

# Welcome!

## Cockcroft Institute Lectures – Register Attendance

**CI-ACC-221: Ion Sources and Secondary Beams**

Dan Faircloth (DF) RAL/STFC

**CI-RF-222: RF Linear Accelerators**

Graeme Burt (GB) CI/Lancaster University

**CI-ACC-225: Electron Sources**

Boris Militsyn (BM) CI/ASTeC

**CI-ACC-226: Machine Learning Methods for Particle Accelerators**

Andrea Santamaria Garcia (ASG) CI/University of Liverpool

Date/time	10:30	11:45	14.00
9 Feb 2026	Ion Sources		Penning Magnetron
16 Feb 2026	High Voltage		Secondary Beams



QR code will take you to a Google form

Record attendance for each day of lectures

# Magnetron and Penning

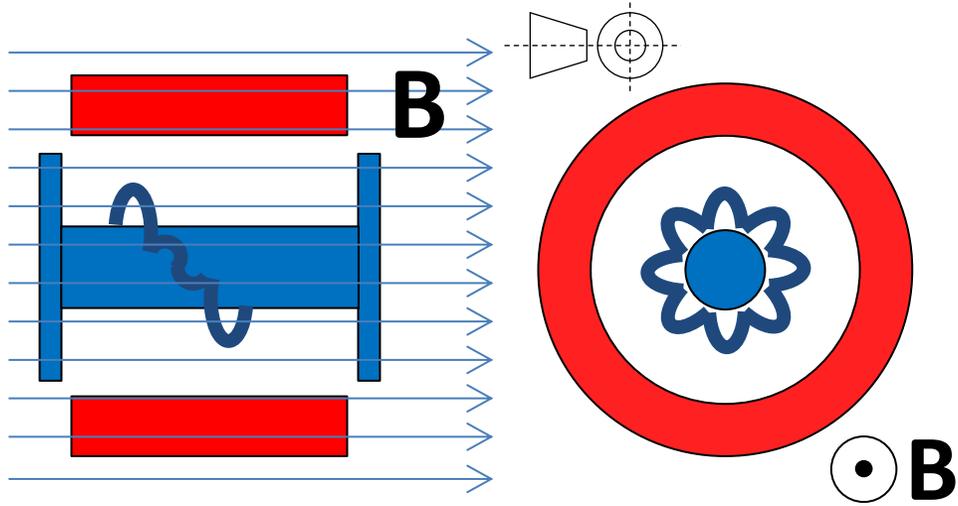
Dan Faircloth

ISIS Low Energy Beams Group Leader

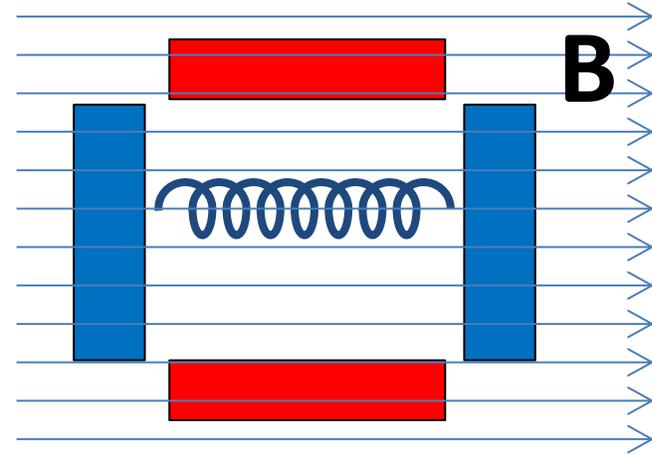
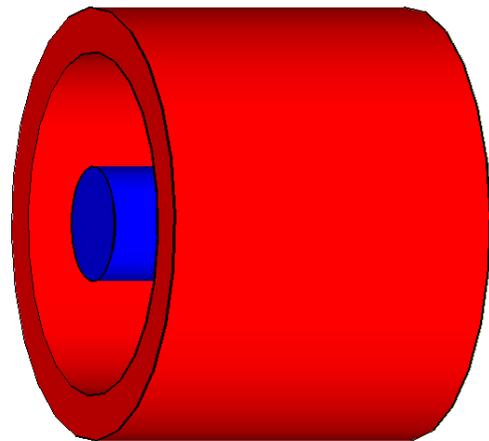
Rutherford Appleton Laboratory

STFC-UKRI

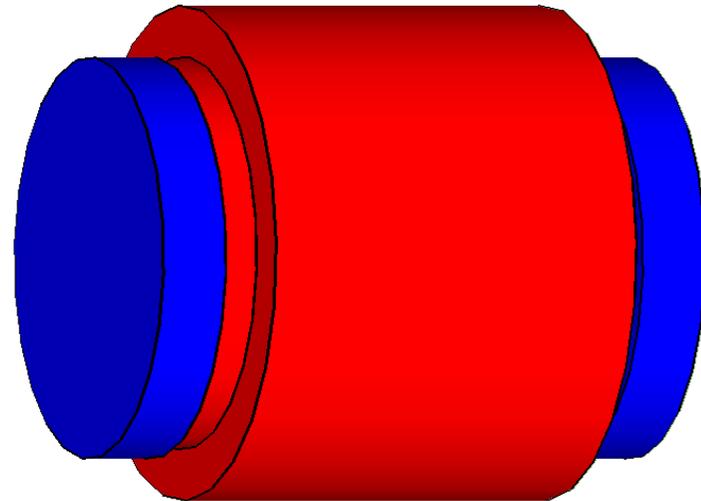
# Fundamental Topology



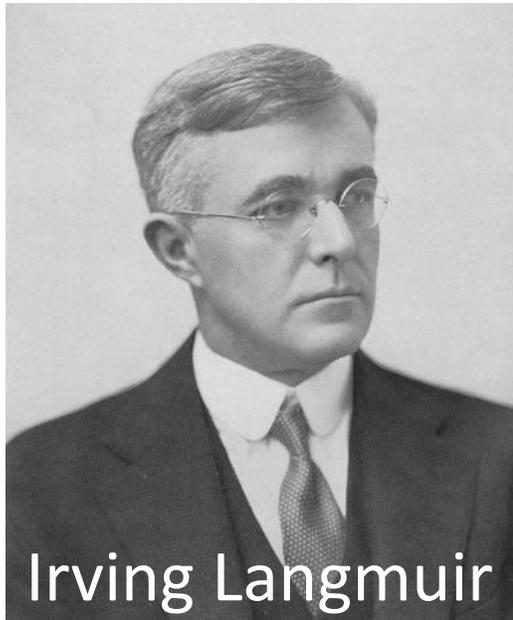
Magnetron



Penning



# GE Research Lab, Schenectady, NY 1916



# Albert Hull

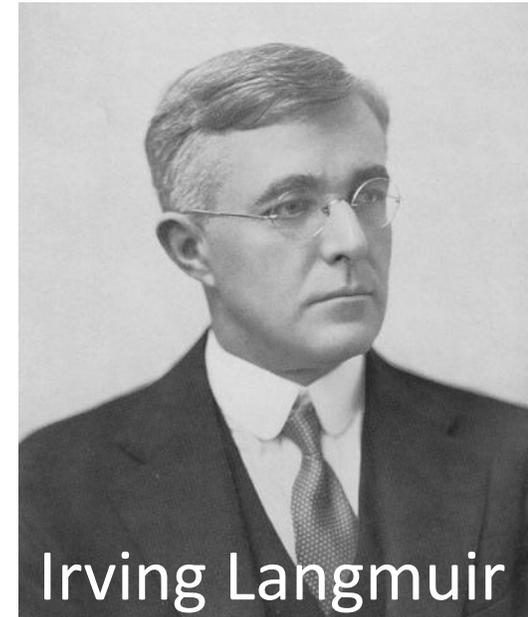
Using magnetism to find alternatives to patented electrostatic control of valves

## E x B

**1920** Comet valves?  
Boomerang valves?  
Ballistic valves?  
**MAGNETRON VALVES**

**1920's** Starts adding gasses to his valves and going to high powers.

Langmuir talks to his fellow New England scientists

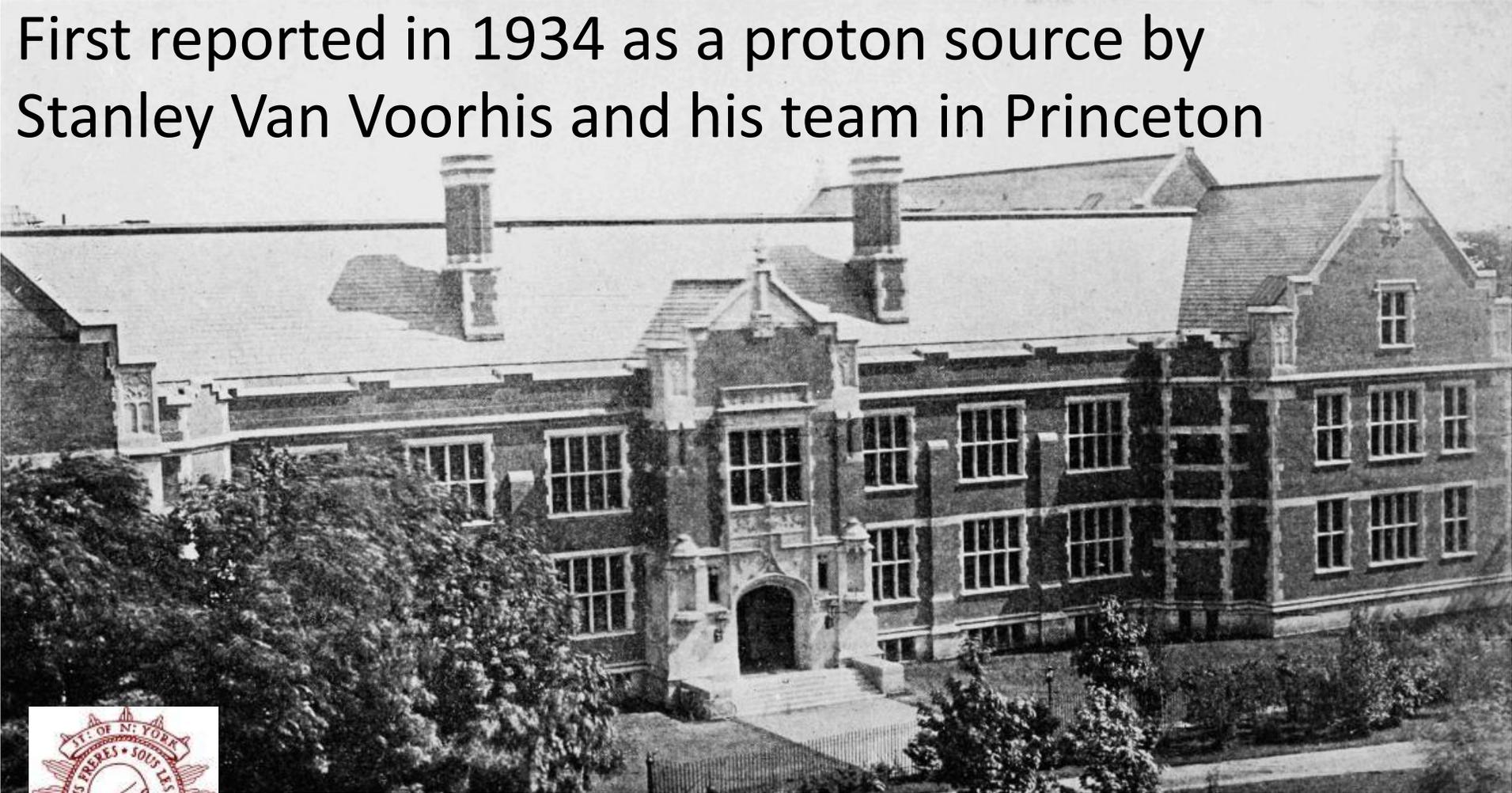


Irving Langmuir



# Magnetron Ion Source

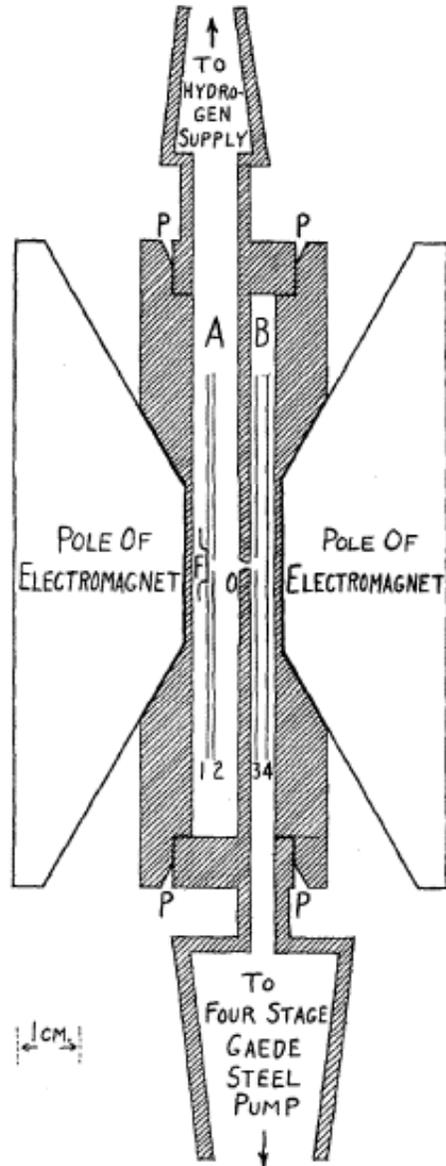
First reported in 1934 as a proton source by Stanley Van Voorhis and his team in Princeton



Also developed by Overton Luhr and others at MIT and Union College

# Louis Maxwell

The Franklin Institute  
Philadelphia 1930



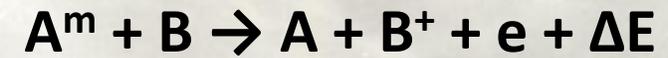
# Penning Ion Source



**Frans Penning**

**1937** Penning Ionisation Gauge or Philips Ionisation Gauge (PIG)

**1927 Penning Ionisation:**



i.e. Add a sniff of argon



**Philips Physics Laboratory -Eindhoven**

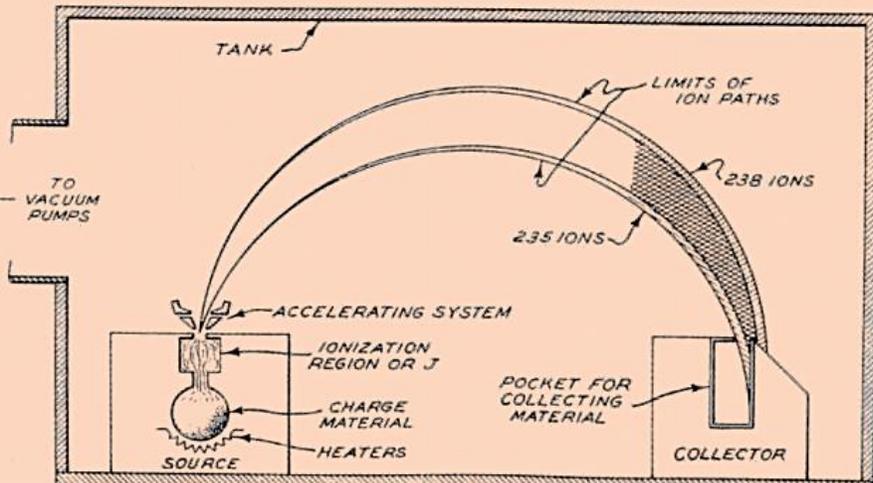
Geometries similar to 'Magnetron' and 'Penning' are employed in a number of different sources:

**Magnetron** - Freeman source

**Penning**

- Nielson source
- Bernas source
- Calutron source

THE E M METHOD OF SEPARATING  
THE COMPONENTS OF TUBALLOY



# The Penning geometry (Calutron) starts the Cold War



high-purity uranium-235

Magnetron and Penning ion sources are used  
in wide variety of applications:

- Mass separation
- Sputter coating
- Space thrusters
- Particle accelerators
  - Positive
  - Negative

Early 1970s Budker Institute of Nuclear Physics Novosibirsk  
Production of  $H^-$  ions by surface ionisation with the addition of caesium

## Surface Plasma Sources (SPS)



Gennady Dimov



Yuri Belchenko

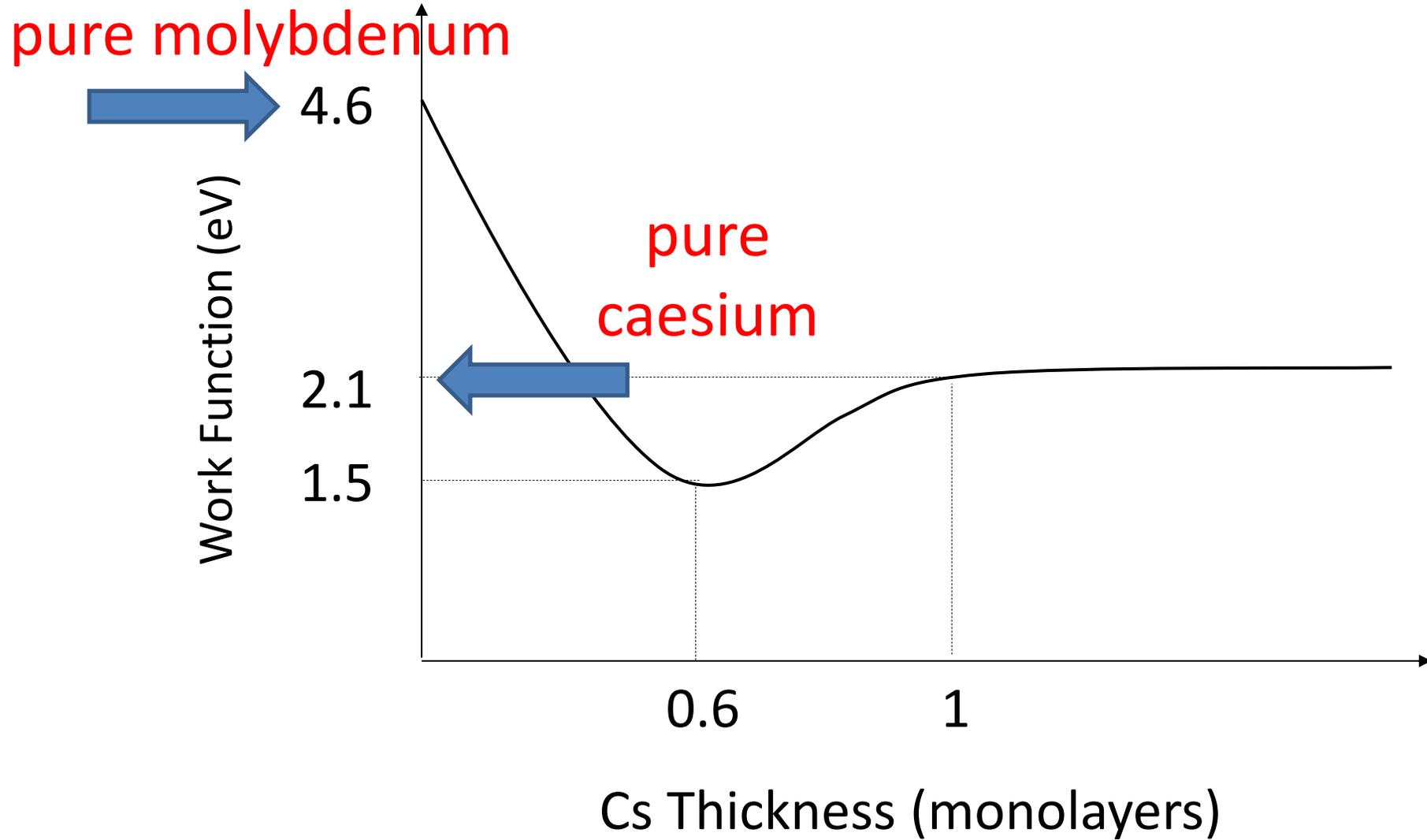


Vadim Dudnikov

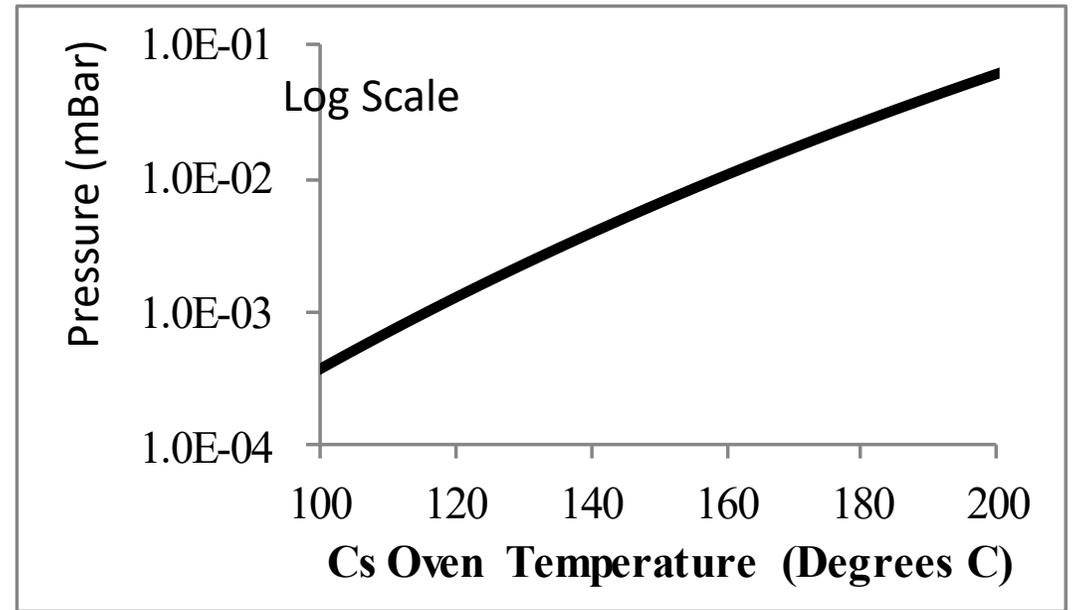
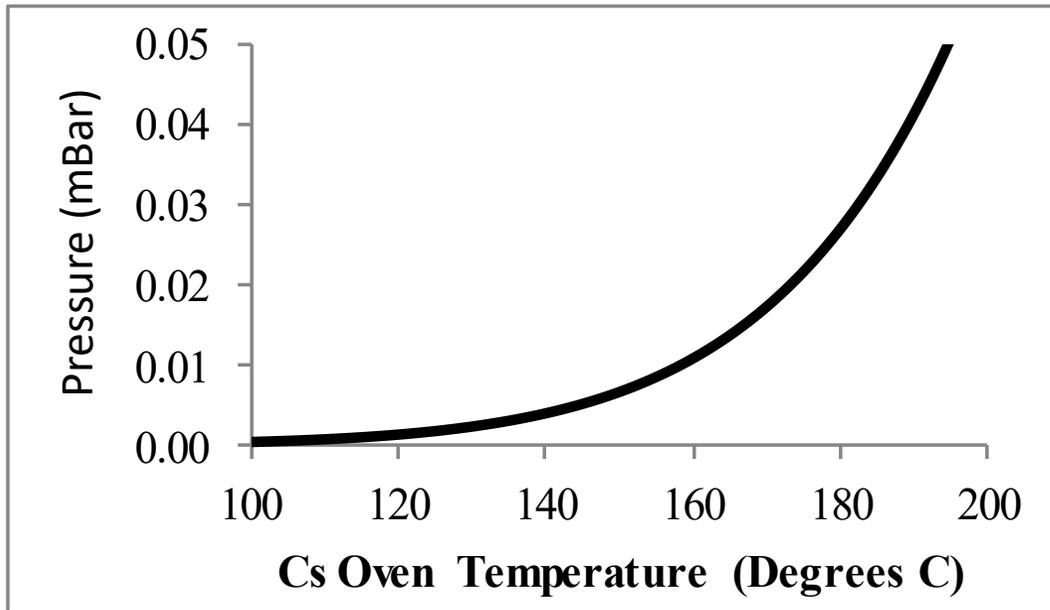
5 g  
caesium  
ampoule



# Caesium Coverage

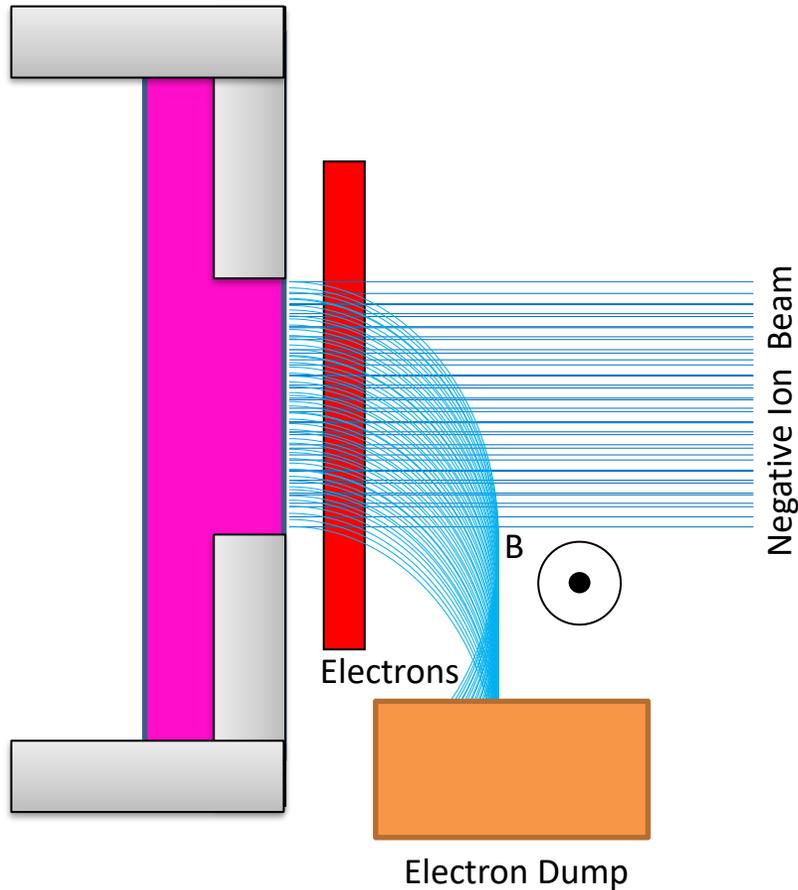


Vary caesium oven temperature to control vapor pressure to control caesium coverage:



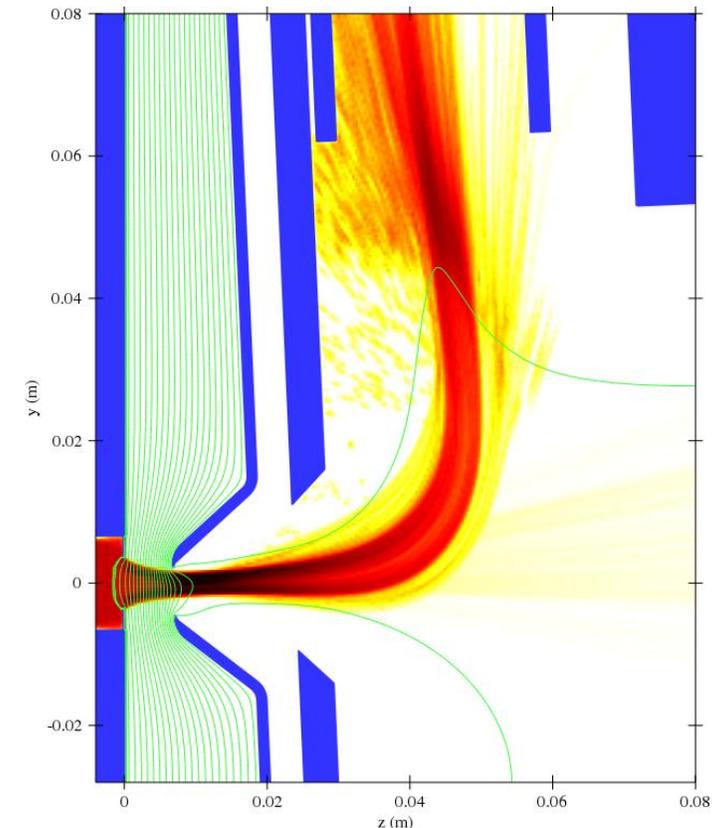
# Negative Ion Extraction

CERN LINAC4  
e-dump surface



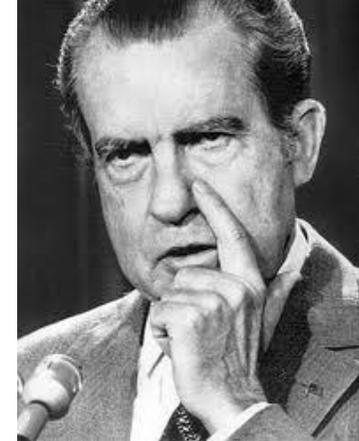
Electrons will also be extracted  
Up to 1000 times the  $H^-$  current!  
Use a magnetic field  
Dump must be properly designed

**SPS sources:**  
**co-extracted electrons are**  
**only 0.5 to 10  $H^-$  current**



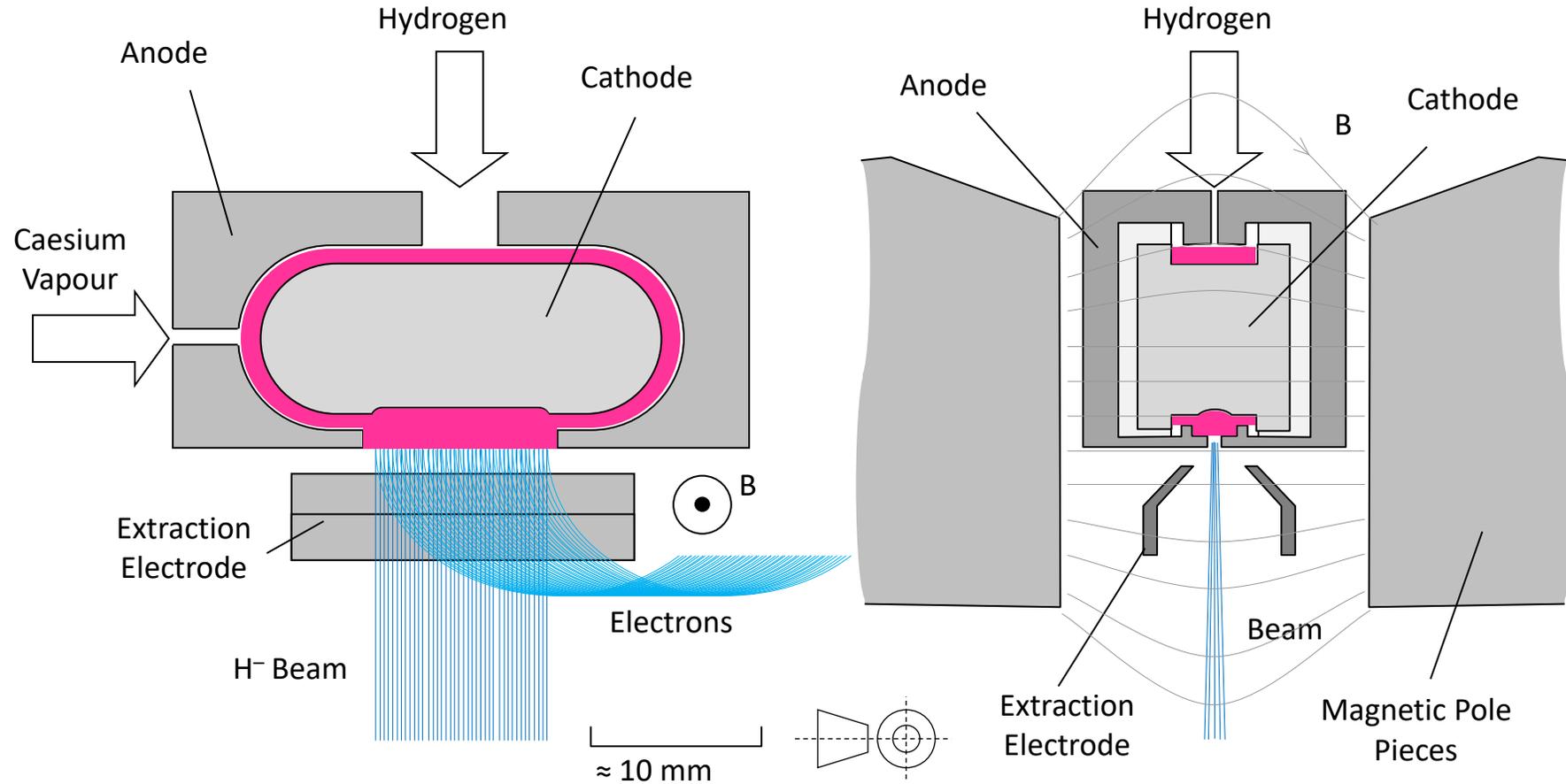


# 1970s Caesium Revolution!

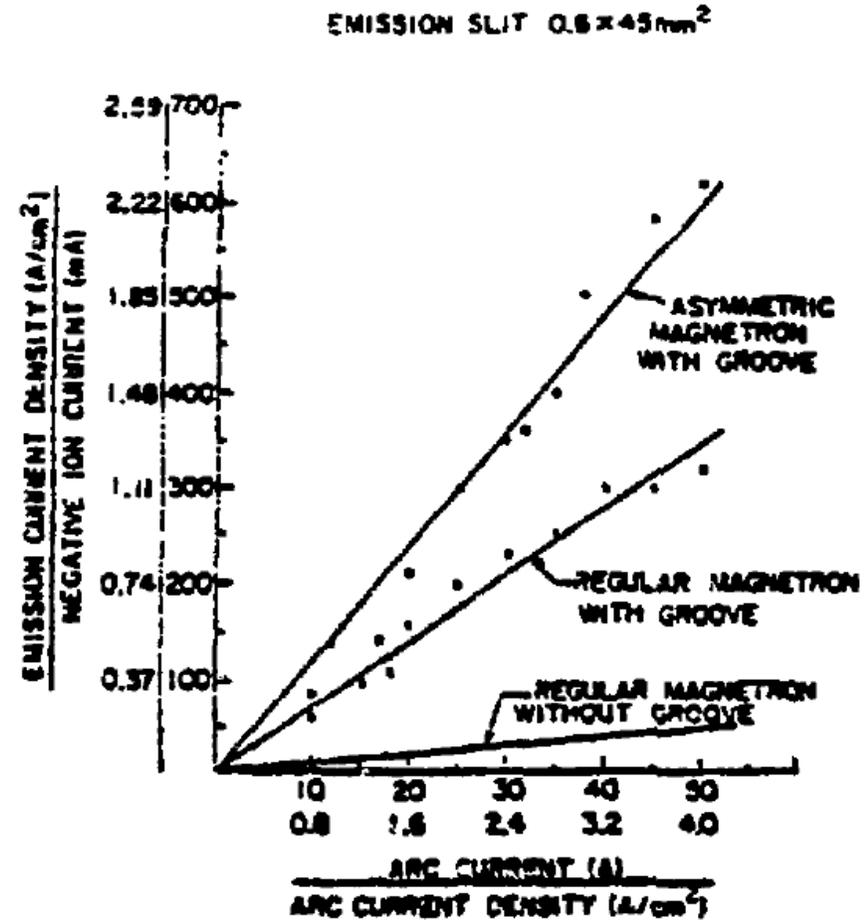
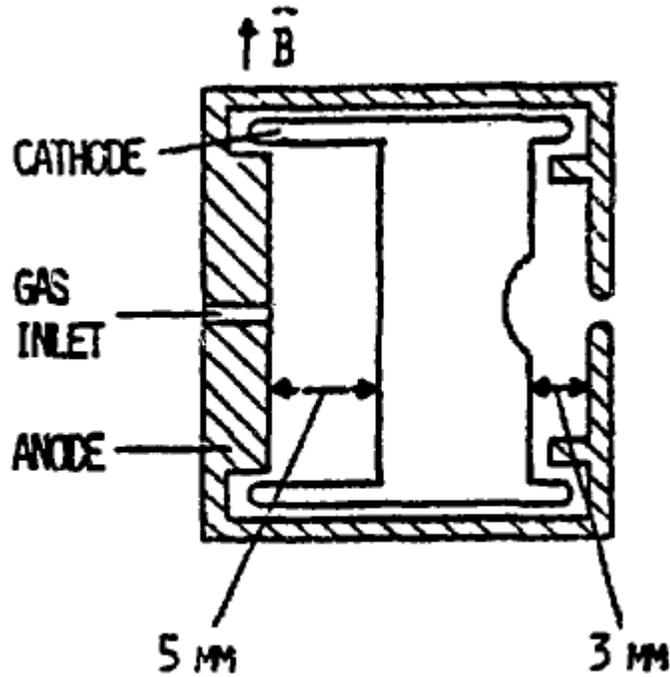


- BINP spreads the word and develops more sources
- BNL Krsto Prelec et al. develop the magnetron for NBI
- LANL Paul Allison et al. develop the Penning
- Berkley Ehlers+Leung develop Surface Converter sources
- Fermilab Chuck Schmidt et al. develop the BNL magnetron for accelerators

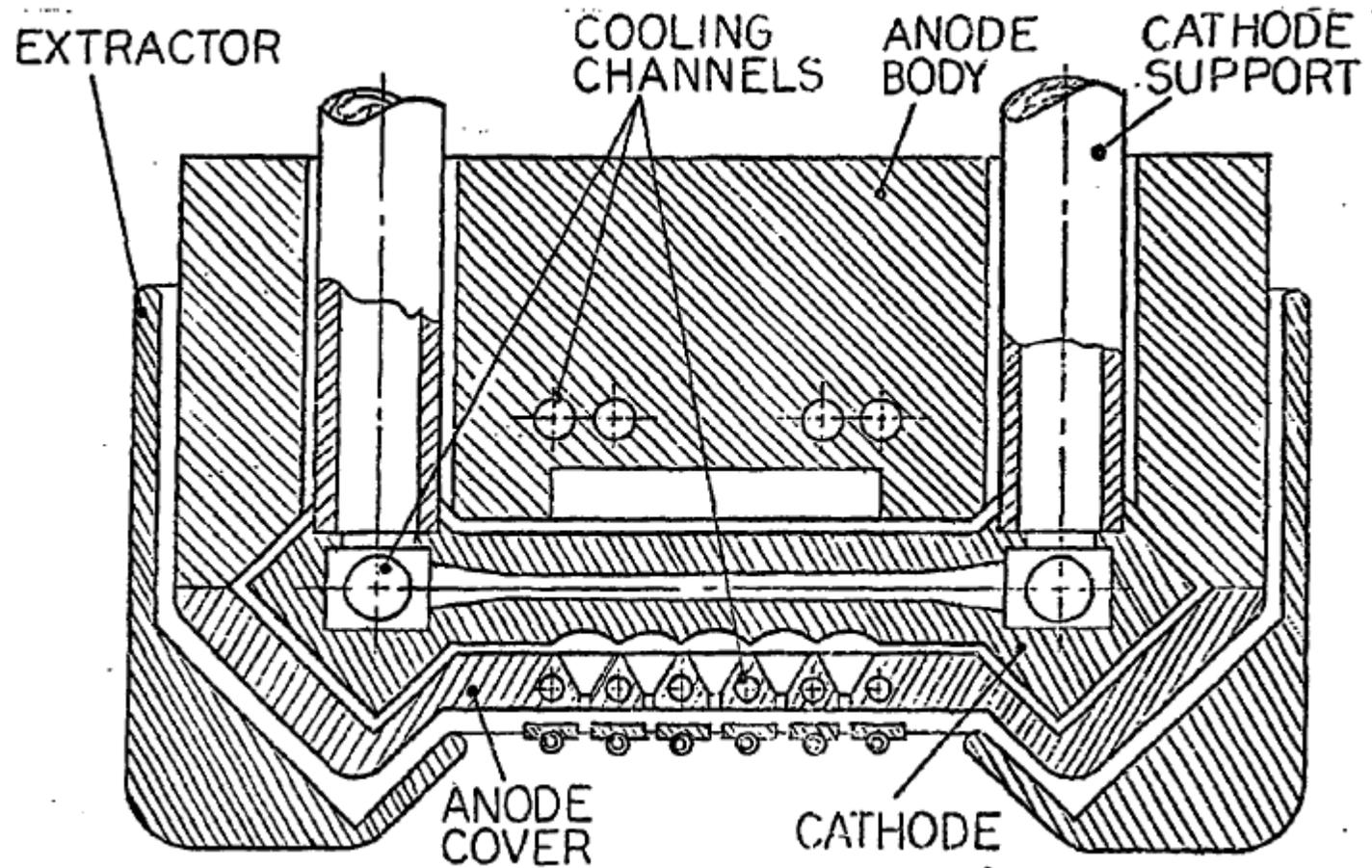
# Magnetron SPS



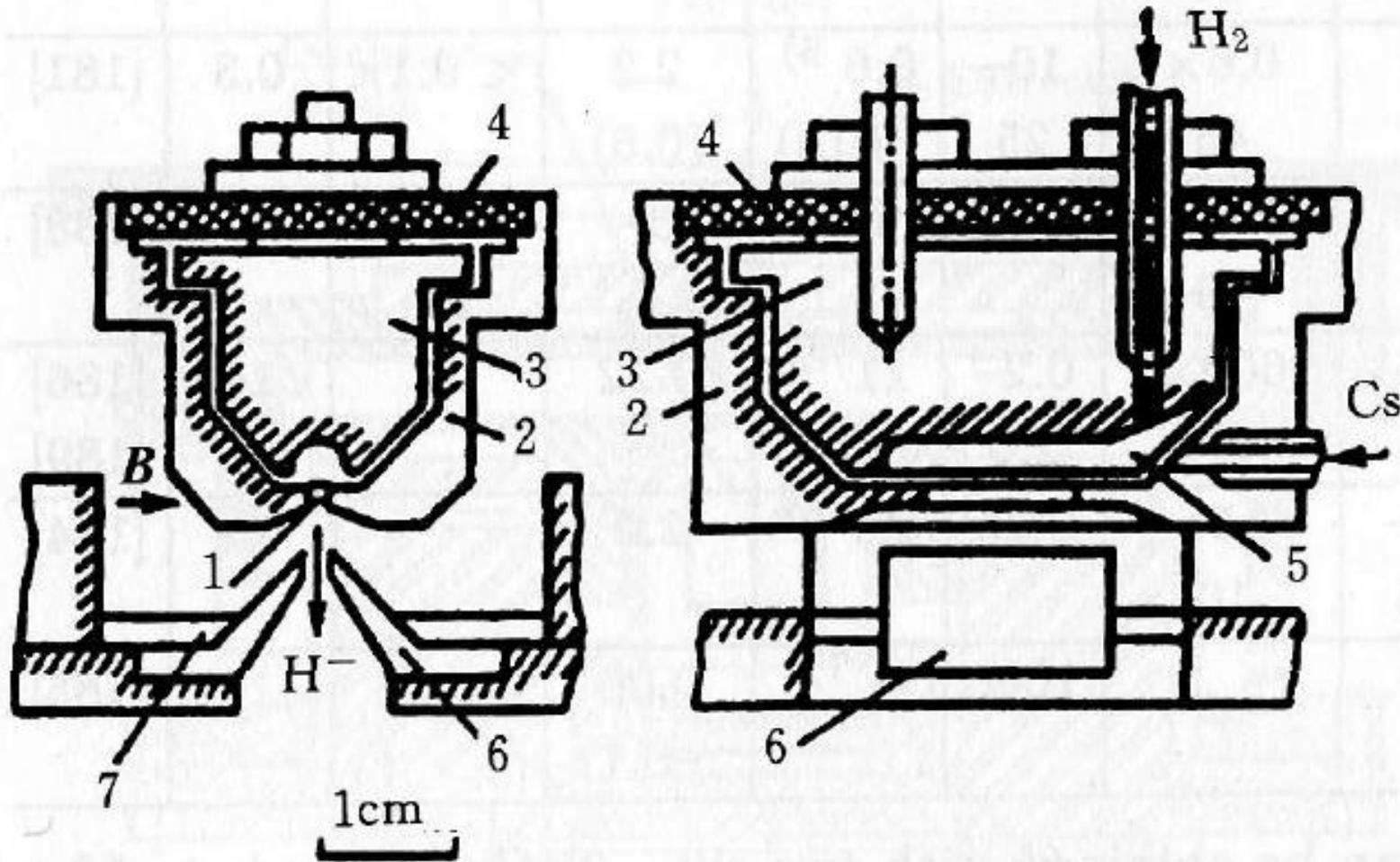
# 1980 BNL Developments



# BNL 2 A Beam H<sup>-</sup> Magnetron for NBI

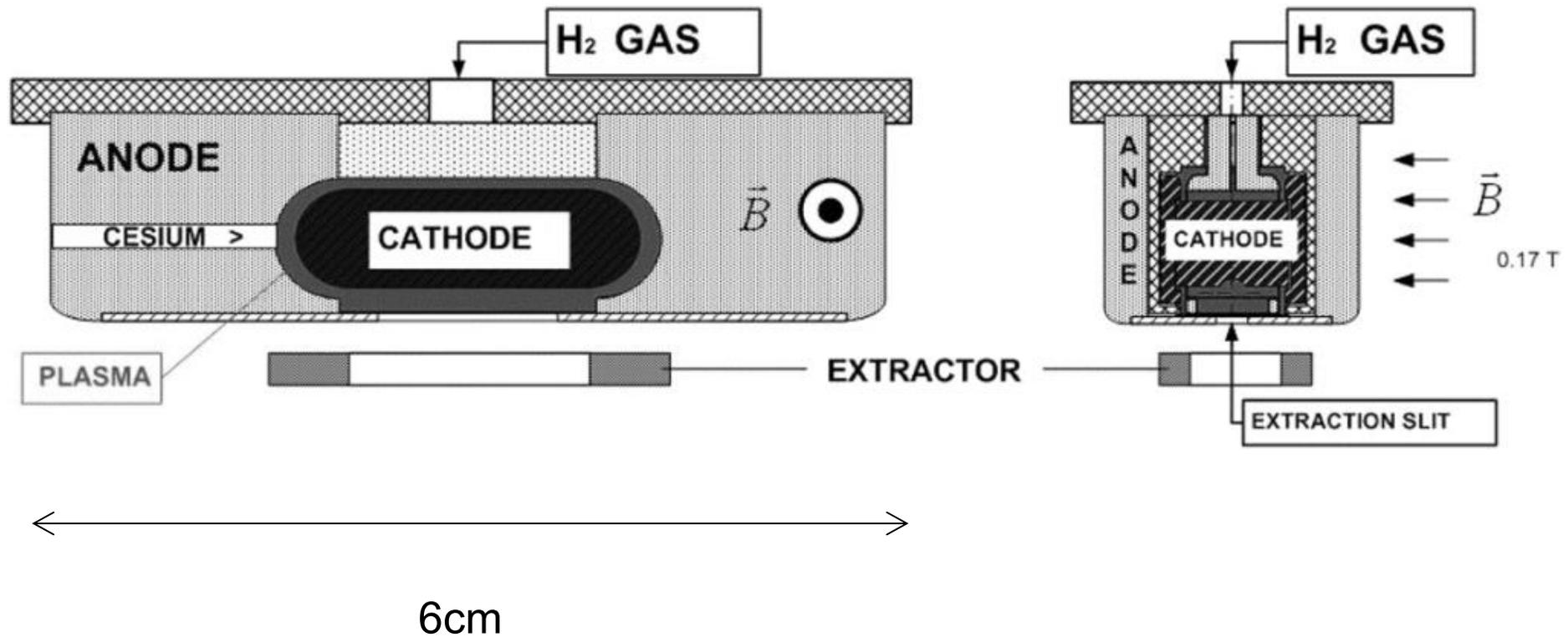


# 11 A Budker Semiplanotron



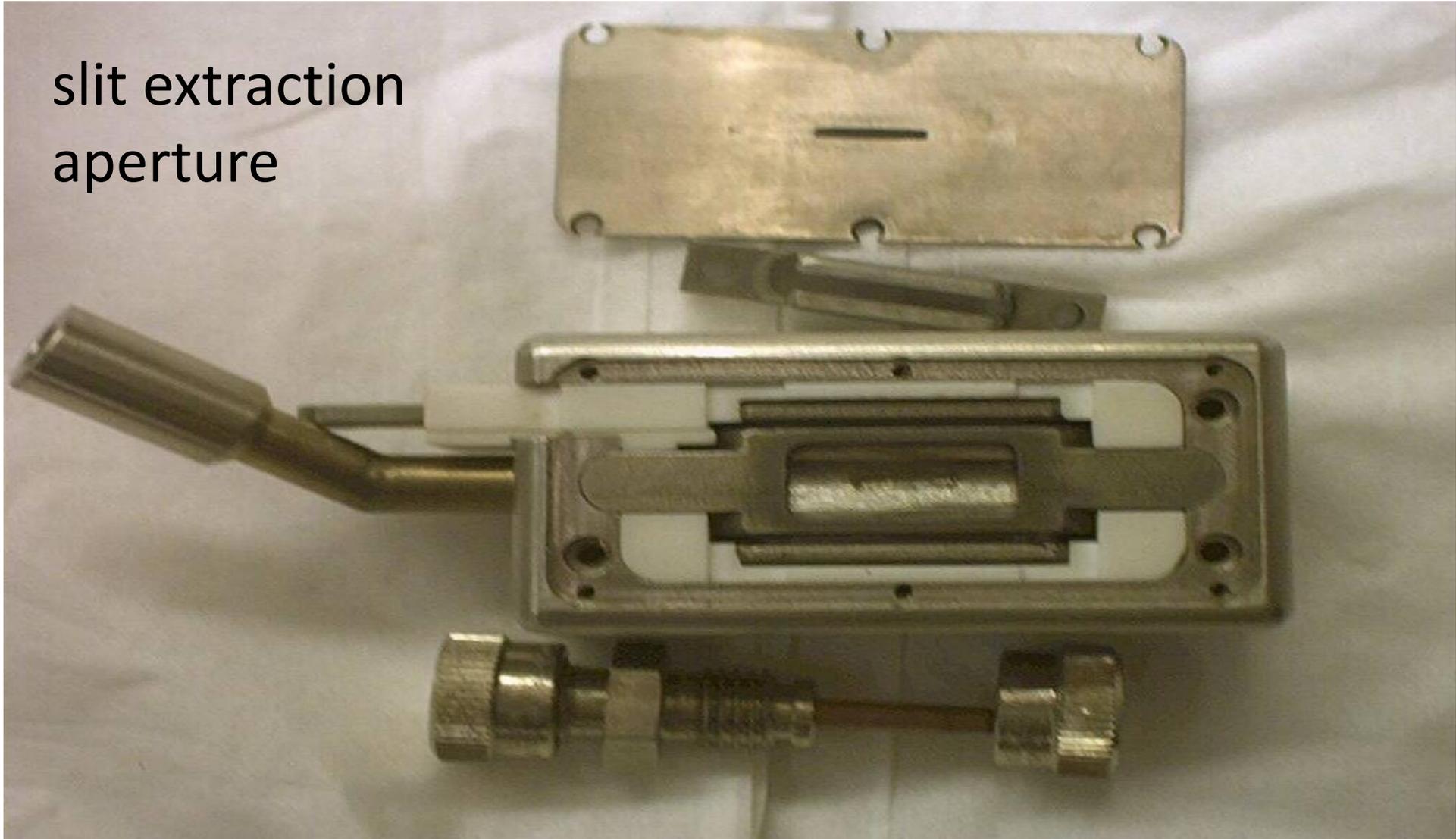
1—Emission slit, 2—Anode, 3—Cathode, 4—Insulator, 5—Cathode cavity, 6—Extracting electrode, 7—Iron inserts.

# Late 1970s Fermilab Magnetron

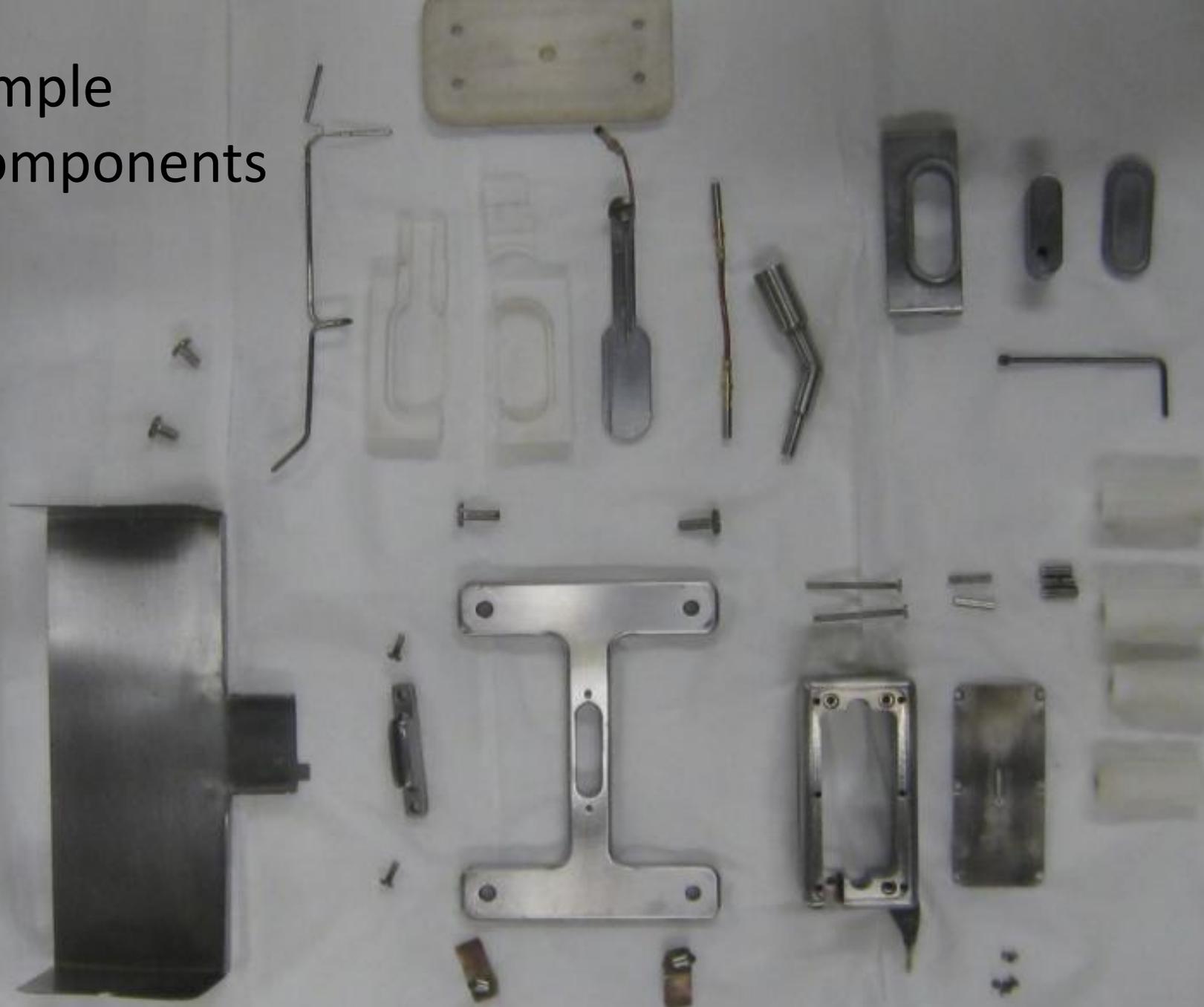


# Fermilab Magnetron

slit extraction  
aperture



# Simple Components

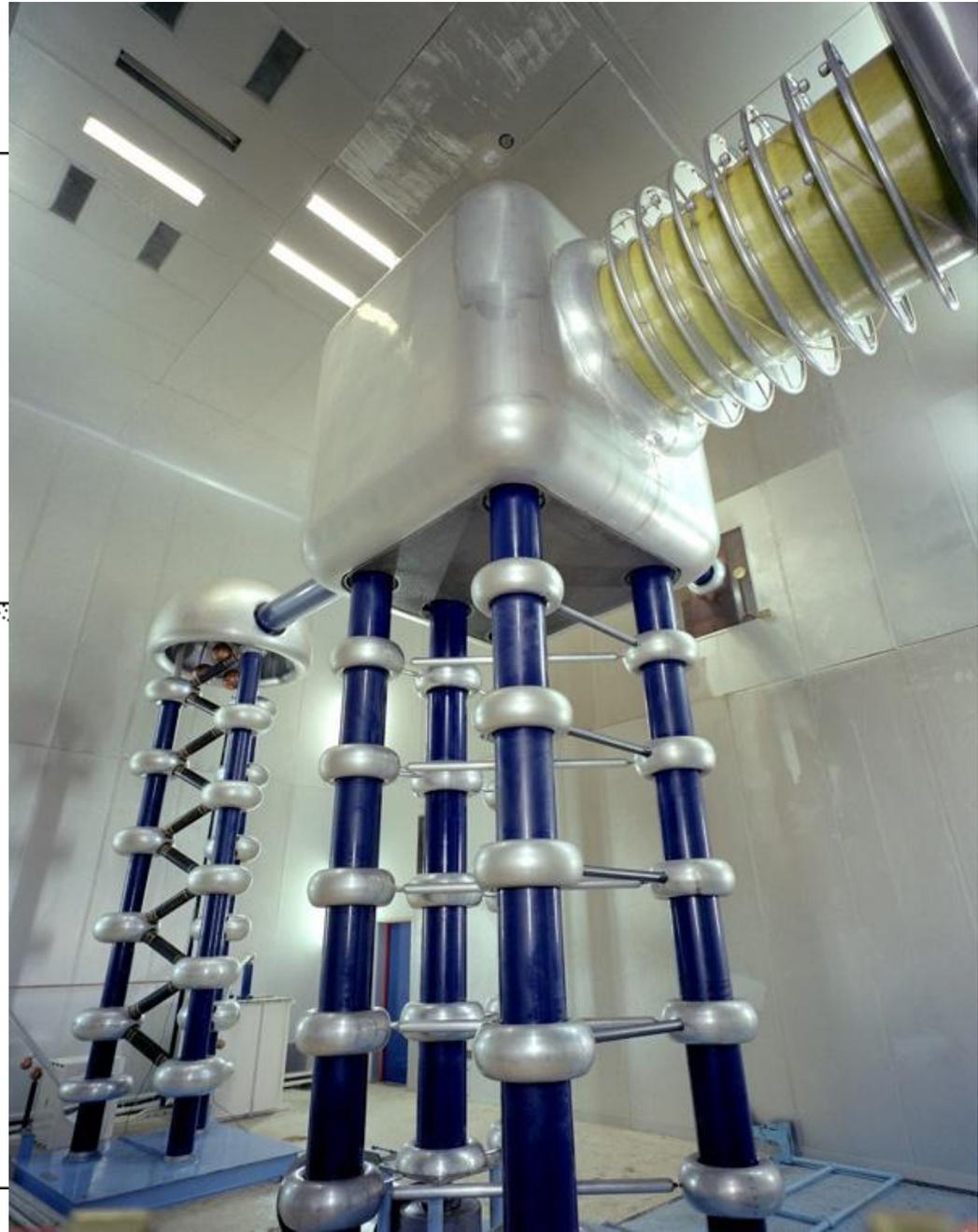
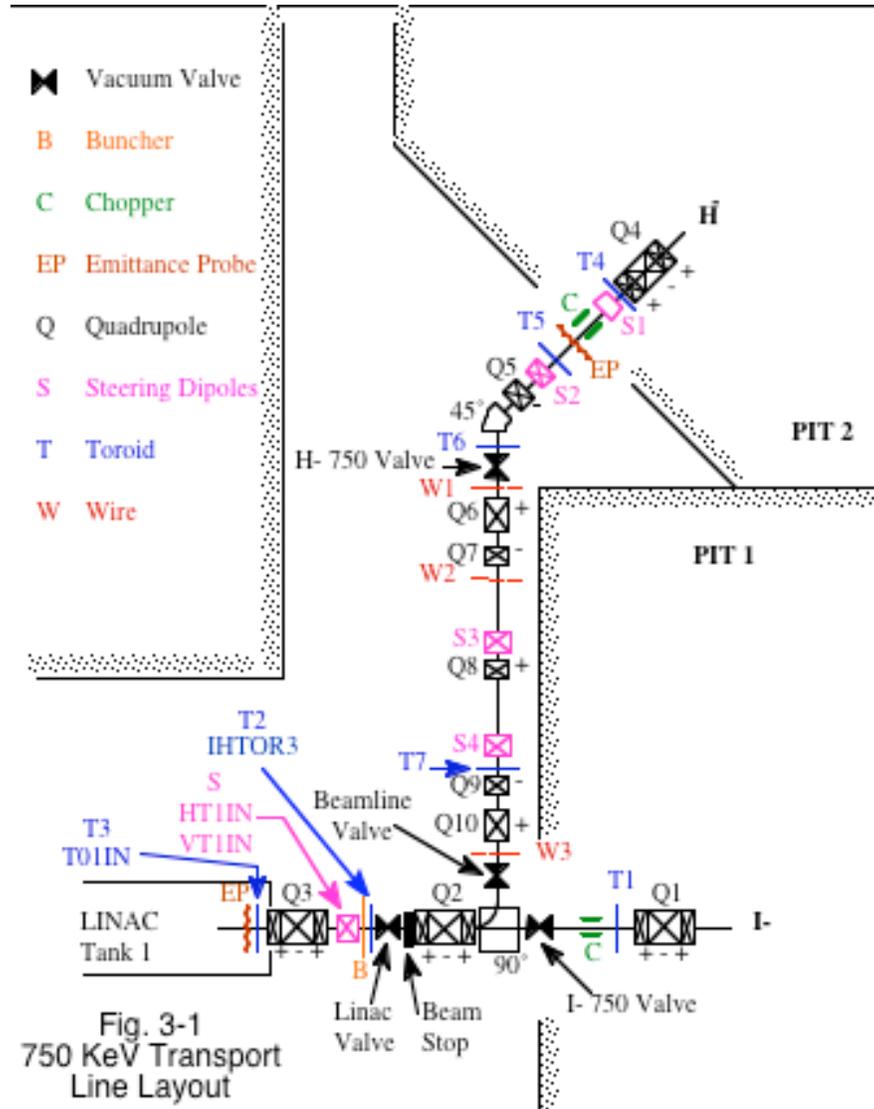


# Fermilab Magnetron

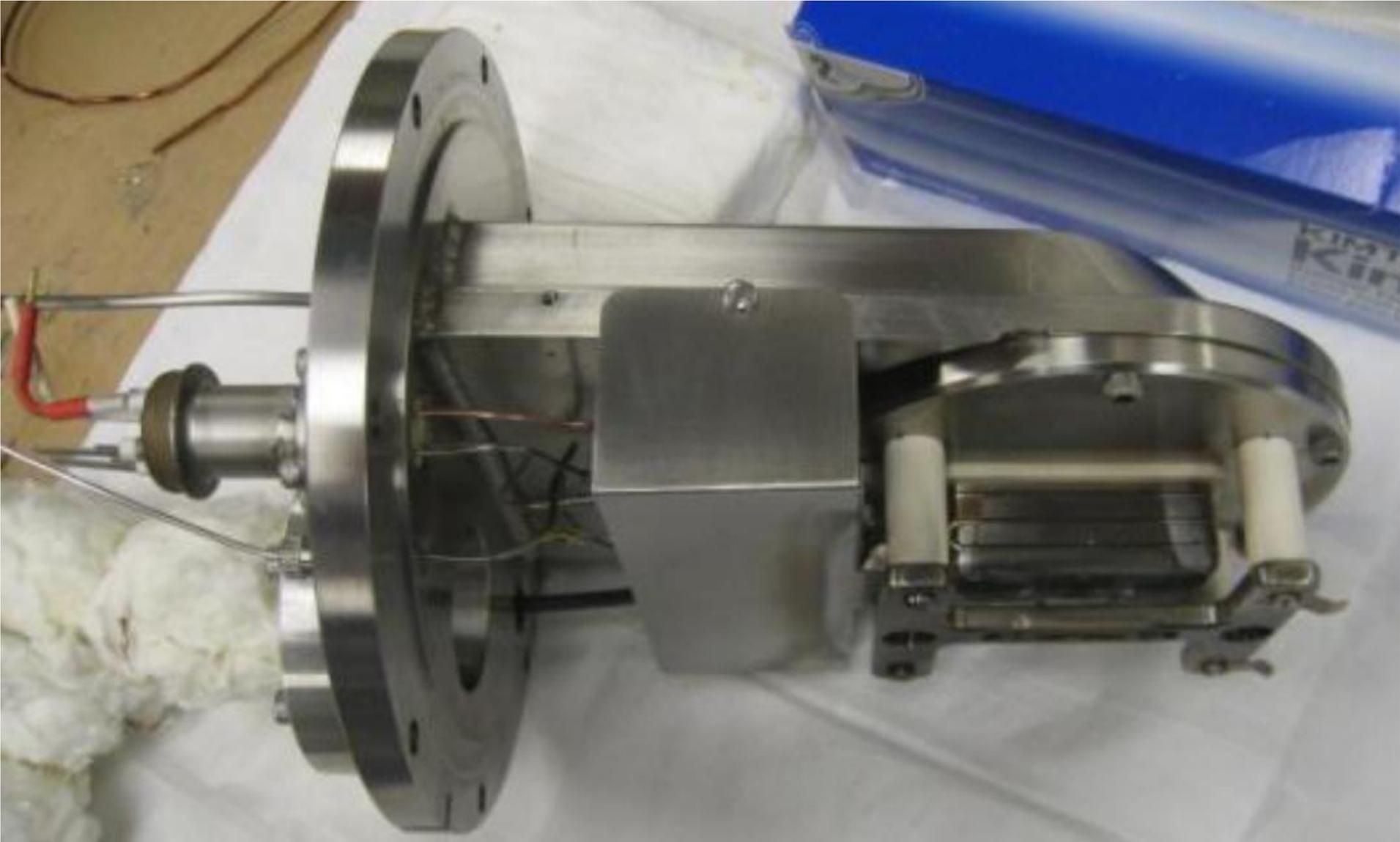
750 kV Acceleration Column



# Fermilab Magnetron

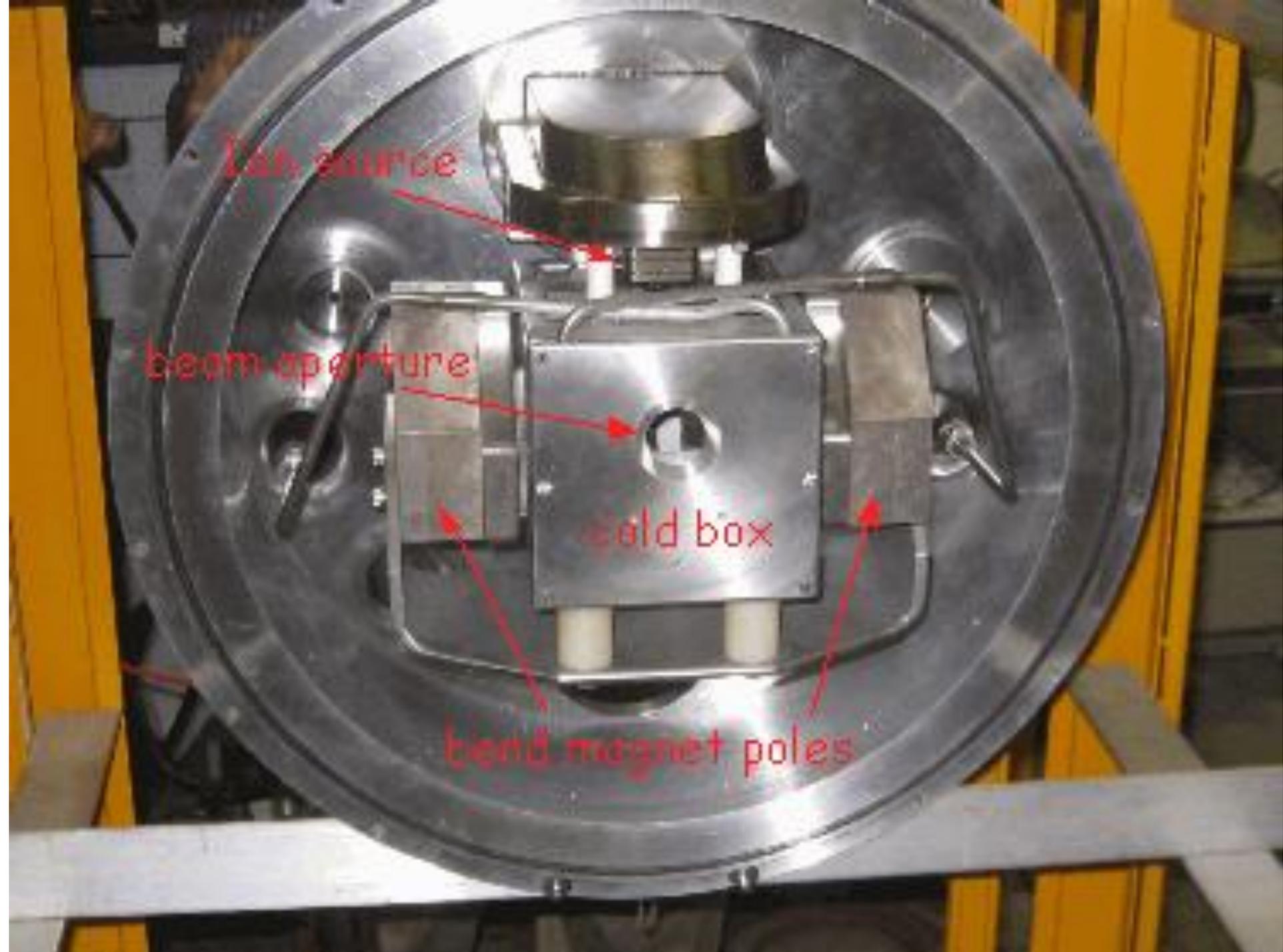


# Fermilab Magnetron





Caesium:  
Friend of  $H^-$   
but  
mortal enemy  
of high voltage

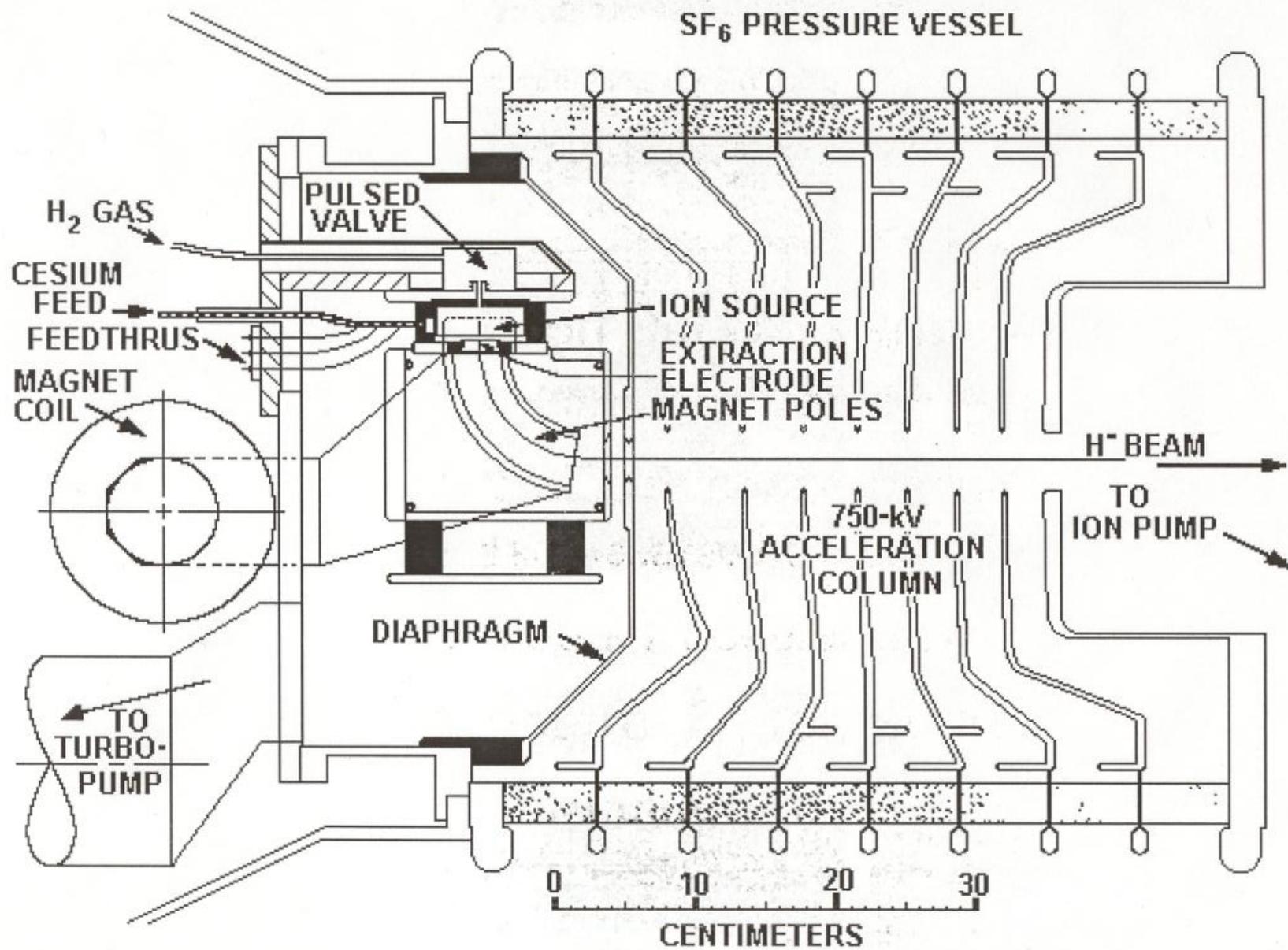


lan source

beam aperture

cold box

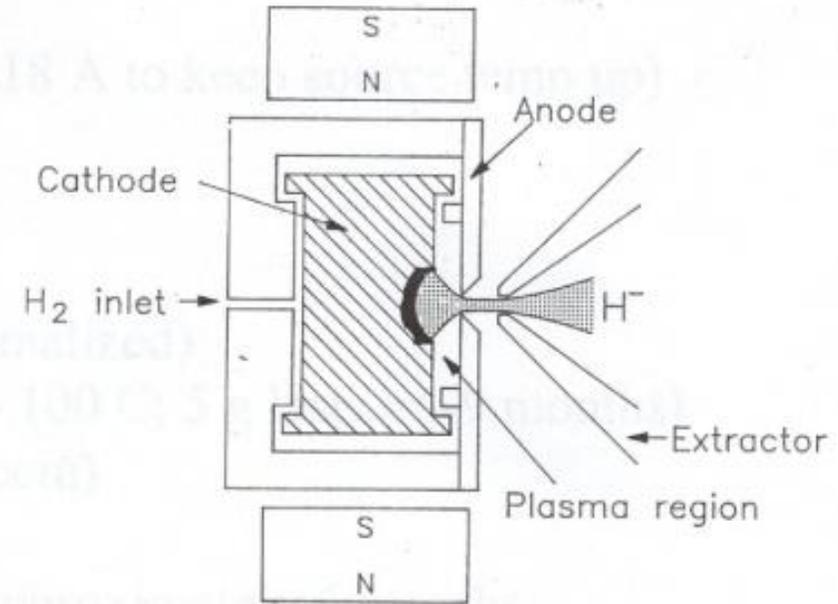
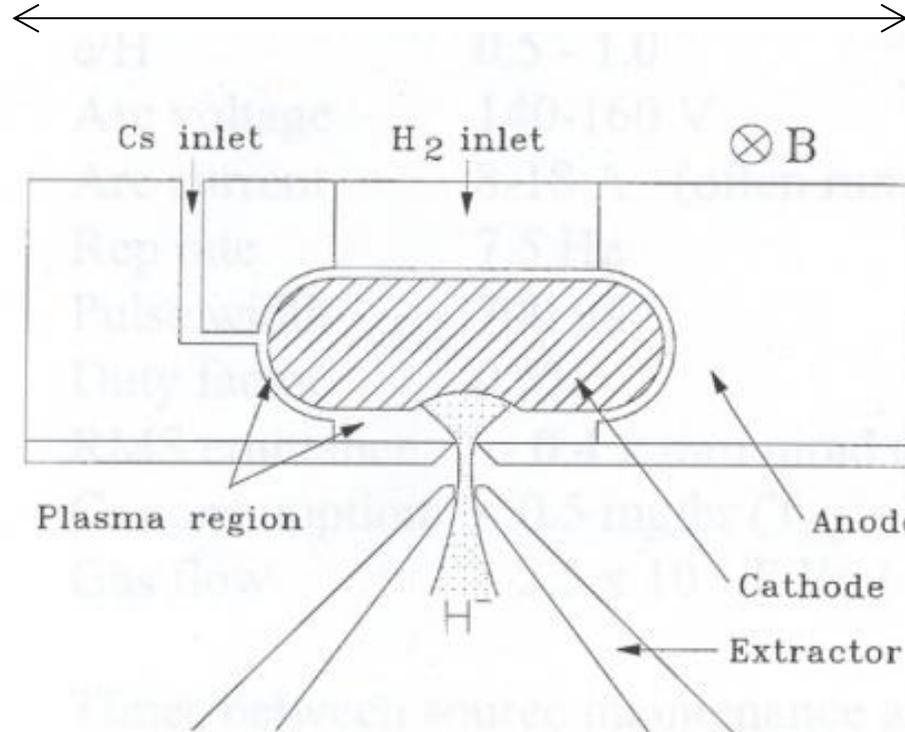
bend magnet poles



**$H^-$  ION SOURCE ASSEMBLY**

# 1989 BNL Magnetron

6cm



Circular Extraction Aperture

# 1989 BNL Magnetron

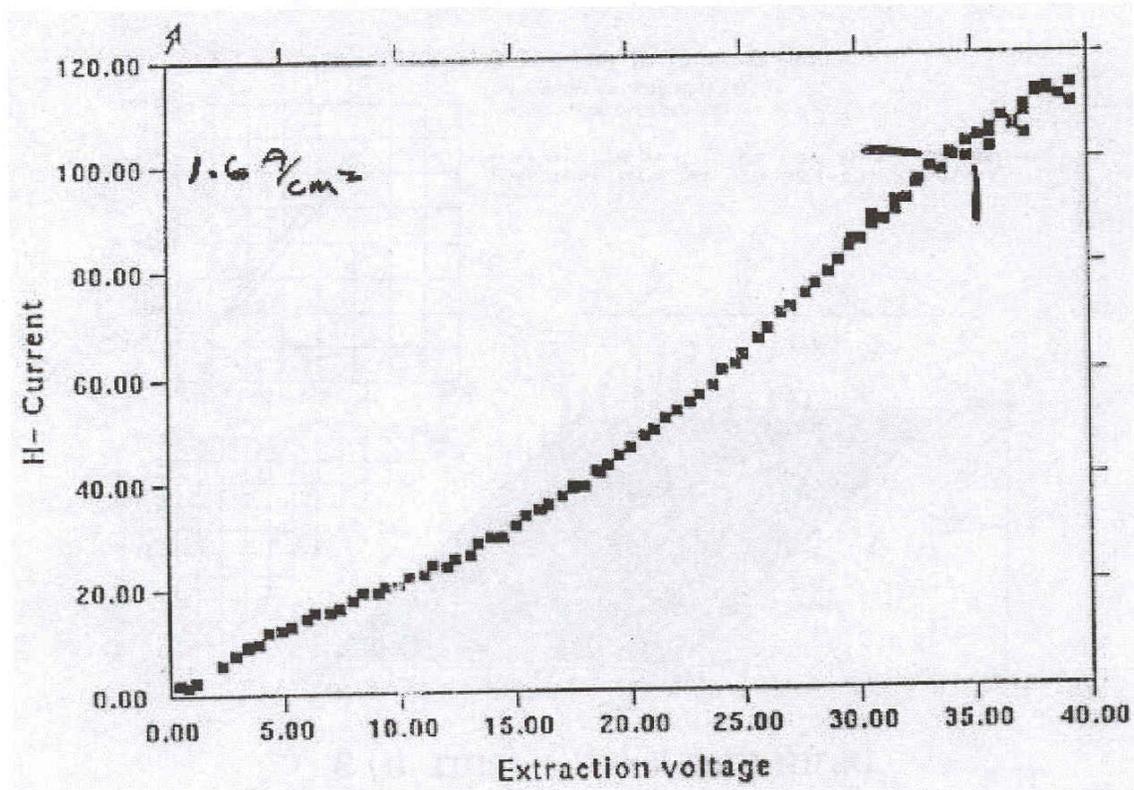
Lifetime, typically 9 months

Very good power efficiency ~ 67 mA/kW

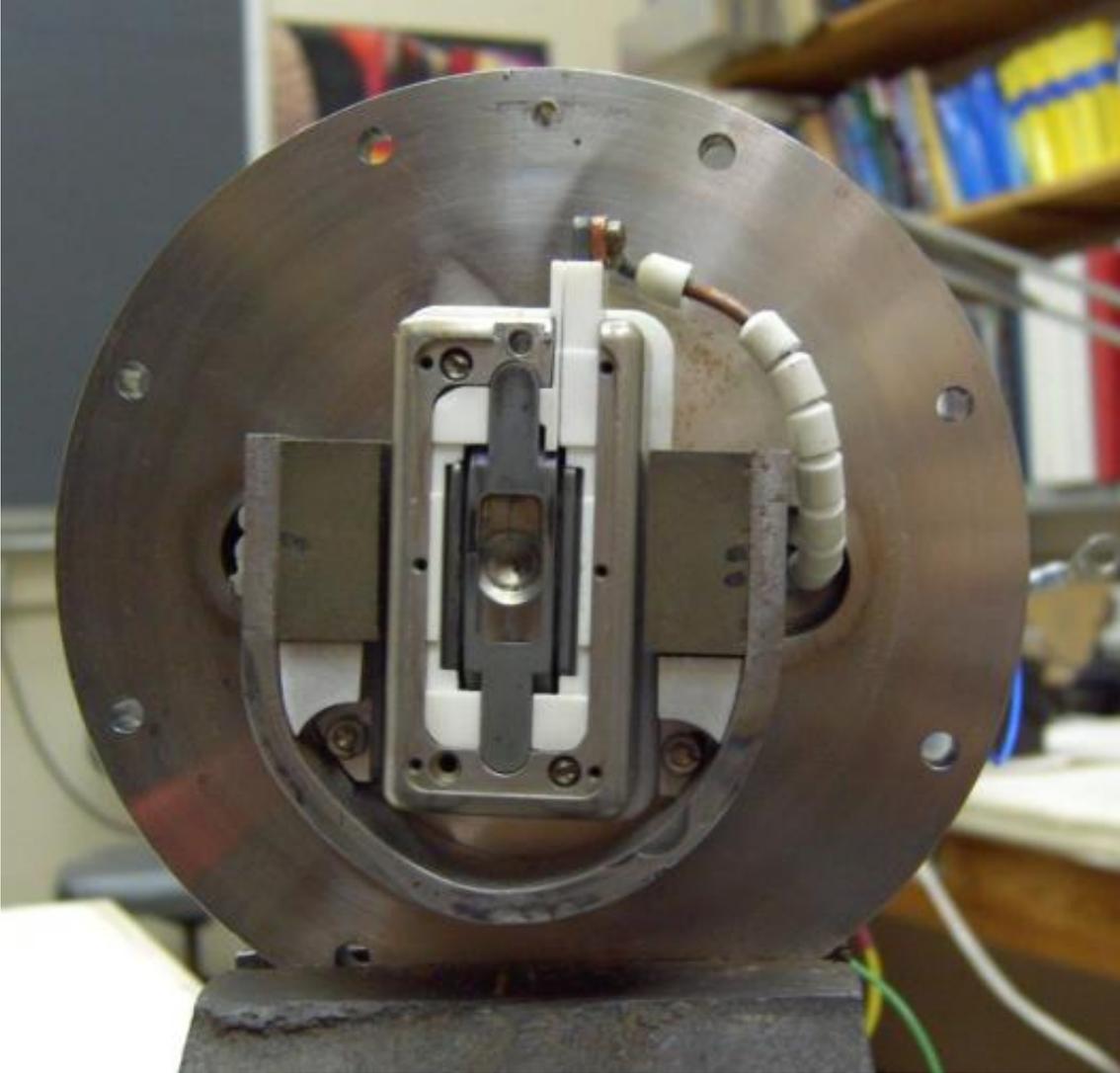
High beam currents ~ 100 mA

H- current	90-100 mA
Extraction Voltage	35 kV
Arc Voltage	140-160 V
Arc Current	8-18 A
Rep Rate	7.5 Hz
Pulse width	700 $\mu$ s
Duty Factor	0.5%
Cs consumption	0.5 mg/hr
Gas Flow	3 sccm
RMS emittance	0.4 $\pi$ mm.mrad (normalized)

## Current vs Extraction Voltage

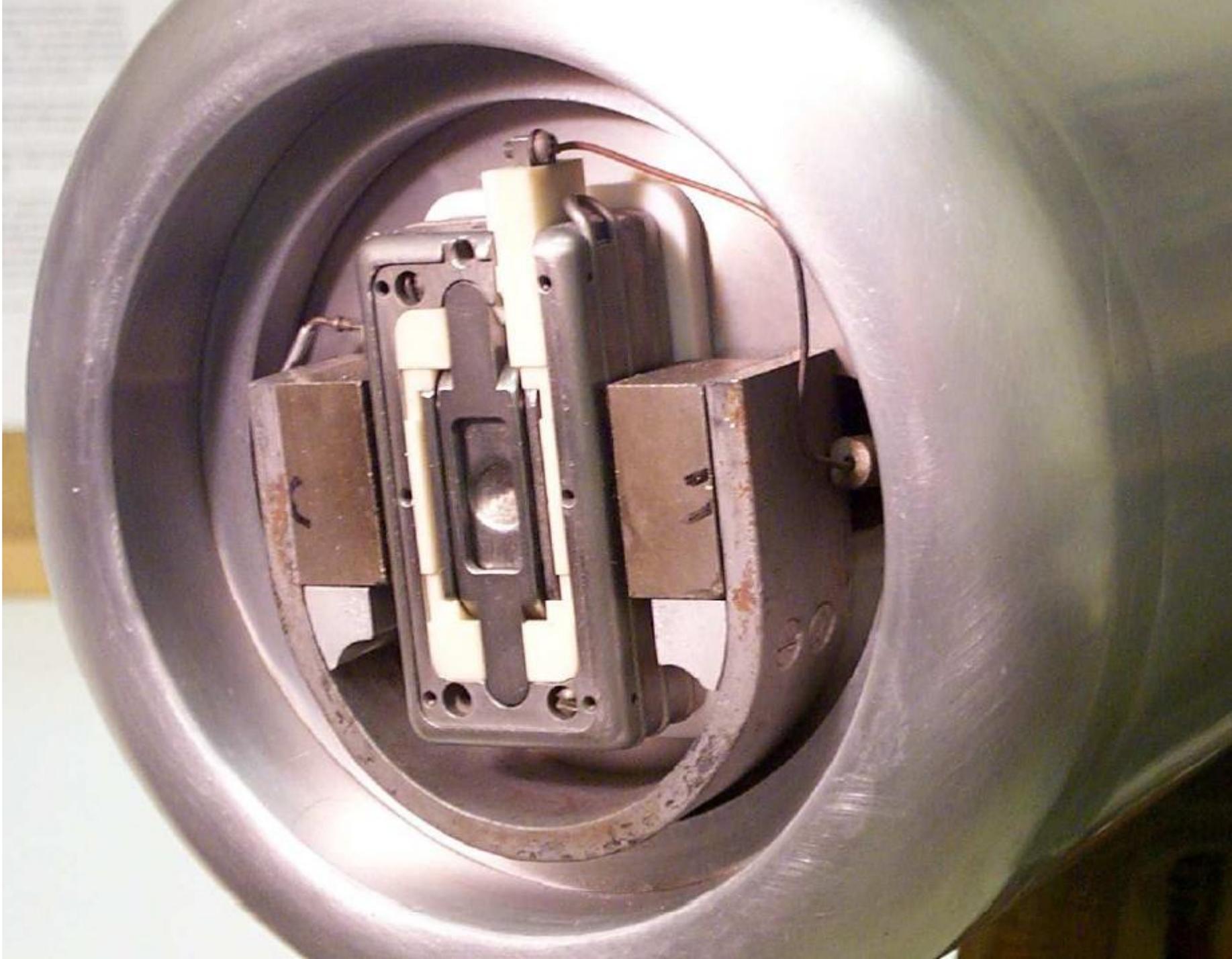


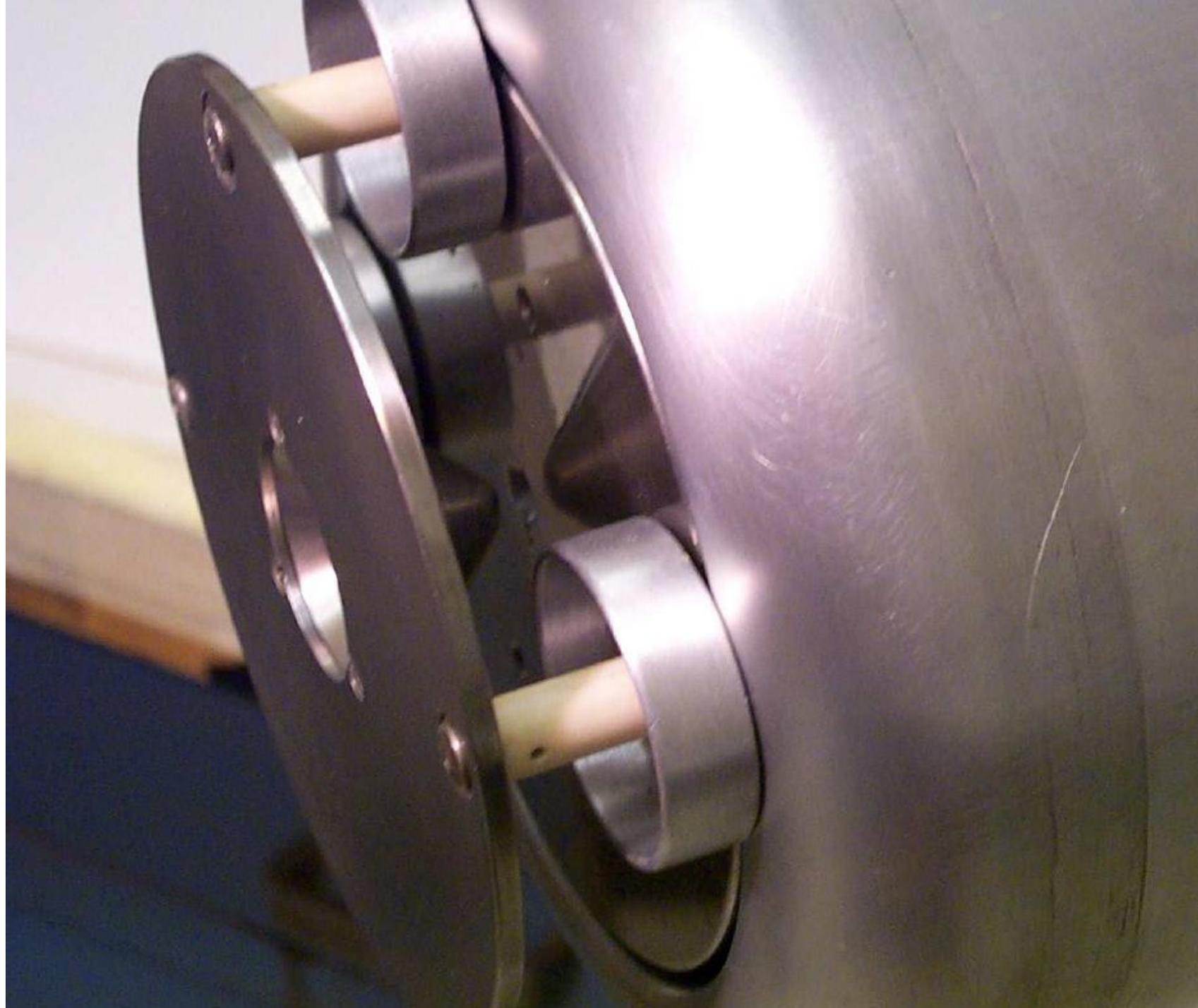
# BNL Magnetron



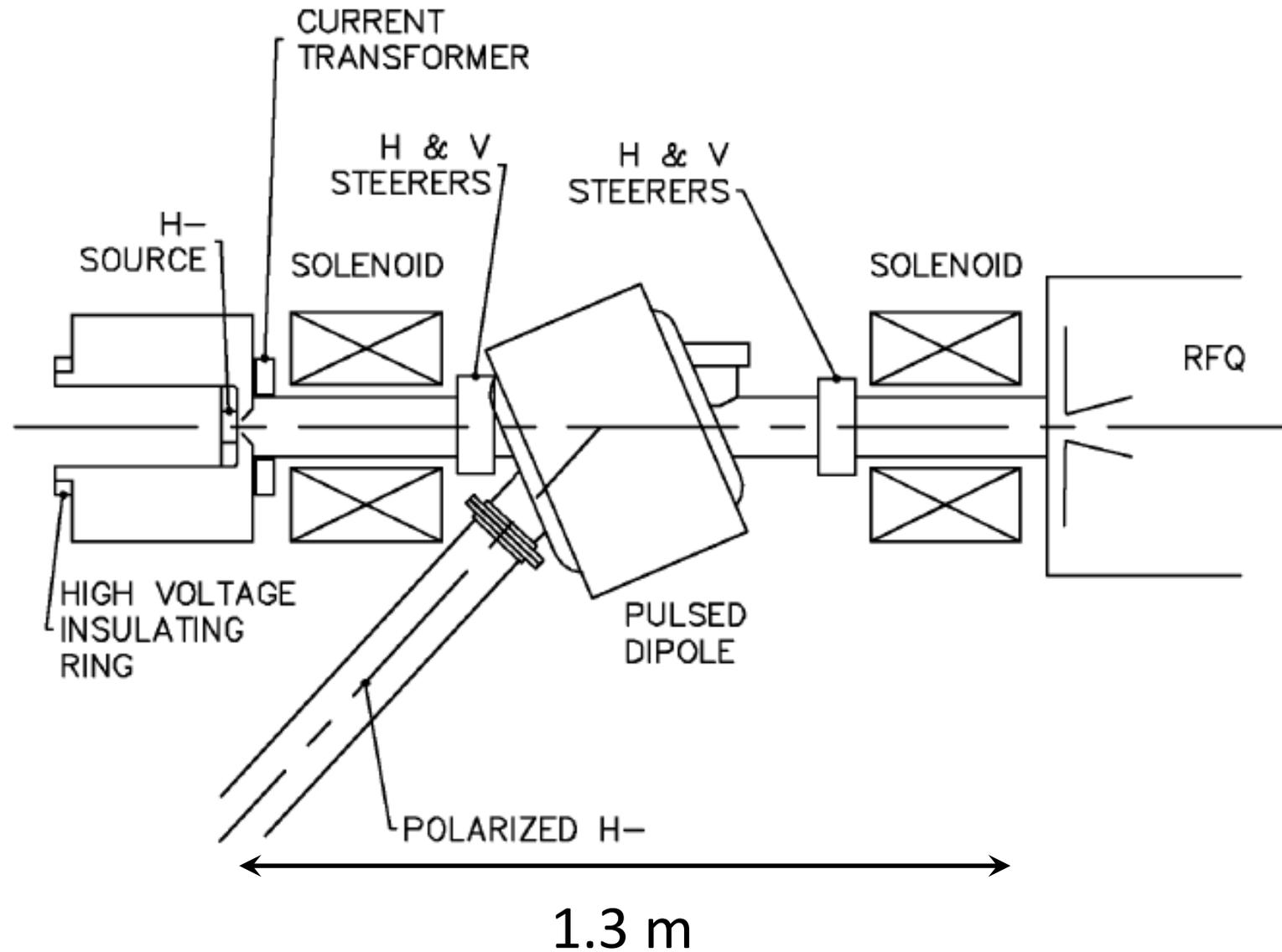
Extraction cone:  
45deg angle  
3.2 mm aperture

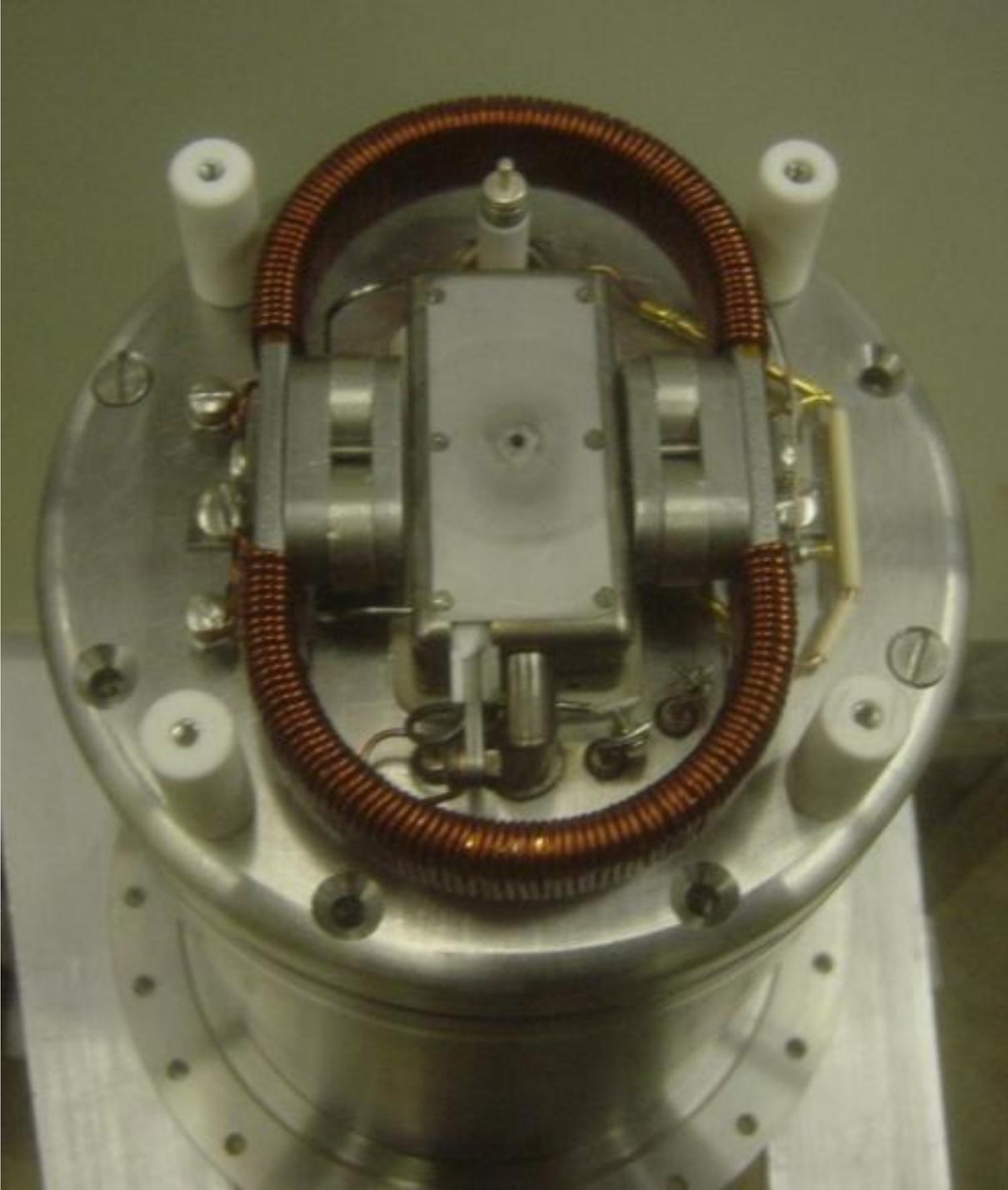




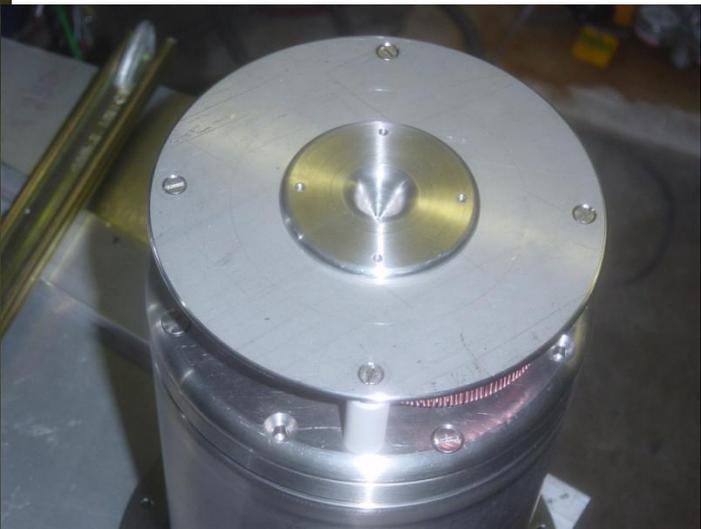


# BNL Magnetron



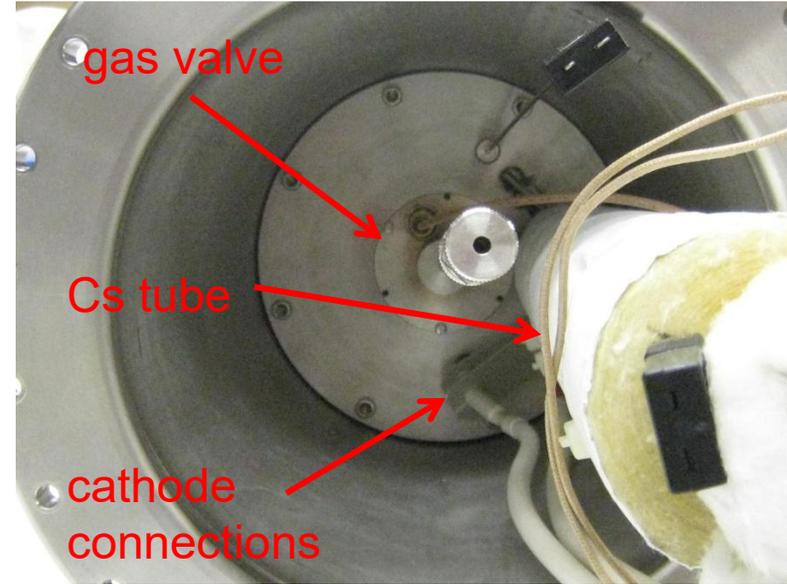
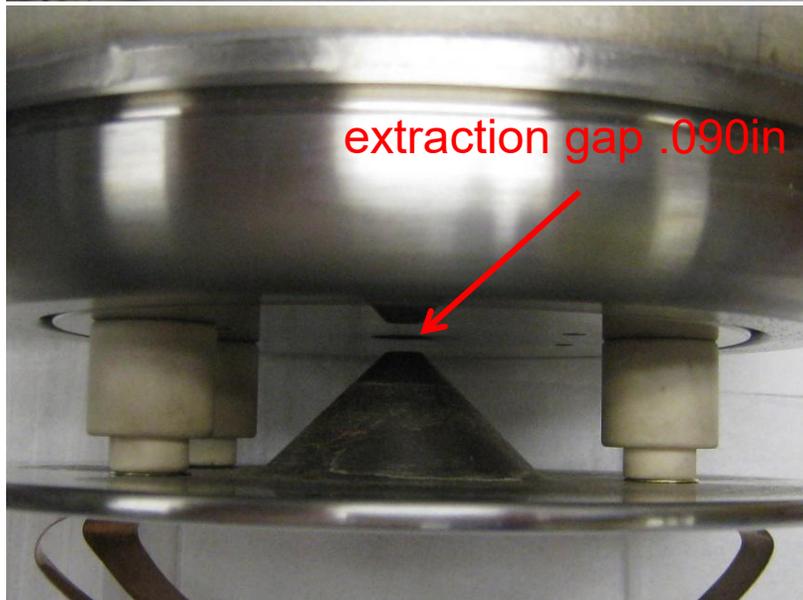
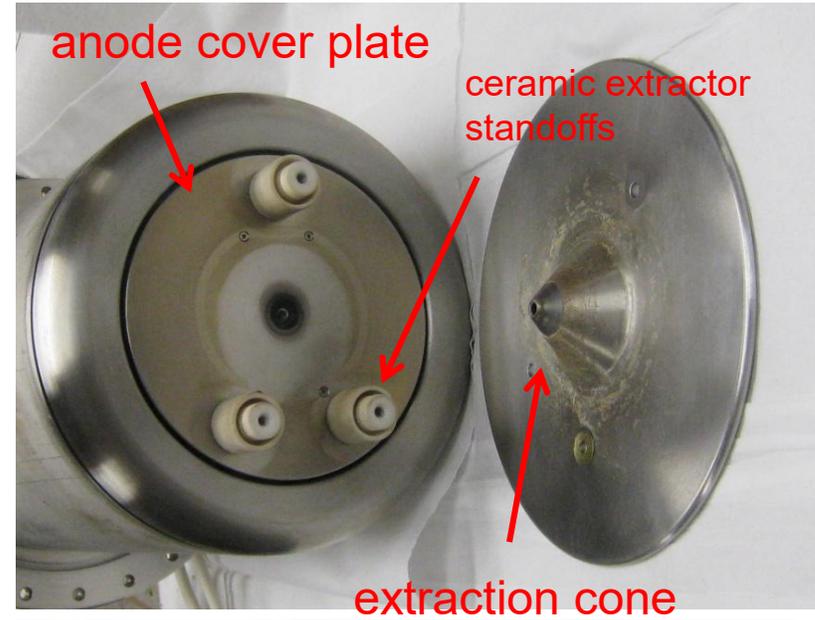
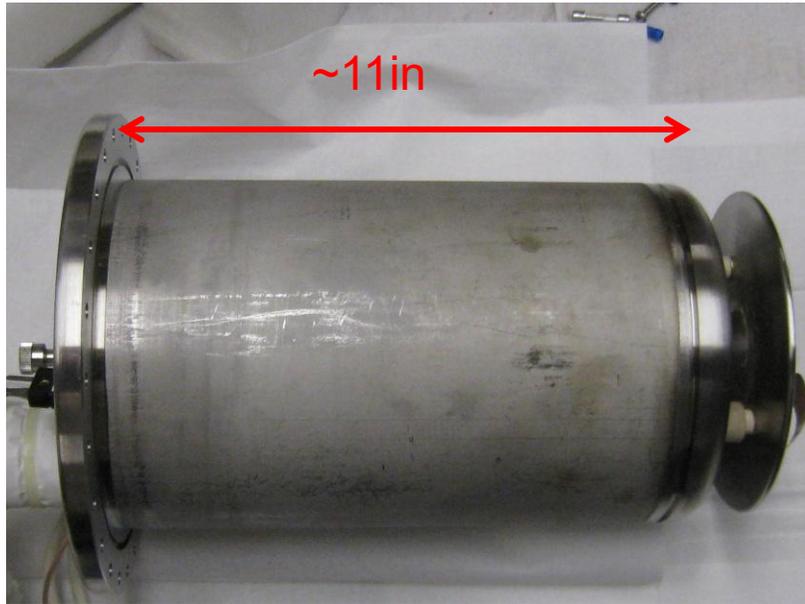


2012  
Fermilab  
Magnetron  
(Based on BNL design)



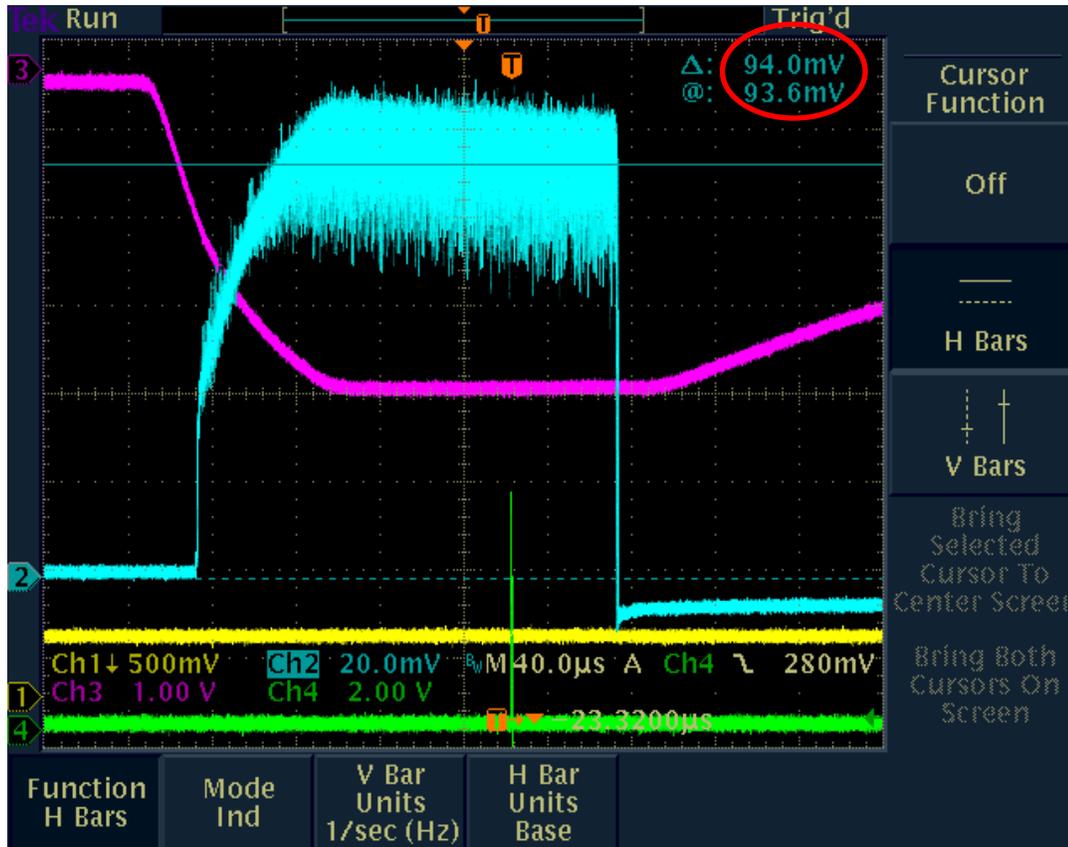


# New Fermilab Magnetron

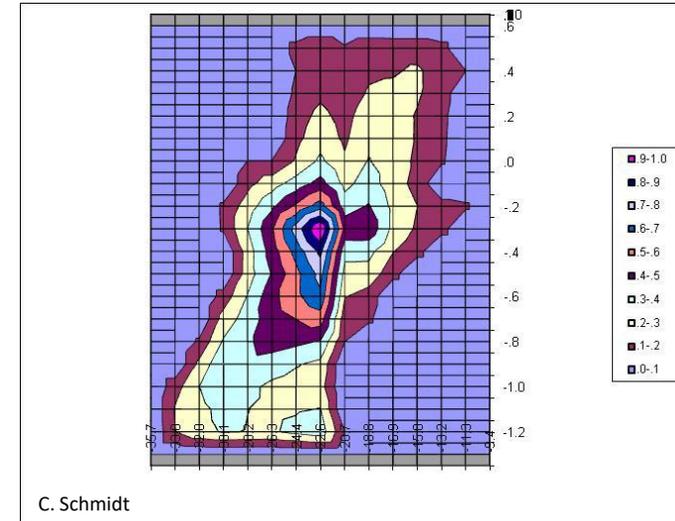


# Fermilab HINS Magnetron

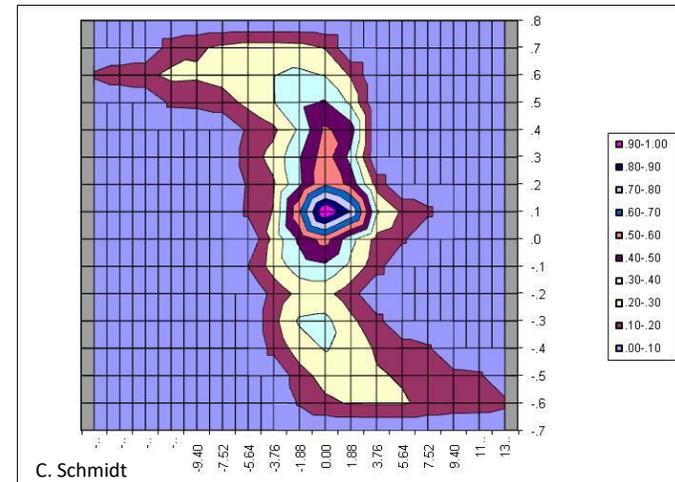
94 mA



Vertical en rms = 0.18 mm.mRad



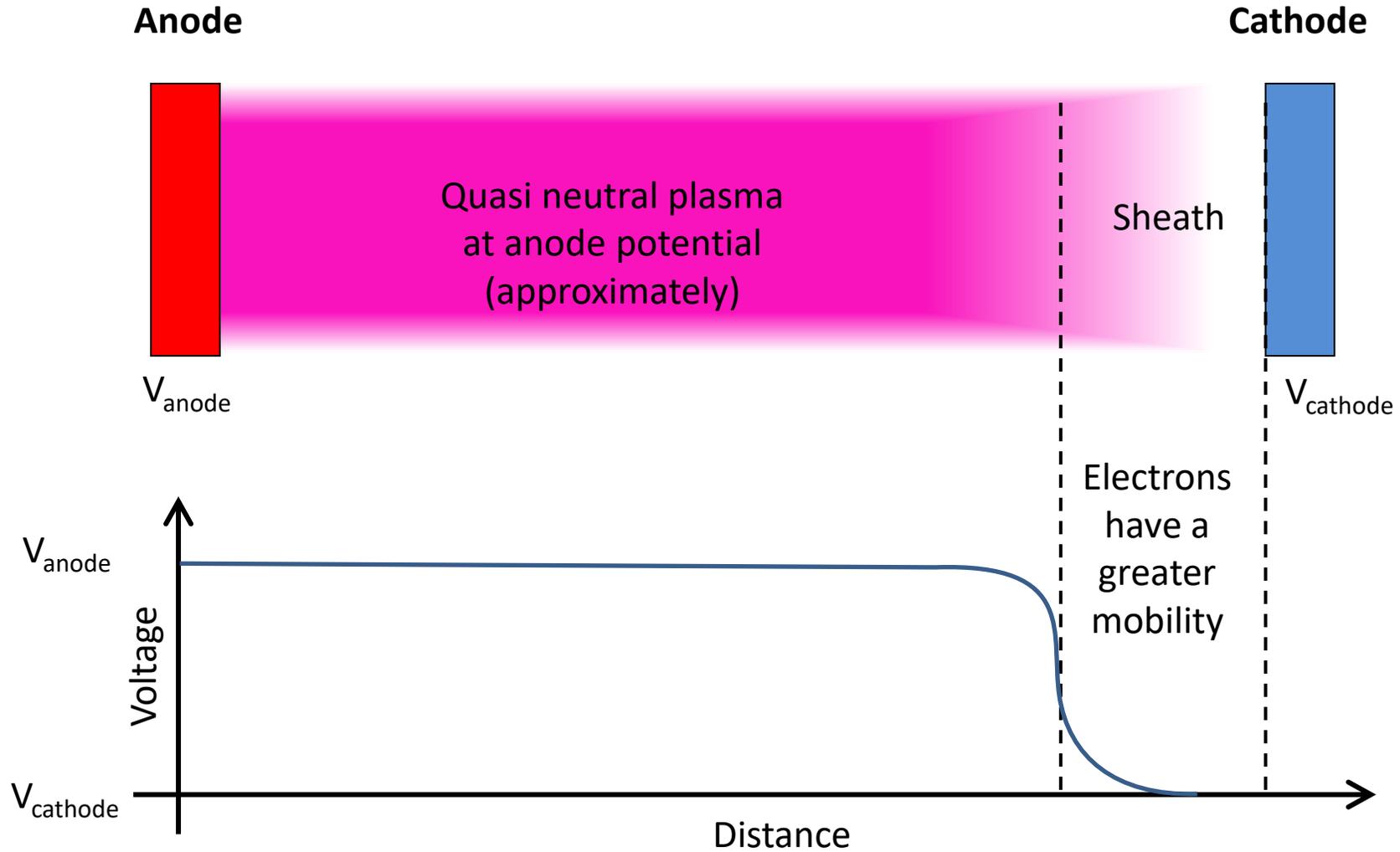
Horizontal en rms = 0.12 mm.mRad

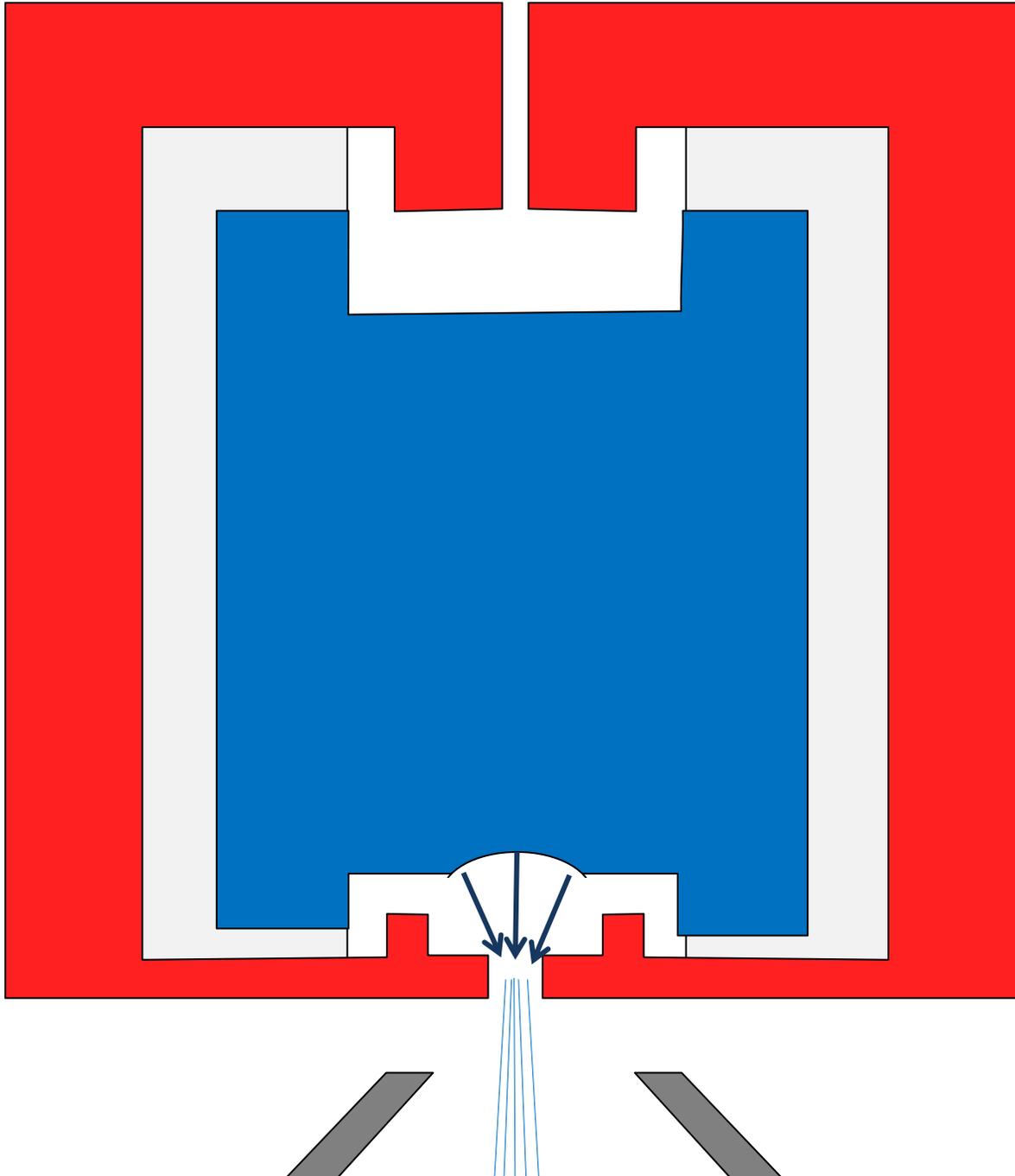


**Magnetrons are noisy!**



# Cathode Sheath



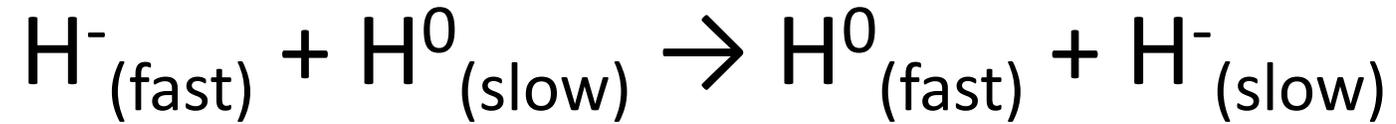


# Magnetron Source

$H^-$  produced on the cathode surface are accelerated by the cathode plasma sheath towards the extraction aperture

# Resonant Charge Exchange

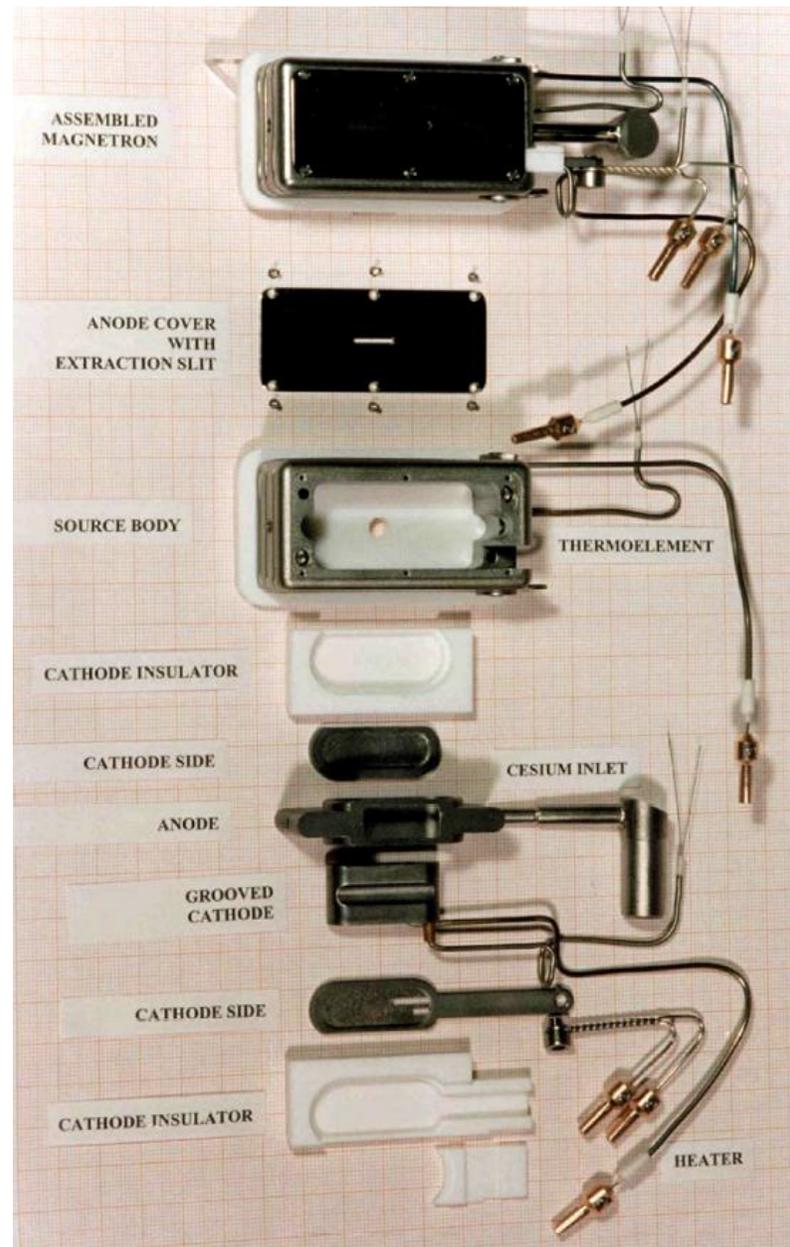
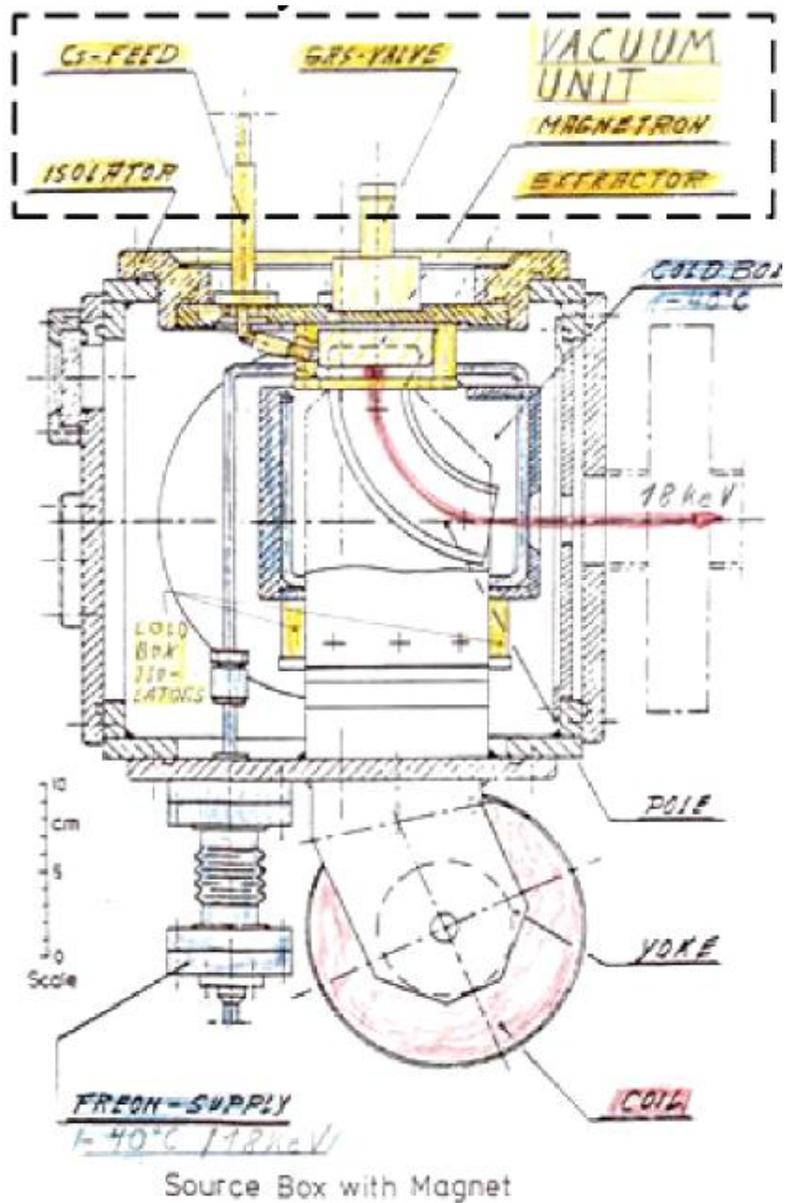
Leaving slow H<sup>-</sup>



Slow thermal H<sup>0</sup> produced in  
the plasma ( $\approx 0.1$  eV)

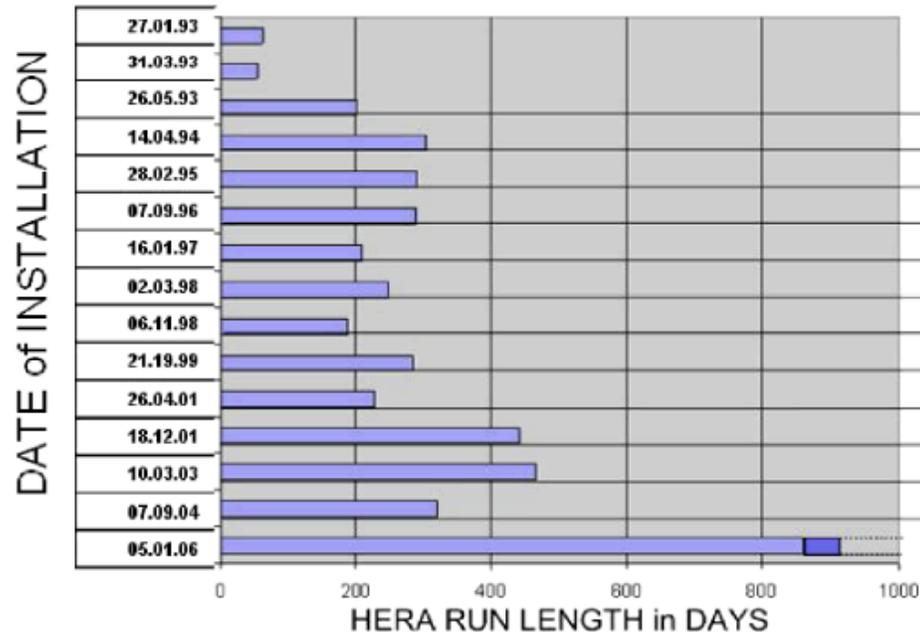
Can undergo resonant charge exchange with fast  
H<sup>-</sup> ( $\approx 80$  eV) produced at the cathode surfaces

# DESY HERA Magnetron Source



# DESY HERA Magnetron Source

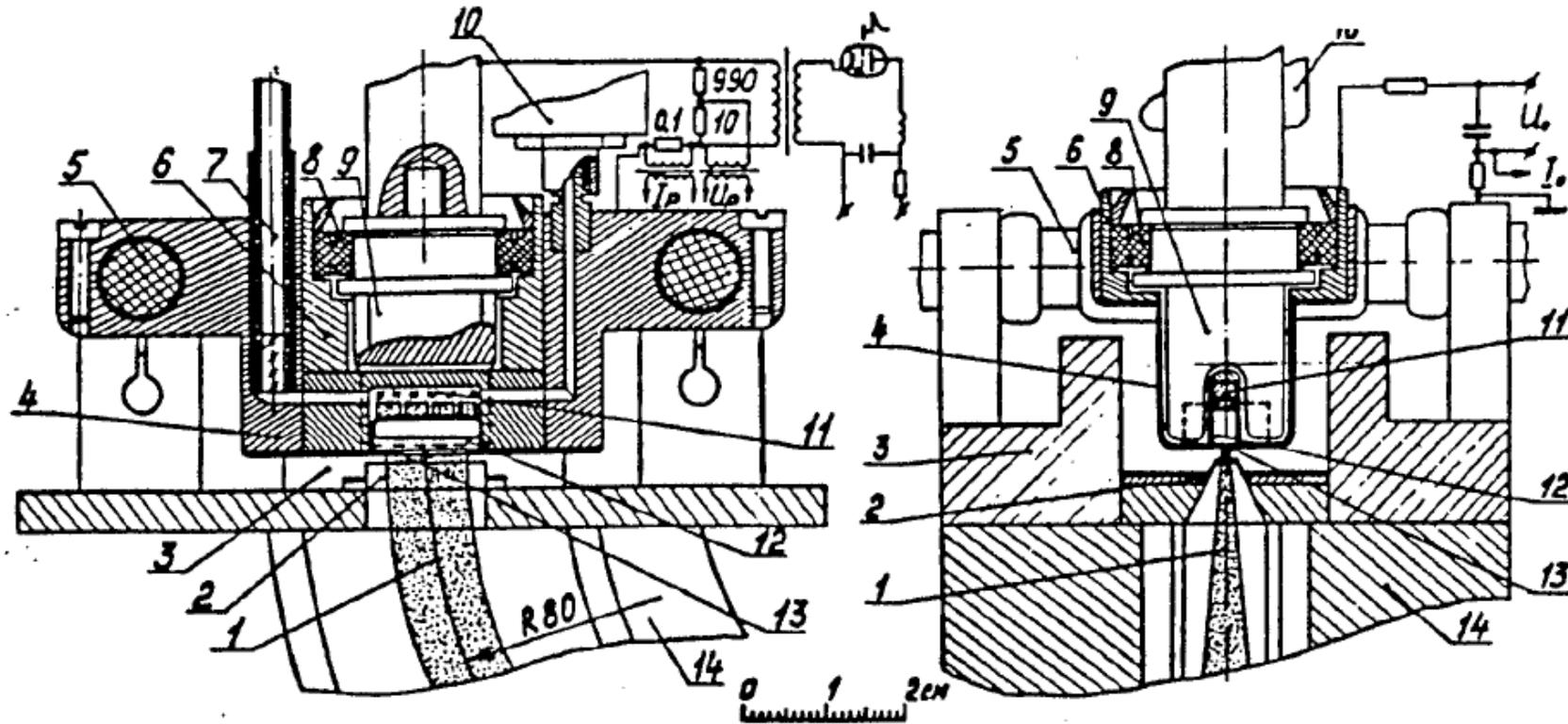
beam energy	18 keV	arc voltage	140 V
H <sup>-</sup> beam current	60 mA	arc current	47 A
emittance		arc pulse width	75 μsec
$\epsilon_{x \text{ rms, norm}} (\epsilon_{x \text{ 90\%, norm}})$ ( 35mA beam )	0.28(1.35) $\pi$ mm mrad	extraction repetition rate	1/4 Hz -1Hz
$\epsilon_{y \text{ rms, norm}} (\epsilon_{y \text{ 90\%, norm}})$ ( 35mA beam )	0.25(0.81) $\pi$ mm mrad	magnetron repetition rate	1/4 Hz / 6.25 Hz
cathode temperature	249 °C	Cs boiler temperature	70 °C
anode temperature	147 °C	Cs consumption	3mg /day-0.5mg/day
		6 Hz magnetron repetition	



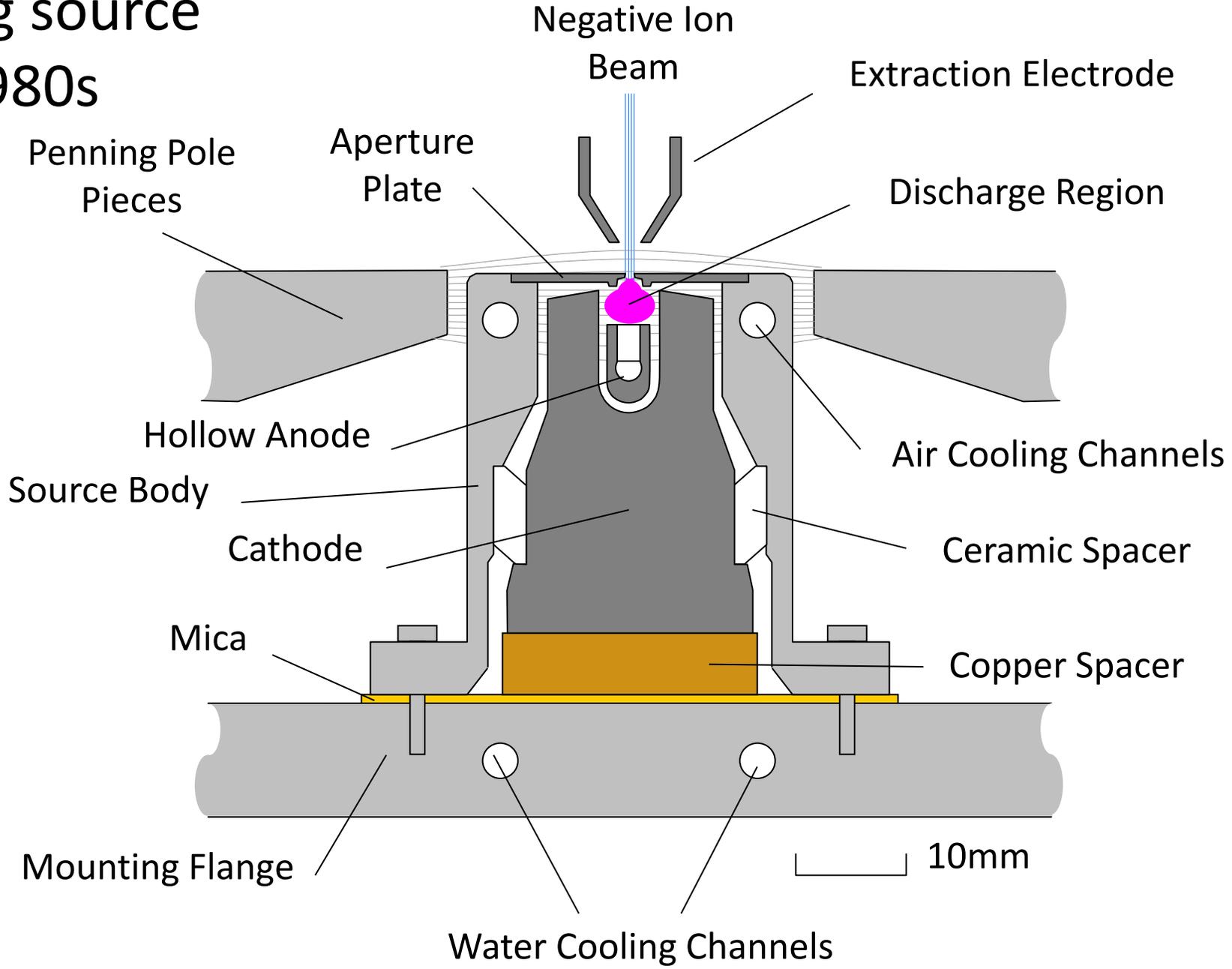
Almost Three Years!

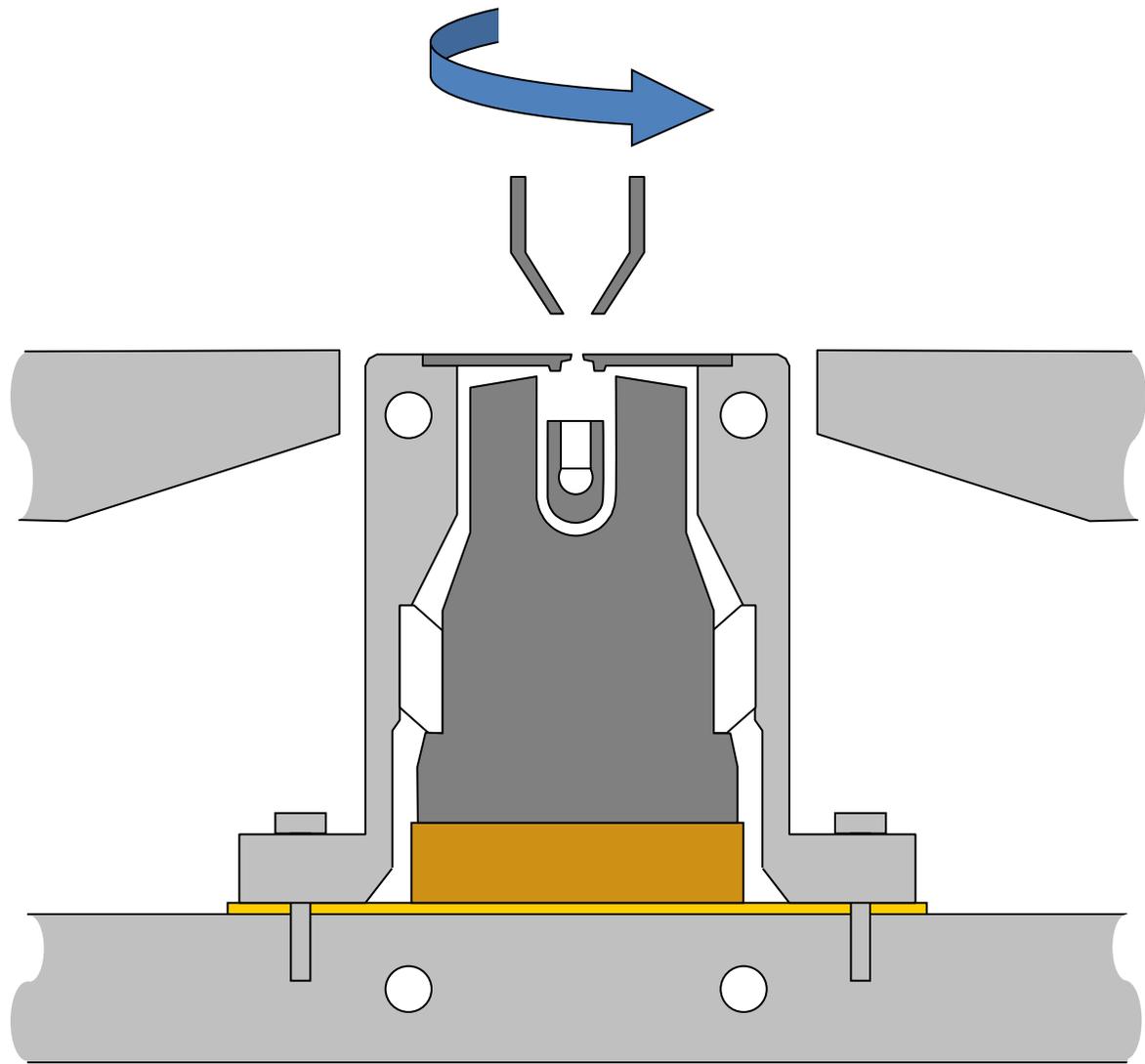
# Penning SPS Ion Sources

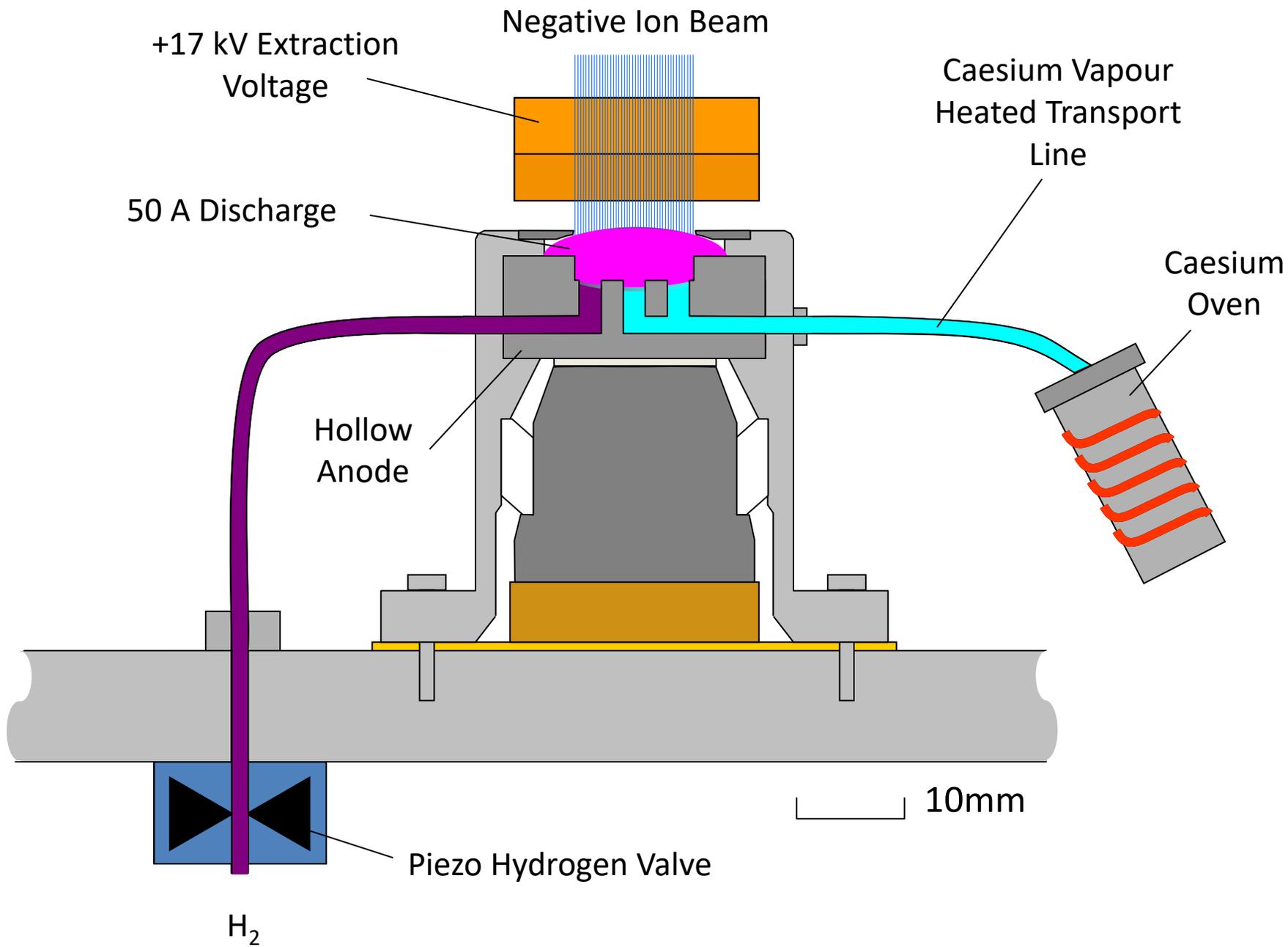
- Invented by Dudnikov in the 1970's
- Low noise

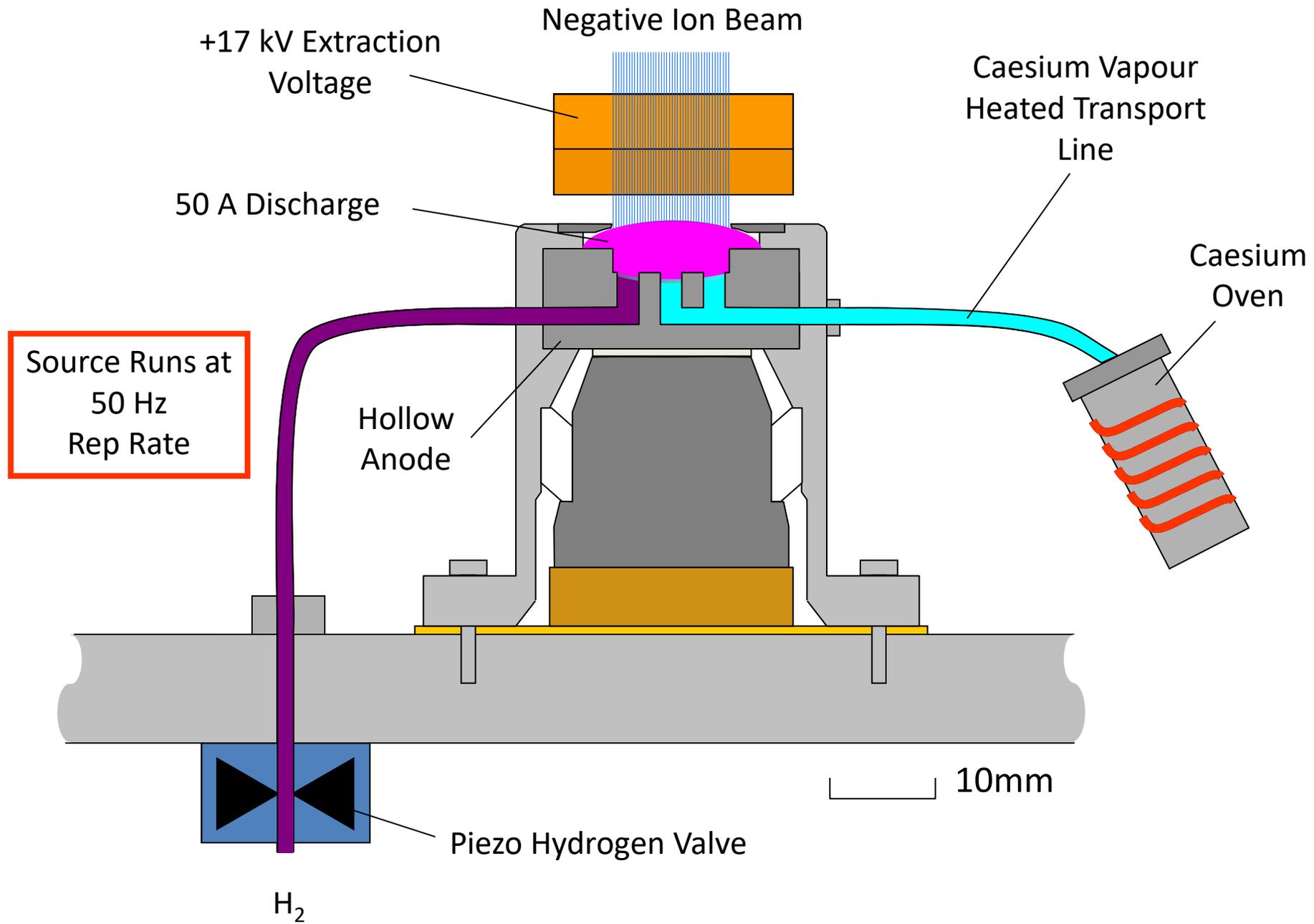


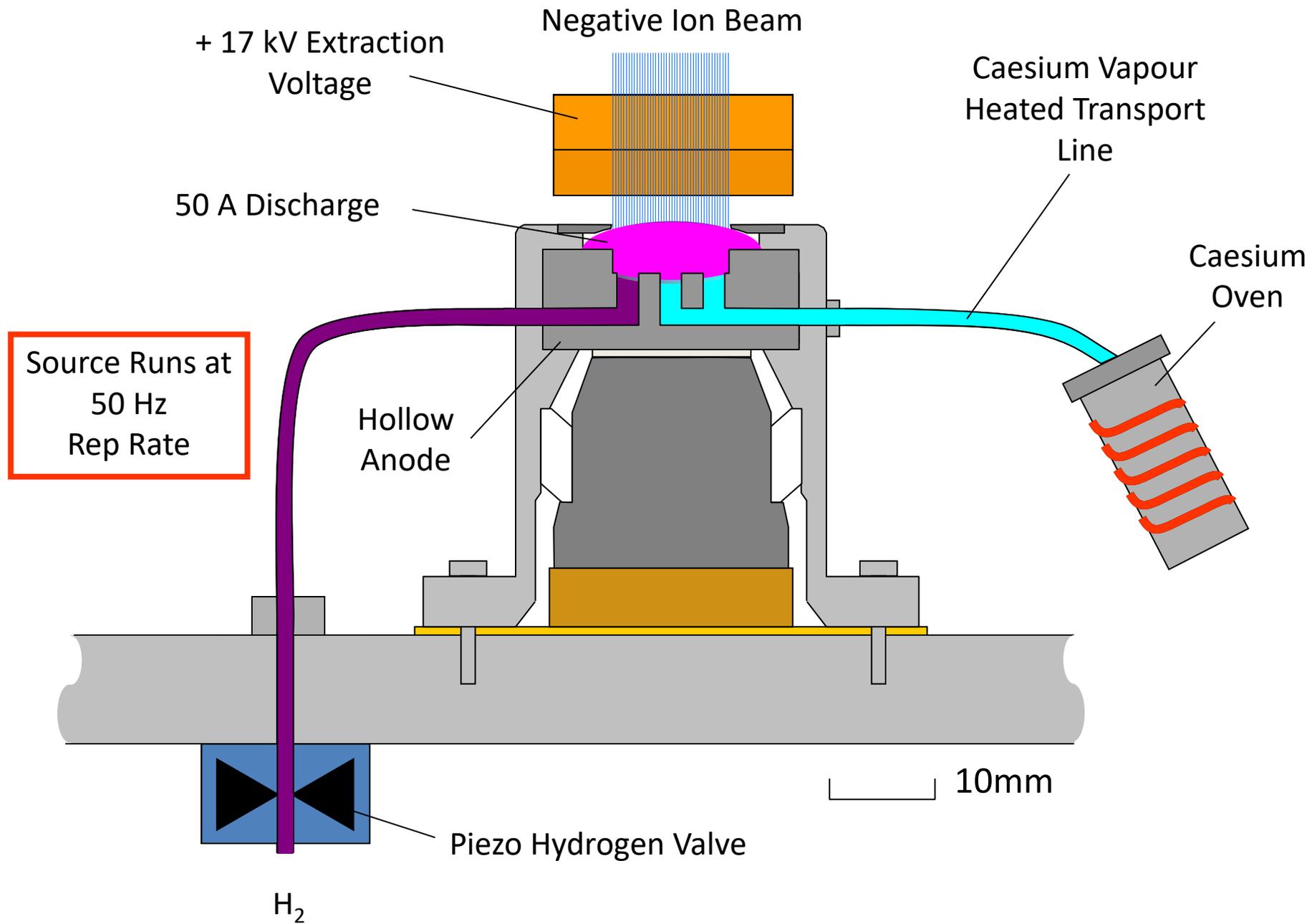
# ISIS Penning source early 1980s



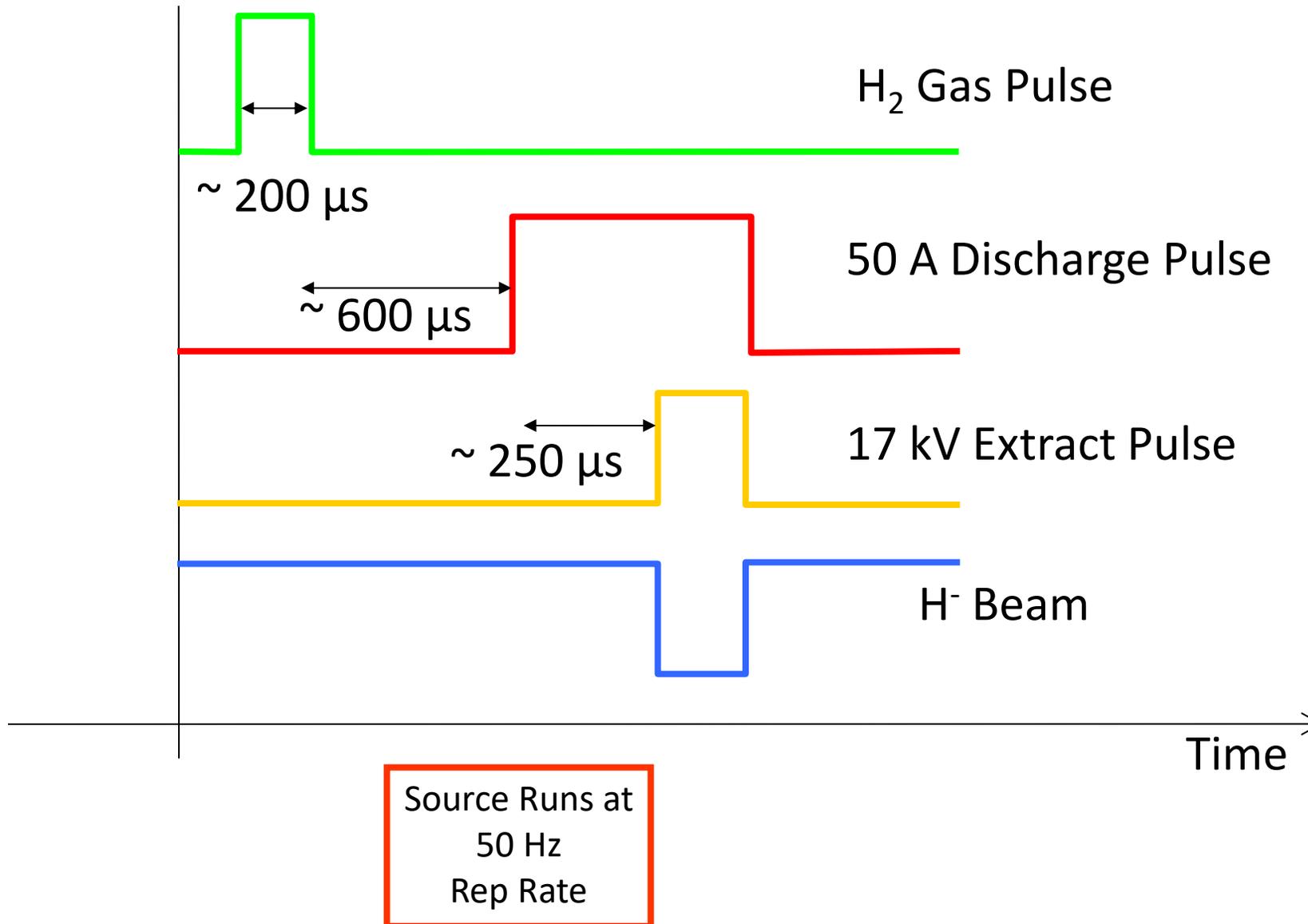


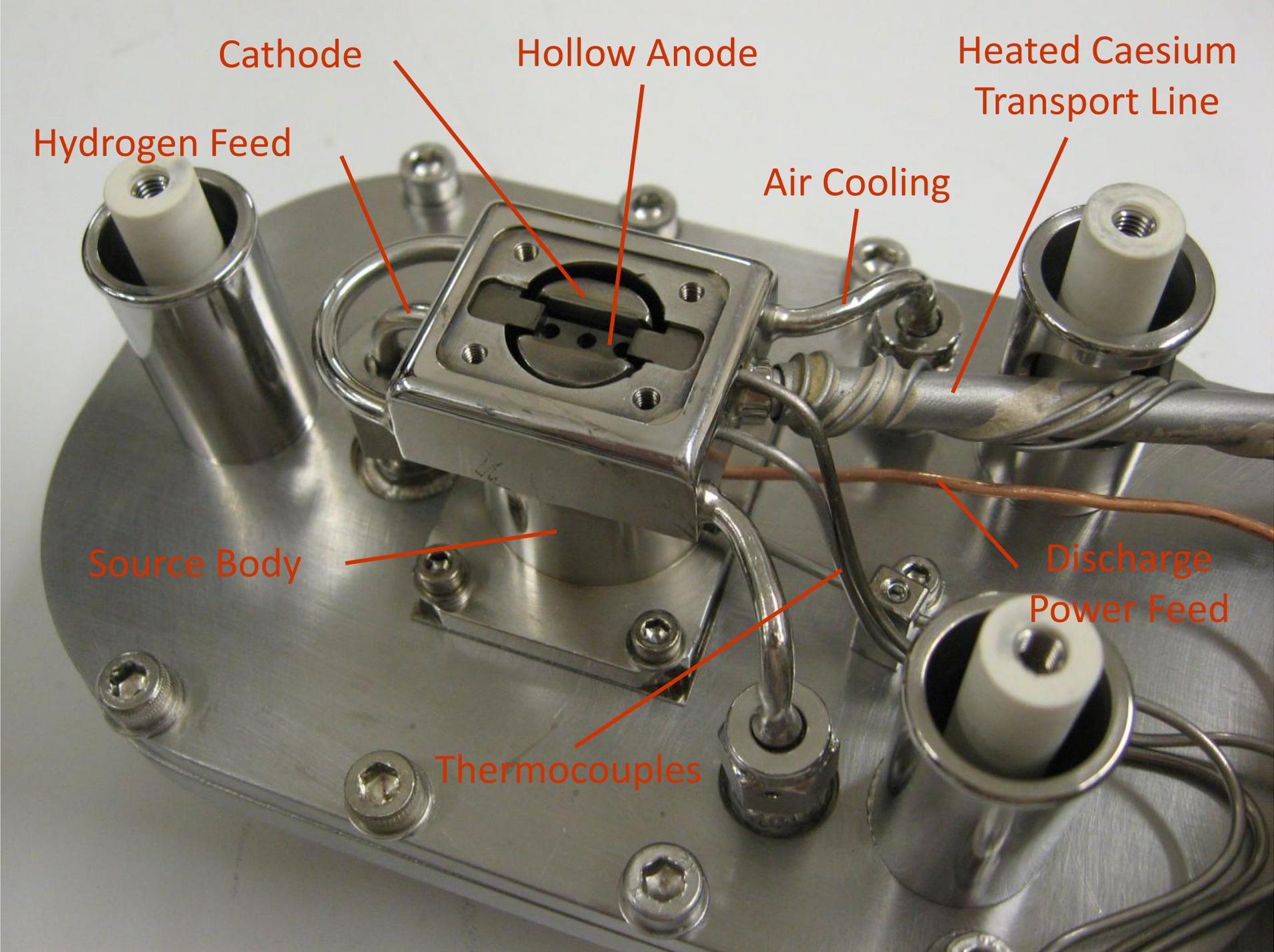






# Timing





Cathode

Hollow Anode

Heated Caesium  
Transport Line

Hydrogen Feed

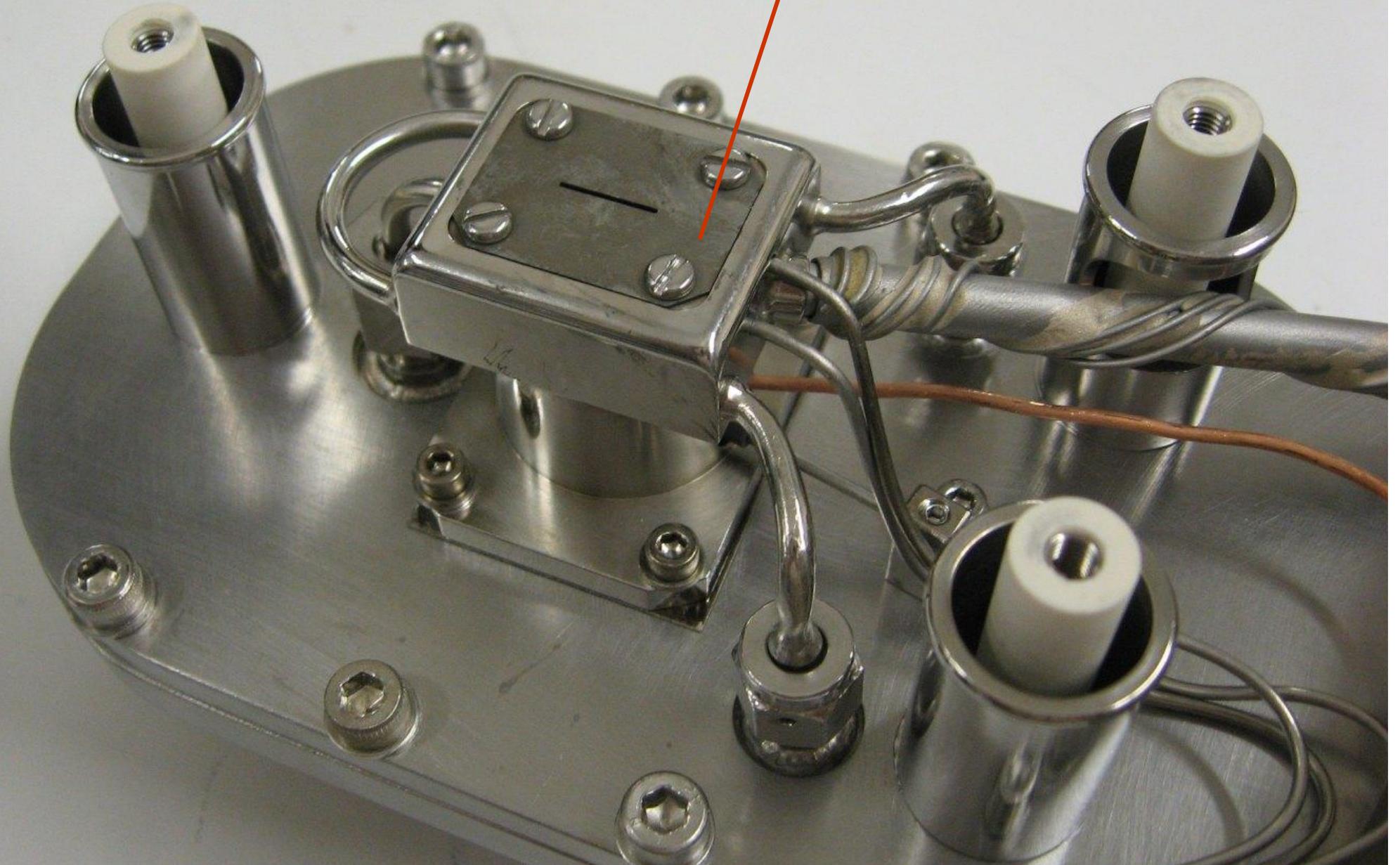
Air Cooling

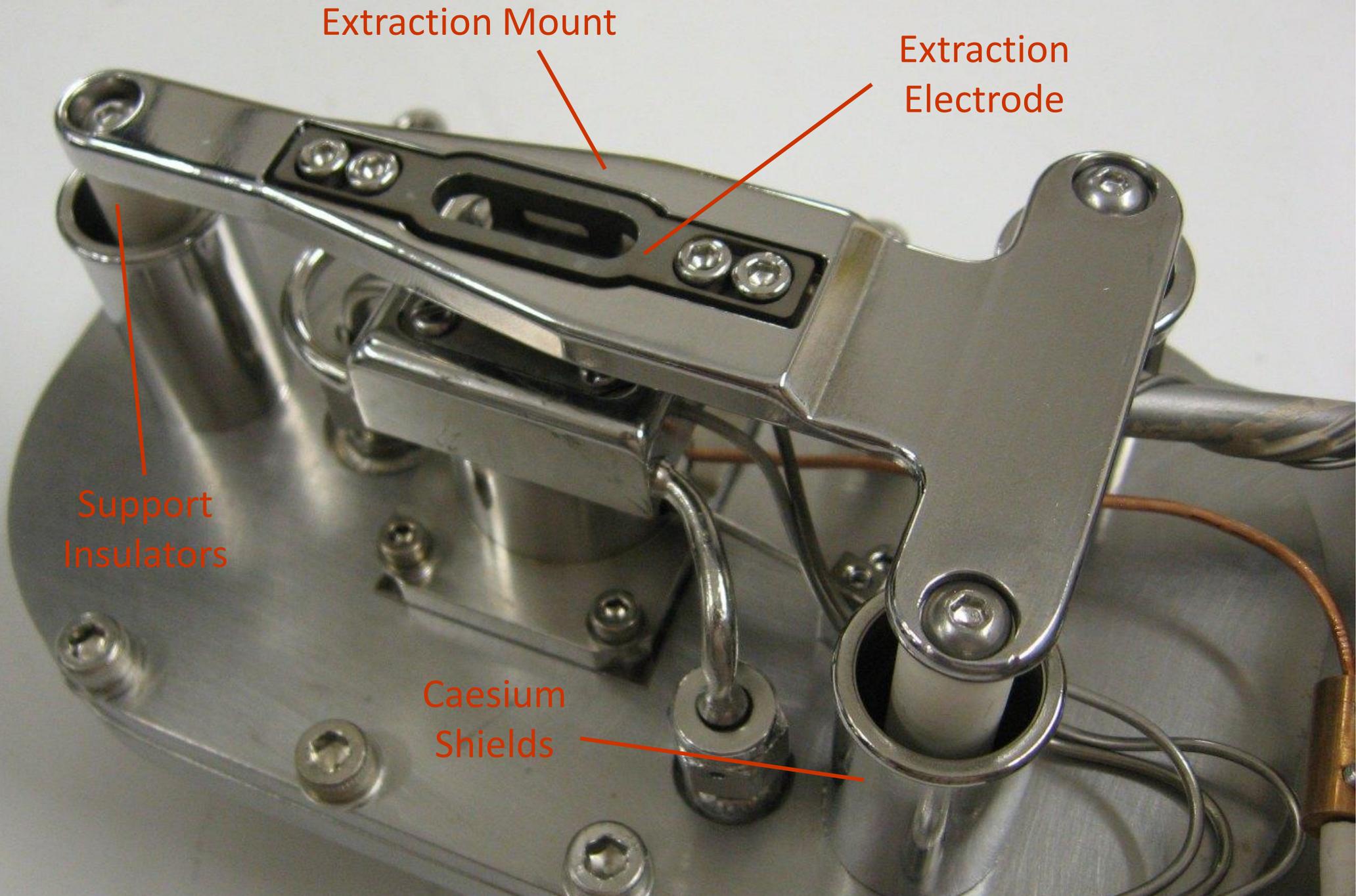
Source Body

Discharge  
Power Feed

Thermocouples

Aperture Plate



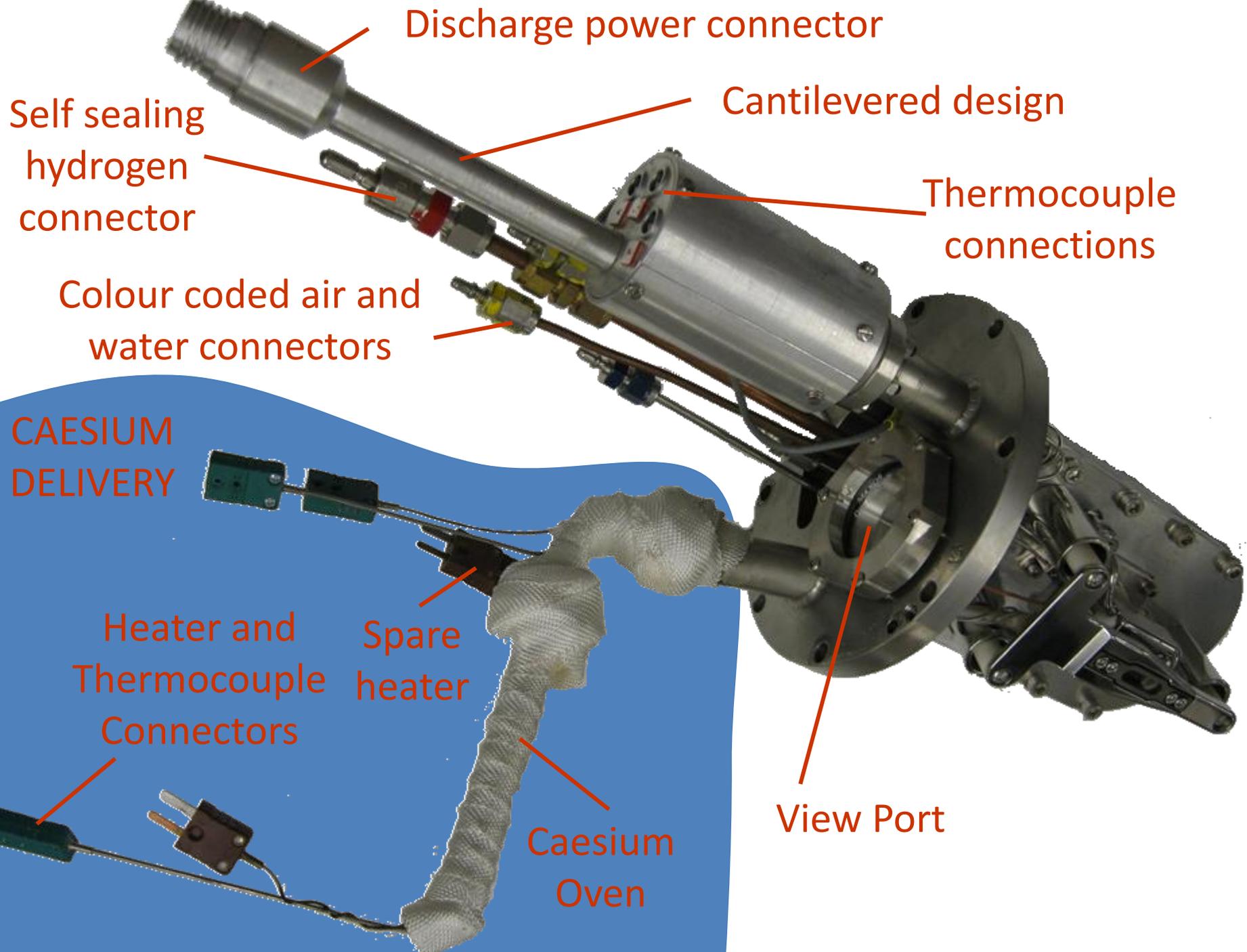


Extraction Mount

Extraction  
Electrode

Support  
Insulators

Caesium  
Shields



Discharge power connector

Self sealing hydrogen connector

Cantilevered design

Thermocouple connections

Colour coded air and water connectors

CAESIUM DELIVERY

Heater and Thermocouple Connectors

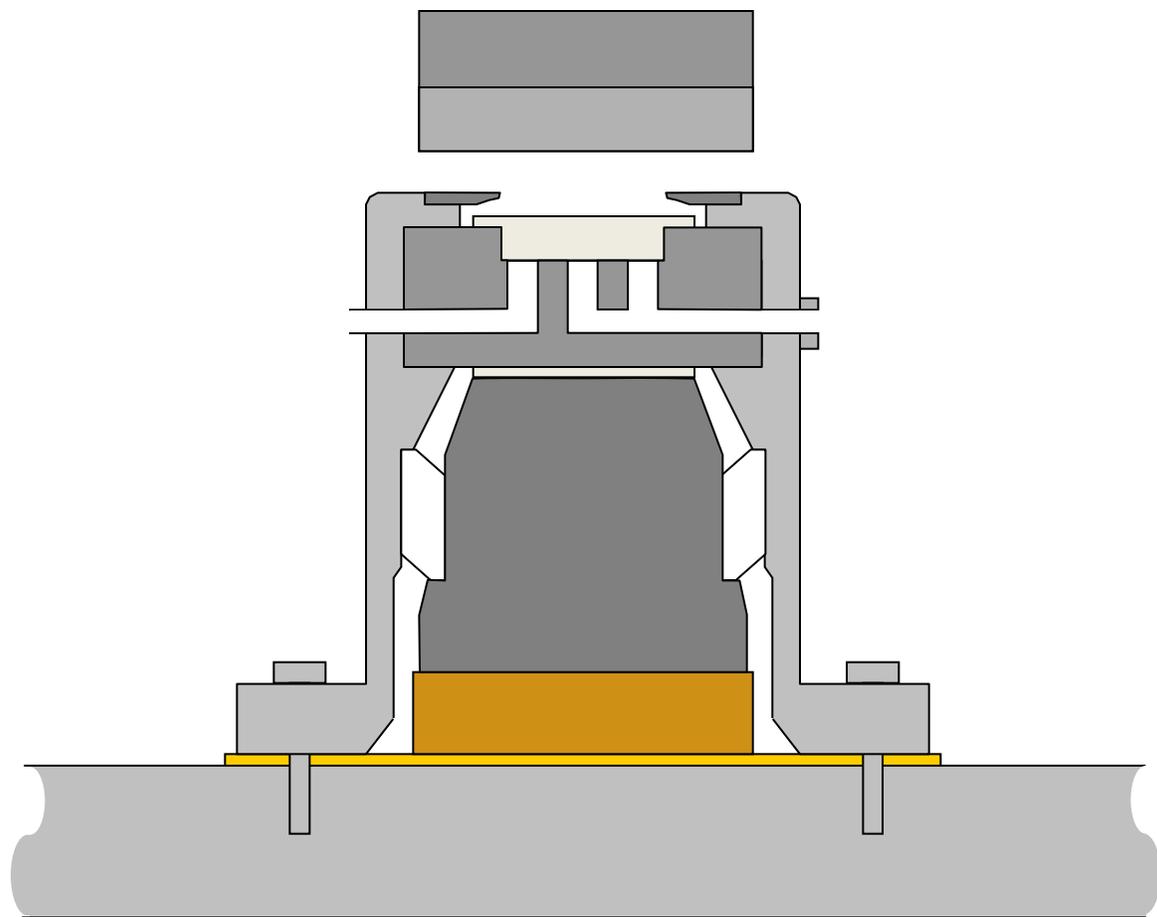
Spare heater

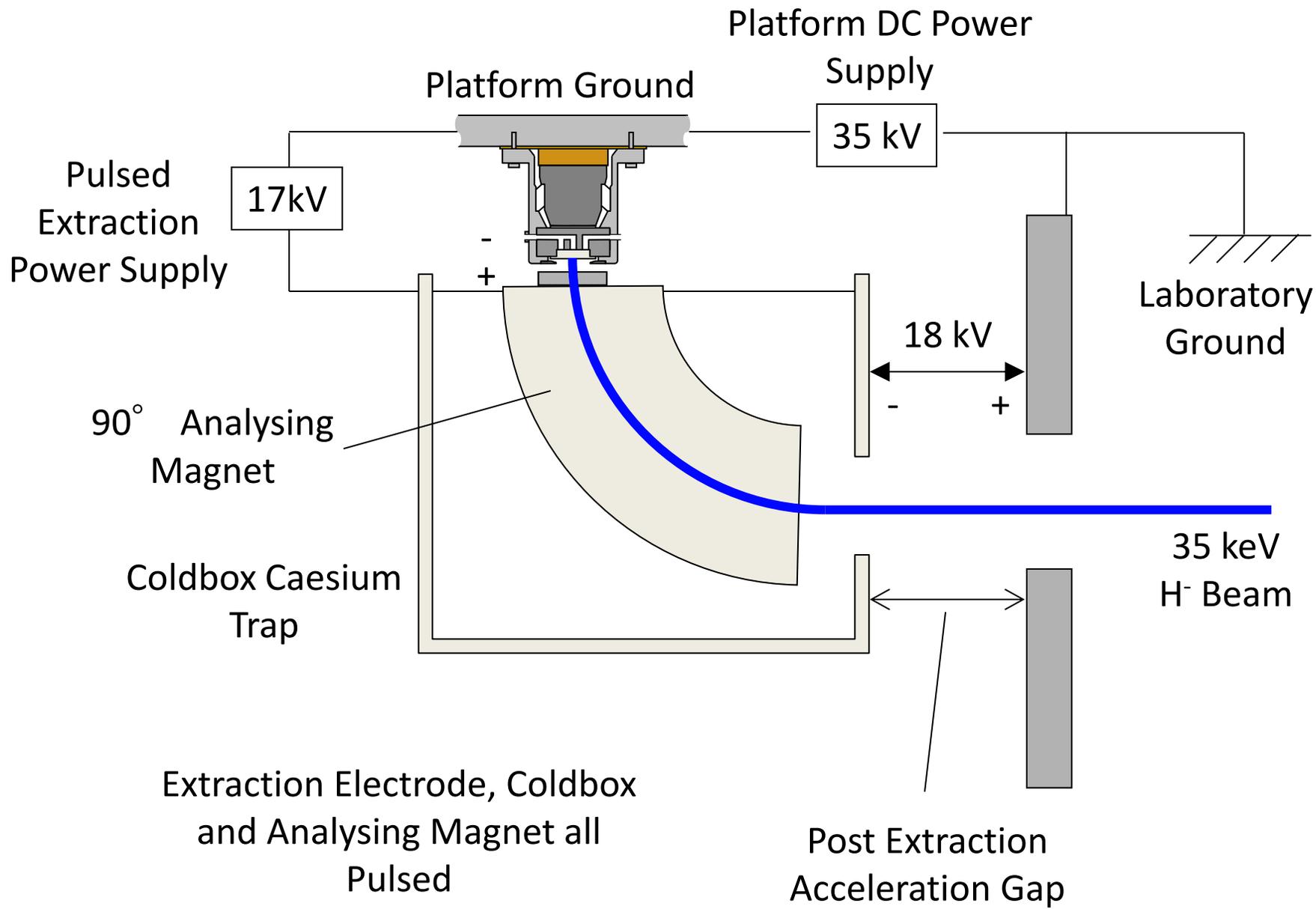
Caesium Oven

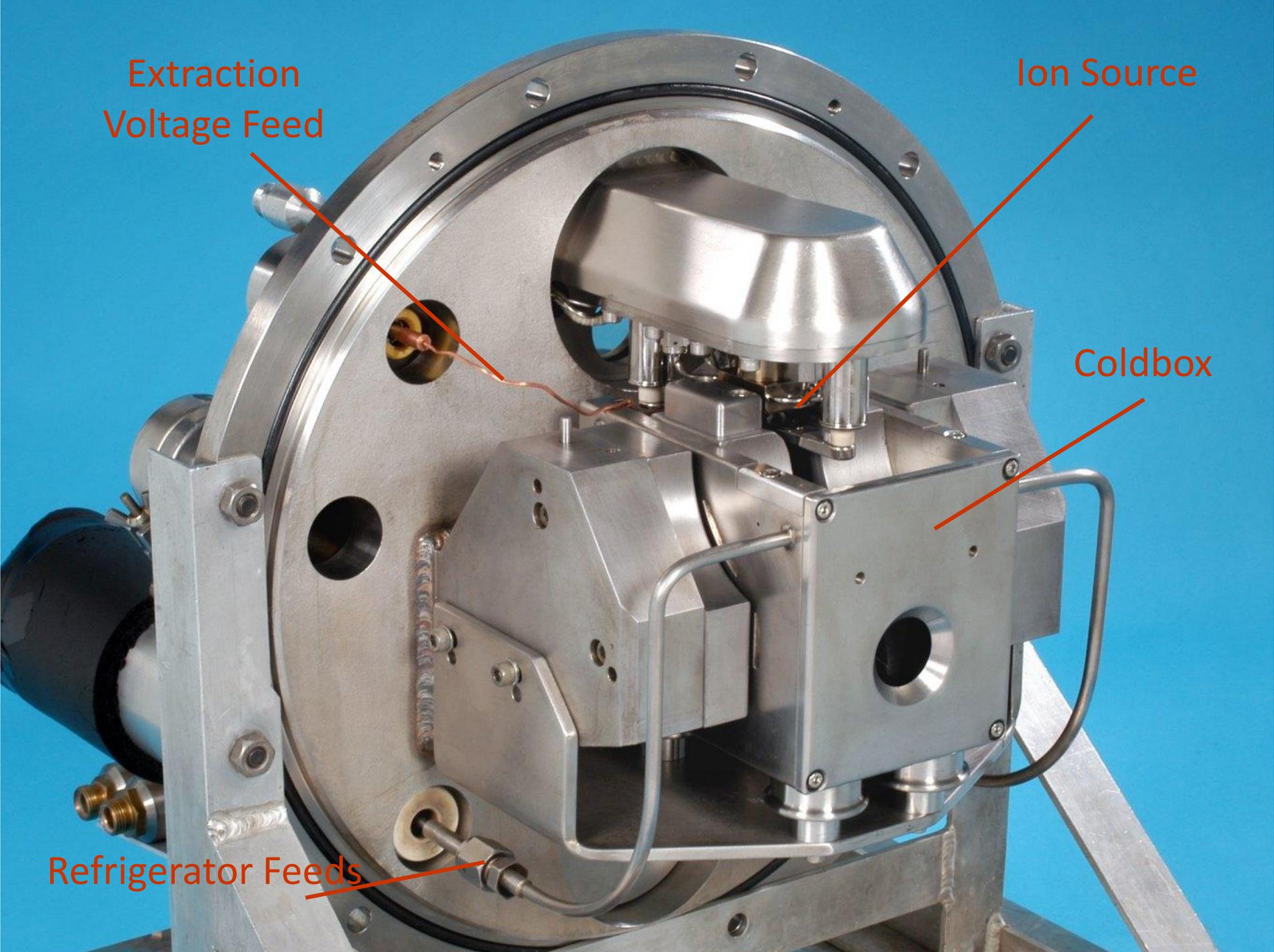
View Port

Caesium Oven







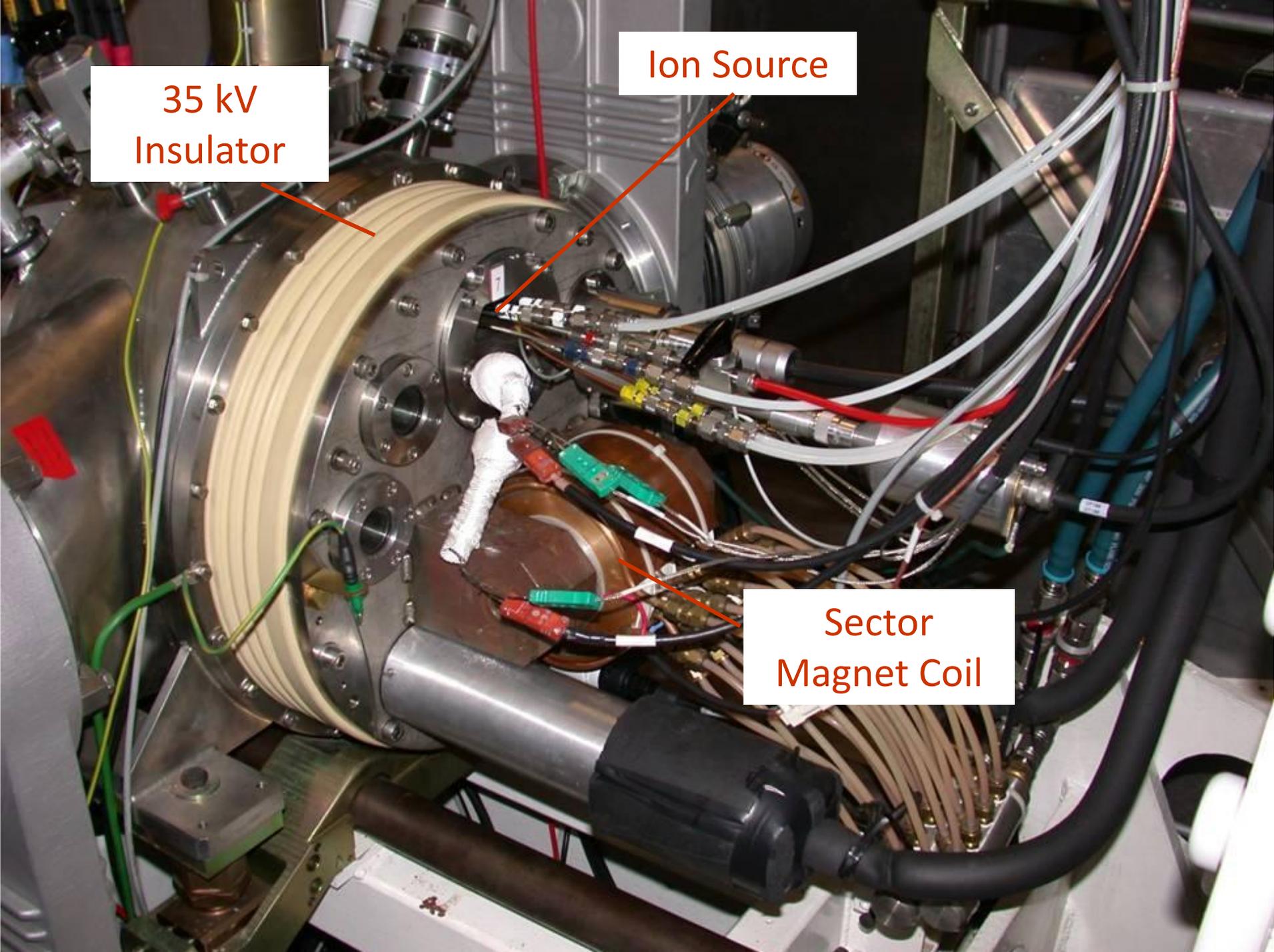


Extraction  
Voltage Feed

Ion Source

Coldbox

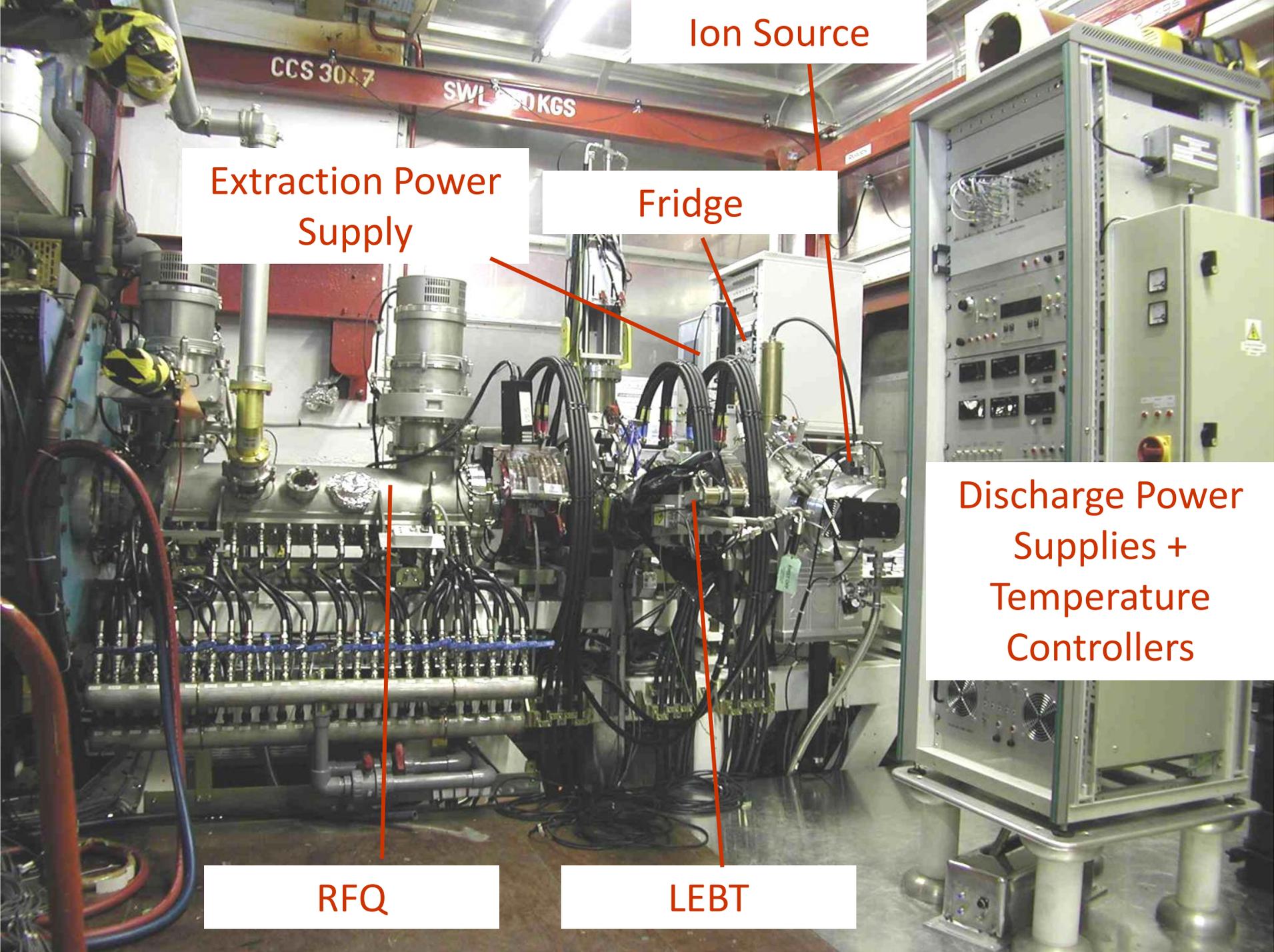
Refrigerator Feeds



35 kV  
Insulator

Ion Source

Sector  
Magnet Coil



Ion Source

Extraction Power Supply

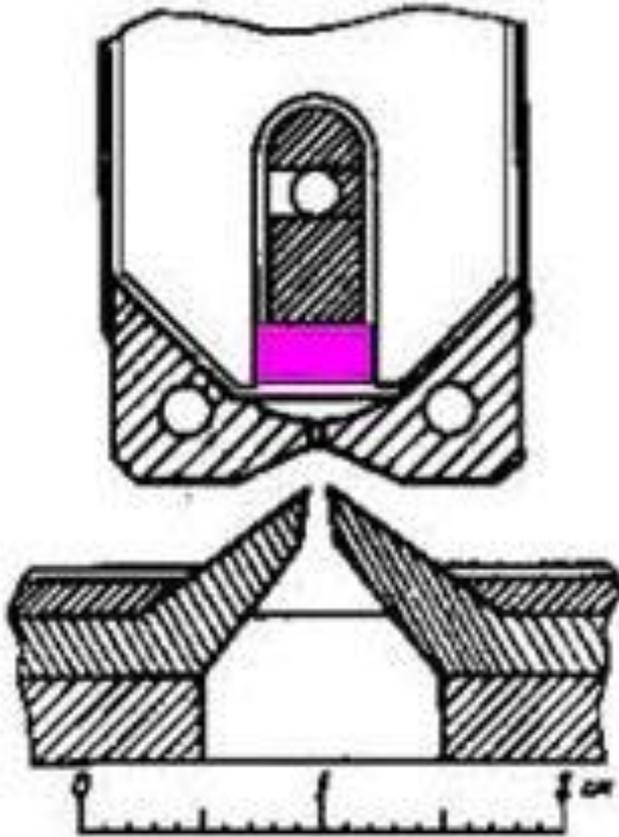
Fridge

Discharge Power Supplies + Temperature Controllers

RFQ

LEBT

# INR Moscow Penning



Pulse beam current 40 mA

Pulse repetition rate (PRR) 2 – 50 Hz

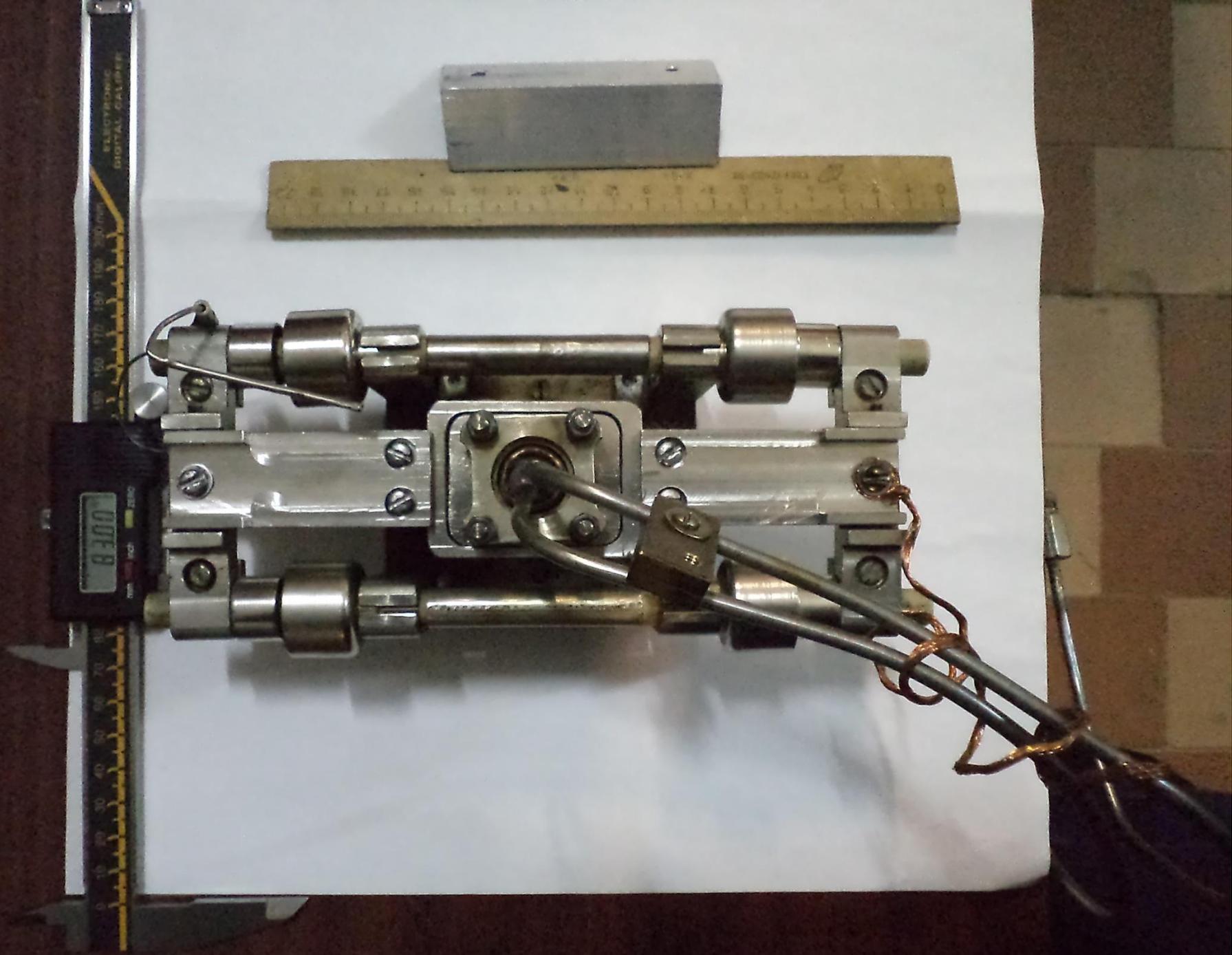
Macro-pulse beam current duration 60 – 200  $\mu$ s

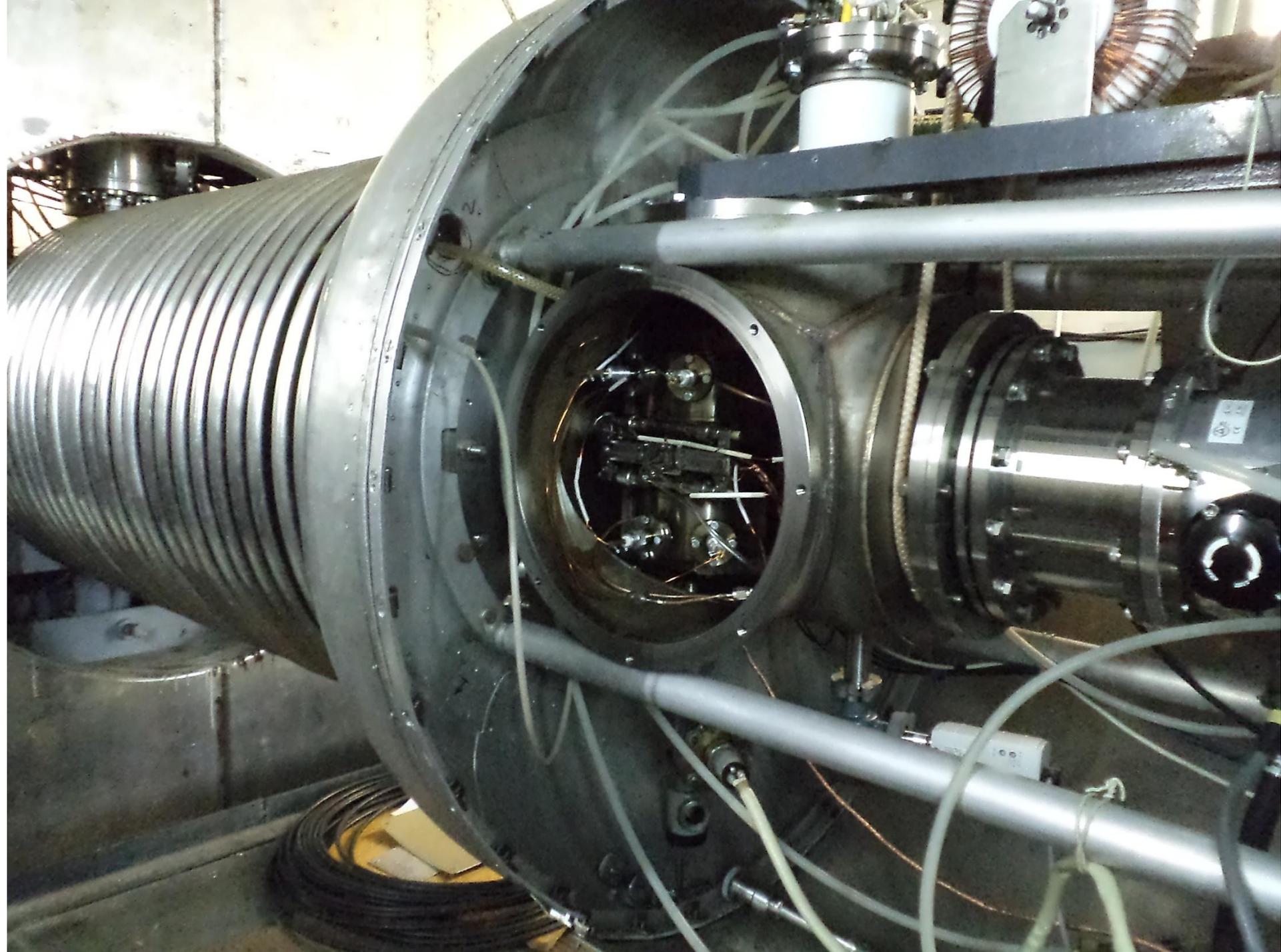
Normalized emittance  $\leq 0.35 \pi \cdot \text{mm} \cdot \text{mrad}$

Novosibirsk design

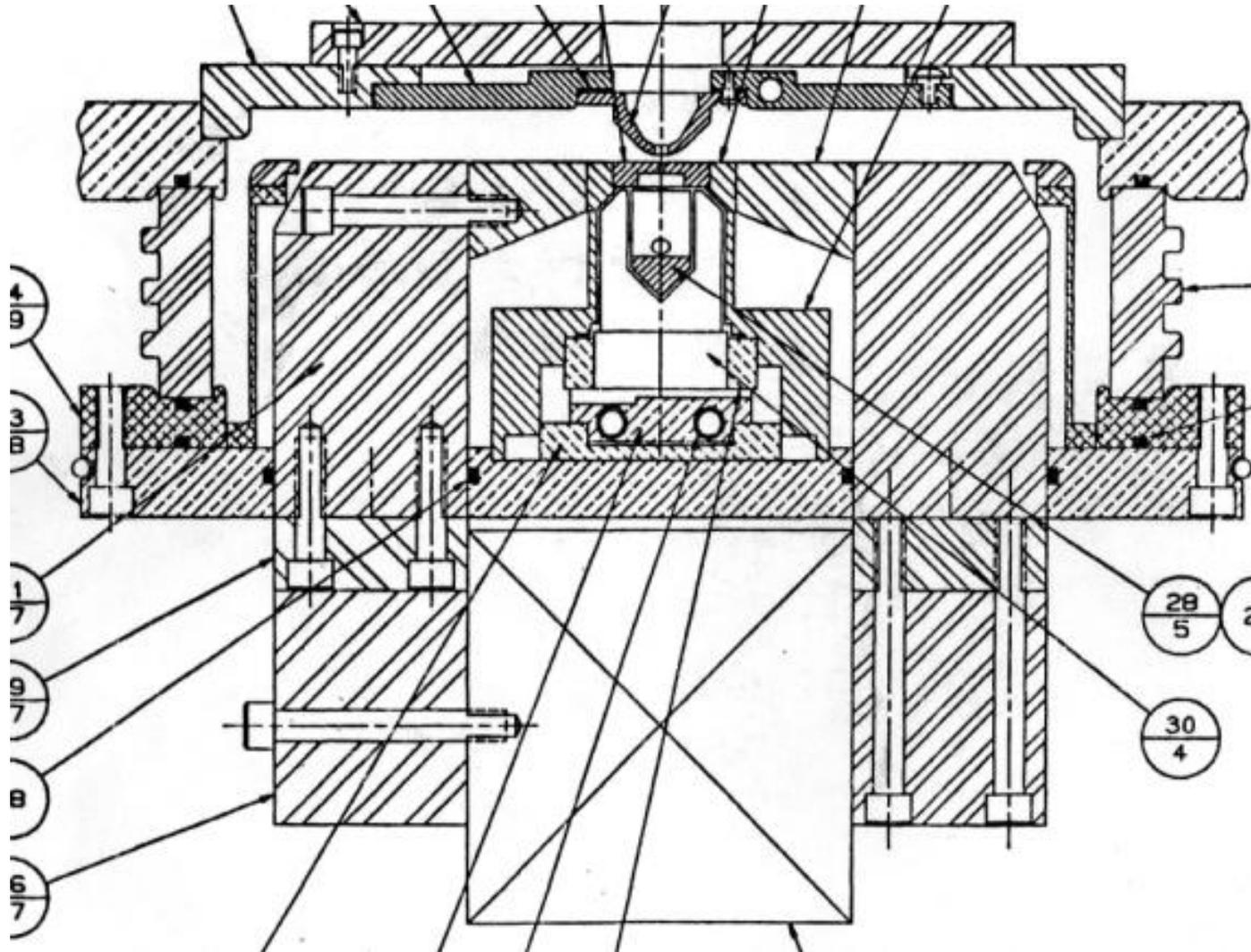
# INR Moscow Penning



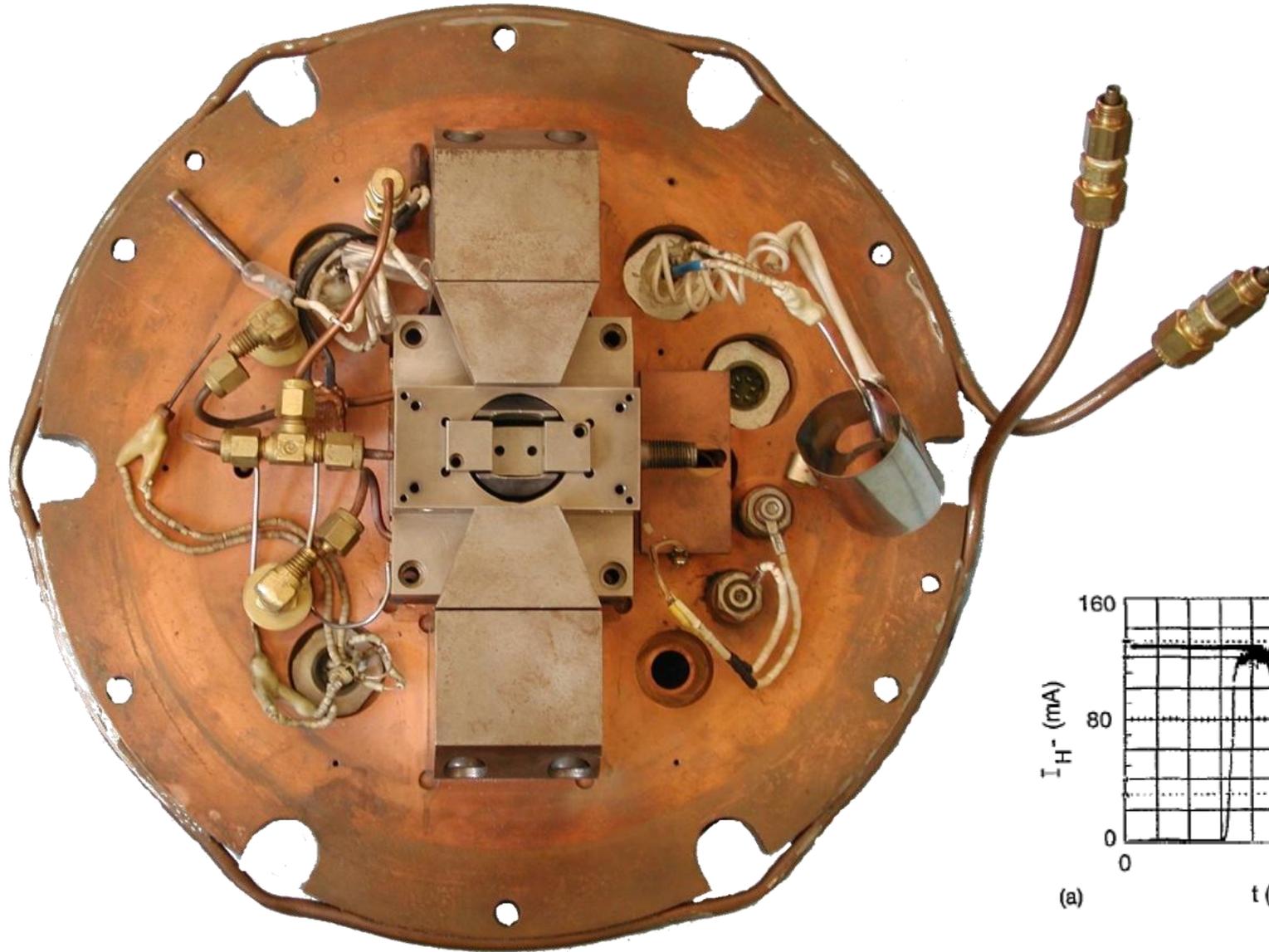




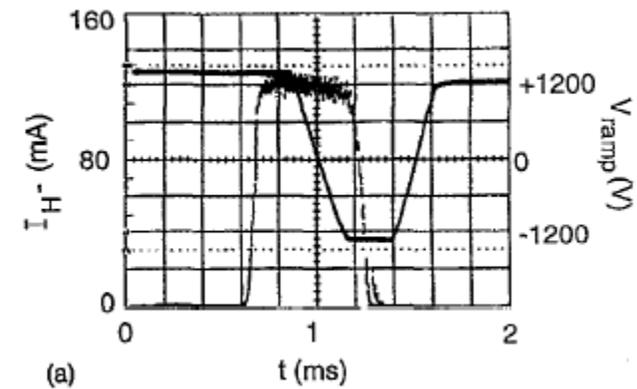
# Los Alamos Scaled Penning source



# Los Alamos Scaled Penning source



120 mA  
500  $\mu$ s  
60 Hz



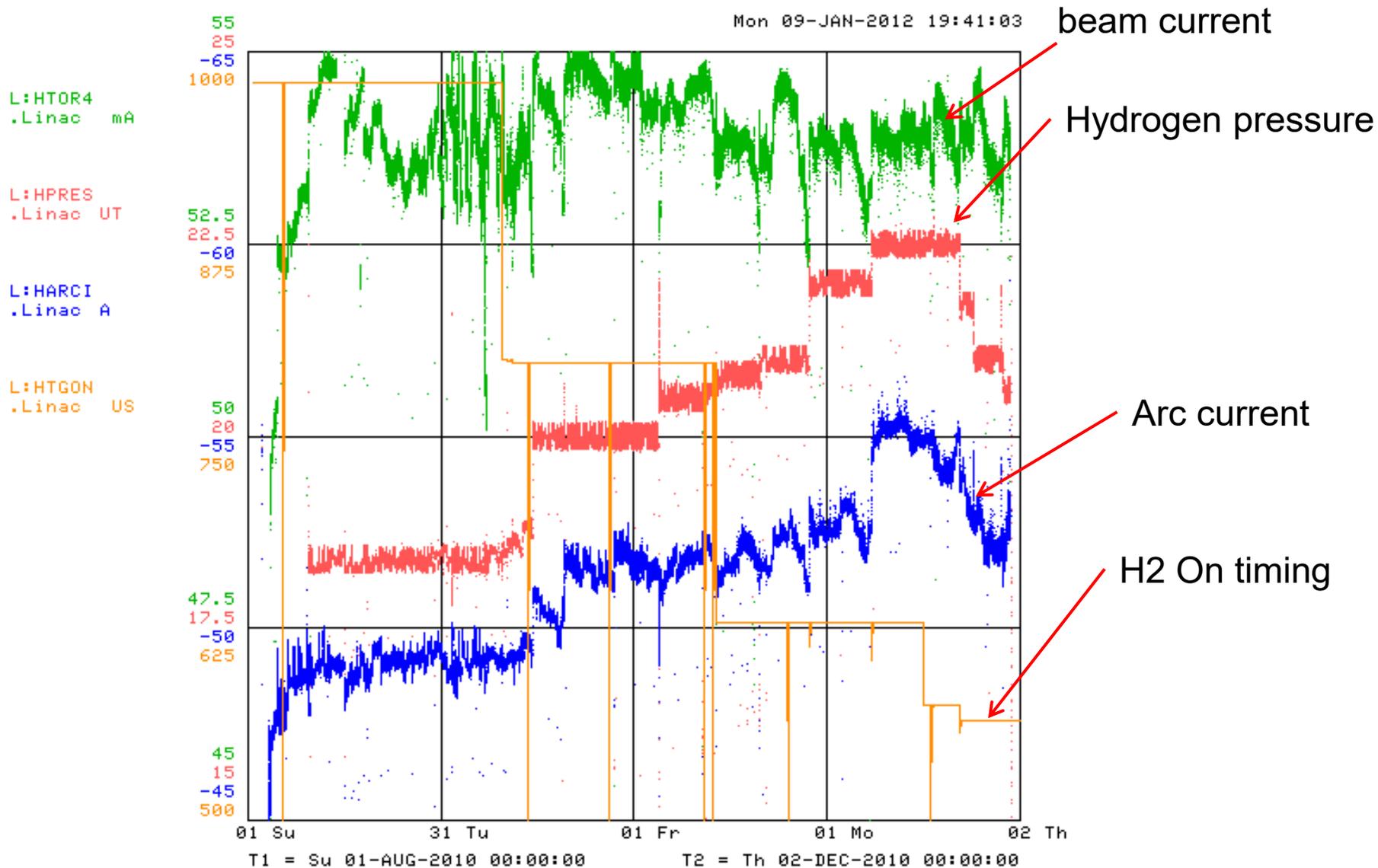
# SPS Failure Modes

- Blocked caesium transport
- Failed heaters
- Failed piezo hydrogen valve
- Ancillary equipment failure
- Sputtering
  - Blocked Aperture Plate
  - Shorted Electrodes

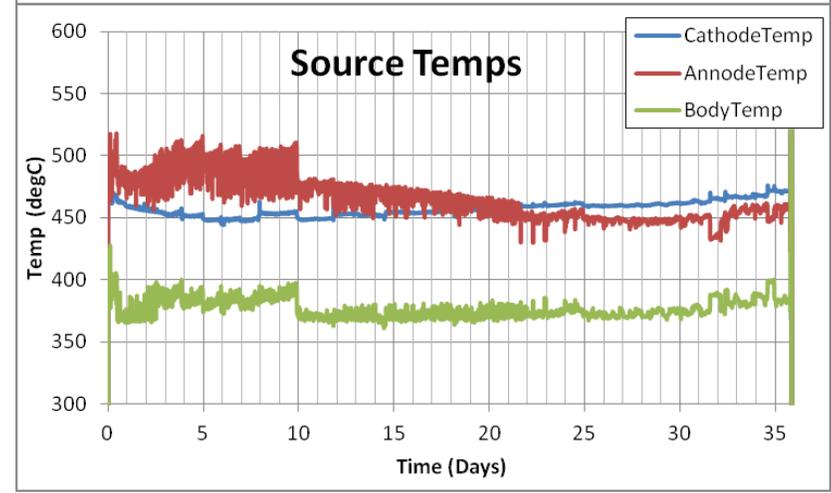
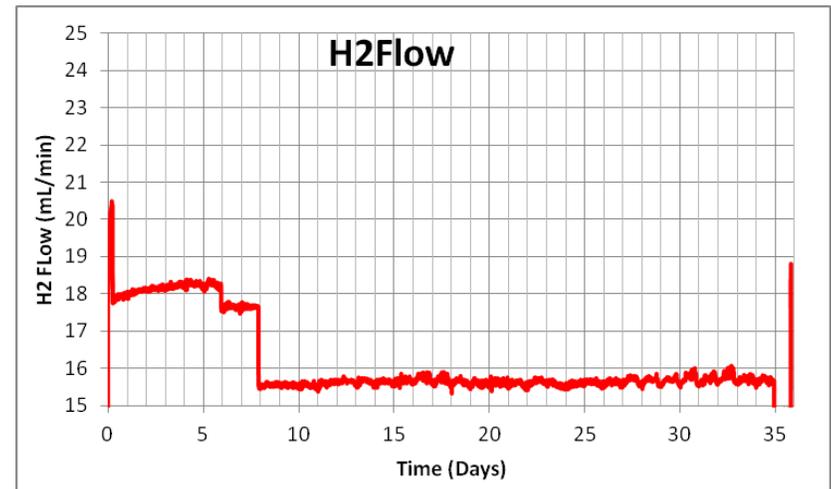
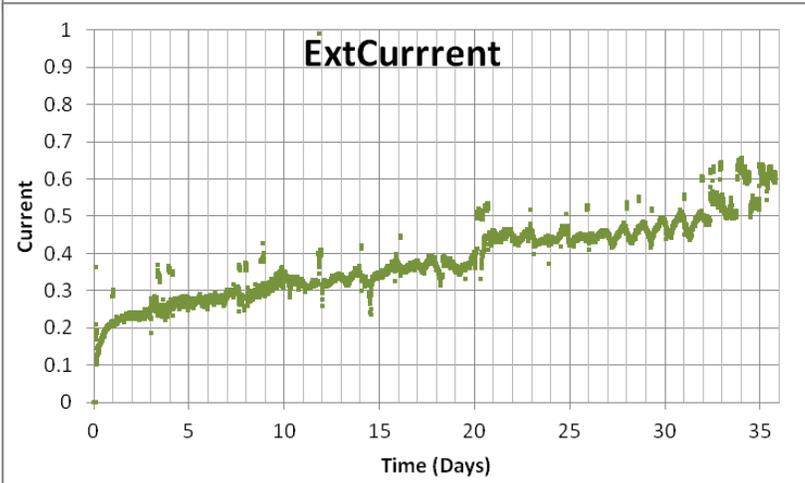
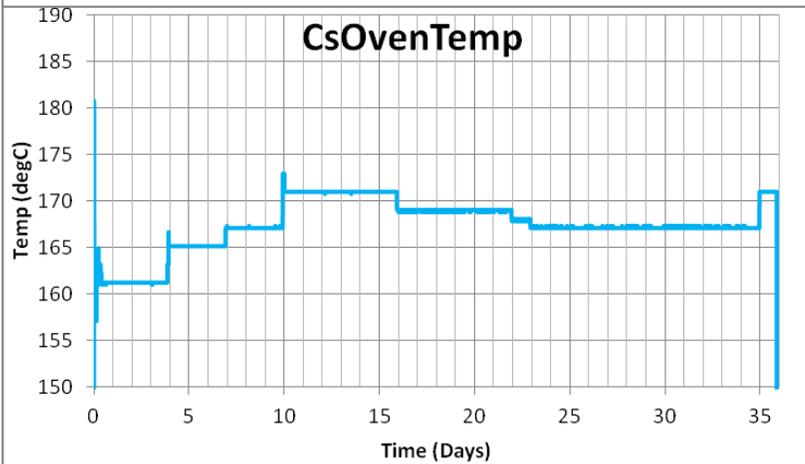
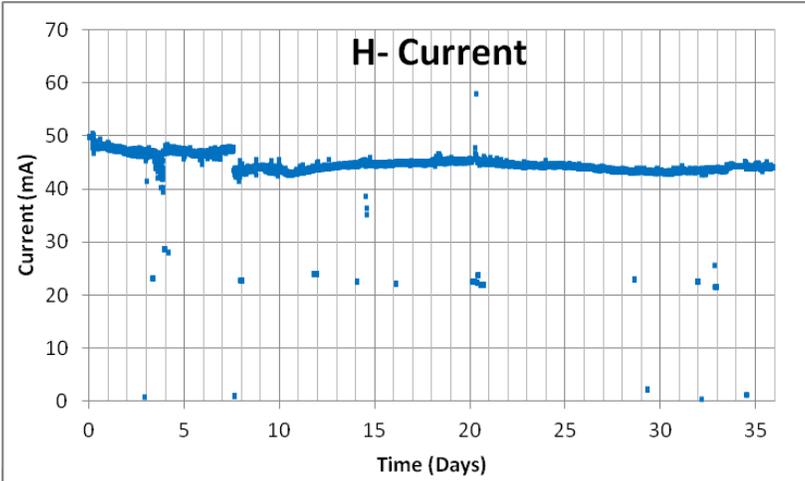
# Compare SPS Lifetimes

	DESY	FNAL	BNL	ISIS
Discharge Current (A)	47	50	18	55
Pulse length ( $\mu\text{s}$ )	75	80	700	800
Rep rate (Hz)	6.25	15	7.5	50
Plasma Duty Factor (%)	0.047	0.12	0.525	4
Lifetime (Days)	900	200	270	30
Lifetime (Plasma Days)	0.42	0.24	1.42	1.2

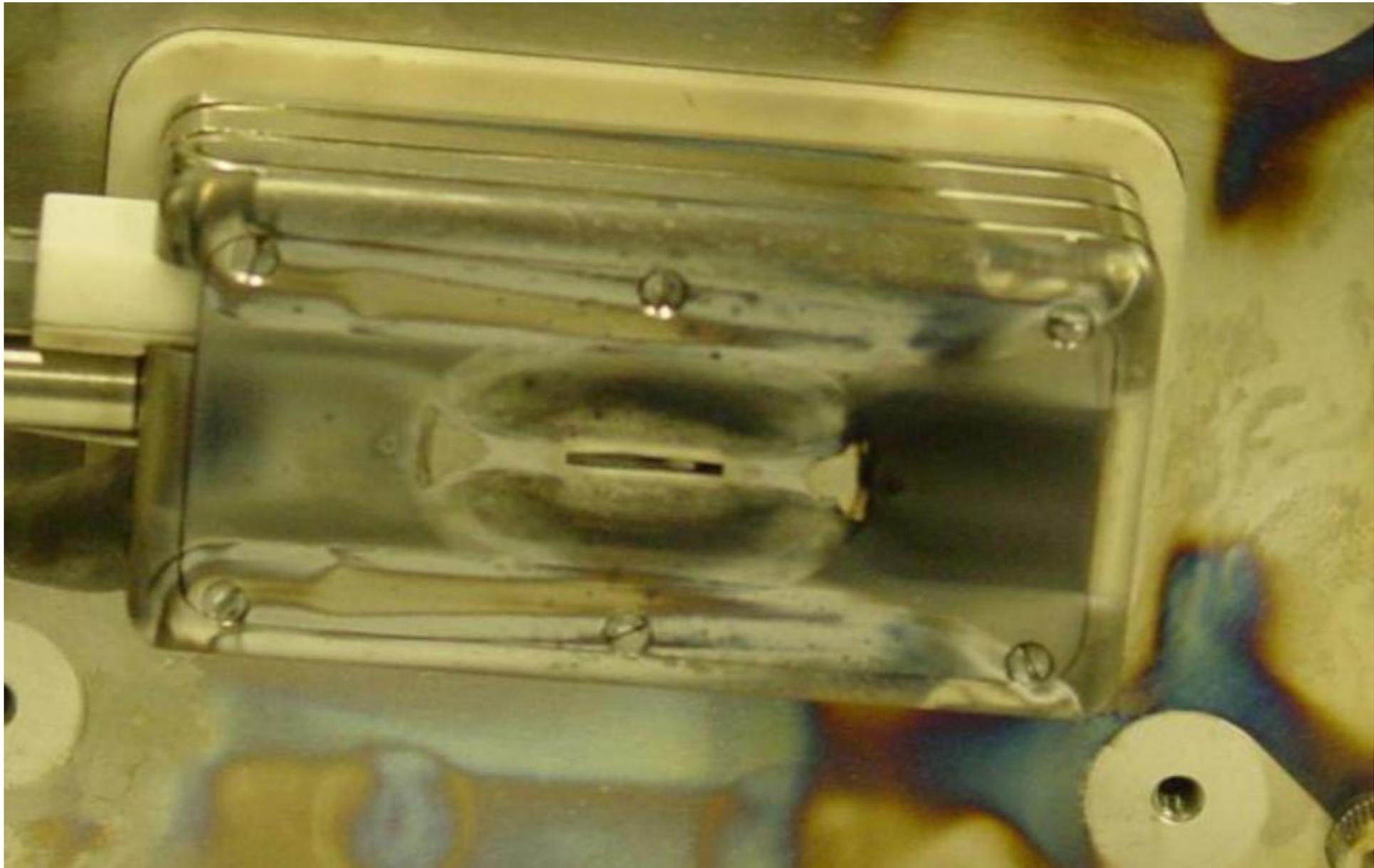
# Fermilab Magnetron Ageing



# ISIS Penning Ageing

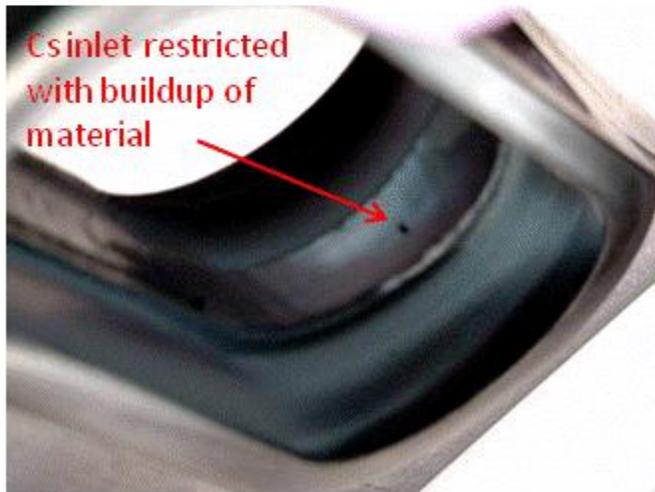
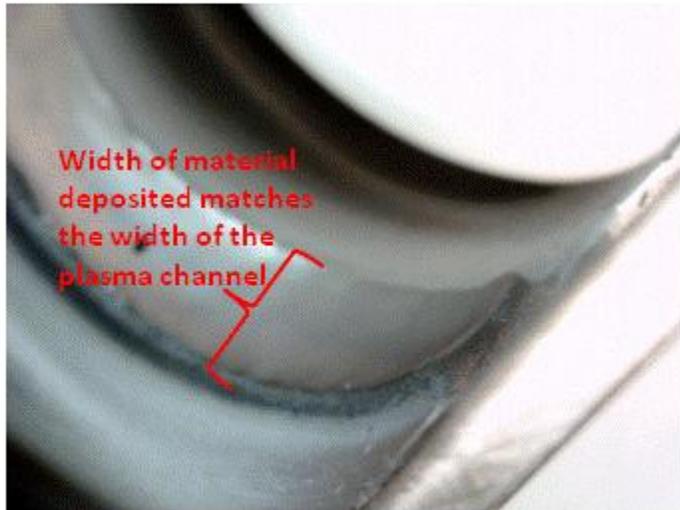


# Fermilab Magnetron

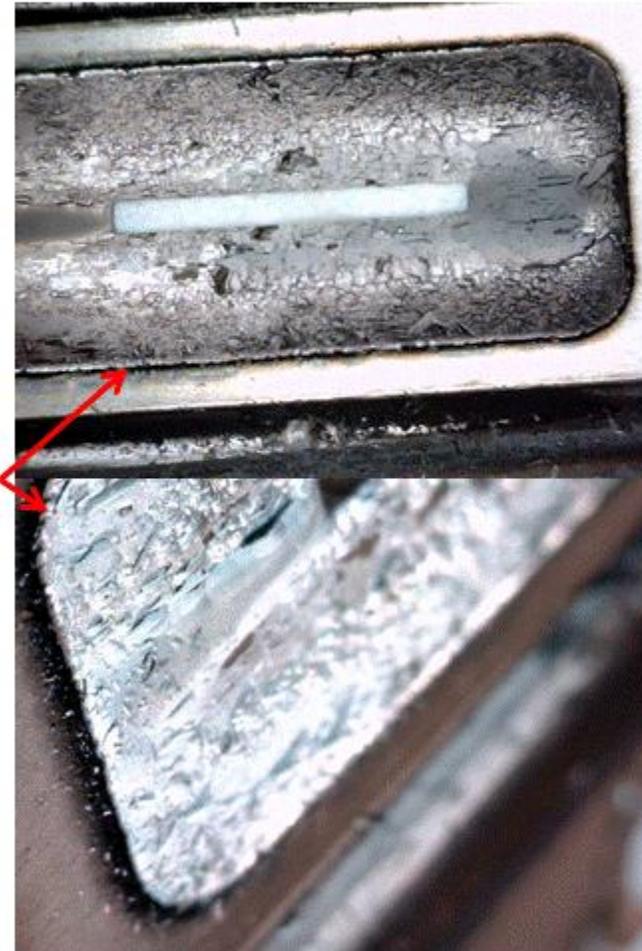


# Fermilab Magnetron

Anode

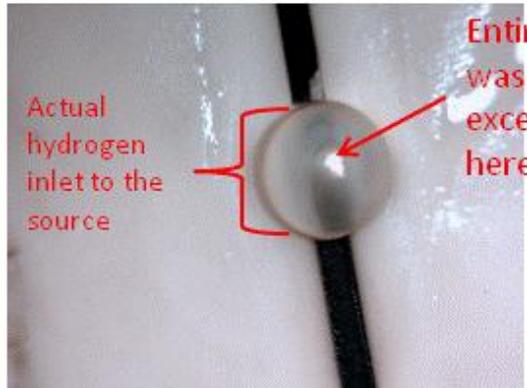


Anode cover plate



# Fermilab Magnetron

Ceramic source body insulator



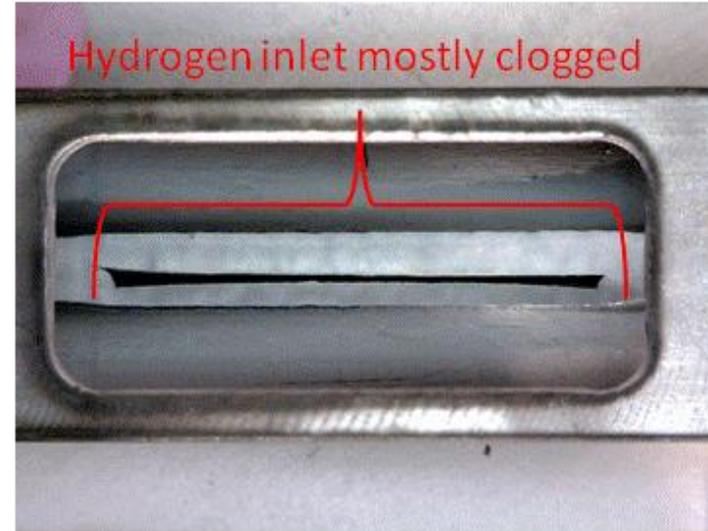
View from other side of ceramic



Entire hole was clogged except for here

Actual hydrogen inlet to the source

Anode



Hydrogen inlet mostly clogged



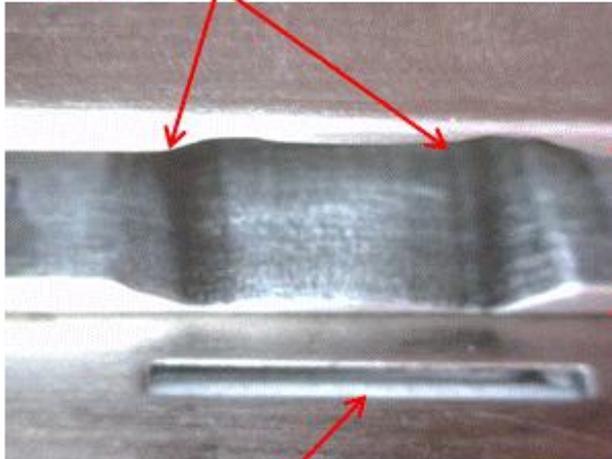
Material clogging the anode hydrogen inlet was a few mm thick. This is the most that we have ever seen.

# Fermilab Magnetron



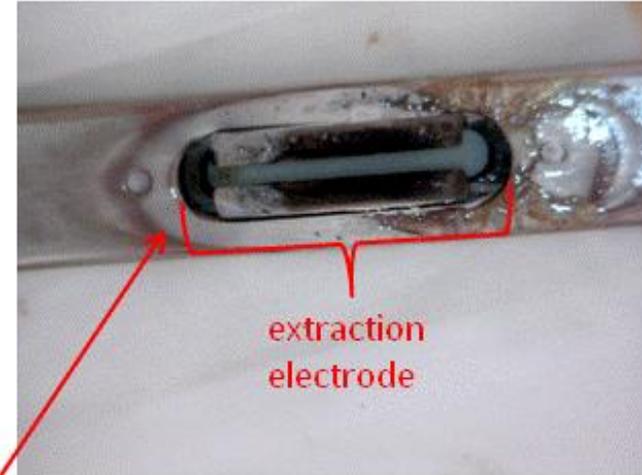
Cathode erosion  
at the extraction  
region

Extraction electrode erosion directly  
across from the anode cover plate  
aperture



extraction  
electrode

anode cover plate aperture



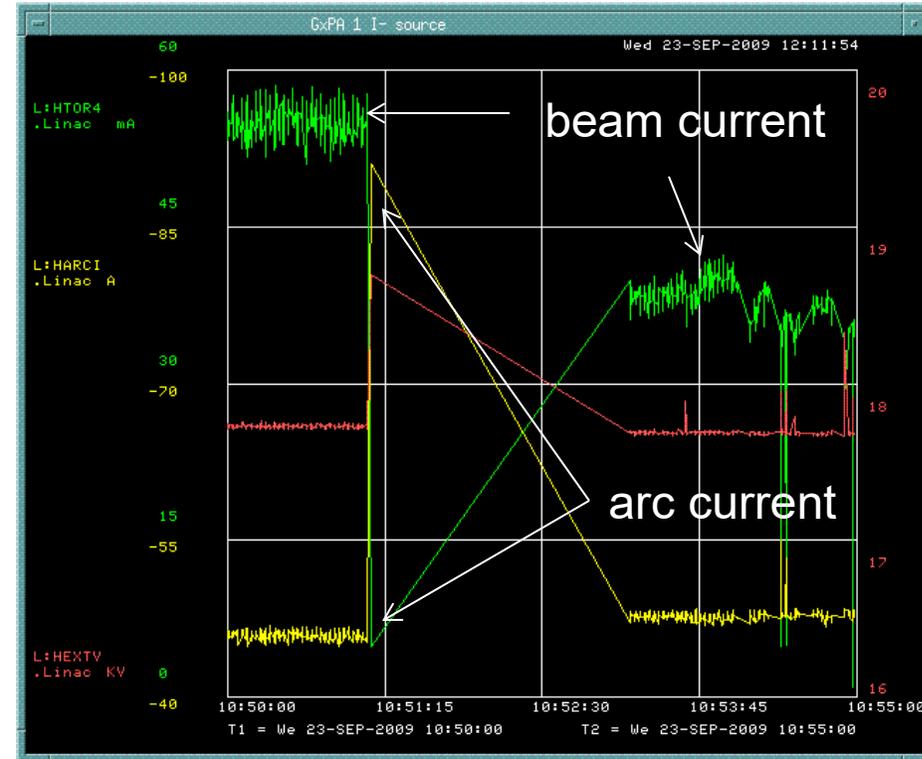
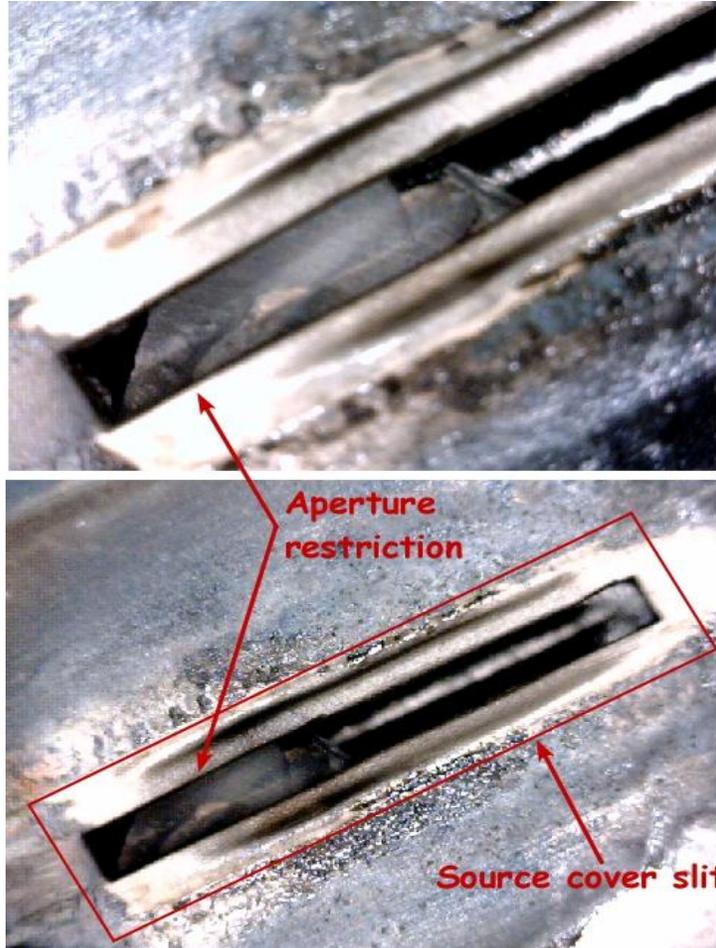
extraction  
electrode

Cs hydride on the  
extractor electrode



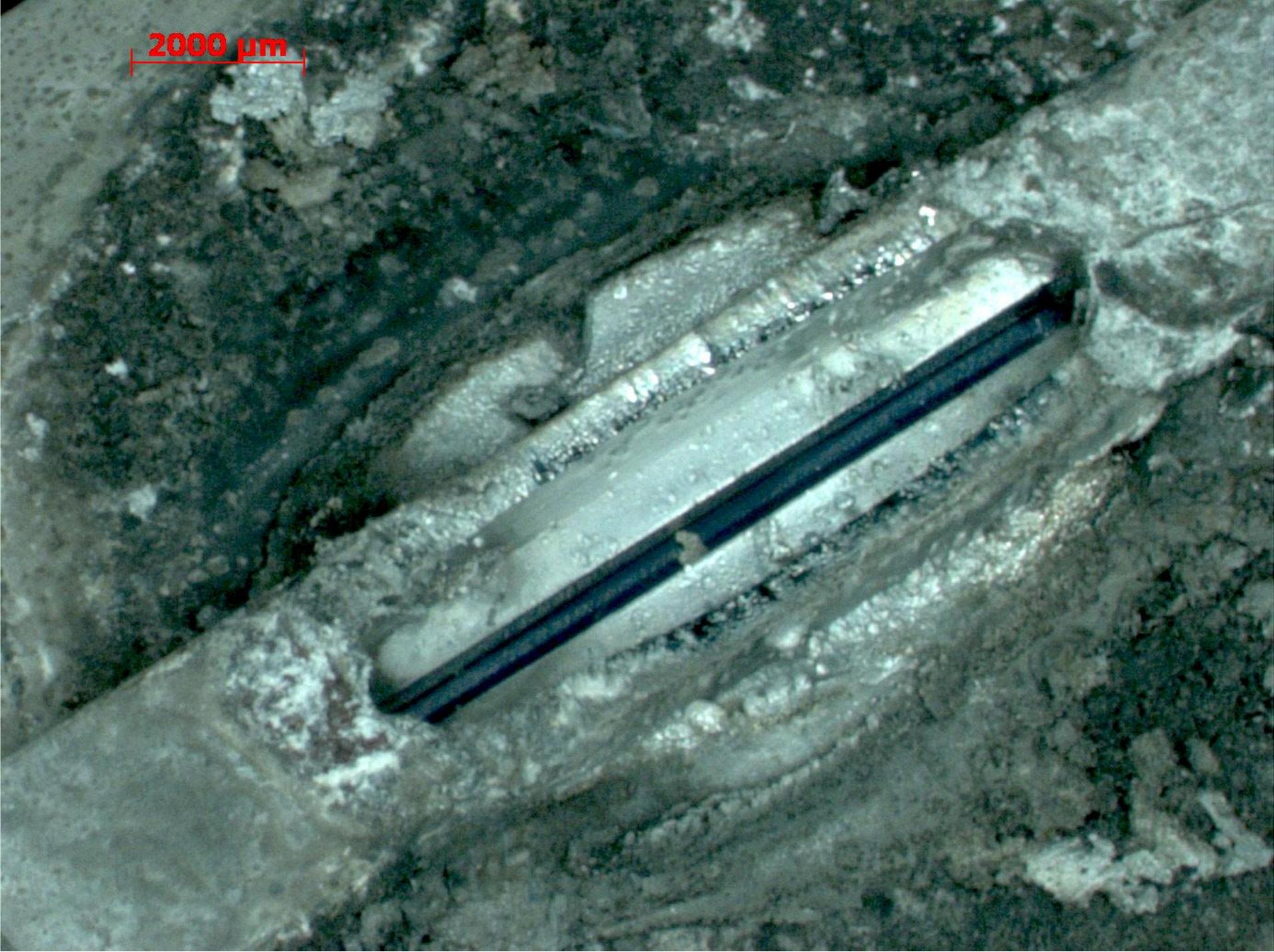
# Typical Source Failures

Cathode material flakes blocking source extraction aperture

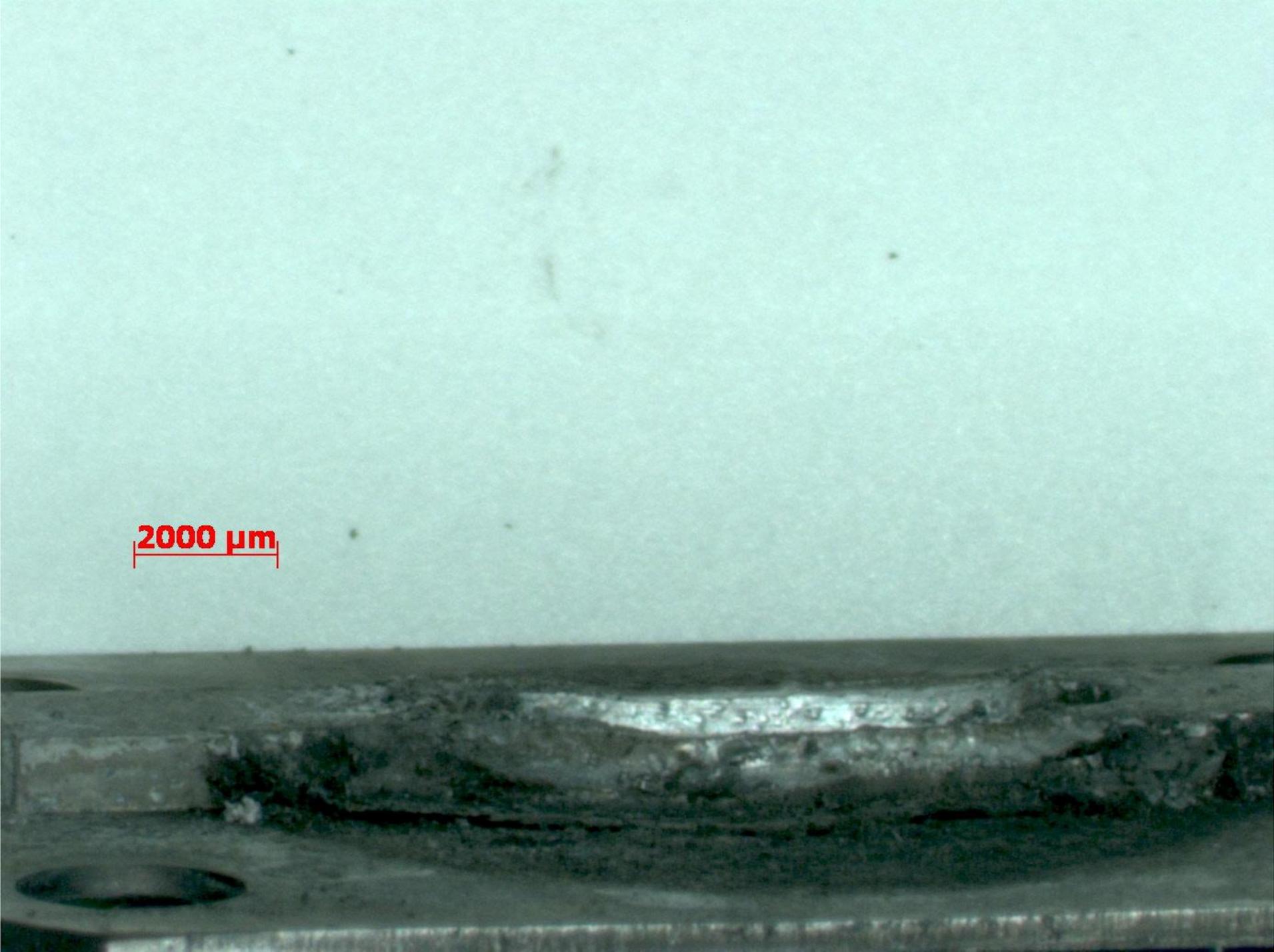


Cathode material flakes off and causes cathode/anode shorts

ISIS Penning 26 Day Electrode Wear

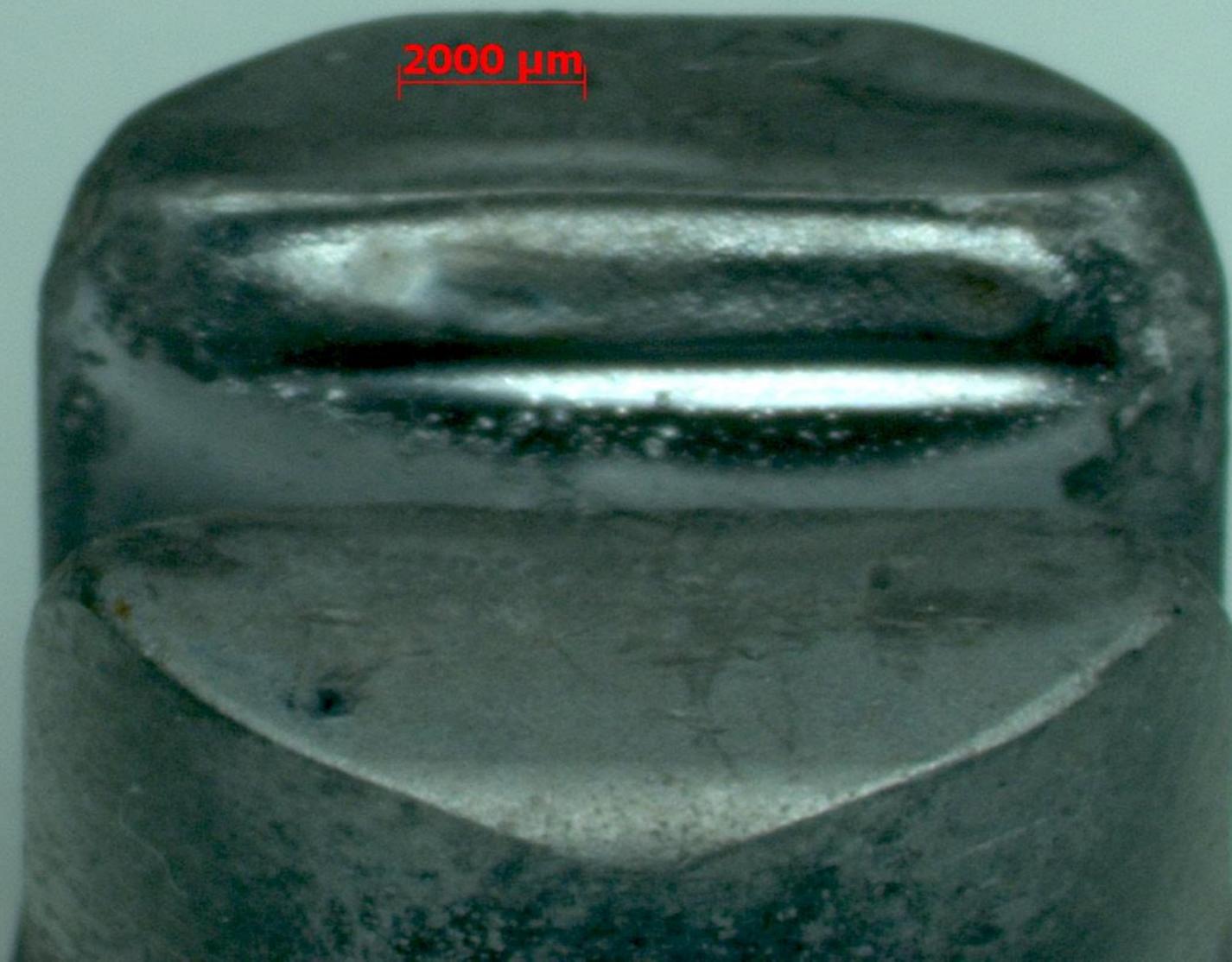


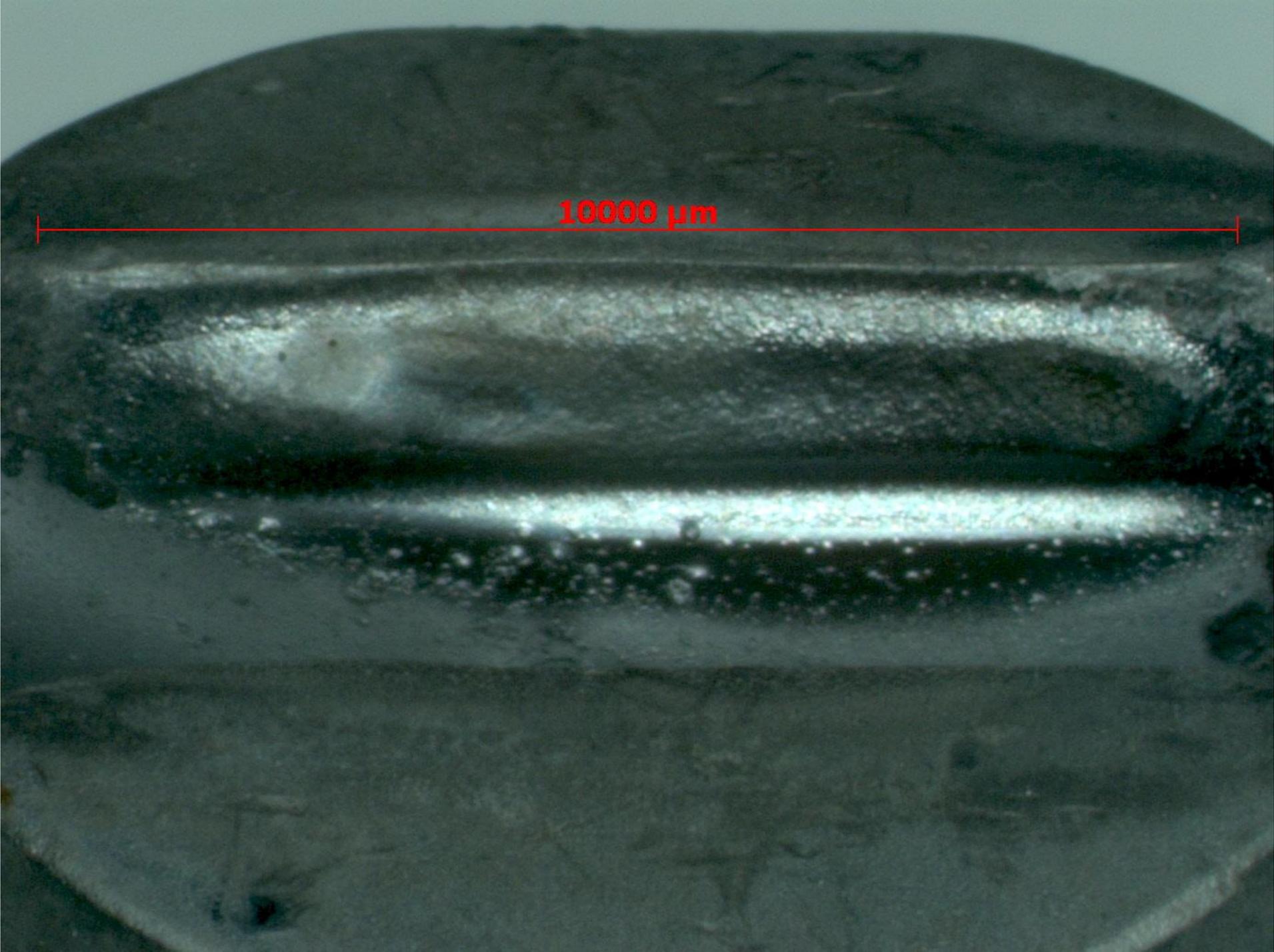
2000  $\mu\text{m}$



2000  $\mu\text{m}$

2000  $\mu\text{m}$





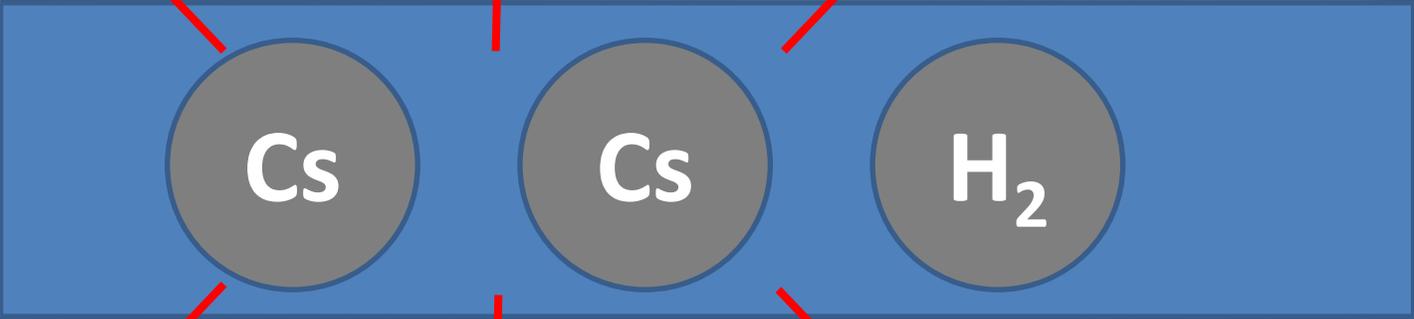


2000  $\mu\text{m}$



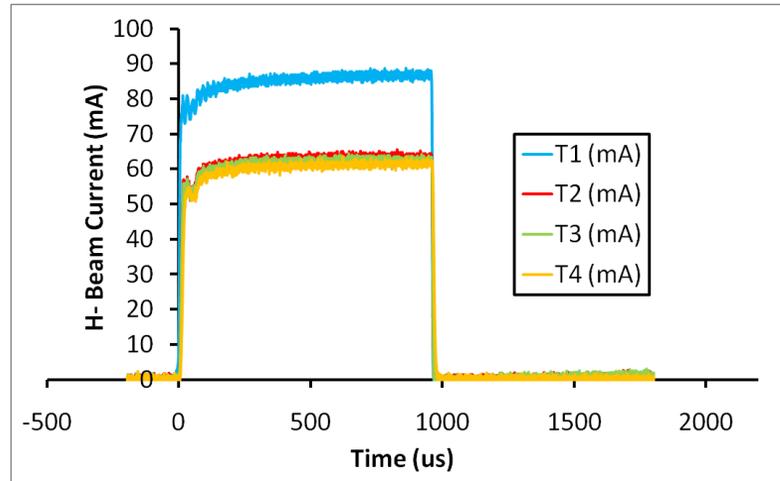
301.03  $\mu\text{m}$

2000  $\mu\text{m}$



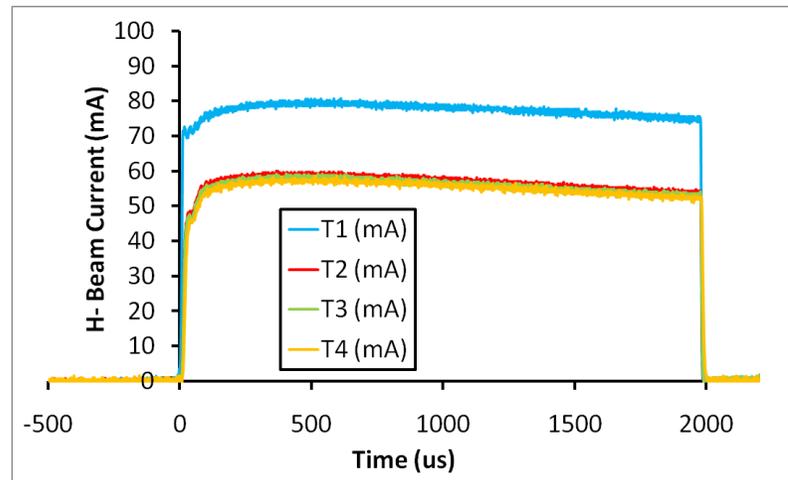
# Duty cycle limit of the standard ISIS source

either

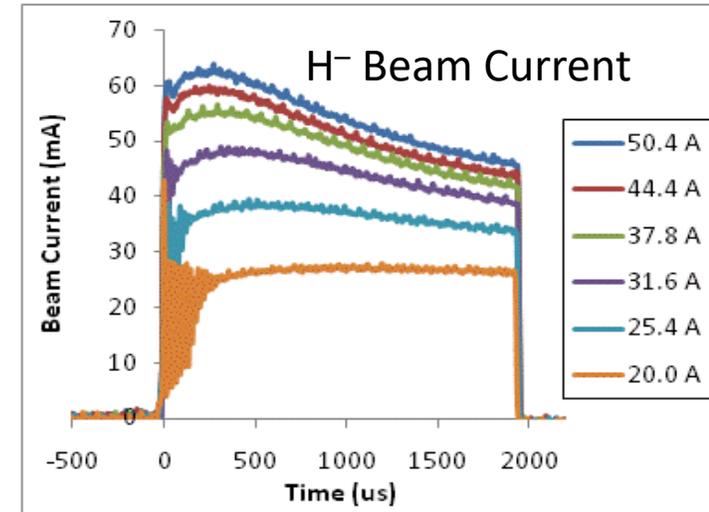


60 mA 1 ms 50 Hz

or



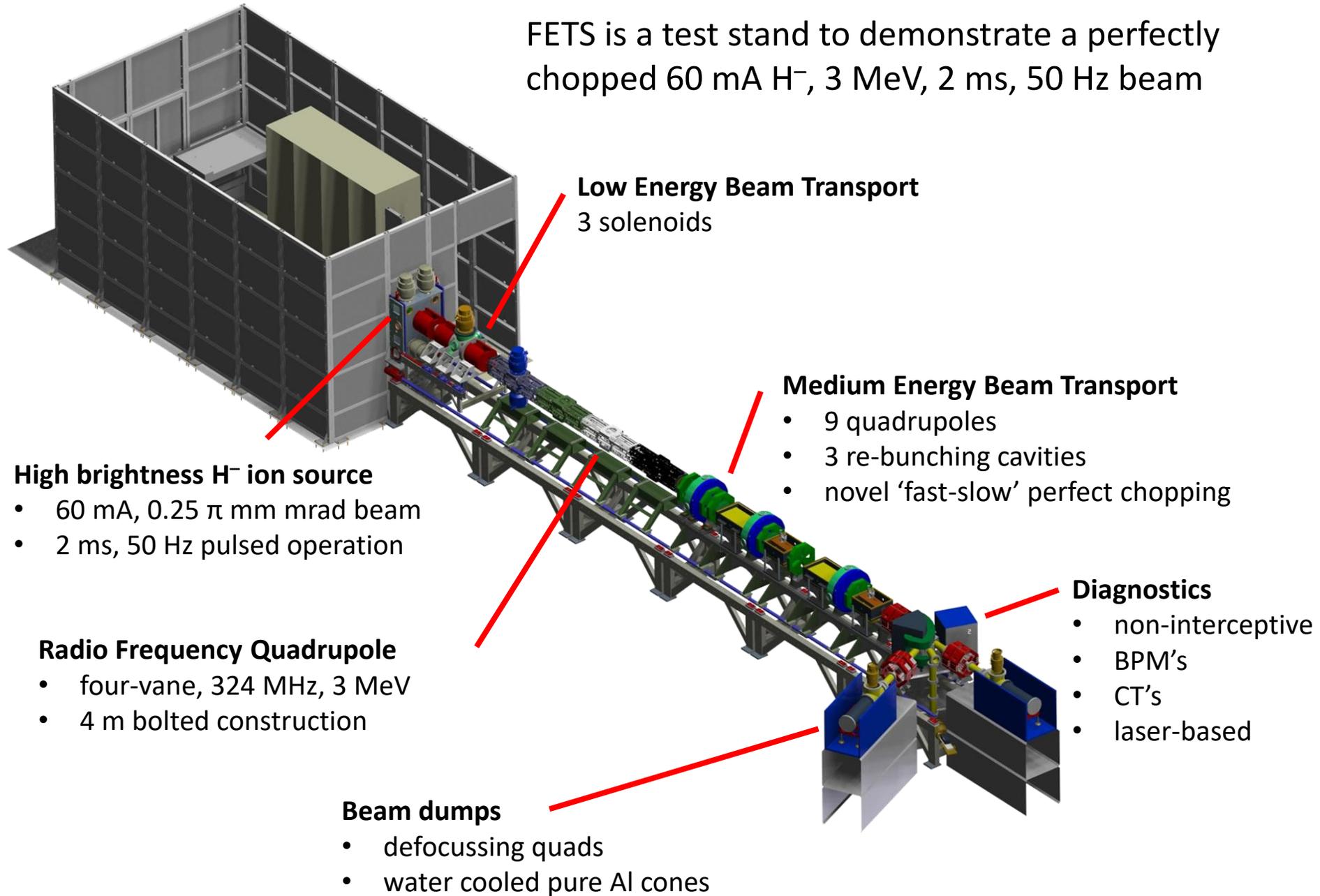
60 mA 2 ms 25 Hz



Droop is unavoidable at 50 Hz 2 ms

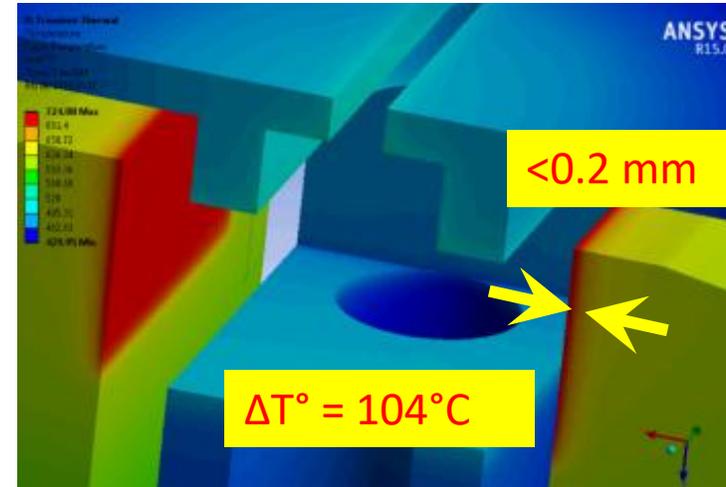
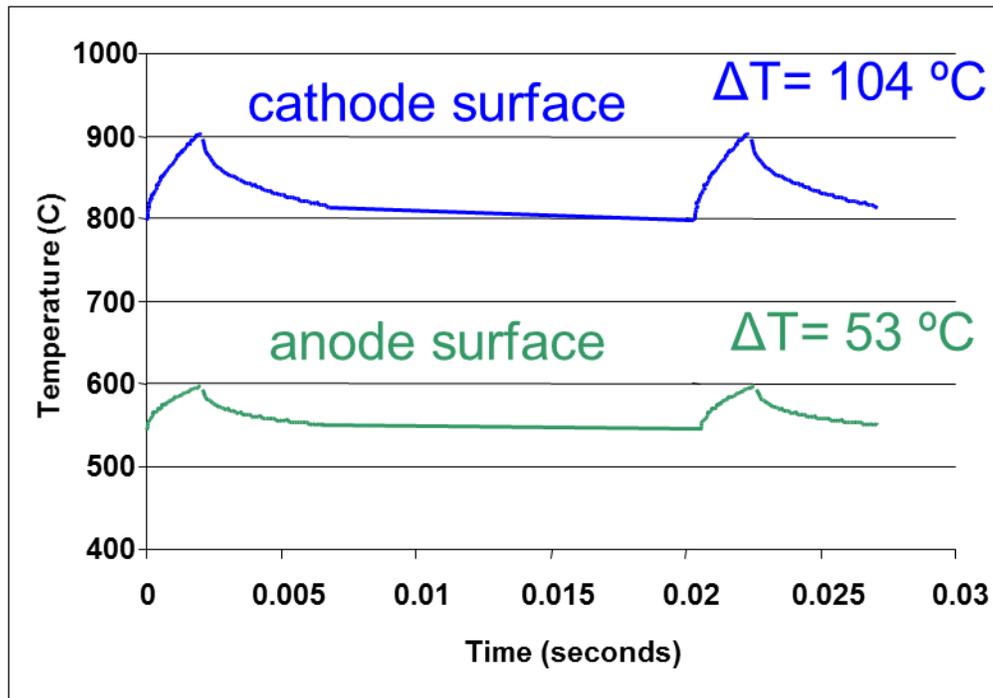
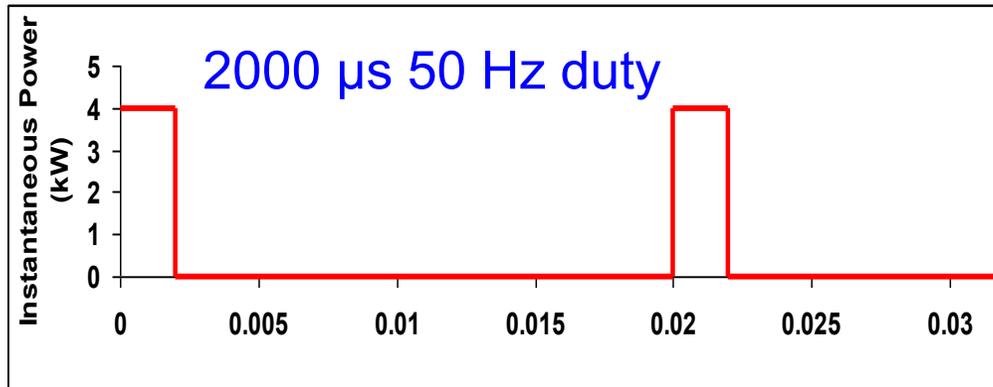
FETS Project needs  
60 mA 2 ms 50 Hz

FETS is a test stand to demonstrate a perfectly chopped 60 mA  $H^-$ , 3 MeV, 2 ms, 50 Hz beam



# Duty factor limited thermal problems:

## 1. TRANSIENT PROBLEM



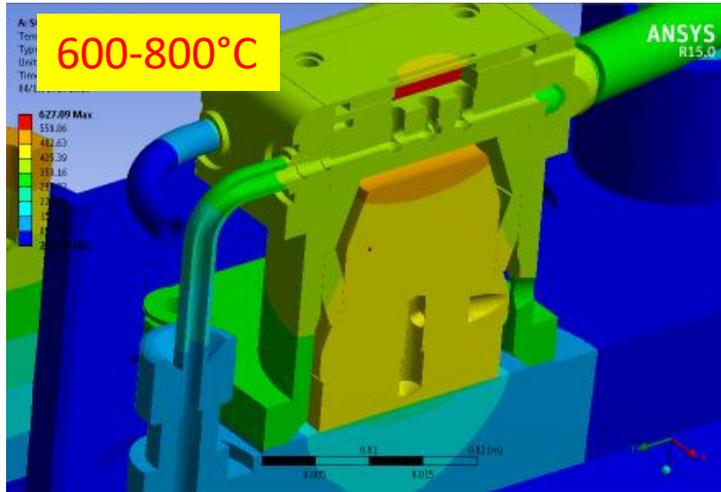
Transient surface temperature rise occurs in a very thin layer

### SOLUTION

Reduce plasma power density by increasing surface area = Scaling

# Duty factor limited thermal problems:

## 2. STEADY STATE PROBLEM

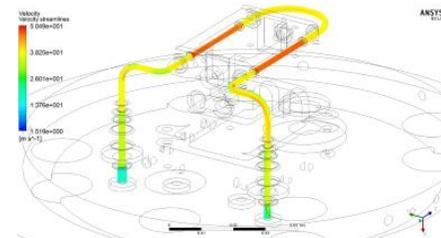


Average surface temperatures must be maintained at increased duty cycles

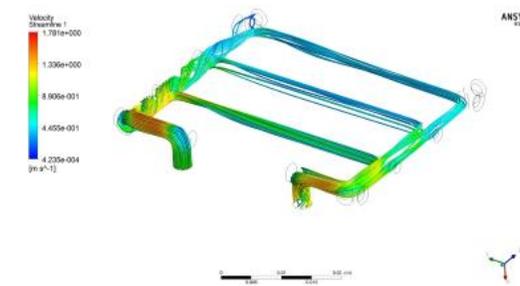
## SOLUTION

Improve cooling:

CFD cooling simulations

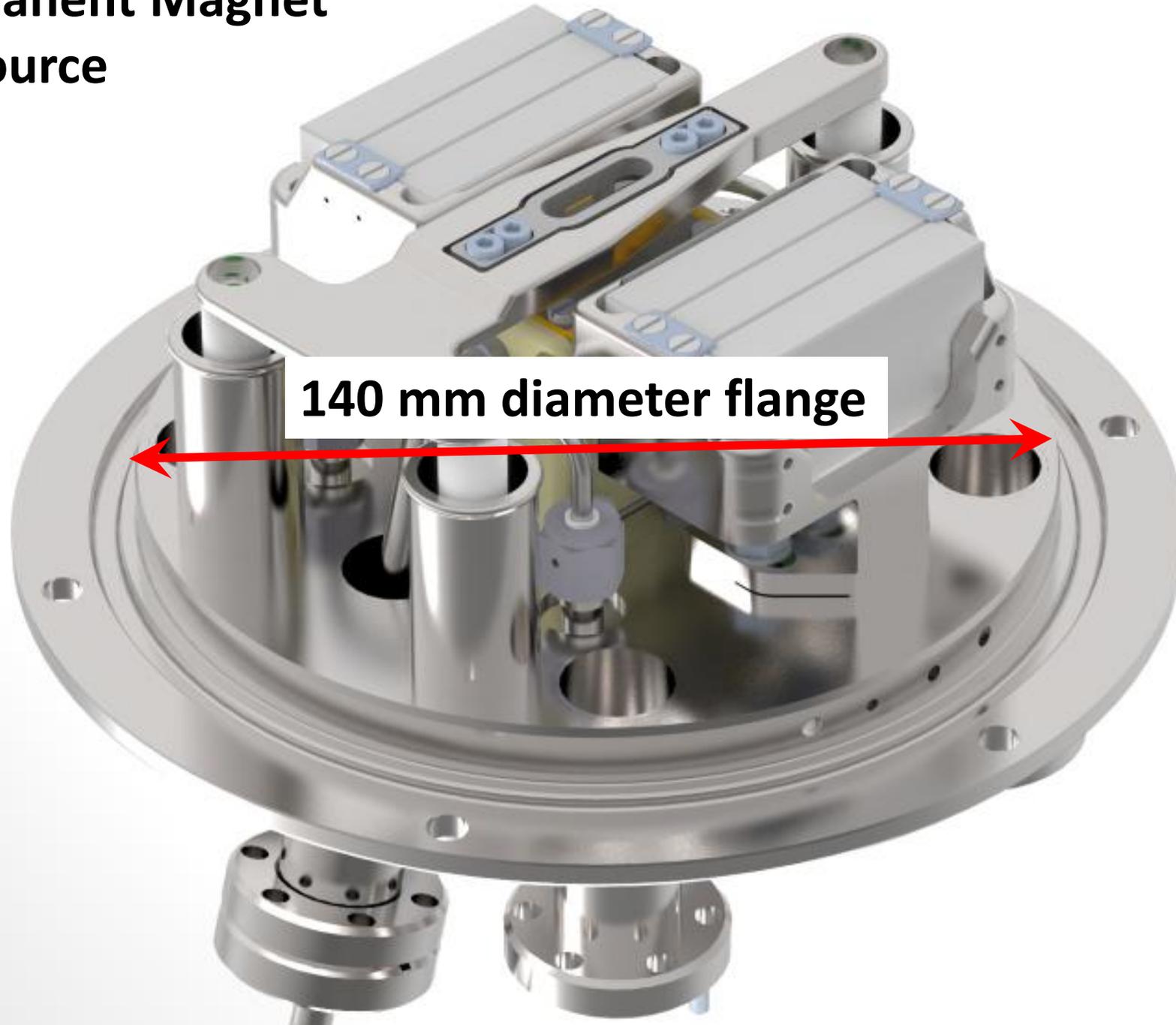


Head cooling-  
switched from air to  
water



Flange cooling-  
extra parallel  
water channels

**Permanent Magnet  
1X Source**



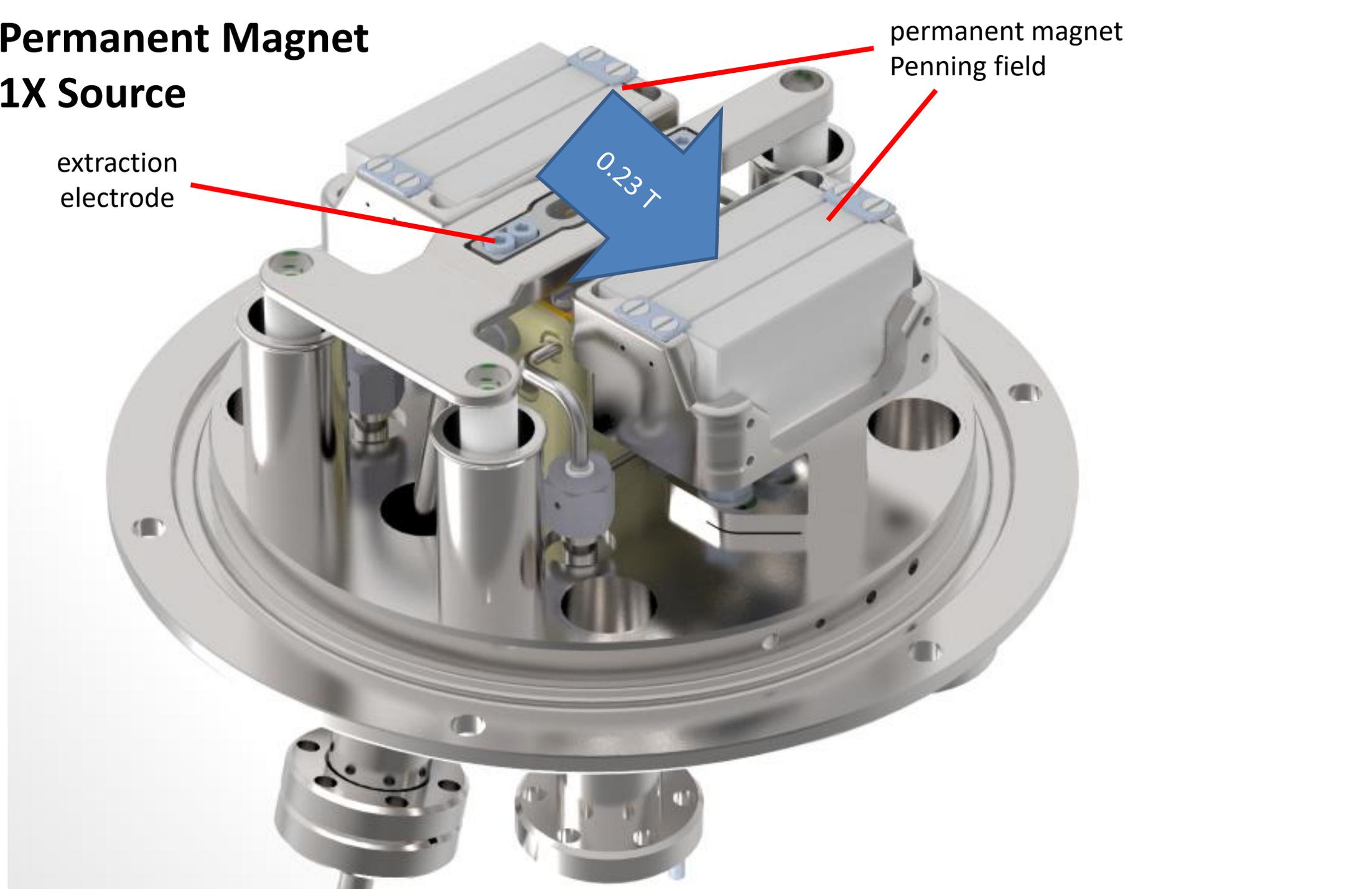
**140 mm diameter flange**

# Permanent Magnet 1X Source

extraction  
electrode

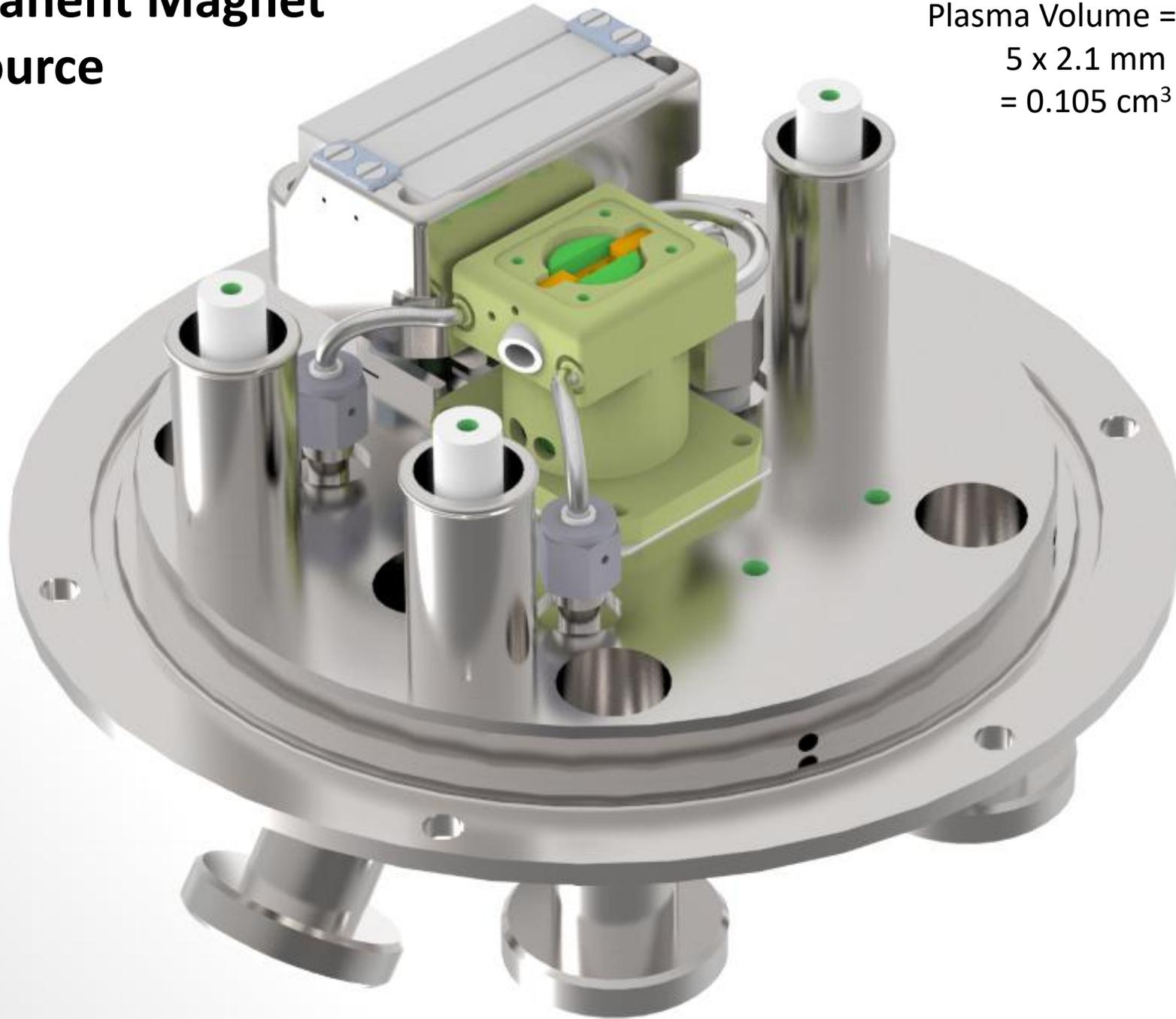
permanent magnet  
Penning field

0.23 T



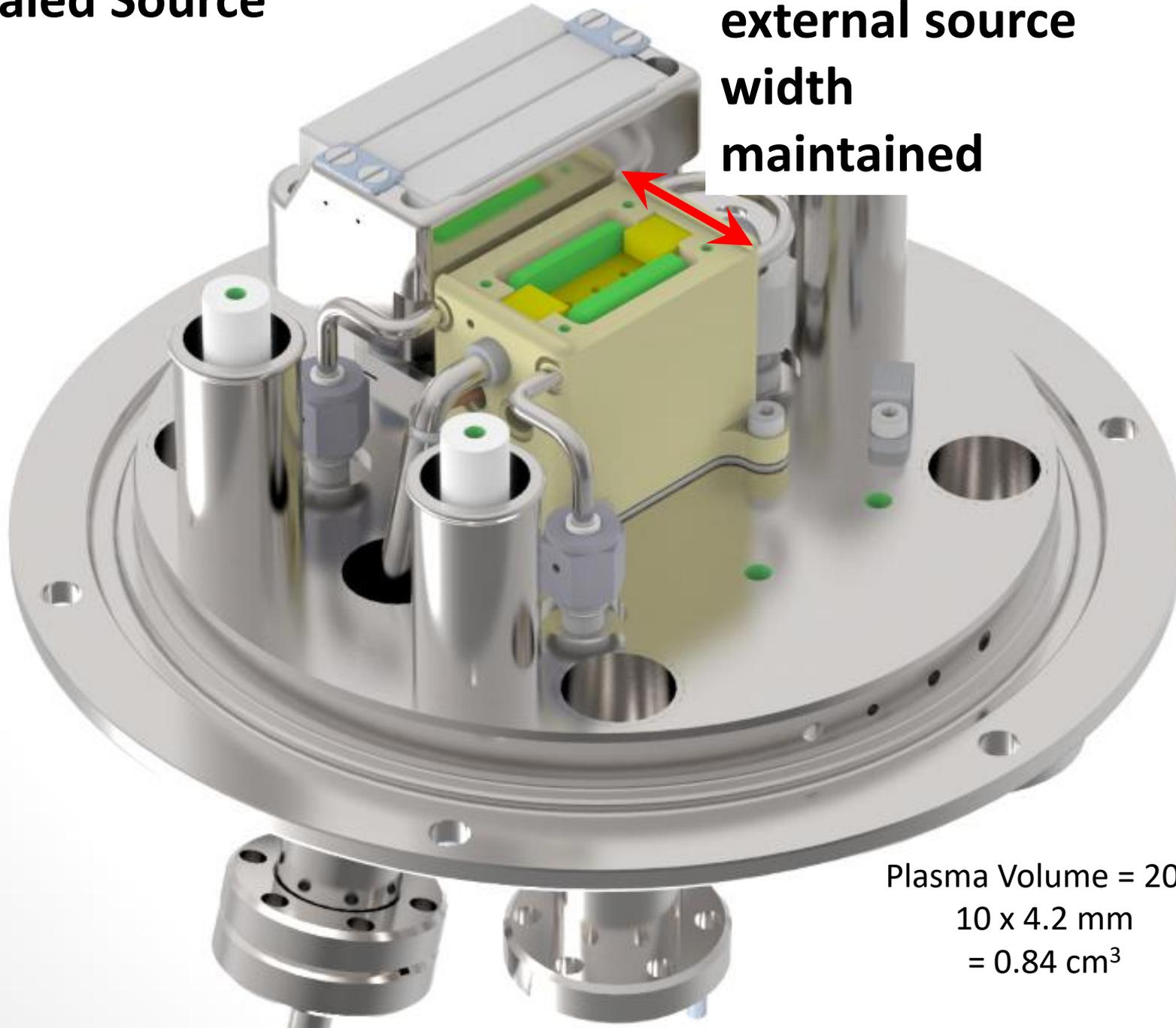
# Permanent Magnet 1X Source

Plasma Volume =  $10 \times 5 \times 2.1 \text{ mm}$   
 $= 0.105 \text{ cm}^3$



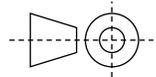
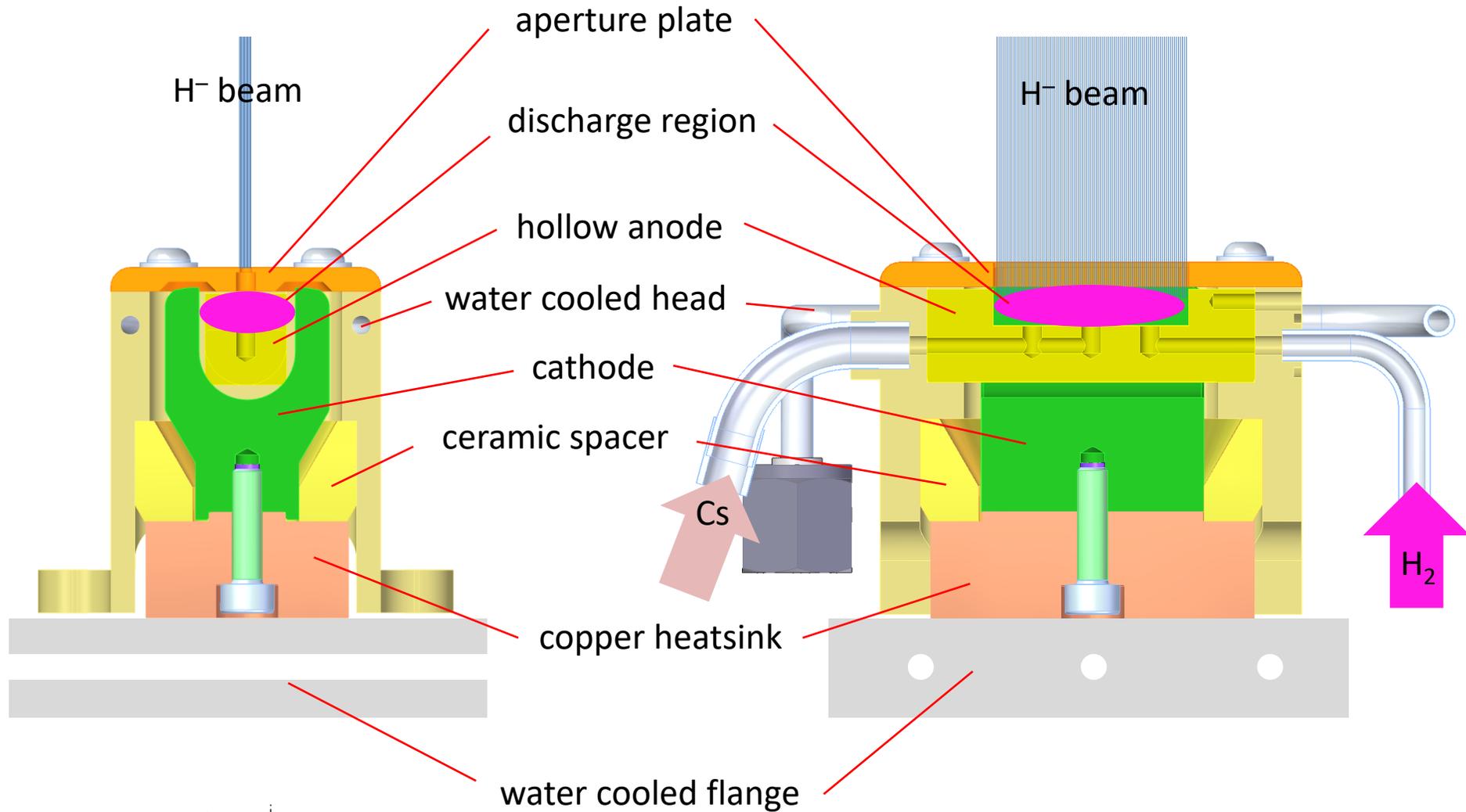
## 2X Scaled Source

external source  
width  
maintained



Plasma Volume =  $20 \times 10 \times 4.2 \text{ mm}$   
 $= 0.84 \text{ cm}^3$

# 2X Source Cross-sections



sectional views

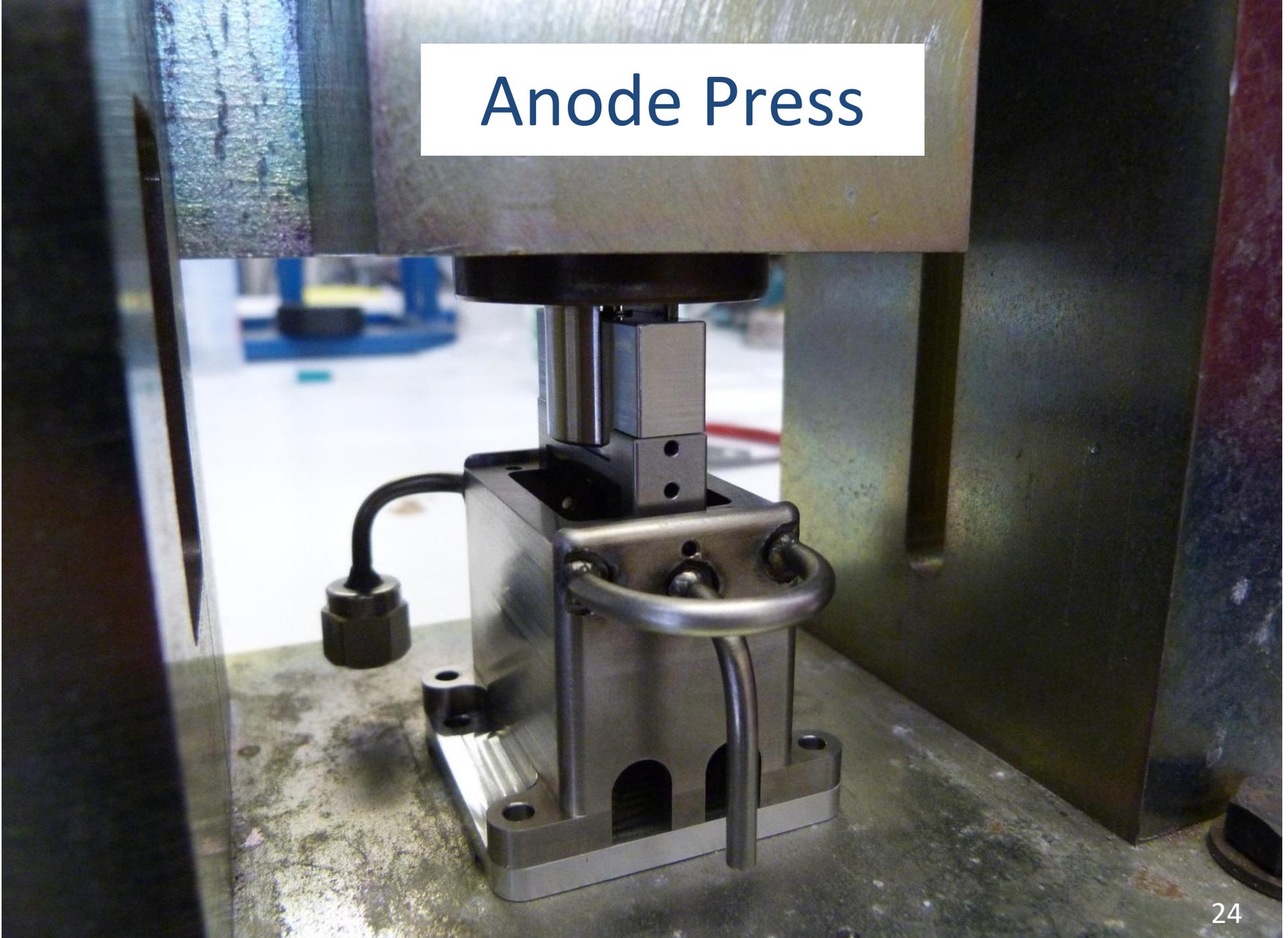
# Thermal Contact Resistances

316LN Stainless Steel

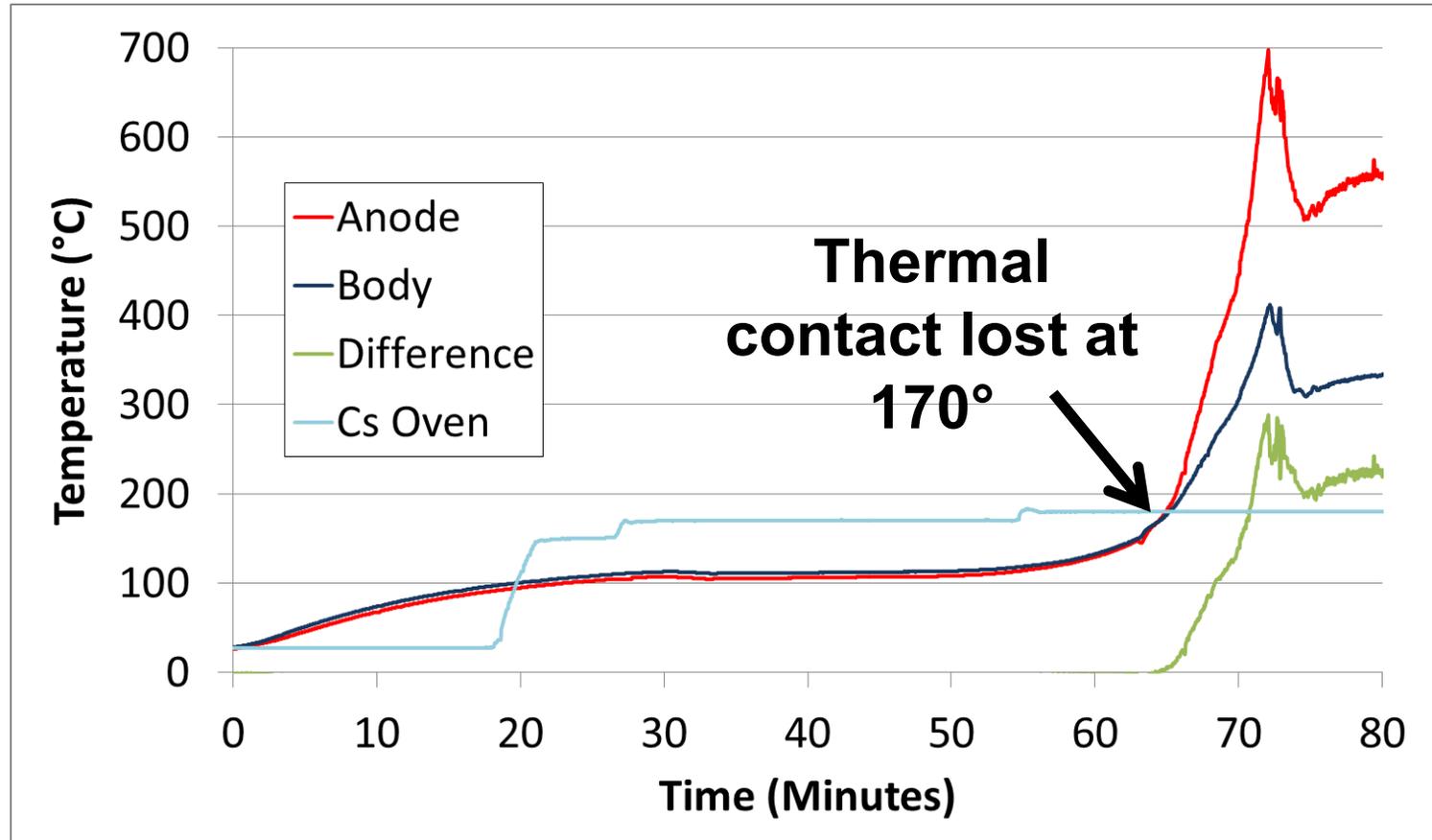
Molybdenum

Anode cooling relies on good contact between the molybdenum anode and the stainless steel source body head

# Anode Press



# Anode Cooling



# Dissimilar Expansion Coefficients and Mechanical Tolerances

Component	Length	Tolerance	Width	Tolerance
Anode	33.5	+0.02/+0.01	8.5	+0.028/+0.020
Source Body	33.5	+0.02/-0.00	8.5	+0.01/-0.00

## Possible clearance above 130 °C

20 C			
Most clearance		Length	Width
	Anode	33.51	8.52
	Source body	33.52	8.51
	Difference	0.01	-0.01
	Inter/Clear	Clearance	Interference
Least Clearance			
	Anode	33.52	8.528
	Source body	33.5	8.5
	Difference	-0.02	-0.028
	Inter/Clear	Interference	Interference

130 C			
Most clearance		Length	Width
	Anode	33.528	8.525
	Source body	33.579	8.525
	Difference	0.051	0.000
	Inter/Clear	Clearance	Clearance
Least Clearance			
	Anode	33.538	8.533
	Source body	33.559	8.515
	Difference	0.021	-0.018
	Inter/Clear	Clearance	Interference

## Guaranteed clearance above 320 °C

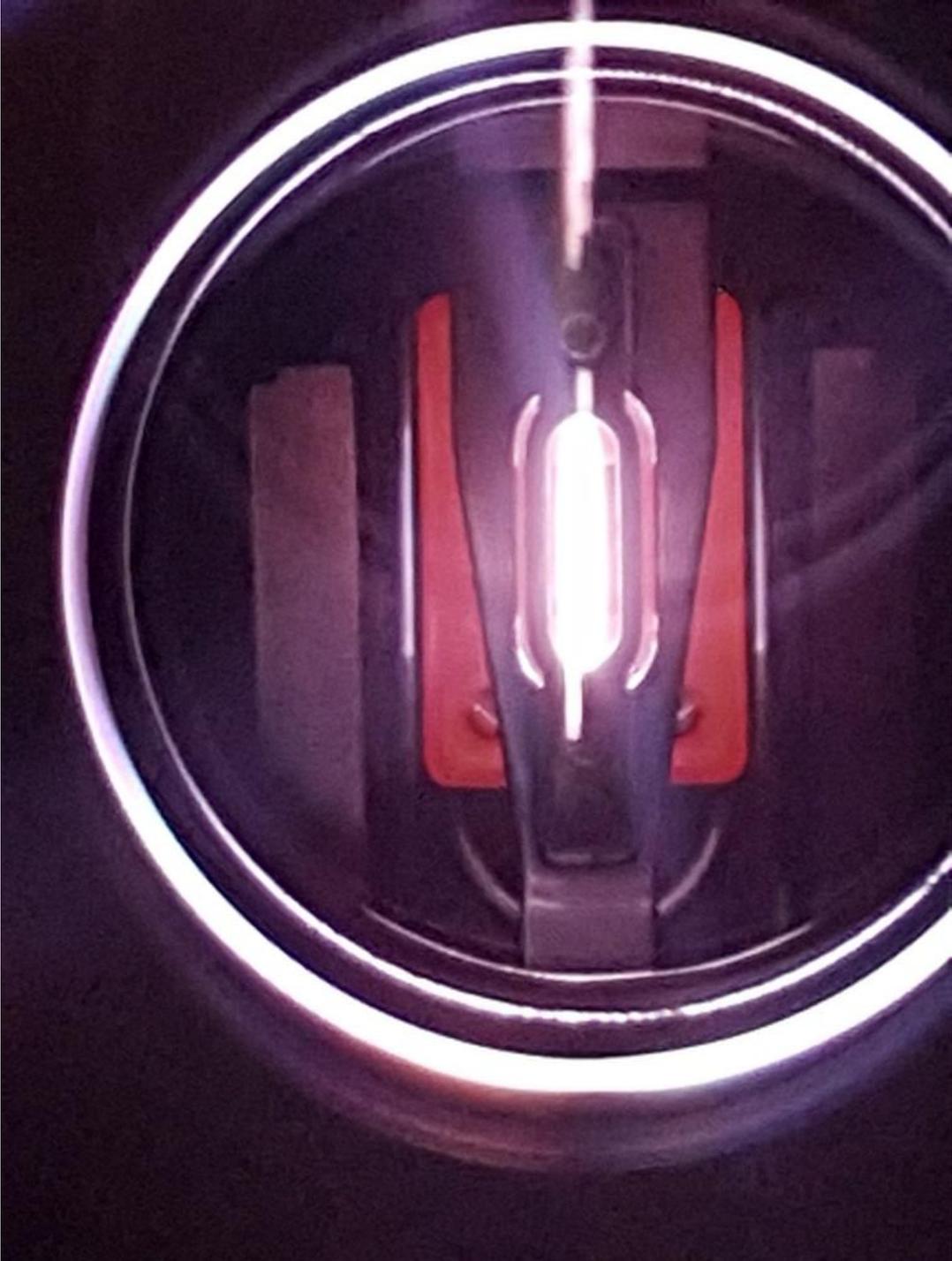
320 C			
Most clearance		Length	Width
	Anode	33.560	8.533
	Source body	33.681	8.551
	Difference	0.121	0.018
	Inter/Clear	Clearance	Clearance
Least Clearance			
	Anode	33.570	8.541
	Source body	33.661	8.541
	Difference	0.091	0.000
	Inter/Clear	Clearance	Clearance

Anode length and width tolerances modified to:  
+0.04/+0.03 and +0.048/+0.038

# Thermal Contact Resistances

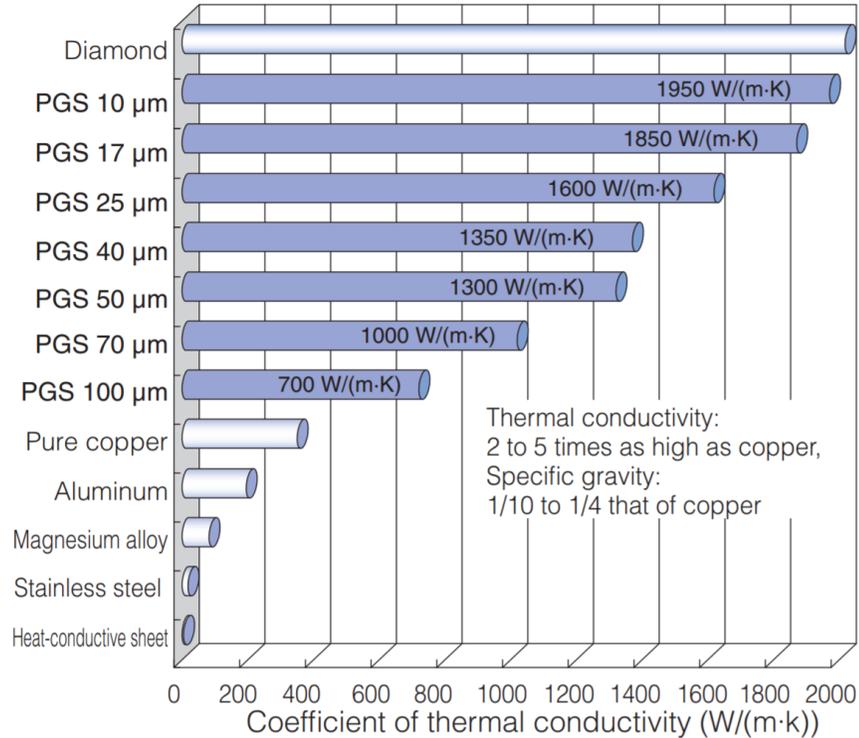
Ra = 0.8  $\mu\text{m}$  improved to Ra = 0.4  $\mu\text{m}$

Aperture plate cooling relies on good thermal contact



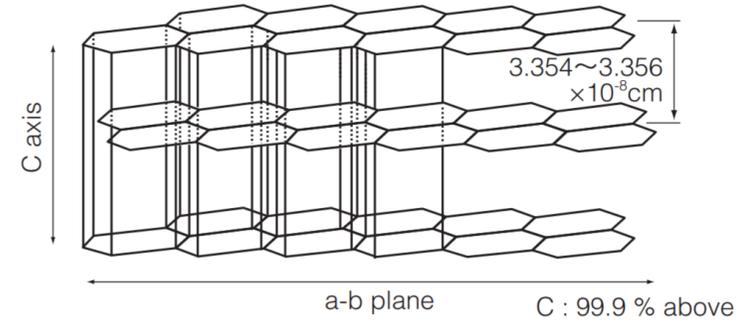
overheating  
aperture plate

### Comparison of thermal conductivity (a-b plane)



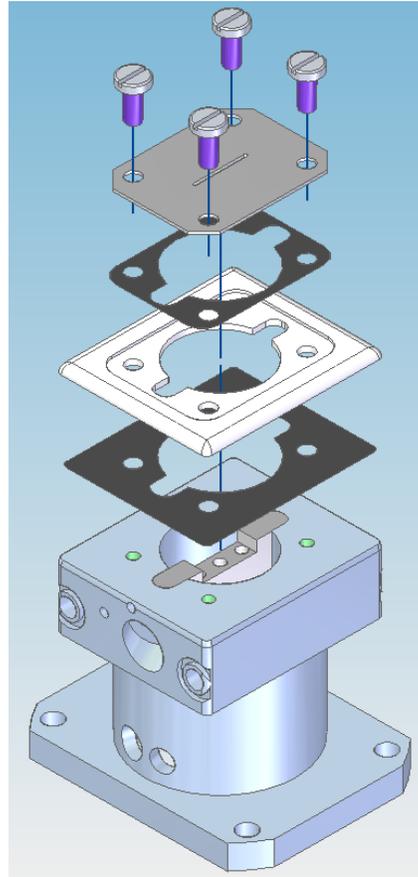
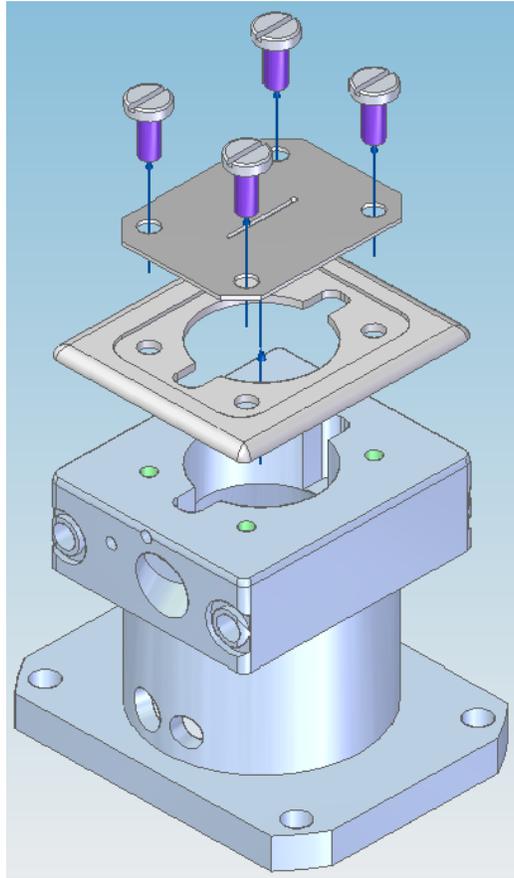
2.5x conductivity of copper!

### Layered structure of PGS



**Laser cut  
70 μm thick  
PGS thermal  
interface gasket**

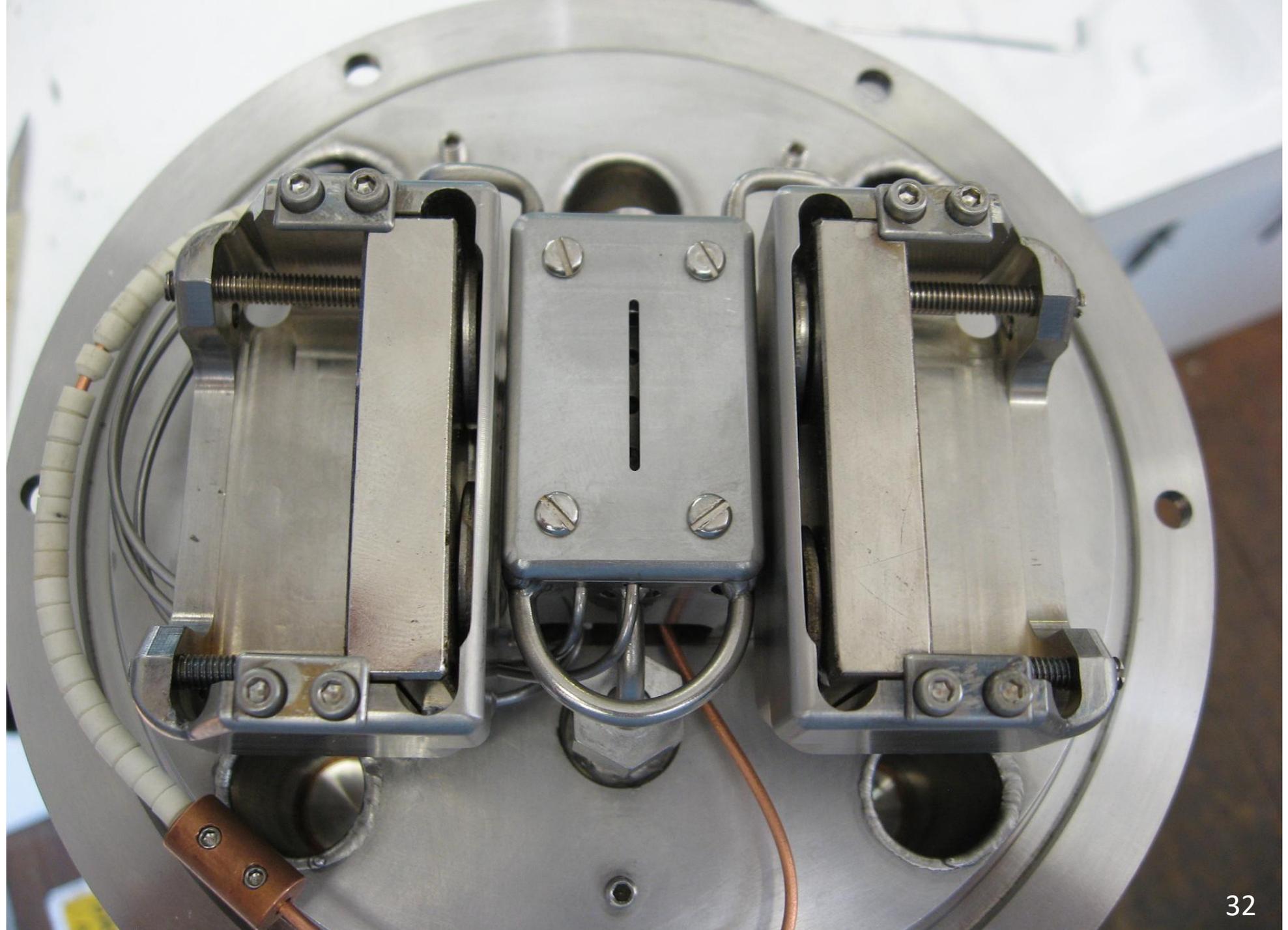
# PGS allows biasable aperture plate



Not implemented on  
scaled source

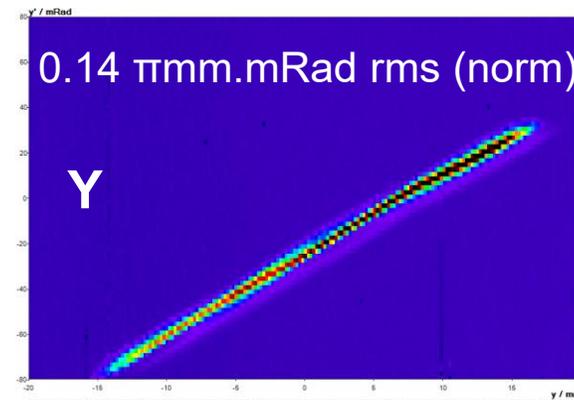
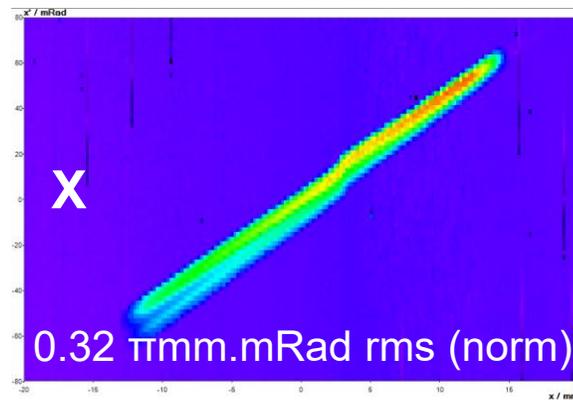
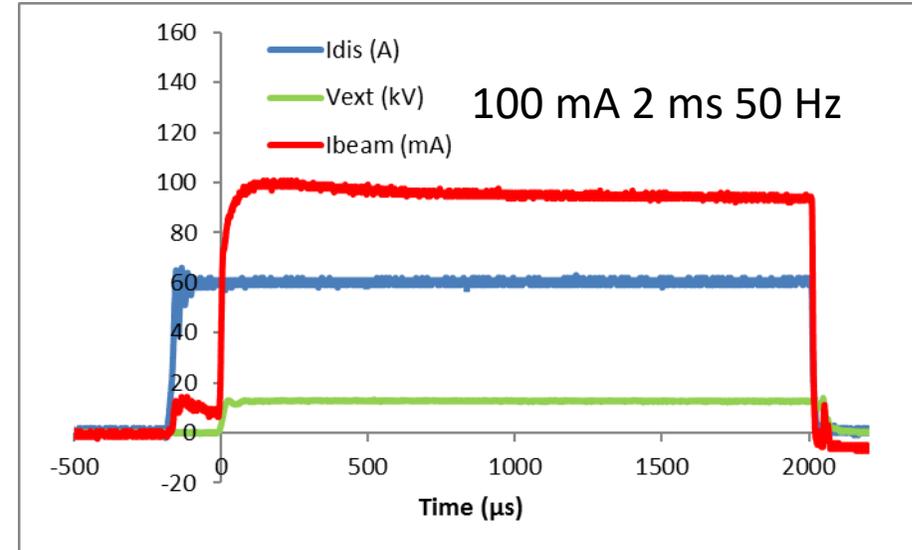
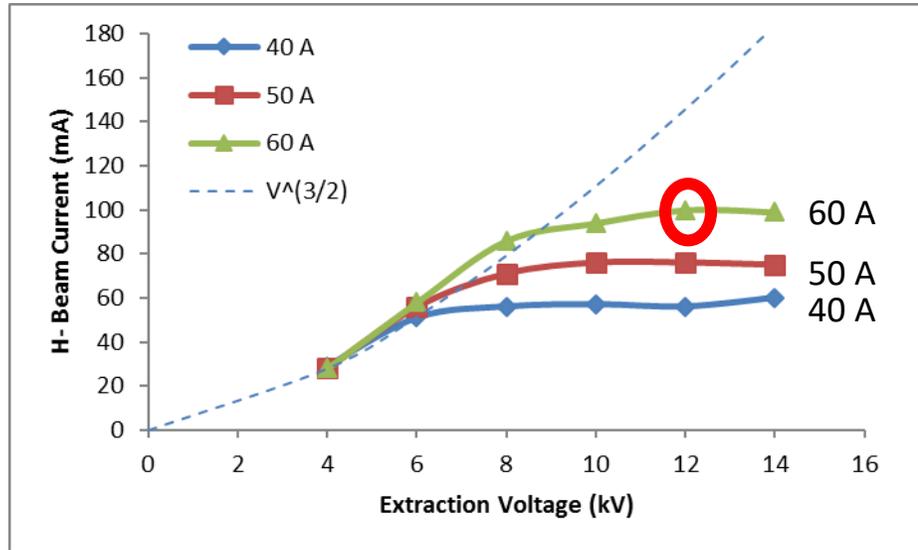
# Magnetic Penning Field

- Cathode separation is doubled in the 2X source
- Penning field should be halved
- 0.084 T found to be best after experimentation



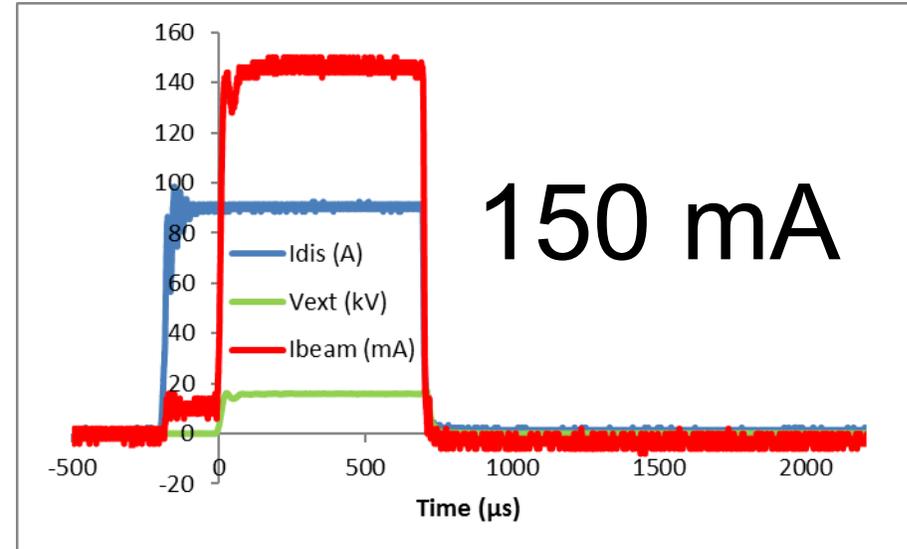
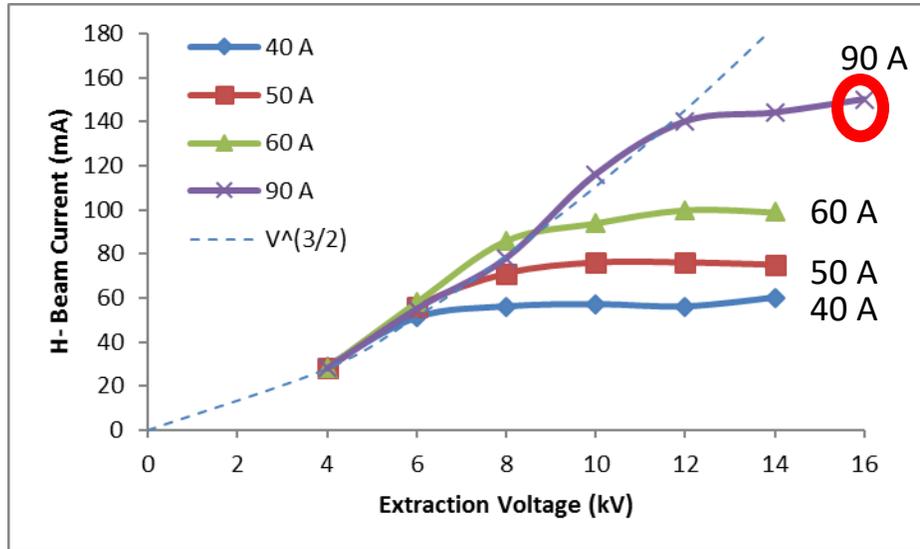


# ISIS 2X Penning SPS Full Duty Cycle Results



60 A discharge  
12 kV extraction voltage  
35 keV beam  
210°C Cs oven!

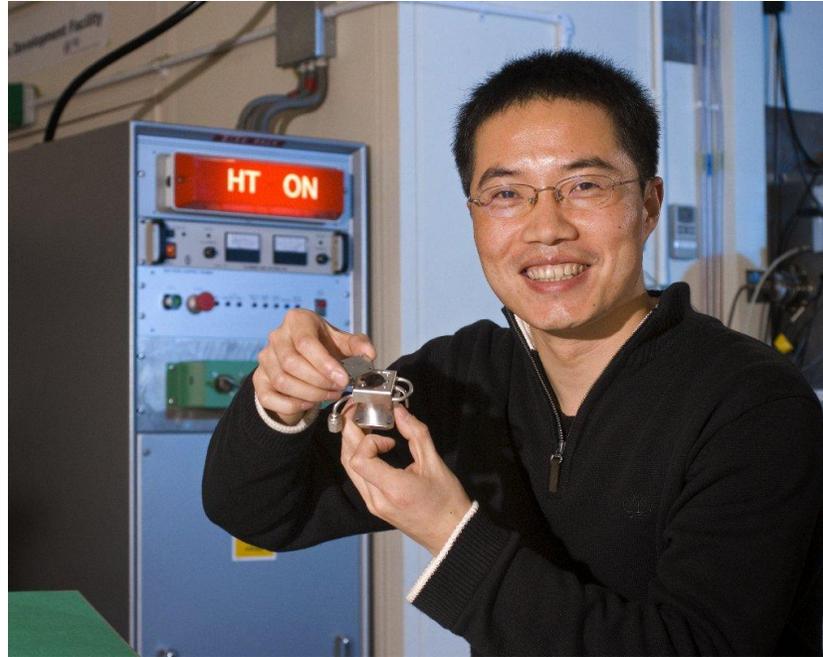
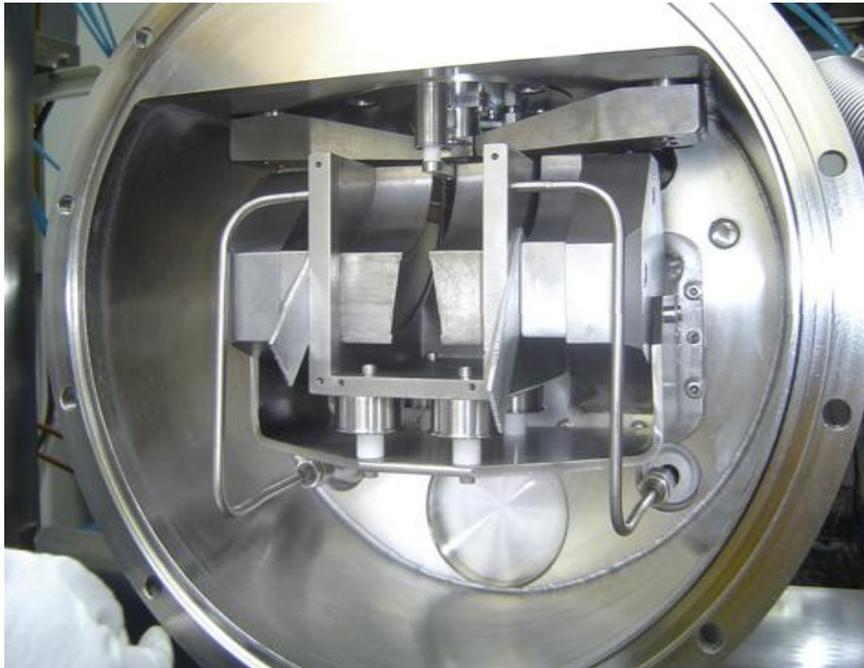
# Shorter 700 $\mu\text{s}$ Pulse at 90 A



90 A discharge  
16 kV extraction voltage  
35 keV beam  
210°C Cs oven  
150 mA 700  $\mu\text{s}$  50 Hz

# ISIS Source Around the World

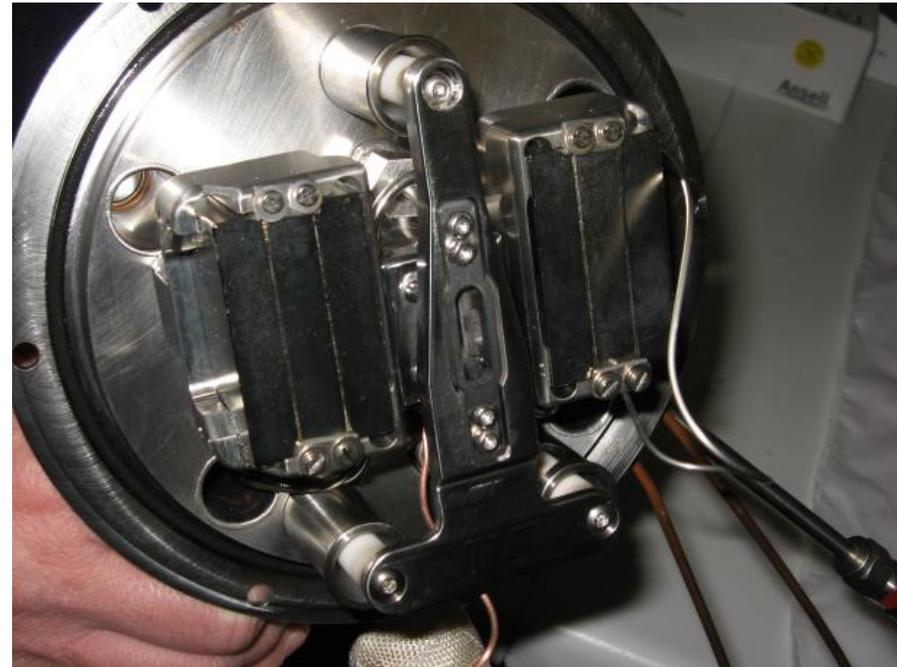
IHEP China copied the ISIS source on CSNS



中国散裂中子源  
China Spallation Neutron Source

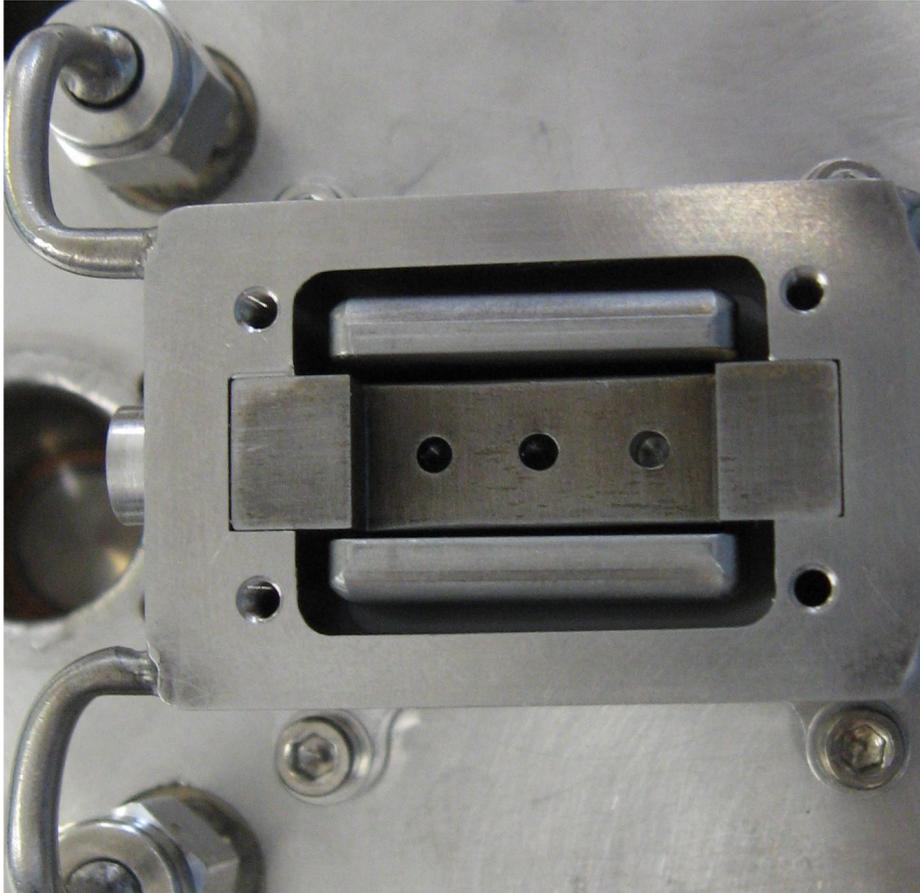
# ISIS Source Around the World

University of the Basque Country developed an Ion Source Test Stand in collaboration with ISIS

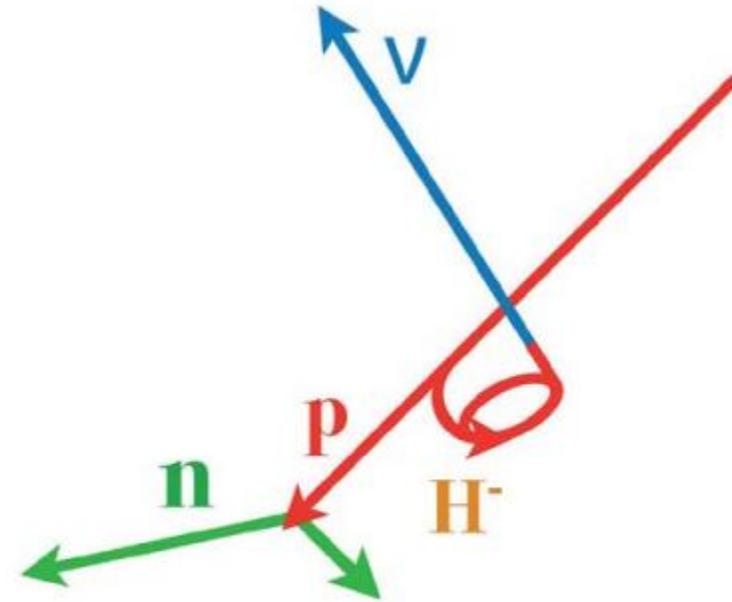


ESS Bilbao

# ISIS Source Around the World



The 2X Penning is a source candidate



The ESS  
Neutrino Super  
Beam Project

# How the Penning Source Ended the Cold War

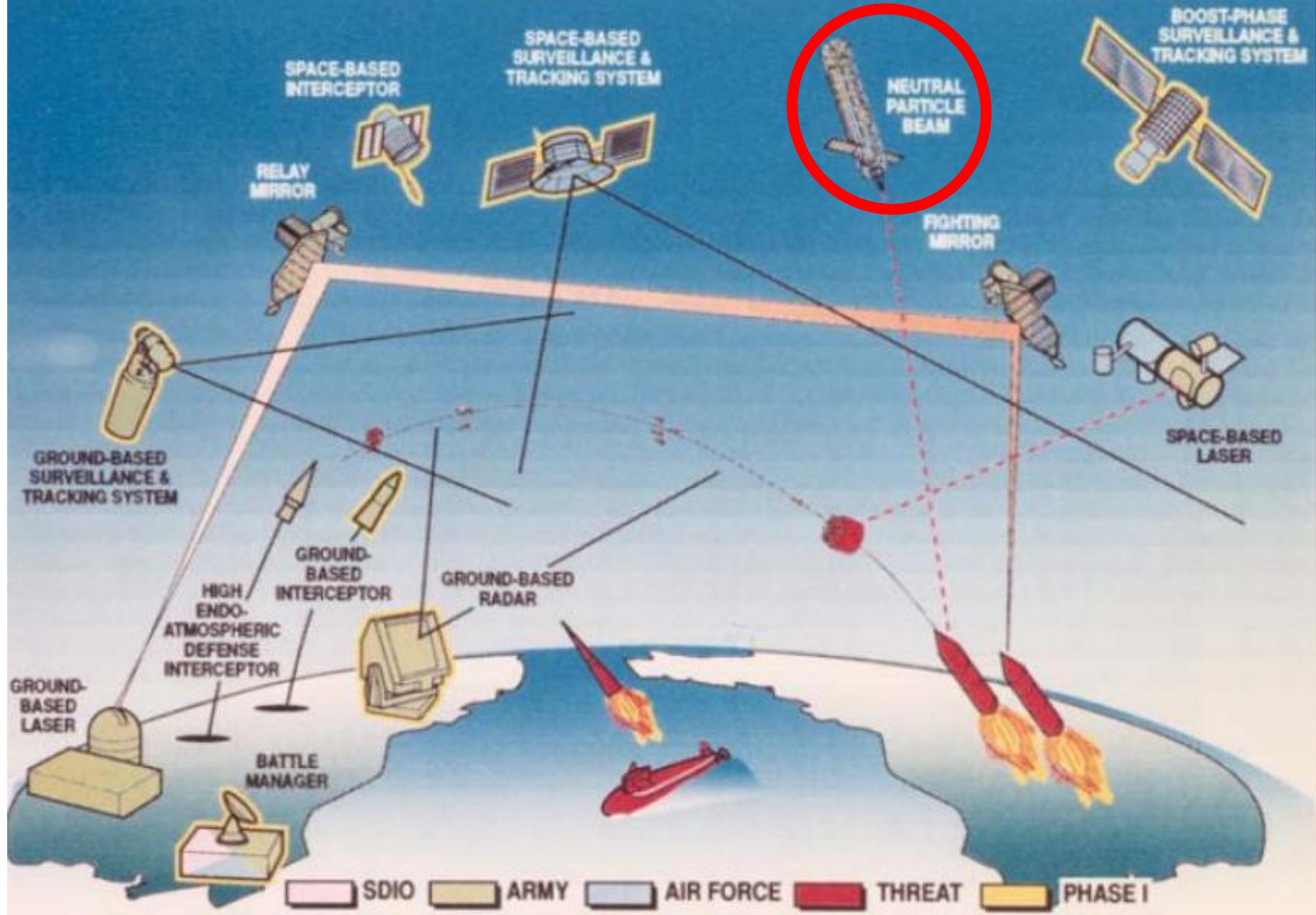


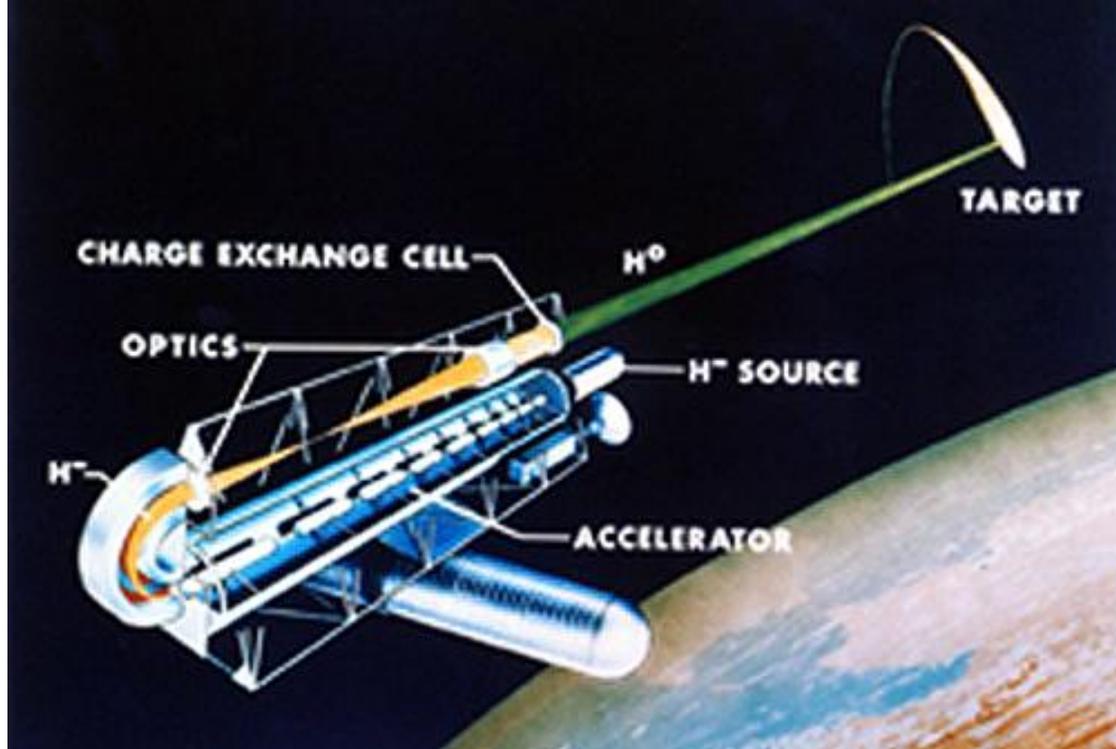
MAD Strategy:  
**M**utually  
**A**ssured  
**D**estruction

# Star Wars



23 March 1983:  
Regan announces the Strategic Defence Initiative (SDI)





# Beam Experiment Aboard Rocket (BEAR)

**13 July 1989:**

H<sup>-</sup> ions from a Penning ion source  
10 mA, 50  $\mu$ s pulses at 5 Hz  
425 MHz 1 MeV RFQ  
Gas-cell neutralizer  
Los Alamos National Laboratory

11-minute flight to a maximum altitude of 195 km



# Less than 4 months later..

9 November 1989



The End

Thank you for listening  
Questions?