Calorimetry - UK ongoing R&D and perspective

- Crystal
- Dual Readout

Nigel Watson (Birmingham) with thanks to Iacopo Vivarelli (Sussex), David Petyt (RAL), Hiroki Yokoyama (Utrecht), Tim Rogoschinki (Frankfurt)

Silicon









CMS Crystal ECAL

- The CMS ECAL was designed with challenging goals:
 - Extreme energy resolution in the harsh LHC radiation environment
 - achieve 1% mass resolution for lowmass Higgs in the γγ decay channel
 - Hermetic and compact detector with coverage up to $|\eta| = 3.0$
- Lead tungstate (PbWO₄) crystal calorimeter
 - compact, fast, radiation tolerant
 - Radiation and magnetic field tolerant APD and VPT photodetectors
 - Provide crystal energy sums at 40 MHz to trigger on electrons and photons



Lead Tungstate (PbWO4) crystal





UK contributions to CMS ECAL

UK institutes: Bristol, Brunel, Imperial College, RAL

- UK has played a leading role in ECAL design, construction and operation
 - UK led the design, construction & installation of ECAL endcaps (EE), in partnership with RAL TD
 - RAL led development and testing of VPT photodetectors for EE (with Research Institute Electron, St Petersburg) and designed/operates the VPT High Voltage system
 - UK involved in Lead tungstate crystal R&D, performance characterisation and calibration
 - UK designed & manufactured radiation-hard, low noise front-end pre-amp ASIC for whole ECAL
 - Crystal and photodetector expertise directly followed on from previous experience on LEP
 - Almost 30 years of UK involvement in CMS and ECAL (from initial Letter of Intent)

From concept...



...to reality



[David A. Petyt]



Selected UK contributions



one of 14648 EE Vacuum Phototriodes (VPTs)



Elements of a EE supercrystal (5x5 channels)



Very-front-end card with UKdesigned MGPA pre-amplifier ASICs



Endcap mechanics and construction

[David A. Petyt]



ECAL crystals are capable of precise timing

- CMS ECAL crystals and APDs can provide precise time information
 - intrinsic resolution: ~20 ps
- ECAL timing distribution system not designed for sub-ns
 - achieved ~150ps, limited by timing distribution to front-end boards
- Phase-2 upgrade prioritises precise timing resolution
 - Crystals and APDs remain in Barrel
 - ECAL will use redesigned FE preamp and ADC to minimise pulse shaping and over-sample signal pulse
 - dedicated timing distribution to achieve 30ps
 - ageing (APD noise increase) gradually degrades performance







Phase-2 ECAL time resolution vs luminosity



Plans for HL-LHC - crystal ECAL

- RAL/Bristol continue support for crystal ECAL Barrel (through HL-LHC, with upgraded on-/off- detector electronics)
 - Main deliverable: more advanced ECAL energy clustering and noise rejection algorithms for calorimeter trigger (firmware and software)
 - Specification of optical fibre router system, allowing clustering and geometry-based noise rejection algorithms across boundaries.
 - Develop ECAL reconstruction code for GPUs/FPGAs etc.

Significant UK effort for HGCAL – see AMM /PD talks

• Specific UK interest in trigger algorithms and online/offline reconstruction

[David A. Petyt]



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Future calorimetry plans: RAL perspective

- RAL CMS group: no calorimeter hardware project planned beyond HL-LHC at present
 - RAL detector expertise is crystal calorimetry (much smaller group than during CMS construction).
 - Could contribute ideas and 20+ years of CMS experience, if significant interest in a crystal based calorimeter for ILC/FCC-ee within the UK community
 - Strong links to CERN calorimeter groups, likely be a significant driving force in any crystal or fibre-based calorimeter for future projects based at CERN
 - See M. Lucchini <u>talk</u> at ECFA TF6 symposium for a survey of R&D activities
 - Very significant trigger expertise, can be leveraged for future projects
 - Hardware/firmware/algorithm expertise, in both calorimeter, tracker and trigger systems
 - Potential interest in algorithms that combine tracker and calo signatures in future L1 trigger systems

[David A. Petyt]



Expertise e.g. ECAL spikes

- Anomalous "spikes" observed in ECAL Barrel
 - Iarge apparent energy deposits, non-physical topological and timing signatures
- Caused by direct ionisation of APD active volume by collisions products (chiefly hadrons/pions)



ECAL APD capsule



- Mitigation was challenging, especially for L1 trigger:
 - no possibility to cure at source APDs inaccessible
 - spikes typically hit 1 of 2 APDs per single ECAL crystal. However, decision was made to sum these signals rather than read them out individually to reduce cost
 - eventually found a way to remove spikes using extra unused feature of ECAL front-end ASIC

Lessons learned: Must rigorously check system in test beam campaigns. Self-triggering would have revealed this problem. Build sufficient flexibility in on-detector and off-detector electronics to deal with unexpected signals. Add redundancy to readout signals?

Dual readout - the principle

- UNIVERSITY OF SUSSEX
- Resolution of the **hadronic energy measurement** affected by fluctuations in the fraction of **energy carried by** $\pi^0 \rightarrow \gamma \gamma$ (f_{em}).
- Two readouts with different e/h allow the extraction of $f_{\rm em}$ and of the incoming energy E.
- For example: spaghetti calorimeter with alternating doped (Scintillating) and clear (Cherenkov) fibres.

• More details <u>here</u>.



Dual Readout (fiber) calorimeter





- Embedded in **IDEA detector concept** (in FCC and CEPC CDRs)
 - Cu absorber, 1 mm fibers, 1.5 mm pitch, read by SiPM

OF SUSSEX

- Single device for EM and HAD calorimetry.
- Read out the single fibre: 130 M channels.
 - Ideal fibre grouping still to be determined.
 - Excellent angular resolution, lateral shower shape sensitivity.
 - However no longitudinal segmentation .



A glance at the performance



Jets

120

and

tau

140

M_{ii} [GeV]

identification





Under investigation: crystal-based EM layer





ECAL layers $\leftarrow \sigma_{E}^{EM}/E \sim 3\%/\sqrt{E}$

- PWO crystals
- Front segment ($\sim 6X_0$)
- Rear segment (~ $16X_0$)
- 10x10x200 mm³ crystal
- 5x5 mm² SiPMs (10-15 um)

other options are BGO/BSO

Majority of the energy deposit from hadron is in the rear ECAL section (E2) \rightarrow apply DR here

Use two SiPMs to optimise independently C and S readout from each crystal Sensitivity in both the UV and infrared regions with SiPM

Items for R&D – Dual Readout

- Cherenkov light is never enough:
 crystal fibers (LuAG) can achieve 4x ph/GeV than PMMA currently in use.
- Improved SiPM response in UV region for cheap desirable.
- 2. Integrated (digital) SiPM:
- Simplified readout, improved trigger capabilities.
- 3. Scalable readout architecture

For more details see <u>G. Gaudio's talk at TF6 ECFA</u>

- Test beam(s) foreseen for **summer 2021** testing capillary tube, EM-size prototype.
- Active in the UK: Sussex with contributions on simulation and characterisation of the fibers + SiPM and TDAQ/Monitoring for TB.
- Funding:
 - Europe: AIDAinnova + (limited) national funds (mainly Italy, Croatia)
 - Korea: substantial grant aimed at delivering a hadronic scale prototype



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Si-W Electromagnetic Calorimeter (Si-W ECAL)

Ciemat





Niger Watson / Birningham Only. FFTAF / 04-Jon-2021



DECAL Concept – cost /performance for SiW ECAL

- Swap ~0.5x0.5 cm² Si pads with small pixels
 - at most one particle/pixel,1-bit ADC/pixel digital
- How small to avoid saturation/non-linearity?
 - EM shower core density at 500GeV ~100/mm²
 - Pixels must be $<100 \times 100 \mu m^2$
 - Used baseline 50x50µm²
 - Gives ~10¹² pixels for ECAL
- Simpler construction (no bump bonding)
- DECAL prototypes to date 180 nm process \rightarrow 65nm
- Performance gains? Tracking highly boosted decays, e.g. au, ...



Nigel Watson / Birmingham Univ.



Idea initially in context of CALICE but then adapted to FCC-hh environment.

Simulated 4 different geometries: 30 Layers, 3.5mm W (30 × 1.0 X₀)

5.6mm Pb

50 Layers, 2.1mm W (50 × 0.6 X₀) 3.4mm Pb Absorber (W or Pb), varying thickness Substrate (Si), 450µm Epitaxial (Si), 18µm

[c/o Phil Allport]

1/16/2020

A Reconfigurable CMOS Sensor for Tracking, Pre-Shower and Digital Electromagnetic Calorimetry

EPICAL-2

(Electromagnetic Plxel CALorimeter prototype-2)

☑ New digital pixel calorimeter prototype

- small digital calorimeter (3x3 cm² cross section)
- 24 layers with each
 - * 2 ALPIDE CMOS MAPS
 - * 3 mm W absorber

Project goal:

- prove that the ALPIDE is suitable for a calorimeter
- demonstrate suitability of ALPIDE as solution for FoCal high-granularity layers
 - two-shower separation under high particle density environment



R&D for the ALICE-FoCal detector proposal Current work performed in the context of the Bergen pCT collaboration



Event Display



color coding: layers

Energy Measurement

- **Total number of hits (clusters) per event**
 - Gaussian shape with small asymmetry
 - smaller width for clusters
 - residual pileup at higher energy side
 - Iow-energy contamination of beam
- ☑ current study uses numerical mean and standard deviation



Energy Resolution

- \mathbf{V} standard deviation (σ) / mean (μ)
 - better than EPICAL-1 (MIMOSA) JINST 13 (2018) P01014
 - close to analog SiW ECAL (CALICE) physics prototype NIM A608 (2009) 372
 - better performance for clusters compared to hits
 - large cluster-size fluctuation
 - vertically directed tracks creating large cluster
 - calibration can be improved



electron energy (GeV)

 \rightarrow energy resolution superior compared to previous prototype

electron TB



Electromagnetic Pixel Calorimeter 2 (EPICAL-2)

second prototype:

- \rightarrow related to Bergen pCT Collaboration
- → in context of R&D for planned LHC-ALICE FoCal upgrade in ~2026
- → fully digital calorimeter prototype
- 24 layers with two ALPIDE chips each
 → chip size: 30 mm x 15 mm
- 512 x 1024 pixels per chip \rightarrow pixel size: 26.88 µm x 29.24 µm







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Electromagnetic Pixel Calorimeter 2 (EPICAL-2)

flex

cable

chip

cable

ALPIDE

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- simulation utilizing Allpix² framework \rightarrow precise geometry implementation



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Derived from earlier UK student work



First look at higher energies energy response and energy resolution



26.05.21

First look at higher energies

250 GeV electron

250 GeV electron

separation power

- same energy
- electrons separated by ~ 7.2 mm



First look at higher energies

250 GeV electron

30 GeV electron

separation power

- large energy difference
- electrons close together
- ightarrow challenging case





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Future Opportunities (DECAL)





- EpiCAL-2 prototype
- Demonstrates high level integration possible
- Using 'off the shelf' tracking sensor
- Further optimise with new processes and sensor designed with calorimetry/reconfigurability
- See e.g. Snowmass submission

SNOWMASS21-IF6_IF0-067





Reconfigurable CMOS Sensor for Tracking, Pre-Shower and Digital Electromagnetic Calorimetry

Future Opportunities for UK - SiW



• Si-W calorimetry can give excellent PFA performance

- Potential to use same technology for outer tracker/preshower/ECAL
- Affordable Si-W (Si-Pb) calorimeters, need sensor costs ~ CHF/cm² (active areas > 10⁷cm²)
 - Potentially achievable with CMOS MAPS technologies large, expanding commercial market
- Power needs study, CMOS estimates range ~50-100mW/cm² (no pulsing)
- Prototype demonstrating concept of digital ECAL, in same CMOS line as CERN et al, can deliver radiation hardness to > 10¹⁵neq/cm²

• Digital EM calorimetry, high potential for future e⁺e⁻ facilities

- Very fast charge collection, potential for triggering
- Ultra-high granularity can benefit physics as well as cost (boosted decays)
- Currently, UK (Birmingham) working with ALICE FoCAL/pCT groups on EpiCAL-2
- Perfect time to lead this novel concept for future projects

Outlook - calorimetry

- There are many opportunities in both PFA and Dual-Readout calorimetry for future collider experiments
- UK is already contributing in major ways in both areas
 - DECAL (CALICE-like) with MAPS technology
 - Dual-calo R&D (IDEA)
- There are many possibilities for new UK collaborators to make leading contributions
 - Hardware development
 - Software/Simulation
 - Substantial expertise from past and ongoing projects





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ECAL Calibration challenges

Significant response changes (crystal + photodetector) due to LHC irradiation

- on both short (few h) and longer timescales (EM and hadron damage to ECAL crystals)

Need for both short term and long term corrections - both online and offline

- via dedicated laser monitoring system (corrections within 48h)
- and physics-based calibration using π^0/η , minimum bias, W, Z events
- special attention must be devoted to high eta region (with largest losses) to prevent biases in jets and MET



These corrections are crucial to maintain stable ECAL energy scale and resolution over time. Requires a dedicated team during LHC operations Lesson learned - do not underestimate this challenge!



Importance of recalibration

- Refined physics-based calibrations using full dataset are derived at the end of each running year
 - these are required to obtain optimal energy resolution in all regions of the detector
 - they correct for time-dependent drifts in calibrations



Di-electron Z mass resolution before and after end-year recalibration

Lessons learned:

Do not assume that calibrations remain constant!

many relevant observables (pedestals, signal pulse shapes, channel response) can be affected by irradiation and require frequent calibration updates to maintain optimal pulse reconstruction, energy and timing resolution

Note that resolution vs eta largely follows distribution of upstream tracker material: need to minimise this in future detector designs to preserve <u>intrinsic</u> ECAL resolution

ECAL Calibration methods

ECAL intercalibration sources

physics data are used to equalise the response of each channel in EB and EE

method	time needed
ф-symmetry	few days
π⁰/η →γγ	1 month
electron E/p	20 fb ⁻¹
Z→ee mass	20 fb ⁻¹

Dedicated calibration streams (with limited event content) are used to collect enough stats.

Inter-calibration precision 58.8 fb⁻¹ (13 TeV) CMS Preliminary 2018 **ECAL** $\sigma_{IC} \pi^0$ $\sigma_{IC} E/p$ σ_{IC} Zee σ_{IC} combination 0.005 0.000 2.0 0.5 1.0 1.5 2.5 3.0

Crystal $|\eta|$ Can achieve precision of better than 0.5% in EB and 1% in EE with a combination of calibration methods

Lessons learned: Maintain multiple calibration methods

CMS ECAL experience:

1) calibration methods involving low energy signals (π^0/η , phi-symmetry) are affected by noise and pileup (these methods were not usable for |eta|>2.0 in 2018)

2) some methods (phi-symmetry, E/p from $W\rightarrow ev$) suffer from systematics due to uncertainties in tracker material distribution in phi

3) $Z \rightarrow ee$ proved to be the most effective all-purpose calibration method in Run 2

ECAL intercalibration precision



ECAL spikes

- Anomalous signals ("spikes") unexpectedly observed in ECAL Barrel: large apparent energy deposits with non-physical topological and timing signatures
- Caused by direct ionisation of APD active volume by collisions products (chiefly hadrons/pions) ECAL APD "spike" ECAL APD capsule





• Mitigation was challenging, especially for L1 trigger:

- no possibility to cure at source APDs inaccessible
- spikes will typically hit one of 2 APDs serving one ECAL crystal. However, decision was made to sum these signals rather than read them out individually to reduce cost

Lessons learned: Must rigorously check system in test beam campaigns. Self-triggering would have revealed this problem. Build sufficient flexibility in on-detector and off-detector electronics to deal with unexpected signals. Add redundancy to readout signals?



ECAL mechanics

Significant differences in mechanical design of ECAL Barrel and Endcaps

- barrel design incorporated 17 different module types and 17 different crystal shapes
- endcap design involves a single module type and one crystal shape

CERN Labo 27 - EP/CMA OD/07/2002 - 3

Barrel mechanics: 17 crystal types

Endcap mechanics: 1 crystal type



This has implications for crystal production and detector construction

much simpler if you only have to deal with a single module/crystal type

• Should also consider possibility for partial dismounting/replacement of modules

- ECAL was not designed with this possibility in mind partial dismounting difficult/impossible
- might be a desirable feature for future detectors if certain regions need to be removed/replaced due to large radiation-induced response losses or other performance issues



ECAL ASICs

- UK involvement in ECAL very-front-end ASICs came about due to noise/performance problems with the original TDR designs
- Original preamp and ADC designs had to be dropped and new **ASICs developed from scratch Final very-front-end design**





Lessons learned:

Issues with ASICs are not uncommon in HEP - but problems can be minimised by careful and conservative design methodologies. Early full-system tests with detector prototypes are a **must** to check system performance and identify any noise issues in a realistic datataking environment



ECAL crystals are capable of precise timing

- CMS ECAL crystals and APDs are capable of providing precise timing information
 - intrinsic timing resolution: ~20 ps
- ECAL timing distribution system was not designed for sub-ns timing measurements
 - achieved timing resolution is ~150ps, limited by timing distribution to front-end boards
- Phase-2 upgrade prioritises precise timing resolution
 - Crystals and APDs will remain in Barrel
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 - dedicated timing distribution system to achieve 30ps resolution
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Phase-2 FCAL time resolution vs luminosity

electric field energy deposition geometry builder initialization electric field obtained from particle transport and **TCAD** simulation deposition of charges in active materials by Jan Hasenbichler total reverse bias voltage of $V_{RR} = 1.4 V$ diodes electric field (z-component) ×10⁻³ E 0.025 ھ C **Epitaxial** 0 <u>_</u> 0.015 0.015 0.015 < 0.010 0.010 layer 0.010 -0.2 Ð 0.005 0.005 0.005 З -0.4 0.000 0.000 0.000 -0.005 -0.005 ىم -0.005 -0.6 1 -0.010 -0.010 -0.010substrate D -0.015 -0.015--0.015-7 -0.8 -0.020 -0.020 -0.020 EPICAL-2 വ preliminar -0.025 -0.025--0.025 shower -1 0 +1 -1 n pixel in x pixel in x TIPP2021 conference - Tim Rogoschinski pixel in x

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particle

EPICAL-2 simulation utilizing Allpix² I

A Monte Carlo simulation tool for silicon pixel detectors

From incoming particle(s) to readout

simulation chain:

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26.05.21

RO01

GEANT4

⁵

propagation digitizer output and transfer propagation of charge carrier noise added by Gaussian 2D information of hits per layer groups (width σ_{noise}) to each pixel \rightarrow column and row → set to **50 charges per group** \rightarrow set to $\sigma_{ m noise} = 20~ m e$ diffusion and drift of groups accept as hit: pixel charges within integration time t_{int} surpassing threshold value T_{pixel} \rightarrow set to $t_{int} = 25.5$ ns EPICAL-2 with 24 layers $(T_{\text{pixel}} \text{ is set per chip})$ pixel assignment of charges (m. 0.025 m. 0.020 n.020 mean: $\langle T_{pixel} \rangle = 82 e \pm 20 e$ 0.015 signal (e) "measurement": 0.010 ≥ 96 \rightarrow number 0.005-95 N_{hits} 0.000-T_{pixel} 94 of pixel hits -0.005 93 \rightarrow number -0.010 92 *N*_{clusters} -0.015 234 235 236 237 238 -0.020 - EPICAL-2 of clusters column 25ns preliminary -0.025time **x** shower particle **cluster** -1 pixel in x pixel without hit pixel with hit TIPP2021 conference - Tim Rogoschinski 26.05.21

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EPICAL-2 simulation utilizing Allpix² II

A Monte Carlo simulation tool for silicon pixel detectors

From incoming particle(s) to readout simulation chain:

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