

### 3-FLAVOUR OSCILLATION RESULTS FROM NOVA WITH 10 YEARS OF DATA

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## Neutrinos 101





# Why Study Neutrinos?





- Neutrinos are "weird":
  - Neutrino mixing looks very different from quark mixing.
  - Neutrino masses are tiny compared to rest of SM.

- Potentially CP-violating:
  - Window into matter-antimatter asymmetry.

#### **Open questions remain!**



# Neutrino Oscillations 101



• Create in one flavour ( $\nu_{\mu}$ ), but detect in another ( $\nu_{e}$ ).





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• Each flavour is a superposition of different masses.

$$\begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix} = \begin{pmatrix} \cos\theta & \sin\theta \\ -\sin\theta & \cos\theta \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix}$$



# Neutrino Oscillations 101







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3 angles, 1 complex phase.











$$|\nu_{k}(t,L)\rangle = e^{-i\frac{m_{k}^{2L}}{2E}}|\nu_{k}(0,0)\rangle$$

$$P\left(\nu_{\alpha} \rightarrow \nu_{\beta}\right) \sim P\left(U(\theta_{23}, \theta_{13}, \delta, \theta_{12}), \Delta m_{21}^{2}, \Delta m_{32}^{2}, \Delta m_{31}^{2}, \frac{L}{E}\right)$$

$$\Delta m_{ij}^{2} \equiv m_{i}^{2} - m_{j}^{2}$$

$$U = \begin{bmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{bmatrix} \begin{bmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{bmatrix} \begin{bmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

$$\overset{\text{"Atmospheric" "Reactor" sector sector$$













## Mass Ordering & MSW Effect





Normal Ordering

Inverted Ordering

- Probe this using the matter effect.
- Electron neutrinos experience additional interactions with electrons in matter compared to other flavours.
- Different for neutrinos and anti-neutrinos -> **fake CP**!



## We Love the Matter Effect!



•  $\bar{\nu}_{\mu} \rightarrow \bar{\nu}_{e}$  enhanced in IO, suppressed in NO.





- • $\nu_{\mu} \rightarrow \nu_{e}$  depends on:
  - Mass ordering and matter effects.
  - Octant of  $\theta_{23}$ .
  - CP phase:  $\delta_{CP}$ .







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#### Normal Ordering

Inverted Ordering





- • $\nu_{\mu} \rightarrow \nu_{e}$  depends on:
  - Mass ordering and matter effects.
  - Atmospheric parameters:  $\sin^2(\theta_{23}), \Delta m^2_{32}$
  - CP phase:  $\delta_{CP}$ .

$$P(\nu_{\mu} \to \nu_{e}) \neq P(\bar{\nu}_{\mu} \to \bar{\nu}_{e})?$$





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$$\delta_{CP} = \pi/2$$





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  - Mass ordering and matter effects.
  - Atmospheric parameters:  $\sin^2(\theta_{23}), \Delta m_{32}^2$
  - CP phase:  $\delta_{CP}$ .







- Neutrinos are well worth studying!
- There are 7 parameters governing 3-flavour oscillation.
- NOvA is interested in 3.
- Make measurements by measuring muon neutrino disappearance probabilities ( $P(\nu_{\mu} \rightarrow \nu_{\mu})$ ) and electron neutrino appearance probabilities ( $P(\nu_{\mu} \rightarrow \nu_{e})$ ).



# NOvA Experimental Setup





# NOvA Overview





- Long-baseline neutrino oscillation experiment.
  - NuMI **neutrino beam** at Fermilab.
  - **Near detector** to measure beam before oscillations.
  - **Far detector** measures the oscillated spectrum.
- **Primary goal,** measurement of 3flavour oscillations via:

$$\begin{array}{c} \nu_{\mu} \rightarrow \nu_{\mu} , \nu_{\mu} \rightarrow \nu_{e} \\ - \bar{\nu}_{\mu} \rightarrow \bar{\nu}_{\mu} , \bar{\nu}_{\mu} \rightarrow \bar{\nu}_{e} \end{array}$$

- Other goals include:
  - Search for sterile neutrinos.
  - Neutrino cross sections.
  - Supernova neutrinos.
  - Cosmic ray physics.



## The NOvA Collaboration





#### > 260 people, ~ 50 institutions, 8 countries



## How We Make Neutrinos: NuMI Beam





Far Detector





## The NOvA Detectors





- Both are large, (FD 60 m long).
- Functionally identical: consist of extruded PVC cells filled with 11 million litres of liquid scintillator.
- Arranged in alternating directions for 3D reconstruction.



## The NOvA Detectors





• Light produced when charged particle passes through cells.

- The light is picked up by wavelength shifting fibre. Transported to an Avalanche PhotoDiode light collected and amplified.
- Good timing resolution. ~ few ns.



# Analysis Methodology







Observe flavour change as a function of energy over a long distance while mitigating uncertainties on neutrino flux, cross sections and detector response.























## Selection: Cosmic Rejection





Cosmic rejection critical for FD: 11 billion cosmic rays/day



# Selection: $\nu_{\mu}$







# Selecting & Identifying Neutrinos





- Use **convolutional neural network** technique from deep learning.
  - NOvA was first HEP experiment to use CNN for PID.
- Successive layers of "feature maps":
  - Create many variants of original image which enhance different features.
  - Variations which are best for enhancing most important features for PID are learned.
  - Output is a **multi-label classification**.
- Improvement in sensitivity equivalent to 30% more exposure.



# Expanding $\nu_e$ Candidate Selection



- For NOvA's energy range and baseline the effect of the mass ordering is largest at lower energies in the range.
- Challenging for NOvA predicted number of events in this region is small.
- Pursuing these events with a new BDT classifier.



## Expanding $\nu_e$ Candidate Selection



- Good separation in some regions.
- Only have sufficient statistics in the neutrino beam mode sample. Analogous sample in antineutrino beam mode is currently too small.
- Provides increase in sensitivity to the mass ordering of ~ few %.


# Near Detector, $\nu_{\mu}$



**NOvA Preliminary** 



- Band around MC shows the large impact of flux and cross-section uncertainties when using a single detector.
- Use samples as a data constraint on what we predict at the Far Detector.
- These samples are used to predict both the  $\nu_{\mu}$  and the  $\nu_{e}$  signal spectra at the Far Detector.
- Appearing  $\nu_e$ 's are still  $\nu_\mu$ 's at the Near Detector.



# Extrapolation



Observe data-MC differences at the ND, use them to modify the FD MC.

- Significantly reduces the impact of uncertainties correlated between detectors.
  - Especially effective at rate effects like the flux (7% to 0.3%).





# Impact of Systematic Uncertainty



- Overall systematic reduction is 10 to 15 percentage points.
- Systematics related to neutron propagation and detector response are now subdominant.



### **Detector Characterisation**



- Improved model of light production in the mineral oil (scintillation and Cherenkov) in both detectors.
- Dedicated bench measurements and studies of stopping proton and muon candidates in data.
- Difference between MENATE and default GEANT4.10.4 used to motivate a systematic uncertainty.
- In future analyses MENATE will become part of our nominal simulation.



# Oscillation Fit





- All results come from a joint fit to neutrinos + antineutrinos, electron + muon.
- Other PMNS parameters are constrained by PDG with one exception.
- Poisson log-likelihood ratio, systematics ~60 nuisance parameters.
- Bayesian approach using Markov Chain Monte Carlo to sample posterior probability distribution and build credible intervals.



## Oscillation Fit





## Results





#### $\nu_{\mu}$ and $\bar{\nu}_{\mu}$ Data at the Far Detector







## $\nu_e$ and $\bar{\nu}_e$ Data at the Far Detector







# $\nu_2 - \nu_3$ Sector





Maximal mixing is allowed at  $< 1\sigma$  in both cases.

Mild upper octant preference w/ 1D constraint (Bayes Factor 2.2, 69% odds).





## $\nu_2 - \nu_3$ Sector





Most precise single experiment measurement of  $\Delta m_{32}^2$ .



# Mass Ordering with $\theta_{23}$ & $\delta_{CP}$



• Consistency with previous result (\*different reactor constraints used).

• Tighter contours almost everywhere.





• No strong asymmetry in the rates of appearance of  $\nu_{\rho}$  and  $\bar{\nu}_{\rho}$ .







- No strong asymmetry in the rates of appearance of  $\nu_e$  and  $\bar{\nu}_e$ .
- $\bullet$  Disfavour ordering- $\delta_{CP}$  combinations which would produce asymmetry.

Exclude IO 
$$\delta_{CP} = \frac{\pi}{2}$$
 at >  $3\sigma$   
Disfavour NO  $\delta_{CP} = \frac{3\pi}{2}$  at ~ $2\sigma$ 





- No strong asymmetry in the rates of appearance of  $\nu_e$  and  $\bar{\nu}_e$ .
- $\bullet$  Disfavour ordering- $\delta_{CP}$  combinations which would produce asymmetry.

#### **Prefer:**

Normal ordering with Bayes Factor 3.2, 76% odds (frequentist significance  $1.4\sigma$ ).









Mass ordering preference is strengthened by the application of the reactor constraint. Expected: Phys. Rev. D 72: 013009, 2005

#### \*Frequentist significance.



# Summary



- First new 3 flavour neutrino oscillation result from NOvA since 2020:
  - Doubled neutrino-mode dataset and have analysed 10 years of neutrino and antineutrino data.
  - Updated simulation including improved light response model and neutron propagation uncertainty.
  - Expanded our selection with new low energy electron neutrino candidate sample.
  - The most precise single experiment measurement of  $\Delta m^2_{32}$  (1.5%).
  - Data favours a region where **matter and CP violation effects are degenerate**.
- Strong synergy with with reactor measurements:
  - $\blacktriangleright$  Constraint on  $\theta_{13}$  enhances upper octant preference (69% odds).
  - Constraint on  $\Delta m^2_{32}$  enhances normal ordering preference (87% odds).
- Compelling future oscillation prospects for NOvA!
  - Collect as many antineutrinos as we can before 2027 important for untangling degeneracies.
  - Analysis of test beam data on-going reduce uncertainties related to detector energy scale.
  - NOvA & T2K are actively exploring the scope and timeline for the next steps to take joint fit work forward.



#### Questions?













### T2K-NOvA Joint Fit





# Combining Long-baseline Experiments





# Combining Long-baseline Experiments





# Why Combine T2K & NOvA?



- Complementarity between the two experiments provides the power to break degeneracies.
  - Joint Analysis probes different oscillation environments, lifting degeneracies of individual experiments.
- In-depth review of:
  - Models, systematic uncertainties and possible correlations.
  - Different analysis approaches driven by contrasting detector design.
- Full implementation of:
  - Energy reconstruction and detector response of both experiments.
  - Combined detailed likelihood of both experiments.
  - Consistent statical inference across full dimensions of phase space.





**CP** Violation



- Jarlskog-invariant is parameterisationindependent\* way to measure CP violation.
- $J = \sin \theta_{13} \cos^2 \theta_{13} \sin \theta_{12} \cos \theta_{12} \sin \theta_{23} \cos \theta_{23} \sin \delta_{CP}$  $J = 0 : CP \text{ conversed}, J \neq 0 : CP \text{ Violation}$
- J = 0 lies outside of the  $3\sigma$  credible interval for the Inverted Ordering.
- For Normal Ordering, a considerably wider range of probable values for *J*.



<u>\*Phys. Rev. D 100, 053004 (2019)</u>







#### A Bit About Me...





• Collaborator in the NOvA & DUNE experiments.



### The NOvA Collaboration





QMUL is one of the collaboration's newest institutions.



# How We Make Neutrinos: NuMI Beam



- 120 GeV protons from main injector onto graphite target.
- Spill every ~1.5 s, lasts 10 us.
- Hadron spray directed by focussing horns ( $\pm$  200 kA, FHC/RHC).
- Pions decay (mostly) to muon/muon neutrino pairs.





# How We Make Neutrinos: NuMI Beam





**NOvA Simulation** 





### How to Detect a Neutrino





- Observe charged particles after a neutrino interacts with a nucleus.
- Lepton:
  - $\nu_{\mu} \text{ CC} \rightarrow \mu^{-}, \nu_{e} \text{CC} \rightarrow e^{-}.$
  - NC, no visible lepton.

- Hadronic shower:
  - May contain protons, one or more  $\pi^{\pm}$ , etc.
  - May have EM components from  $\pi^0 
    ightarrow \gamma\gamma$







- Even with pulsed beam and excellent timing resolution, still a significant amount of cosmic background.
- Basic quality:
  - Number of hits, track angle, reasonable energy reconstructed.









- Even with pulsed beam and excellent timing resolution, still a significant amount of cosmic background.
- Containment cuts:
  - Vertices in the fiducial volume.
  - Event contained within the detector.









- Even with pulsed beam and excellent timing resolution, still a significant amount of cosmic background.
- PID:
  - Deep learning approach.









- Even with pulsed beam and excellent timing resolution, still a significant amount of cosmic background.
- Cosmic BDT:

- Tuned to reject cosmic ray events.





## Selection





- Electron neutrino sample has second 'peripheral' sample containing high-confidence electron neutrino events close to detector walls.



## **Energy Reconstruction**






### **Extrapolating Kinematics**



FD

• Split the ND sample into 3 bins of  $p_{tr}$ extrapolate each separately to the FD. - Effectively "rebalances" the kinematics to better match between the detectors.

1.2

- Re-sum the  $p_t$  bins before fitting.



### Systematic Uncertainties with $p_t$ Extrapolation $\Sigma$



• Overall systematic reduction is 5-10%.

- 30% reduction in cross-section uncertainties.
  - Reduces the size of systematics most likely to contain "unknown unknowns."
  - Slight increase in systematics on lepton reconstruction.



- Understanding of neutrino interactions is constantly evolving.
- Upgrade to GENIE 3.0.6, gives freedom to chose the models.
- Even with many updated models, some custom tuning required.
  - **FSI**: tuned using external pion scattering data.
  - MEC/Multi-nucleon: tuned to NOvA ND data.



### **NOvA Preliminary**

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10<sup>4</sup> Events



## Improving Sensitivity to Oscillations





- Sensitivity depends primarily on the shape of the energy spectrum.
- Bin by energy resolution: bins of hadronic energy fraction.



- Sensitivity depends primarily on separating signal from background.
- Bin by purity: bin of low and high PID + peripheral.



## Daya Bay / NOvA Correlations





### J. Wolcott

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## Models & Systematics



Challenge: Decide what common physics parameters the two experiments have, should they be correlated and by how much.



#### Z. Vallari



# **Studying Correlations**





- Strategy: evaluate a range of artificial scenarios to asses the impact of possible correlations:
  - E.g, fabricate parameters for each experiment which should have significant bias on  $\Delta m_{32}^2$  and  $\sin^2 \theta_{23}$  (size of uncertainty comparable to the statistical uncertainty).
  - Study the impact of fully correlating, uncorrelating and fully anti-correlating these parameters.
  - Uncorrelated and correctly correlated (full correlation) credible intervals agree very well while incorrectly correlating systematics shows a bias -> leaving systematics like these uncorrelated wouldn't have a significant impact in the analysis.



# **Studying Alternate Models**



- Ensure analysis is robust to **alternate neutrino interaction models**.
  - Generate **mock data** by changing part of simulation to use an alternative model.
  - Fit these mock datasets and check impact on oscillation results.
- Pre-decided thresholds for bias:
  - Change in width of 1D intervals should be no larger than 10%.
  - Change in central value should be no larger than 50% of systemic uncertainty.
- Investigated a range of alternative models at different oscillation points.
  - Example: suppression in single pion channel seen in MINERvA results\*.
  - No alternative model test failed the preset threshold for bias.



#### <u>\*Phys. Rev. D 100, 072005 (2019)</u>









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## Mixing Angles: $\theta_{23}$





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## **CP** Violation





• For both mass orderings:  $\pi$ 

-  $\delta_{CP} = \frac{\pi}{2}$  lies outside of the  $3\sigma$  credible interval.

- In the Normal Ordering:
  - Broad range of permissible  $\delta_{CP}$  values.
- In the Inverted Ordering:
  - CP conserving values  $\delta_{CP}=0$  and  $\delta_{CP}=\pi$  lie outside the  $3\sigma$  credible interval.





