DEEP UNDERGROUND NEUTRINO EXPERIMENT

The DUNE experiment and its Far Detectors

Jaime Dawson Laboratoire AstroParticule et Cosmologie, Paris



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Illustration by Sandbox Studio/Symmetry Magazine



Neutrino Oscillation

$$\begin{pmatrix} \boldsymbol{\nu}_{e} \\ \boldsymbol{\nu}_{\mu} \\ \boldsymbol{\nu}_{\tau} \end{pmatrix} = \begin{pmatrix} \boldsymbol{U}_{e1} & \boldsymbol{U}_{e2} & \boldsymbol{U}_{e3} \\ \boldsymbol{U}_{\mu 1} & \boldsymbol{U}_{\mu 2} & \boldsymbol{U}_{\mu 3} \\ \boldsymbol{U}_{\tau 1} & \boldsymbol{U}_{\tau 2} & \boldsymbol{U}_{\tau 3} \end{pmatrix} \begin{pmatrix} \boldsymbol{\nu}_{1} \\ \boldsymbol{\nu}_{2} \\ \boldsymbol{\nu}_{3} \end{pmatrix}$$

Pontecorvo – Maki – Nakagawa – Sakata (PMNS) matrix

- 3 mixing angles
- 1 CP phase

$$U = \begin{pmatrix} \cos\theta_{12} & \sin\theta_{12} & 0 \\ -\sin\theta_{12} & \cos\theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \cos\theta_{13} & 0 & \sin\theta_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -\sin\theta_{13}e^{i\delta} & 0 & \cos\theta_{13} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos\theta_{23} & \sin\theta_{23} \\ 0 & -\sin\theta_{23} & \cos\theta_{23} \end{pmatrix}$$

solar atmospheric

• 2 mass splittings Δm_{ij}^2

•
$$\theta_{13} \rightarrow \delta_{cp}$$
 and sign of Δm_{31}^2





Global Fits





Long Baseline Experiments



$$\alpha = \frac{\Delta m_{21}^2}{\Delta m_{31}^2} \qquad \Delta = \frac{\Delta m_{31}^2 L}{4E} \qquad A = +G_f N_e \frac{L}{\sqrt{2}\Delta}$$

- v_e appearance: mass hierarchy, δ_{CP} and octant of θ_{23}
- v_{μ} disappearance: high precision $|\Delta m_{32}|$ and $\sin^2 2\theta_{23}$, constrain octant



Long Baseline Experiments



Compare oscillation probabilities P($\nu_{\mu} \rightarrow \nu_{e}$) to P($\overline{\nu}_{\mu} \rightarrow \overline{\nu}_{e}$)

They are not the same due to:

- 1) $\delta CP \neq 0$ or π (CP violation!!)
- 2) asymmetry due to matter effects (the Earth is made of matter)

- v_e appearance: mass hierarchy, δ_{CP} and octant of θ_{23}
- v_{μ} disappearance: high precision $|\Delta m_{32}|$ and $\sin^2 2\theta_{23}$, constrain octant



Long Baseline Experiments



If the baseline is long enough, the matter effect dominates, and δCP and neutrino mass ordering disentangle.





Long Baseline Experiments – Neutrino Signal

Real v_{μ} as seen by μ BooNE Neutrino flavour determined by outgoing lepton

Depending on neutrino energy, interactions can be quite complex (multiple products and showers)









- T2K Far Detector is Water Cherenkov (SuperK 50 ktons)
- Baseline is 295 km
- Both have narrow-band beams (off-axis) peaked at 0.6 GeV (T2K) and 1.9 GeV (NOVA)
- Most events are CCQE

- NOVA has functionally identical Near and Far detectors (finely grained liquid scintillator; 14kton far)
- Baseline is 810km
- Higher neutrino energy
 - DIS occurs





Long Baseline Experiments – **State of the Art** T2K

- Preference for maximal CP violation (confidence intervals at 3σ) and Normal Ordering
- Disfavours Inverted Ordering (at 1.9σ)



Collaborations are working towards a joint analysis \rightarrow 2021



Long Baseline Experiments – Future

Higher power beam (more stats)

Make a spectral measurement – use a wide band beam

And a longer baseline (completely disentangle mass ordering and CP violation)

Make a spectral measurement

use a wide band beam

highly performing detectors



Measurement range spans 2 oscillation peaks Gain additional power on deltaCP

Downside – hit all forms of neutrino interactions. Need highly performing Near Detectors...



DUNE: international collaboration

- 1069 collaborators from 177 institutions in 31 countries
- 578 faculty, 184 postdocs, 109 engineers, 198 Ph.D. students



Armenia (3), Brazil (31), Canada (1), CERN (37), Chile (3), China (2), Colombia (8), Czech Republic (11), Spain (35), Finland (4), France (38), Greece (5), India (44), Iran (2), Italy (66), Japan (7), Madagascar (4), Mexico (10), The Netherlands (6), Paraguay (4), Peru (7), Poland (6), Portugal (6), Romania (7), Russia (10), South Korea (5), Sweden (1), Switzerland (30), UK (146), Ukraine (4), USA (528)



DUNE is next generation neutrino oscillation experiment



Physics goals :

Neutrino oscillations : measure v_{μ} disappearance + v_{e} and v_{τ} appearance (both neutrino and anti-

neutrino modes)

Mass Ordering, leptonic CP Violation discovery, θ_{23} octant and more in a single experiment +

physics beyond the Standard Model

Large underground detectors : Nucleon Decay searches, SuperNovae core collapse etc



DUNE is next generation neutrino oscillation experiment



Far detectors at SURF: 4 x 10 kt (fiducial) Liquid Argon TPCs 1.5 km underground 1.2 MW wide-band beam from Fermilab (upgradable to 2.4 MW)

Near Detector to measure initial composition



Long Baseline Neutrino Facility





LBNF beam



- 120 GeV Main Injector proton beam
- 1.2 MW initial beampower, upgradeable to2.4 MW
- Beamline and focusing system optimized for CP violation sensitivity



Near detector system at Fermilab



Predict the neutrino spectrum at the FD Measure interactions on Ar Measure neutrino energy Constrain x-section model Measure neutrino flux Obtain data with different fluxes Monitor the neutrino beam

Liquid Argon TPC Multi-Purpose Detector - HP gaseous Argon TPC + ECAL + Magnet 3D Scintillating Tracking Spectrometer



Far detector at SURF



- Sanford Underground Research Facility in Lead, South Dakota
- Four 10-kt Fiducial LAr TPC modules, located 1.48 km underground
- Excavation
- first module operational in 2024
- Start of run: 2 FD modules (20 kt), 1.2 MW beam power, with ND
- +1 year: 3 FD modules (30 kt)
- +3 years: 4 FD modules (40 kt)
- +6 years: upgrade to 2.4 MW beam



Neutrino Oscillations

Measure appearance and disappearance for both neutrino and anti-neutrinos

disentangle Mass Ordering and CP effects Spectral measurement - 1st and 2nd maxima



DUNE Technical Design Report (TDR) arXiv:2002.03005



sensitivity to CPV and Mass Ordering



systematic uncertainties, and ND, in TDR



LAr TPCs

- Gigantic detectors deep underground with Excellent calorimetric and spatial resolution
- Wide off-beam physics program







non-beam physics: SuperNova burst

bin

Events per

Nire #

Uniquely DUNE is sensitive to ve ve + ${}^{40}Ar \rightarrow e^- + {}^{40}K^*$

DUNE Simulation 30.25-MeV v

5 cm

1.5 cm

- 5-50 MeV signals: efficient triggering + continuous data collection
- Monte Carlo studies ongoing with Marley generator and full simulation



3040

licks

Two detector technologies



Horizontal drift, distance 3.6 m Anode wires immersed in LAr Vertical Anode and Cathode Plane Assemblies (APA,CPA) 1 collection, 2 induction wires at 37.7°, wire pitch 5 mm Photon detectors: light guides + SiPM,embedded in APAs

Vertical drift, distance 12 m Ionization electrons extracted from LAr to gas Signal amplified in GAr by Large Electron Multiplier (LEM) Charge collected on 2 orthogonal views, 3mm

pitch

Photon detectors: PMTs below the cathode



Far Detectors





- Single Phase is modular
- Shorter drift lengths (and distance to photodetectors)
- Immersed cold electronics (inaccessible)

- Dual Phase is homogenous
- Drift is longer (12m) (and distance to photodetectors)
- Cold Electronics at the top of the detector (accessible)



protoDUNEs

- Giant Liquid Argon TPCs (LArTPC) one Single-Phase, one Dual-Phase hosted at the CERN neutrino platform
- Necessary R&D step towards the DUNE Far Detectors
 - Tests of all engineering solutions and installation procedures
 - Use full-size components identical to those planned for DUNE FD
- 300t fiducial mass of LAr
 - Technology demonstrators
 - Demonstrate long term performance and stability
- Charged particle test beams to characterise detector response over the energy range of interest for DUNE (~0.5 GeV to 8 GeV)



Both prototypes are installed at CERN, in a dedicated extension of the North Area



A history of Single Phase LAr TPCs

ICARUS T-600 @ CNGS (2010-2012, 760 tons LAr)



Argoneut @ FNAL (2009-2010, 240 kg LAr)



MicroBooNE @ FNAL (2015-ongoing , 170 tons LAr)







Successfully reconstructed neutrino events from CNGS beam (~17 GeV)

Small TPC, precise measurements of crosssections and neutrino interactions

Sterile neutrino search. Neutrino event selection and reconstruction. Leads to protoDUNE Single Phase





taken from A. Chatterjee

Single Phase protoDUNE



Successful Beam test in 2018 (Sept-Nov) – pions, protons, kaons from 0.3-7GeV (~4M triggers) Achieved stable running, >5ms electron lifetime,S/N > 20



A history of Dual Phase LAr TPCs

Small R&D TPCs





2007 ~ 2014





Charge Read Out Planes

Each CRP is 3m x 3m and contains:

1 x Extraction Grid 36 x Large Electron Multipliers (0.5m x 0.5m) 36 x Anodes







3x1x1 m³ (4t) fiducial volume, installed at CERN 500k cosmic events in summer-fall 2017

First results on

- charge amplification
- light detection
- LAr purity
 arXiv:1806.03317 [ins-det]
 B. Aimard et al, 2018, JINST 13, P11003

Technical problems encountered with

- Extraction Grid
- LEM HV

Gain needed for DUNE could not be demonstrated

Issues addressed for protoDUNE





Several milestones achieved

- LAr level stability over time
- Stable drift field for entire operation
- Equal charge splitting at the anode
- Purity compatible with a 4ms electron lifetime









arXiv:1806.03317 [ins-det] B. Aimard et al, 2018, JINST 13, P11003



Noise filtering 3D reconstruction Track selection





<dQ/dS> uniformity across the CRP



500k cosmic events in summer-fall 2017 example crossing muons

Using through-going muon tracks (top to bottom) And 3D reconstruction Calculate dQ/dS for each hit of track

Indicates electron lifetime







ProtoDUNE Dual-Phase







Fiducial mass is 300t

Half the drift length of DUNE FD Drift field 0.5 kV/cm \rightarrow -300 kV on cathode

Expected S/N > 20

36 photomultipliers

DUNE Interim Design Report Dual Phase - arXiv:1807.10340



Drift Field



Drift field objective is 0.5 kV/cm -300 kV on Dual-Phase cathode Cathode design is modular (3m x 3m) 60% optical transparency Maximum local field requirement <30kV/cm

High Voltage feedthrough based on ICARUS design same from Single/Dualphase

HV extender-degrader delivers HV to field rings and cathode

- April 2018 : field cage completed, stable operation at 150 kV in middle of cathode, ground on top and bottom
- Demonstrated OK for 0.5 kV/cm



Large Electron Multiplier



CFR-35 - NP02

LEMs are tested in gaseous argon 3 types have been tested (CFR-34, 35, 36)

Arrived at a LEM design: No trips > 64h No sparks Effective gain > 20



Further optimisation of active area



Charge Readout Planes



- June 2018 : first Charge Readout Plane (CRP) assembly completed
- August 2018 : first CRP cold-box test completed











Assembly completed March 2019 Purging, cooling, filling completed August 2019





Accessible Cold Electronics

Specially developed signal chimneys

• 16-channel Cryogenic ASIC amplifiers close to the anodes but are also externally accessible! On the tank deck (warm): digital electronics

- Based on uTCA standard
- 1 uTCA crate/ signal chimney
- AMC card 64 channels, 12 bit ADC, 2.5 MHz
- 10 AMC cards (64 channels/card)
- Total of 12 uTCA crates (7,680 channels)









Successfully tested on the 4t demonstrator





Light Read Out

Light signal gives the t0 of an event \rightarrow determines the z co-ordinate

36 PMTs (Hamamatsu R5912-02 MOD 8 inch) coated with TPB – as used in 4t demonstrator









Light Read Out

1uTCA crate for Light Read-Out electronics Designed to integrate into Global DAQ



Readout time of Dual Phase is <=4ms Light Read Out needs to timestamp cosmic ray muons occurring before and after trigger

ASIC – measurements at single ph.e. level (calibration, low energy signals)

Under-development 16 channels Anti-aliasing low pass filter

ADC: AD9249 65 MHz (max), 14 bits provides waveform with a window of \pm 4ms around the external trigger down-sampled to 400 ns

ASIC: CATIROC Provides auto-triggered channel-wise Q, t





Dual Phase Status

- ProtoDUNE Dual Phase has been operated from September 2019
 - Experiencing some technical issues
- Runs of Cosmic Ray muon data (~7 kHz muon rate)
- With CR muons
 - We can explore 3D response of detector
 - Determine operational parameters such as gain, purity etc
 - Demonstrate track reconstruction
 - Search for Michel electrons (low energy signal)
 - And more..





2022 Start FD Construction **2024** 1st FD Operational 2026 Beam Arrives

- DUNE will measure neutrino and anti-neutrino oscillations over a 1300 km baseline with gigantic (4x10kt fiducial) LAr TPCs
- Large underground detectors will provide other interesting physics (Nucleon decay, neutron-antineutron oscillations, SN etc)
- Large-scale detector prototypes, ProtoDUNE, are being tested at CERN
- DUNE TDR is on the archive arXiv:2002.03010 arXiv:2002.03008 arXiv:2002.02967 arXiv:2002.03005 volume 5 (Dual Phase) to come











Dual Phase

In Liquid

Ionization: ~8k electrons/mm from a mip

Recombination: electrons captured by their parent ions

Lifetime: electrons captured by impurities during drift

Single Phase measures >4ms lifetime!



In Gas

Extraction: probability of extraction > 90%

Amplification:

Townsend avalanches of electrons

Nominal Eamp is 33 kV/cm and ENC is 1500 electrons

S/N > 10 for a mip on both views









Long Baseline Experiments T2K⁻ State of the Art

Interactions are CCQE or CCRES



- Higher energy neutrinos means most interactions are not CCQE
- Deep Inelastic Scattering occurs





 \mathbf{v}_{μ} Appearance



	Expected Events (3.5 years staged)
ν mode	
$ u_{\mu}$ Signal	6200
$ar{ u}_{\mu}$ CC background	389
NC background	200
$ u_{ au} + ar{ u}_{ au}$ CC background	46
$ u_e + \bar{\nu}_e {\sf CC} {\sf background}$	8
$\bar{\nu}$ mode	
$ar{ u}_{\mu}$ Signal	2303
$ u_{\mu}$ CC background	1129
NC background	101
$ u_{ au} + ar{ u}_{ au}$ CC background	27
$ u_e + ar{ u}_e$ CC background	2

DUNE Technical Design Report (TDR) arXiv:2002.03005





ve Appearance

Expected Exerts (2 Exerce stared)
Expected Events (3.5 years staged)
mode
Signal NO (IO) 1092 (497)
Signal NO (IO) 18 (31)
otal Signal NO (IO) 1110 (528)
eam $\nu_e + \bar{\nu}_e$ CC background 190
C background 81
$_{\tau} + \bar{\nu}_{\tau}$ CC background 32
$\mu_{\mu} + \bar{ u}_{\mu}$ CC background 14
otal background 317
mode > 50c
Signal NO (IO) 76 (36)
Signal NO (IO) 224 (470) 🚆 🐠
otal Signal NO (IO) 월 35
eam $\nu_e + \bar{\nu}_e$ CC background 117
C background 38
$\tau_{\tau} + \bar{\nu}_{\tau}$ CC background 20
$\mu_{\mu} + \bar{\nu}_{\mu}$ CC background 5 10
otal background 180 5

DUNE Technical Design Report (TDR) arXiv:2002.03005







CP violation and theta_23











Simultaneous measurement of neutrino mixing angles and δ_{CP}





Systematic Uncertainties (CDR/IDR)

Source of	MINOS	T2K	DUNE
Uncertainty	ν_e	ν_e	ν_e
Beam Flux	0.3%	3.2%	2%
after N/F			
extrapolation		64 (24 (14 (14 (14 (14 (14 (14 (14 (14 (14 (1	54 JZ
Interaction	2.7%	5.3%	$\sim 2\%$
Model			
Energy scale	3.5%	included	(2%)
(ν_{μ})		above	
Energy scale	2.7%	2.5%	2%
(ν_e)		includes	
		all FD	
		effects	
Fiducial	2.4%	1%	1%
volume			
Total	5.7%	6.8%	3.6 %
Used in DUNE			$5\% \oplus 2\%$
Sensitivity			
Calculations			

DUNE Conceptual Design Report (CDR) arXiv:1512.06148, the DUNE signal normalization uncertainty is taken to Be 5% \oplus 2% in both neutrino and antineutrino mode, where 5% is the normalization uncertainty on the FD vµ sample and 2% is the effective uncorrelated uncertainty on the FD ve sample after fits to both near and far detector data and all external constraints

DUNE Conceptual Design Report (CDR) arXiv:1512.06148



v_e charged-current selection





DUNE-PRISM: off-axis ND





3D scintillator tracker (3DST)

- 1 cm³ scintillator cubes in a large array, read out with orthogonal optical fibers in three dimensions
- Same concept being pursued by T2K ND280 upgrade, called "Super-FGD"
- Excellent 4π acceptance –no hole at 90°
 Very fast timing: capable of tagging neutrons from recoils, and measuring energy from time-of-flight
- Could be placed in front of (or inside?) gas TPC, or operated in its own magnet with muon spectrometer





Far detector spectra, with cross section predictions vs. energy





Neutrino energy resolution





- Muons ~ 18% for contained tracks, 20% for exiting
- Electrons ~ 13%



Nucleon decay



upcoming TDR contains analyses with full simulation & reconstruction



neutron-antineutron oscillations

Baryon number violating BSM process Signature is a 'star' of charged and neutral pions



and other BSM physics

New particles: light dark matter, boosted dark matter, heavy neutral leptons Deviations from PNMS v mixing paradigm: non-standard v interactions, non-unitarity, CPT or Lorentz violation, large extra dimensions, v-trident production



Sterile neutrino searches

(v appearance at ND)

