

#### 3-FLAVOUR OSCILLATION RESULTS FROM NOvA WITH 10 YEARS OF DATA

Alexander Booth RAL PPD Seminar Series November 13th, 2024



#### Neutrinos 101





## Why Study Neutrinos?





- Neutrinos are "weird":
	- Neutrino mixing looks very different from quark mixing.
	- Neutrino masses are tiny compared to rest of SM.
- Potentially CP-violating:
	- Window into matter-antimatter asymmetry.

#### Open questions remain!



## Neutrino Oscillations 101



• Create in one flavour  $(\nu_\mu)$ , but detect in another  $(\nu_e)$ .





## Neutrino Oscillations 101



• Create in one flavour  $(\nu_\mu)$ , but detect in another  $(\nu_e)$ .



•Each flavour is a superposition of different masses.

$$
\begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix} \longrightarrow \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}
$$



## Neutrino Oscillations 101







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$$
\begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix} \quad \begin{array}{c} 3 \text{ flavours} \\ 3 \text{ flavours} \\ \nu_1 = \sum_{m=1}^3 U_{\lambda m}^* \nu_m \end{array}
$$









3 angles, 1 complex phase.











$$
|\nu_k(t,L)\rangle = e^{-i\frac{m_k^2L}{2E}}|\nu_k(0,0)\rangle
$$

$$
P(\nu_{\alpha} \rightarrow \nu_{\beta}) \sim P\left(U(\theta_{23}, \theta_{13}, \delta, \theta_{12}), \Delta m_{21}^2, \Delta m_{32}^2, \Delta m_{31}^2, \frac{L}{E}\right)
$$
  
\n
$$
U = \begin{bmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{bmatrix} \begin{bmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{bmatrix} \begin{bmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{bmatrix}
$$
  
\n
$$
{}^{\text{"Atmospheric"}}_{\text{sector}} \qquad {}^{\text{``Reactor''}}_{\text{sector'}} \qquad {}^{\text{``Solar''}}_{\text{sector}}
$$
  
\n
$$
{}^{\text{3 angles, 1}}_{\text{phase.}}
$$
  
\n
$$
\theta_{23} \qquad \theta_{13} \delta \qquad \theta_{12}
$$











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#### Mass Ordering & MSW Effect





Normal Ordering **Inverted Ordering** 

- Probe this using the matter effect.
- •Electron neutrinos experience additional interactions with electrons in matter compared to other flavours.
- Different for neutrinos and anti-neutrinos -> fake CP!



#### We Love the Matter Effect!  $\sim$  costs tter Effect!



- $\bullet$   $\nu_{\mu}$   $\rightarrow$   $\nu_{e}$  enhanced in NO, suppressed in IO.
- $\bar{\nu}_{\mu} \rightarrow \bar{\nu}_{e}$  enhanced in IO, suppressed in NO.





- $\bullet$   $\nu_{\mu} \rightarrow \nu_{e}$  depends on:
	- Mass ordering and matter effects.
	- Octant of  $\theta_{23}$ .
	- CP phase:  $\delta_{CP}$ .







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- $\bullet$   $\nu_{\mu} \rightarrow \nu_{e}$  depends on:
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	- Atmospheric parameters: sin<sup>2</sup>  $(\theta_{23})$ , Δm $_{32}^2$
	- CP phase:  $\delta_{CP}$ .

$$
P(\nu_{\mu} \to \nu_{e}) \neq P(\bar{\nu}_{\mu} \to \bar{\nu}_{e})?
$$





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- •Neutrinos are well worth studying!
- •There are 7 parameters governing 3-flavour oscillation.
- •NOvA is interested in 3.
- •Make measurements by measuring muon neutrino disappearance probabilities ( $P(\nu_{\mu} \rightarrow \nu_{\mu})$ ) and electron neutrino appearance probabilities  $(P(\nu_{\mu} \rightarrow \nu_{e})).$



## NOvA Experimental Setup





## NOvA Overview





810 km

- Long-baseline neutrino oscillation experiment.
	- NuMI neutrino beam at Fermilab.
	- **Near detector** to measure beam before oscillations.
	- **Far detector** measures the oscillated spectrum.
- Primary goal, measurement of 3 flavour oscillations via:

$$
- \nu_{\mu} \rightarrow \nu_{\mu} \cdot \nu_{\mu} \rightarrow \nu_{e}
$$
  

$$
- \bar{\nu}_{\mu} \rightarrow \bar{\nu}_{\mu} \cdot \bar{\nu}_{\mu} \rightarrow \bar{\nu}_{e}
$$

- Other goals include:
	- Search for sterile neutrinos.
	- Neutrino cross sections.
	- Supernova neutrinos.
	- Cosmic ray physics.

#### The NOvA Collaboration





#### > 260 people, ~ 50 institutions, 8 countries



#### How We Make Neutrinos: NuMI Beam





**Far Detector** 





#### The NOvA Detectors





- •Both are large, (FD 60 m long).
- •Functionally identical: consist of extruded PVC cells filled with 11 million litres of liquid scintillator.
- •Arranged in alternating directions for 3D reconstruction.



#### The NOvA Detectors





•Light produced when charged particle passes through cells.

- •The light is picked up by wavelength shifting fibre. Transported to an Avalanche PhotoDiode - light collected and amplified.
- •Good timing resolution. ~ few ns.
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### Analysis Methodology







Observe flavour change as a function of energy over a long distance while mitigating uncertainties on neutrino flux, cross sections and detector response.























#### Selection: Cosmic Rejection





Cosmic rejection critical for FD: 11 billion cosmic rays/day



#### Selection: *νμ*







### Selecting & Identifying Neutrinos





- Use convolutional neural network technique from deep learning.
	- NOvA was first HEP experiment to use CNN for PID.
- Successive layers of "feature maps":
	- Create many variants of original image which enhance different features.
	- Variations which are best for enhancing most important features for PID are learned.
	- Output is a multi-label classification.
- Improvement in sensitivity equivalent to 30% more exposure.



# Expanding *νe* Candidate Selection  $\mathbb{E}[\mathbf{E}(\mathbf{z})] = \mathbf{E}[\mathbf{E}(\mathbf{z})] =$



- $N/O, A'$ ooording sensitivity from  $\alpha$ νν το σποι gy range and largest at lower energies in the range. • For NOvA's energy range and baseline the effect of the mass ordering is
- $\frac{1}{2}$ • Challenging for NOvA - predicted number of events in this region is small.
- $J_{\text{max}}$  ,  $J_{\text$ •Pursuing these events with a new BDT classifier.



#### Expanding *νe* Candidate Selection  $\lim g \nu_e$  Candidate Selection



- Good separation in some regions.  $\overline{a}$  depends on oscillation parameters) on oscillation parameters) on  $\overline{a}$
- $\bullet$  Only have sufficient statistics in the neutrino beam mode sample. Analogous sample in antineutrino beam mode is currently too small.
- Provides increase in sensitivity to the mass ordering of ~ few %.


### Near Detector, *νμ*



**NOvA Preliminary** 



- •Band around MC shows the large impact of flux and cross-section uncertainties when using a single detector.
- •Use samples as a data constraint on what we predict at the Far Detector.
- These samples are used to predict both the  $\nu_{\mu}$  and the  $\nu_e$  signal spectra at the Far Detector.
- Appearing  $\nu_e$ 's are still  $\nu_\mu$ 's at the Near Detector.



## Extrapolation



•Observe data-MC differences at the ND, use them to modify the FD MC.

- •Significantly reduces the impact of uncertainties correlated between detectors.
	- Especially effective at rate effects like the flux (7% to 0.3%).





### Impact of Systematic Uncertainty



- Overall systematic reduction is 10 to 15 percentage points.
- Systematics related to neutron propagation and detector response are now subdominant.

# Detector Characterisation  $\Delta$



- the mineral oil (scintillation and nkov) in both detectors. Improved light production model in the milleral OII (SCI Chefenkov) in both detector • Improved model of light production in the mineral oil (scintillation and Cherenkov) in both detectors.
	- ted bench measureme studies of stopping proton and muon become pa measurements & *in situ* producted benefit meddulentum and producted tracks production to the problem of the problem of the problem of t •Dedicated bench measurements and candidates in data.
- $0.1$  used to motivate MENATE AND A GEORGE AND AND HALL  $\sum_{i=1}^{n}$ • Difference between MENATE and default GEANT4.10.4 used to motivate a systematic uncertainty.
	- $\mathbf{a}$ become part of our nominal Ein iuture an S • In future analyses MENATE will  ${\sf simulation.}$



## Oscillation Fit





- All results come from a joint fit to neutrinos + antineutrinos, electron + muon.
- Other PMNS parameters are constrained by PDG with one exception.
- •Poisson log-likelihood ratio, systematics ~60 nuisance parameters.
- •Bayesian approach using Markov Chain Monte Carlo to sample posterior probability distribution and build credible intervals.



# Oscillation Fit Extracting oscillation parameters  $\Delta$





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#### Results





#### *ν*<sub>μ</sub> and  $\bar{\nu}$ <sub>μ</sub> Data at the Far Detector







#### *ν*<sub>*e*</sub> and  $\bar{\nu}$ <sub>*e*</sub> Data at the Far Detector







#### <u>ν<sub>2</sub> − ν<sub>3</sub> Sector</u> Do νμ/ντ **2** = 45°<sup>2</sup> mix equally  $-v_3$  Sector  $\sqrt{2}$





Maximal mixing is allowed at  $< 1\sigma$  in both cases. Mild Upper Octant preference (due to correlation between θ23 and θ23, see over Kow) του και θ23, see over Kow) του και θ23, see over Kow) τ

ed | Mild upper octant preference w/ 1D es. **Exercise Section Constraint (Bayes Factor 2.2, 69% odds).** 





# ν<sub>2</sub> - ν<sub>3</sub> Sector into ν3? ν2 – ν3 sector νe νμ ντ





 $\sqrt{\Delta}$ <u>2</u> Most precisely known PMNS parameter! Most precise single experiment measurement of  $\Delta m^2_{32}$ .

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\*Note: NOvA 2024 Bayesian range diQers slightly from frequentist one on previous page



### Mass Ordering with  $\theta_{23}$  &  $\delta_{CP}$



•Consistency with previous result (\*different reactor constraints used).

NOvA 2020: 2019 PDG avg θ13 **D** 1 Idnier cor •Tighter contours almost everywhere.



#### $\delta_{CP}$   $\frac{1}{2}$  $N$  $N$ neutrino ordered? **②** Mass Ordering with *δCP*



• No strong asymmetry in the rates of appearance of  $\nu_e$  and  $\bar{\nu}_e$ .



#### $N$  $N$ neutrino ordered? **②** Mass Ordering with *δCP*



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- $\bullet$  Disfavour ordering- $\delta_{CP}$  combinations which would produce asymmetry.

$$
\begin{array}{|l|}\n\hline\n\text{erates of} \\
\hline\n\text{Exclude IO }\delta_{CP} = \frac{\pi}{2} \text{ at } > 3\sigma\n\end{array}
$$
\n
$$
\text{1000} \text{binations} \quad\n\begin{array}{|l|}\n\hline\n\text{Disfavour NO }\delta_{CP} = \frac{3\pi}{2} \text{ at } \sim 2\sigma\n\end{array}
$$



#### $\delta_{CP}$   $\frac{1}{2}$  $N$  $N$ neutrino ordered? **②** Mass Ordering with *δCP*



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#### $\mathcal{L}=\mathcal{$ Prefer: 2001 - 1.400

Normal ordering with Bayes Factor 3.2, 76% odds (frequentist significance 1.4*σ*).



using Feldman-Cousins procedure thanks to NERSC

## Mass Ordering with *δCP*



 $\parallel$  Mass ordering preference is strengthened by the application of the **Tune 2024 Fig. 2005 reactor constraint.** Expected: Phys. Rev. D 72: 013009, 2005

(not entirely unexpected: e.g., Phys. Rev. D 72: 013009, 2005)

#### \*Frequentist significance.



# Summary



- First new 3 flavour neutrino oscillation result from NOvA since 2020:
	- ‣ Doubled neutrino-mode dataset and have analysed 10 years of neutrino and antineutrino data.
	- ‣ Updated simulation including improved light response model and neutron propagation uncertainty.
	- ‣ Expanded our selection with new low energy electron neutrino candidate sample.
	- $\blacktriangleright$  The **most precise single experiment measurement of**  $\Delta m^2_{32}$  (1.5%).
	- Data favours a region where matter and CP violation effects are degenerate.
- Strong synergy with with reactor measurements:
	- $\blacktriangleright$  Constraint on  $\theta_{13}$  enhances upper octant preference (69% odds).
	- $\blacktriangleright$  Constraint on  $\Delta m^2_{32}$  enhances normal ordering preference (87% odds).
- Compelling future oscillation prospects for NOvA!
	- ▶ Collect as many antineutrinos as we can before 2027 important for untangling degeneracies.
	- Analysis of test beam data on-going reduce uncertainties related to detector energy scale.
	- ‣ NOvA & T2K are actively exploring the scope and timeline for the next steps to take joint fit work forward.

#### Questions?













#### T2K-NOvA Joint Fit





# Combining Long-baseline Experiments





# Combining Long-baseline Experiments





# Why Combine T2K & NOvA?



- Complementarity between the two experiments provides the power to **break** degeneracies. Complementarity between
	- Joint Analysis probes different oscillation environments, lifting degeneracies of individual experiments.
		- In-depth review of:
		- Models, systematic uncertainties and possible correlations.
		- Different analysis approaches driven by contrasting detector design.
			- Full implementation of:
		- Energy reconstruction and detector response of both experiments.
			- Combined detailed likelihood of both experiments.
		- Consistent statical inference across full dimensions of phase space. experiments.<br>Consistent statisel informes





CP Violation <u>CP Violation:</u>



- Jarlskog-invariant is parameterisationindependent\* way to measure CP violation.  $\mathbf{z} = \mathbf{z} - \mathbf{z}$  Jarlskog-invariant is a parameterization is a parameterization of  $\mathbf{z}$
- $J = \sin \theta_{13} \cos^2 \theta_{13} \sin \theta_{12} \cos \theta_{12} \sin \theta_{23} \cos \theta_{23} \sin \delta_{CP}$  $\mathcal{L}=\{1,2,3,4\}$  , we see that  $\mathcal{L}=\{1,2,3,4\}$  $J = 0$ : CP conversed,  $J \neq 0$ : CP Violation
	- $J = 0$  lies outside of the  $3\sigma$  credible interval for the Inverted Ordering.  $\bigcirc$ For both uniform in detection in the unit of the u<br>External unit of the unit
- For Normal Ordering, a considerably wider range of probable values for  $J_{\cdot}$ wider range or probable values for



[\\*Phys. Rev. D 100, 053004 \(2019\)](https://journals.aps.org/prd/abstract/10.1103/PhysRevD.100.053004)





 $|\Delta m^2_{32}|$  as compared to other previous measurements.

 $2.58 \t_{-0.32}^{+0.28} \t_{11.6\%}$ 2*.*79 *<sup>±</sup>*0*.*<sup>12</sup> 4.3% 2*.*571*±*0*.*<sup>060</sup> 2.3%  $2.40 \begin{array}{cc} +0.06 \\ -0.12 \end{array}$  3.8%

2*.*2 2*.*3 2*.*4 2*.*5 2*.*6 2*.*7 2*.*8 2*.*9  $|\Delta m^2_{32}|$ , 10<sup>-3</sup> eV<sup>2</sup>

*RENO* nH *RENO* nGd Daya Bay nGd

*SuperK*

*Preliminary* Published



61

Preliminar

**NOvA-only T2K-only NOvA+T2K**

2.5

2.6

#### A Bit About Me…





• Collaborator in the NOvA & DUNE experiments.



#### The NOvA Collaboration





QMUL is one of the collaboration's newest institutions.



## How We Make Neutrinos: NuMI Beam



- •120 GeV protons from main injector onto graphite target.
- Spill every ~1.5 s, lasts 10 us.
- Hadron spray directed by focussing horns (± 200 kA, FHC/RHC).
- •Pions decay (mostly) to muon/muon neutrino pairs.





#### How We Make Neutrinos: NuMI Beam How We Make N • High neutrino flux at Near Detector:<br>• The Near Detector: The Near D





NOvA Simulation



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#### How to Detect a Neutrino





- •Observe charged particles after a neutrino interacts with a nucleus.
- Lepton:
	- . *νμ* CC → *μ*−, *νe*CC → *e*<sup>−</sup>
	- NC, no visible lepton.
- Hadronic shower:
	- May contain protons, one or more , etc. *π*±
	- May have EM components from  $π<sup>0</sup> → γγ$



### Selection: *νμ*





- •Even with pulsed beam and excellent timing resolution, still a significant amount of cosmic background.
- •Basic quality:
	- Number of hits, track angle, reasonable energy reconstructed.





### Selection: *νμ*





- •Even with pulsed beam and excellent timing resolution, still a significant amount of cosmic background.
- •Containment cuts:
	- Vertices in the fiducial volume.
	- Event contained within the detector.





### Selection: *νμ*





- •Even with pulsed beam and excellent timing resolution, still a significant amount of cosmic background.
- •PID:
	- Deep learning approach.





#### Selection: *ν*<sub>μ</sub>





- •Even with pulsed beam and excellent timing resolution, still a significant amount of cosmic background.
- •Cosmic BDT:

- Tuned to reject cosmic ray events.





#### Selection





- Electron neutrino sample has second 'peripheral' sample containing high-confidence electron neutrino events close to detector walls.








## Extrapolating Kinematics



extrapolate each separately to the FD. - Effectively "rebalances" the kinematics to better match between the detectors.

 $1.2$ 

- Re-sum the  $p_{\it t}$  bins before fitting.



## Systematic Uncertainties with  $p_t$  Extrapolation



• Overall systematic reduction is 5-10%.

- 30% reduction in cross-section uncertainties.
	- Reduces the size of systematics most likely to contain "unknown unknowns."
	- Slight increase in systematics on lepton reconstruction.





- Understanding of neutrino interactions is constantly evolving.
- Upgrade to GENIE 3.0.6, gives freedom to chose the models.
- Even with many updated models, some custom tuning required.
	- FSI: tuned using external pion scattering data.
	- MEC/Multi-nucleon: tuned to NOvA ND data.



#### NOvA Preliminary

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Events

1<br>04<br>1



## Improving Sensitivity to Oscillations





- Sensitivity depends primarily on the shape of the energy spectrum.
- •Bin by energy resolution: bins of hadronic energy fraction.



- Sensitivity depends primarily on separating signal from background.
- Bin by purity: bin of low and high PID + peripheral.



### Daya Bay / NOvA Correlations Daya Bay / NOvA Correlations





#### wolcott J. Wolcott

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## Models & Systematics



should they be correlated and by how much. Challenge: Decide what common physics parameters the two experiments have,



#### Joint Analysis Results Zoya Vallari, Caltech Feb 16, 2024 Z. Vallari



#### Studying Correlations ccosyn





- **Strategy:** evaluate a range of artificial scenarios to asses the impact of possible correlations: negligible differences, while incorrection of the differences, while incorrection  $\mathcal{L}$ 
	- $\blacktriangleright$  E.g, fabricate parameters for each experiment which should have significant bias on  $\Delta m^2_{32}$  and  $\sin^2\theta_{23}$  (size of uncertainty comparable to the statistical uncertainty).
		- ‣ Study the impact of fully correlating, uncorrelating and fully anti-correlating these parameters.
		- ‣ Uncorrelated and correctly correlated (full correlation) credible intervals agree very well while incorrectly correlating systematics shows a bias -> leaving systematics like these uncorrelated wouldn't have a significant impact in the analysis.



# Studying Alternate Models



- **Ensure analysis is robust to alternate neutrino interaction models.** 
	- Generate mock data by changing part of simulation to use an alternative model.
		- ‣ Fit these mock datasets and check impact on oscillation results.
		- Pre-decided thresholds for bias:
		- **‣** Change in width of 1D intervals should be no larger than 10%. FIE-decided miesticids for i
		- **Example in central value** should be no larger than 50% of systemic uncertainty.
			- Investigated a range of alternative models at different oscillation points.
		- ▶ Example: suppression in single pion channel seen in MINERvA results\*.
- **‣** No alternative model test failed the preset threshold for bias. Fivo alternative model test fail



#### $\star$ nlari \*Phys. Rev. D 100, 072005 (2019) \*\* Phys. Rev. D 106, 073001 (2022) [\\*Phys. Rev. D 100, 072005 \(2019\)](https://journals.aps.org/prd/abstract/10.1103/PhysRevD.100.072005)











## Mixing Angles:  $θ$ <sub>23</sub>





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#### CP Violation  $\frac{6}{2}$   $\frac{6}{2}$   $\frac{1}{2}$   $\frac{1}{2}$   $\frac{1}{2}$





 $\delta_{CP} = \frac{1}{2}$  lies outside of the 3*o* credible interval. *π* 2 3*σ*

- In the Normal Ordering:
	- Broad range of permissible  $\delta_{CP}$  values.
- In the Inverted Ordering:
	- CP conserving values  $\delta_{CP} = 0$  and  $\delta_{CP} = \pi$  lie outside the  $3\sigma$  credible interval.





