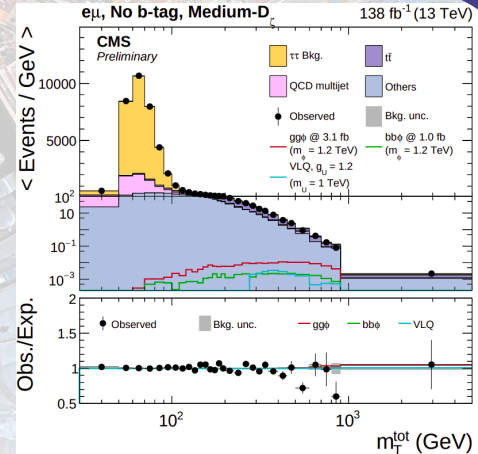
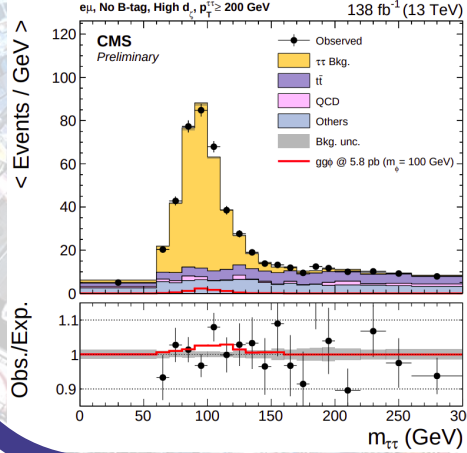
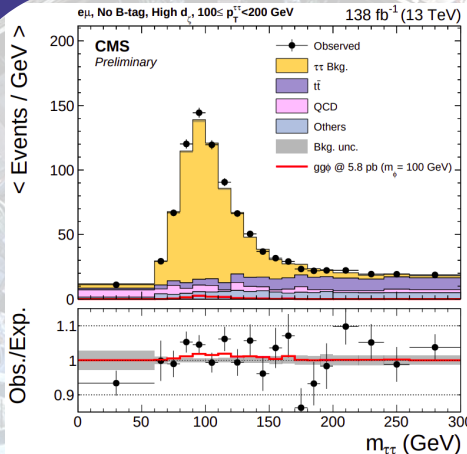
Architecture



<https://arxiv.org/pdf/2201.08458.pdf>

$e\mu$ Postfit Plots

- Postfit plots for the most sensitive category in the $e\mu$ decay channel.



Variable Definitions

High Mass Analysis ($m_\phi \geq 250$ GeV)

- Definitions of variables used in categorisation and discriminators.

	No b-tag			b-tag		
$\tau\tau \rightarrow e\mu$	Low- D_ζ	Medium- D_ζ	High- D_ζ	Low- D_ζ	Medium- D_ζ	High- D_ζ
$\tau\tau \rightarrow e\tau_h$	Loose- m_T		Tight- m_T	Loose- m_T		Tight- m_T
$\tau\tau \rightarrow \mu\tau_h$	Loose- m_T		Tight- m_T	Loose- m_T		Tight- m_T
$\tau\tau \rightarrow \tau_h\tau_h$						
$t\bar{t}(e\mu)$						

Signal region (SR)
 Control region

Discriminator:

$$m_T^{\text{tot}} = [m_T(\tau_1, \tau_2)^2 + m_T(\tau_1, E_T^{\text{miss}})^2 + m_T(\tau_1, E_T^{\text{miss}})^2]^{1/2}$$

Variable definitions:

$$D_\zeta = p_\zeta^{\text{miss}} - 0.85p_\zeta^{\text{obs}}$$

$$p_\zeta^{\text{miss}} = \mathbf{p}_\zeta^{\text{miss}} \cdot \boldsymbol{\zeta}$$

$$p_\zeta^{\text{vis}} = (\mathbf{p}_\zeta^e + \mathbf{p}_\zeta^\mu) \cdot \boldsymbol{\zeta}$$

$\boldsymbol{\zeta}$ is the vector that bisects \mathbf{p}_ζ^e and \mathbf{p}_ζ^μ

$$m_T(A,B) = [2p_T^A p_T^B (1 - \cos\Delta\phi^{AB})]^{1/2}$$

Category definitions:

$t\bar{t}(e\mu)$: $D_\zeta < -35$ GeV

Low- D_ζ : $-35 < D_\zeta < 10$ GeV

Medium- D_ζ : $10 < D_\zeta < 30$ GeV

High- D_ζ : $D_\zeta > 30$ GeV

Tight- m_T : $m_T(e/\mu, E_T^{\text{miss}}) < 40$ GeV

Loose- m_T : $40 < m_T(e/\mu, E_T^{\text{miss}}) < 70$ GeV

Low Mass Analysis ($m_\phi < 250$ GeV)

	No b-tag		b-tag	
$\tau\tau \rightarrow e\mu$	Medium- D_ζ $p_T < 50$ GeV $50 \leq p_T < 100$ GeV $100 \leq p_T < 200$ GeV $p_T \geq 200$ GeV	High- D_ζ $p_T < 50$ GeV $50 \leq p_T < 100$ GeV $100 \leq p_T < 200$ GeV $p_T \geq 200$ GeV	Medium- D_ζ	High- D_ζ
$\tau\tau \rightarrow e\tau_h$	Tight- m_T $p_T < 50$ GeV $50 \leq p_T < 100$ GeV $100 \leq p_T < 200$ GeV $p_T \geq 200$ GeV		Tight- m_T	
$\tau\tau \rightarrow \mu\tau_h$	Tight- m_T $p_T < 50$ GeV $50 \leq p_T < 100$ GeV $100 \leq p_T < 200$ GeV $p_T \geq 200$ GeV		Tight- m_T	
$\tau\tau \rightarrow \tau_h\tau_h$				
$t\bar{t}(e\mu)$				

Signal region (SR)
 Control region

Discriminator:
 $m_{\tau\tau}$ (SVFit mass)

<https://iopscience.iop.org/article/10.1088/1742-6596/513/2/022035/pdf>