



UNIVERSITY OF
OXFORD

An Introduction to Charged Particle Tracking & Detectors

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RAL & Oxford

RAL

Wednesday 24th July 2019



Science & Technology
Facilities Council



Target Audience & Participation

People who are quite new to Particle Physics and Particle Physics Detectors.



PARTICIPANTS NEEDED



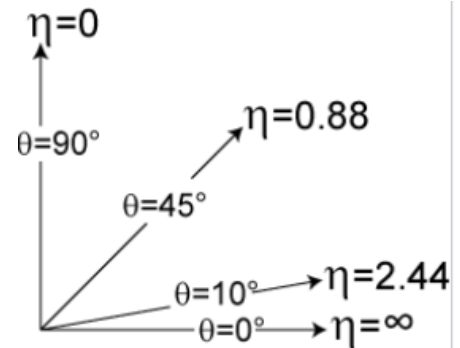
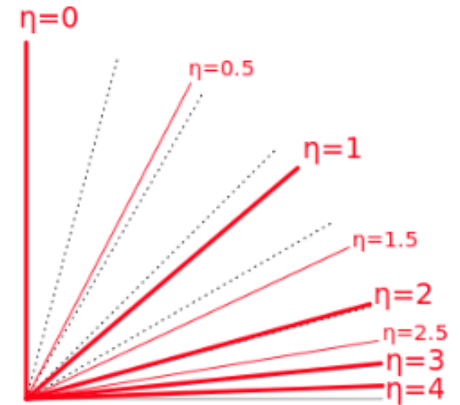
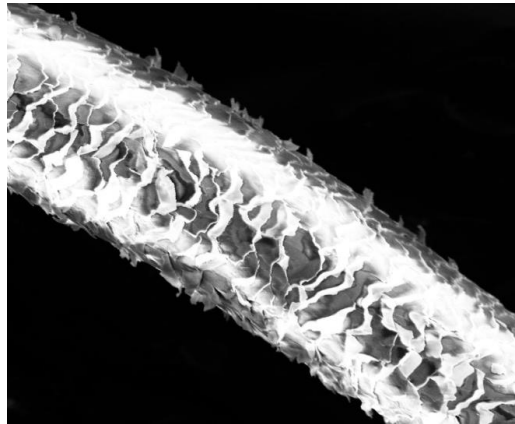
Feel Free to ask Questions as we go along. Do not wait until the end.

Before we get started

Some Mathematical & Other Pre-requisites

Pseudorapidity is commonly used as a measure of the angle of a particles 3-momentum with respect to the beam axis

$$\eta \equiv -\ln \left[\tan \left(\frac{\theta}{2} \right) \right]$$



Width of a human hair about $100 \mu\text{m}$, $1,000 \mu\text{m} = 1\text{mm}$

Tracking : An introduction



Tracking : An introduction



Tracking : An introduction

30



Tracking : An introduction

30

The Road Vehicles Lighting Regulations 1989

UK Statutory Instruments ▶ 1989 No. 1796 ▶ SCHEDULE 7



The Traffic Signs Regulations and General Directions 2016

UK Statutory Instruments ▶ 2016 No. 362 ▶ Table of contents

7/24/2019

Introduction to particle tracking

7

Tracking : An introduction

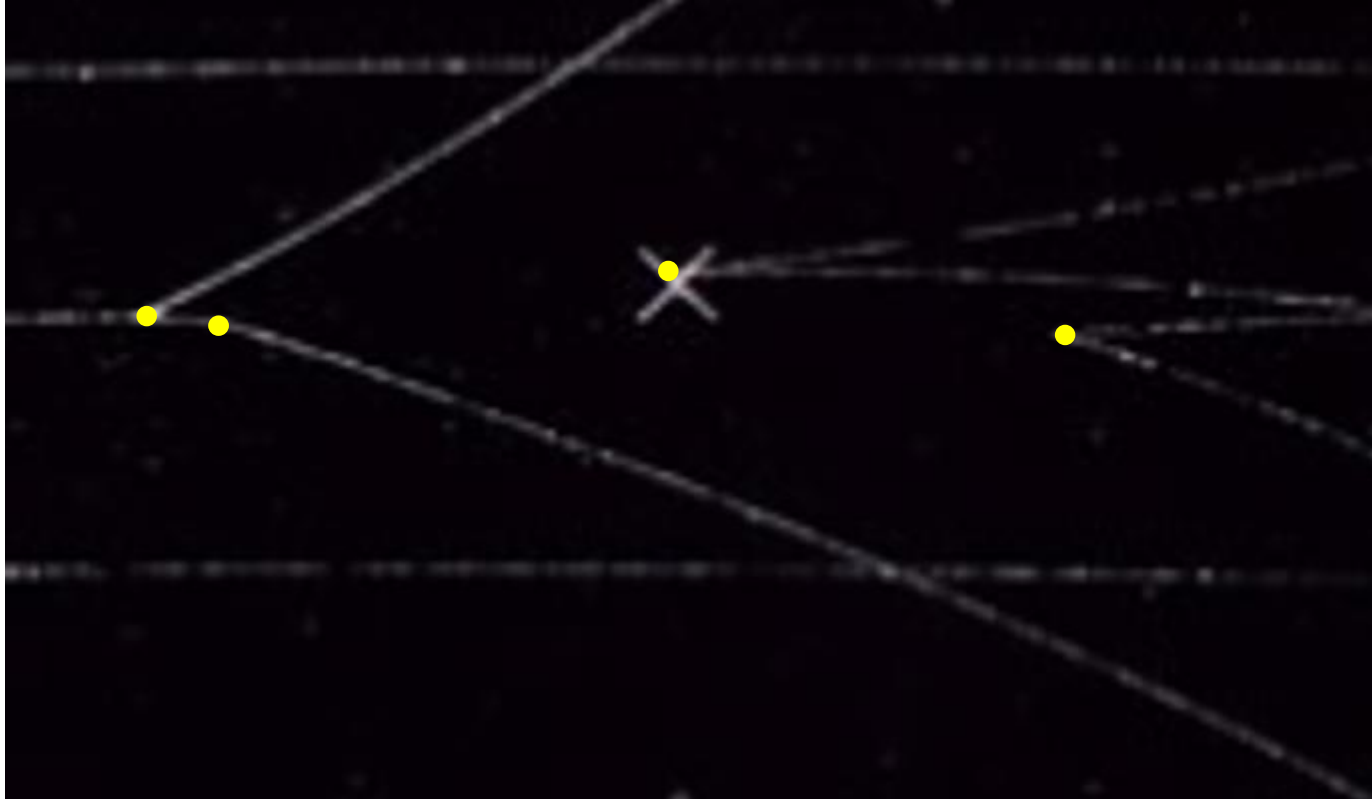


Tracking and Particle Interactions



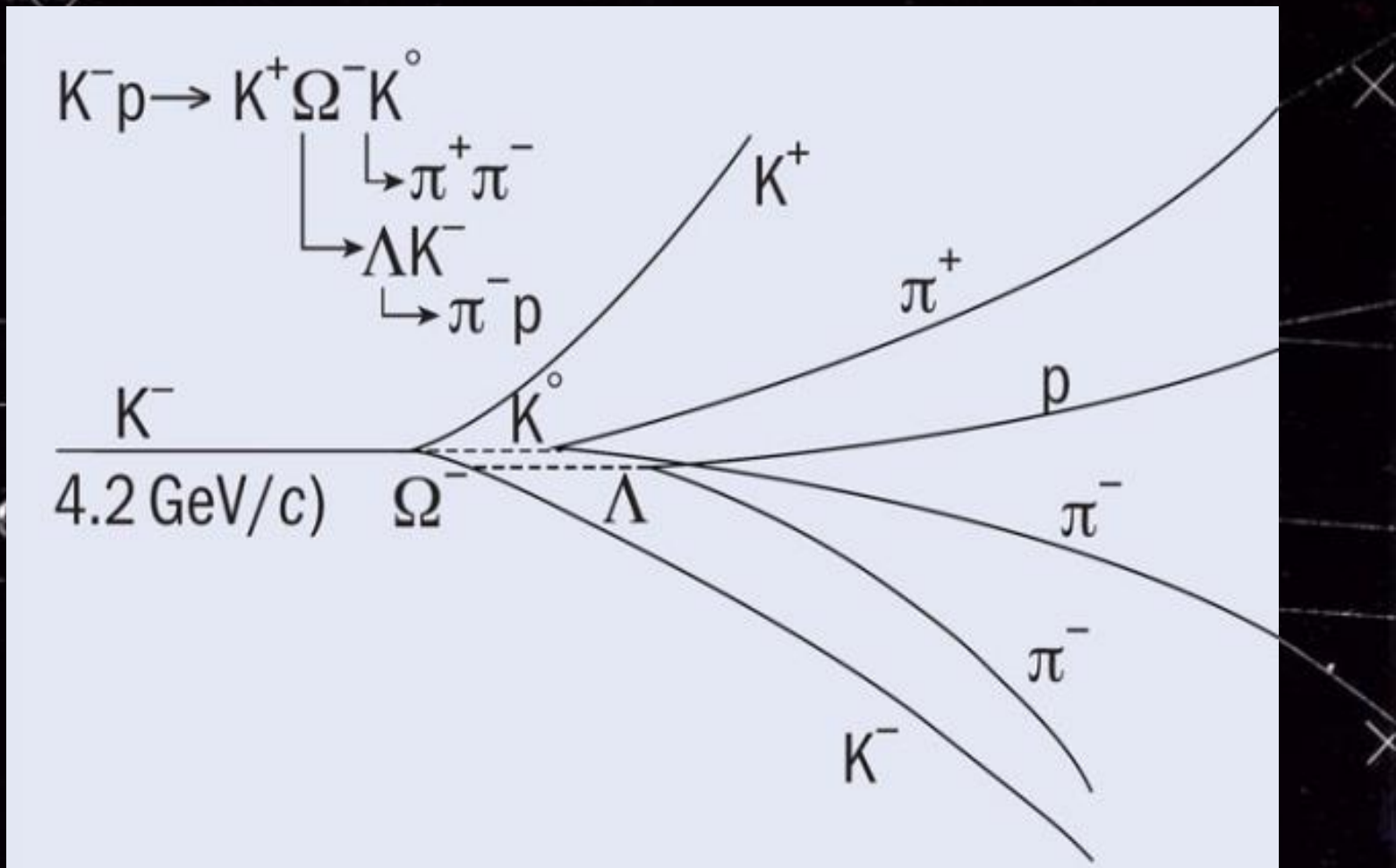
A bubble chamber photograph : particle physics technology of the 50s, 60s and ...

Tracking and Particle Interactions



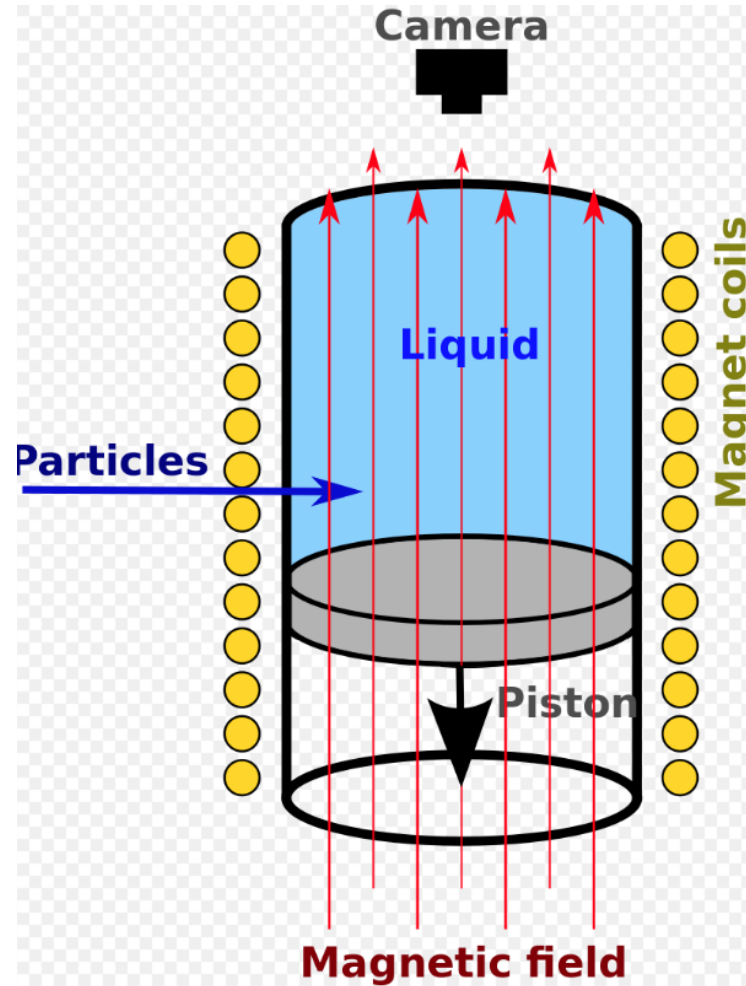
A bubble chamber photograph : particle physics technology of the 50s, 60s and ...

Tracking and Particle Interactions



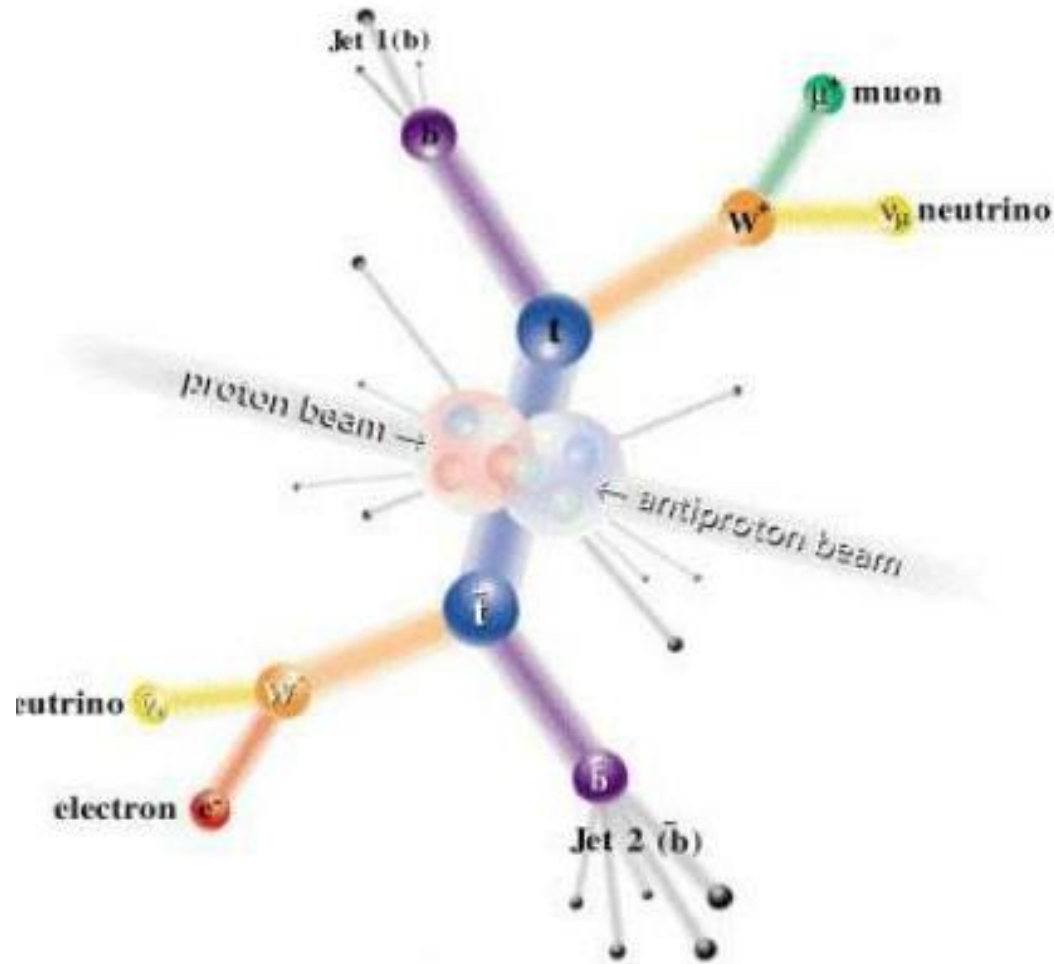
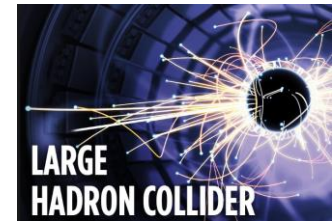
A bubble chamber photograph : particle physics technology of the 50s, 60s and ...

Tracking and Particle Interactions



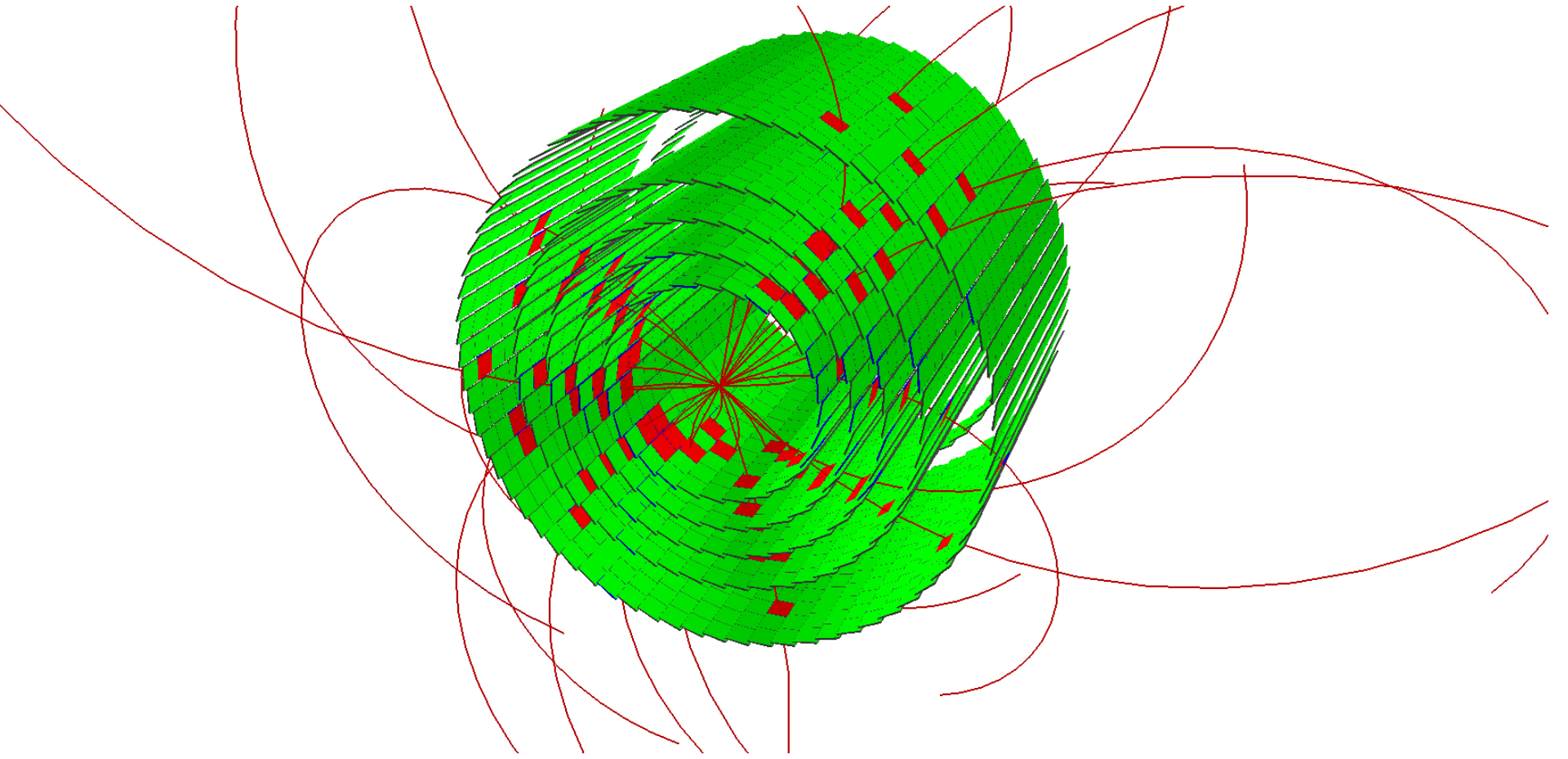
*Superheated liquid (usually liquid hydrogen) just below boiling point
No triggering, need to record and develop ALL photographs and scan them. Rate limited*

LHC collider event structure



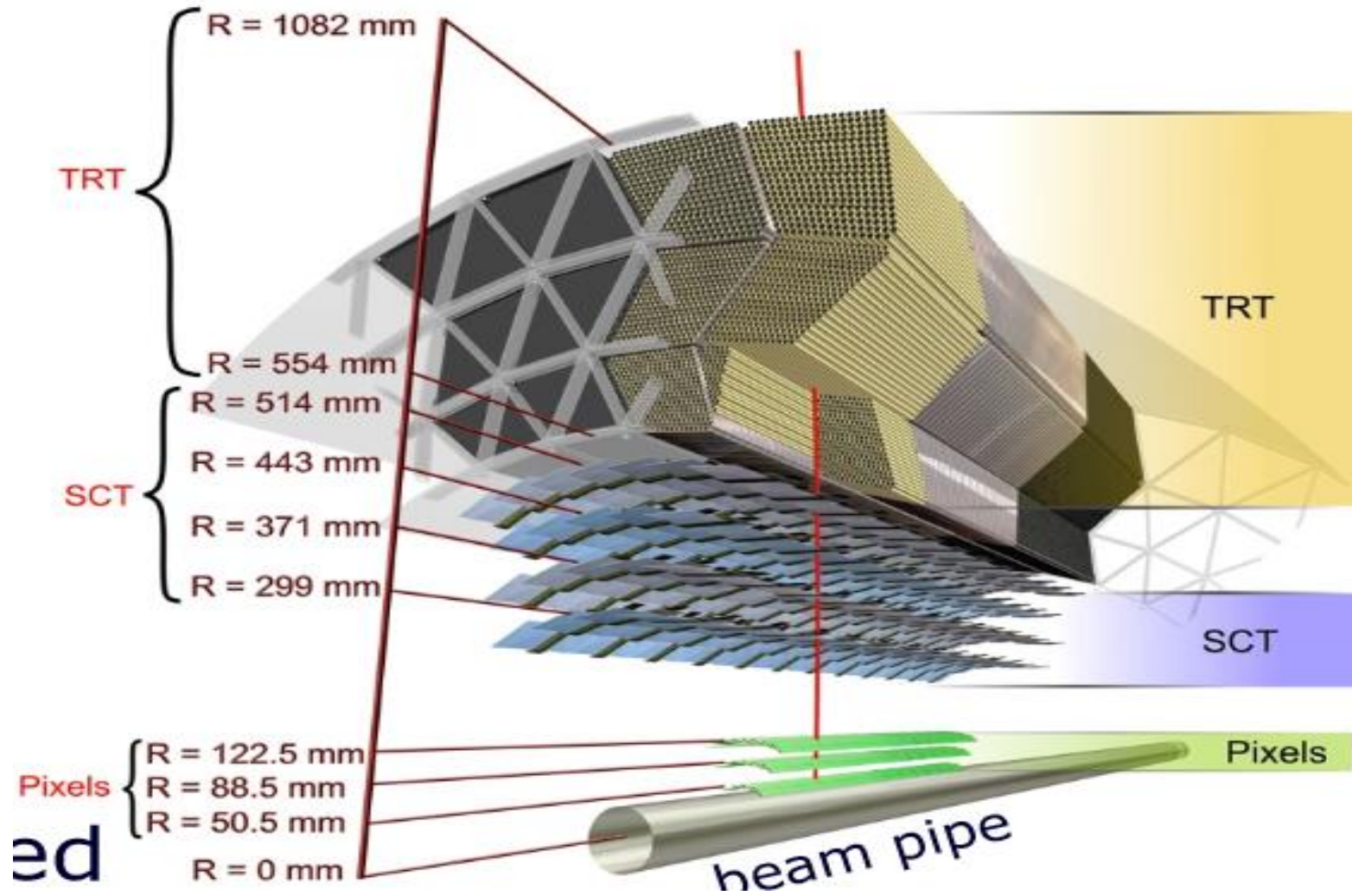


A Camera for taking pictures of high energy collisions

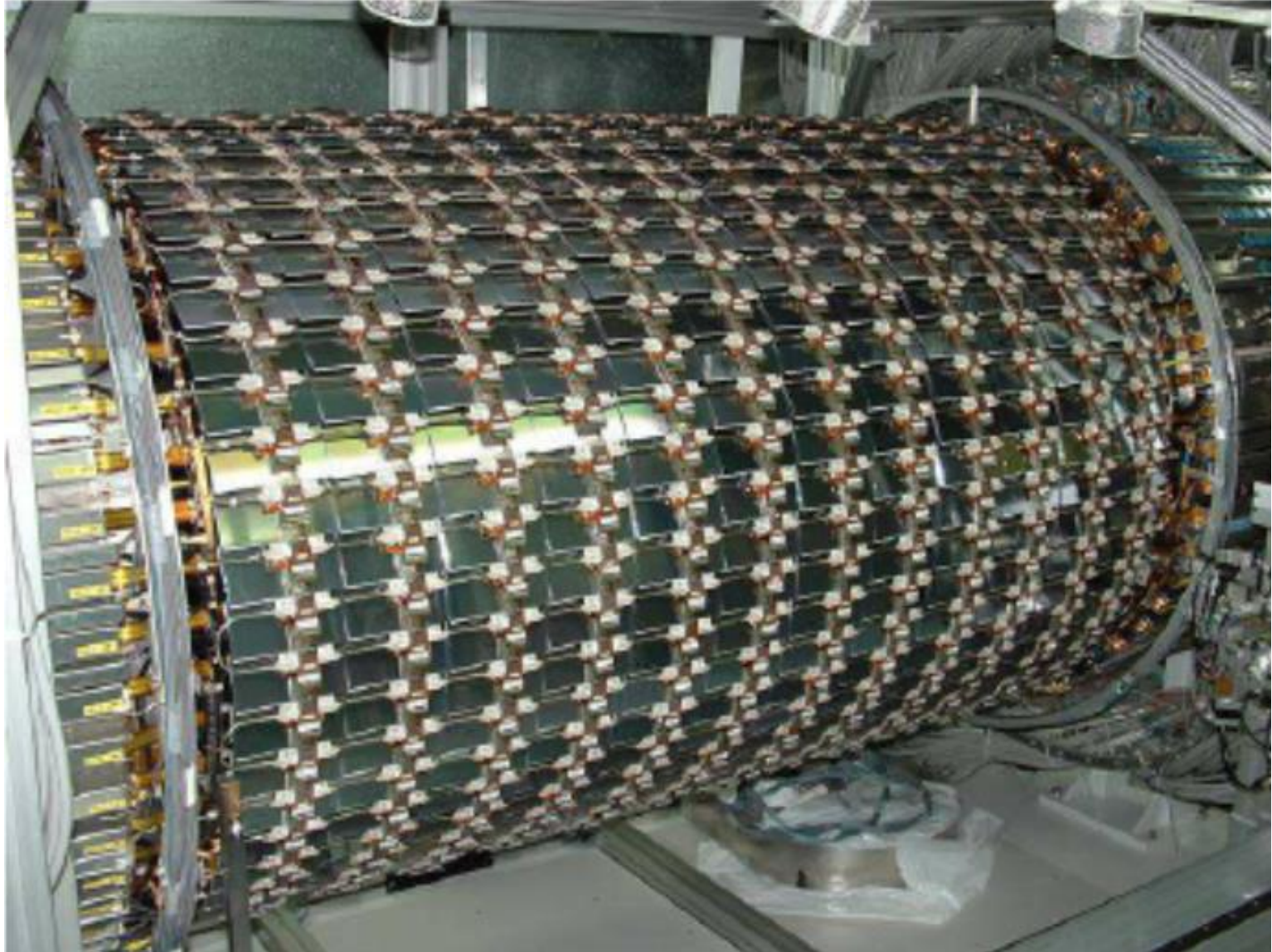


Sampling the trajectories of the particles as they fly from the collision point.

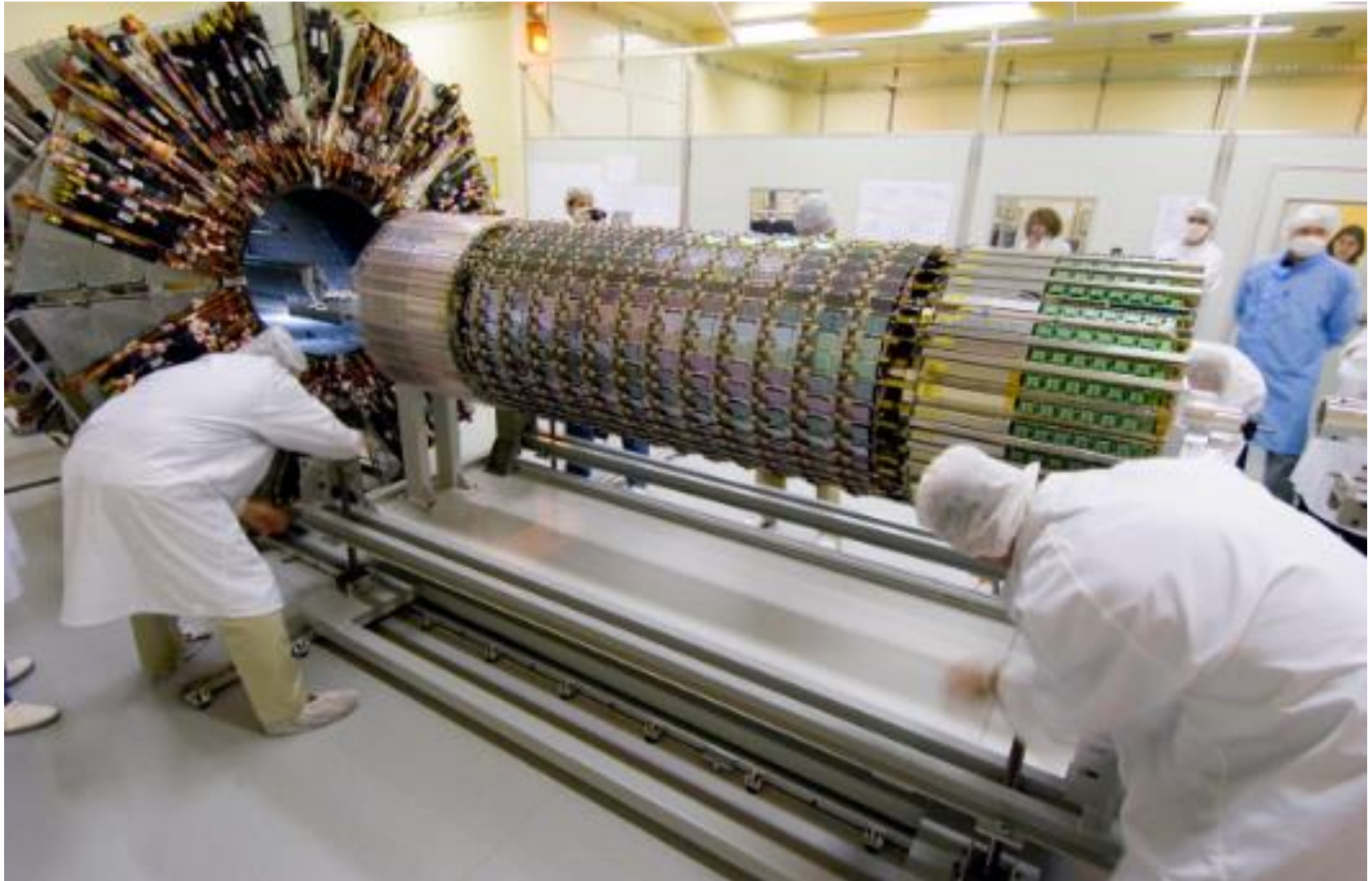
Section of old ATLAS Tracker



SCT Tracker Photographs



SCT Tracker Photographs



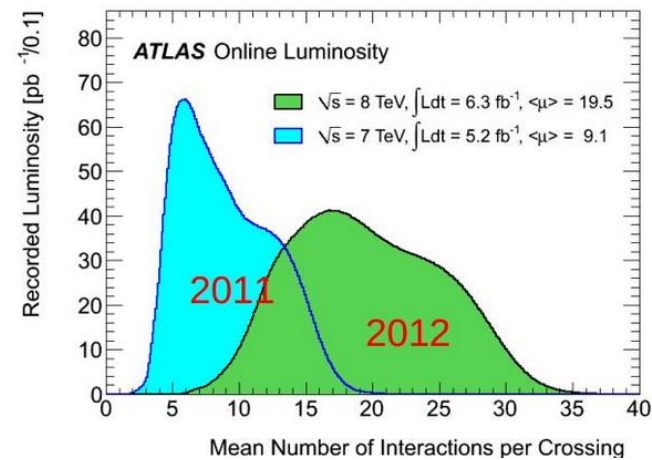
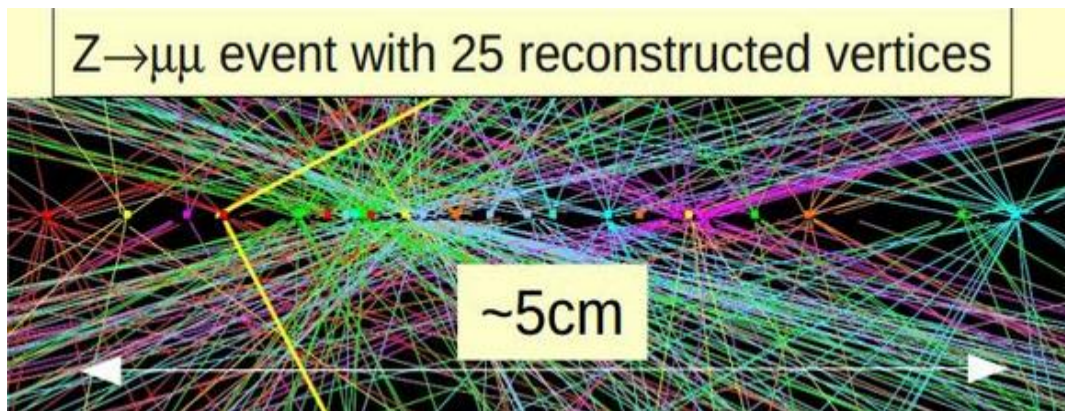
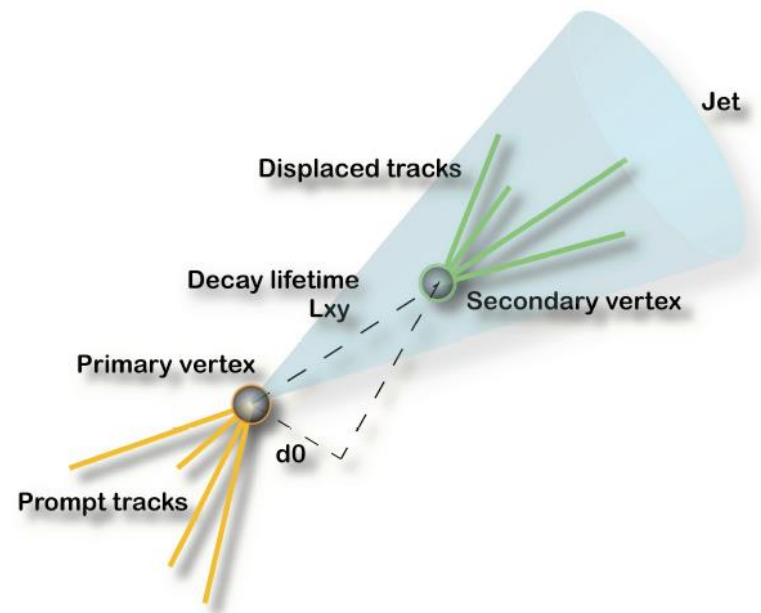
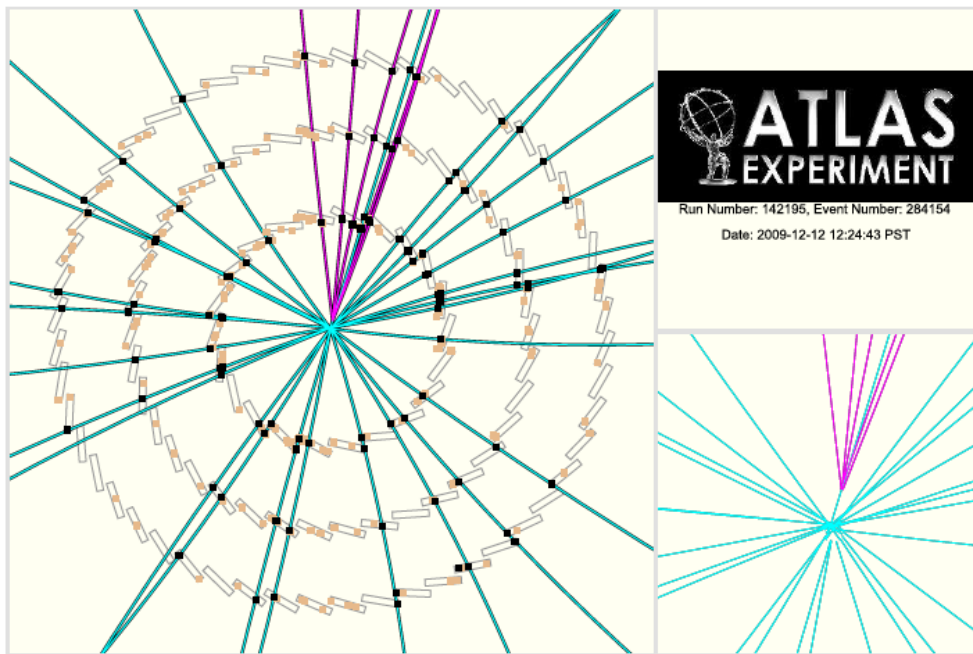
Pixel Tracker Photographs



What do silicon trackers do well

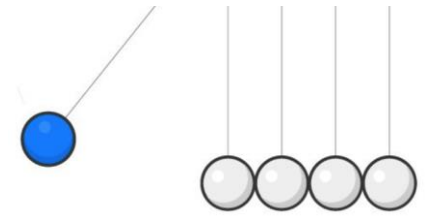
- Charged particle track-reconstruction in magnetic field
 - Electric charge (+,-), transverse-momentum(P_T), direction (η, ϕ)
 - Vertex reconstruction (primary and secondary) and separation
 - Impact parameter determination,
 - Identification of tau leptons, b-tags, lifetime tagging
 - Measurement of proper time differences $\delta\tau$
 - Stand alone particle identification through dE/dX
 - Determine the point of impact into calorimeter
 - Important to be able to establish the absence of a track entering a calorimeter
 - High efficiency, low fake rates often in very crowded environments.
 - Important to be able to find photon-conversions and nuclear interactions
- Used in triggers and event filters
 - Can run and be read-out at very high rates (MHz)
 - Used in very crowded environments
 - Used in conjunction with other detector in PID (P, e, γ , μ ..)





HL-LHC $\langle \mu \rangle$ up to 200

Measuring momentum



- Circular motion transverse to uniform B field:

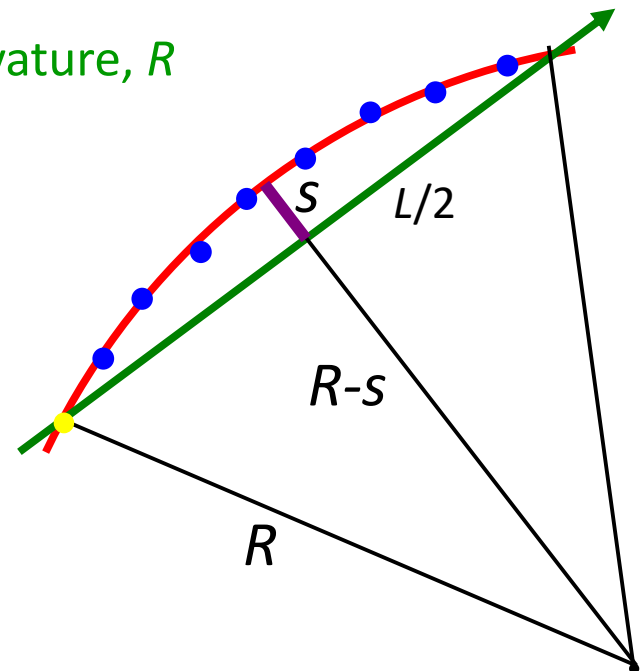
$$p_T [\text{GeV}/c] = 0.3 \cdot B [\text{T}] \cdot R [\text{m}]$$

- Measure sagitta, s , from track arc \rightarrow curvature, R

$$R = \frac{L^2}{2s} + \frac{s}{2} \approx \frac{L^2}{2s}$$

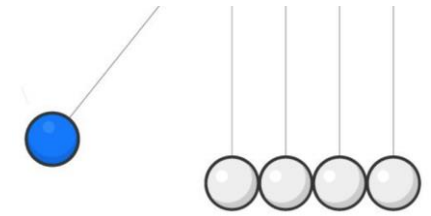
$$\frac{\sigma_{p_T}}{p_T} = \frac{8p_T}{0.3BL^2} \sigma_s$$

- Relative momentum uncertainty is proportional to p_T times sagitta uncertainty, σ_s . Also want strong B field and long path length, L



Measuring Momentum

A numerical example



$$\underline{P_T = 100 \text{ GeV/c}}$$

$$B = 2 \text{ T (ATLAS Solenoid)}$$

$$L = 1 \text{ m (ATLAS Inner cryostat)}$$

We find

$$R = 166.6 \text{ m}$$

$$S = 3,000 \text{ } \mu\text{m}$$



$$\underline{P_T = 101 \text{ GeV/c}}$$

$$B = 2 \text{ T}$$

$$L = 1 \text{ m}$$

We find

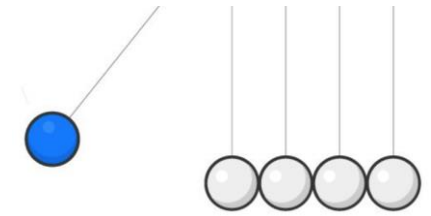
$$R = 168.3 \text{ m}$$

$$S = 2,970 \text{ } \mu\text{m}$$

$$\delta S (P_T = 100 - P_T = 101) = 30 \text{ } \mu\text{m}$$

Double decker busses are between 9.5m and 11.1m

Measuring momentum



- Sagitta uncertainty, σ_s , from N points, each with resolution $\sigma_{r\phi}$ is:

$$\sigma_s = \sqrt{\frac{A_N}{N+4} \frac{\sigma_{r\phi}}{8}}$$

- Statistical factor $A_N = 720$:

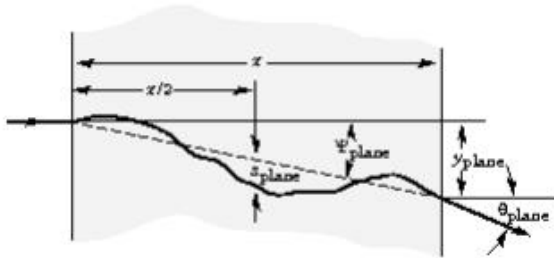
- The point error, $\sigma_{r\phi}$, has a constant part from intrinsic precision, and a multiple scattering part.

$$\sigma_s \propto \frac{L}{p_T \sin^{1/2} \theta} \sqrt{\frac{L}{X_0}}$$

- Multiple scattering contribution:
- (L is in the transverse plane)

$$\frac{\sigma_{p_T}}{p_T} = \frac{8p_T \cdot \sigma_s}{0.3BL^2} \approx a \cdot p_T \oplus \frac{b}{\sin^{1/2} \theta}$$

Multiple (Coulomb) Scattering

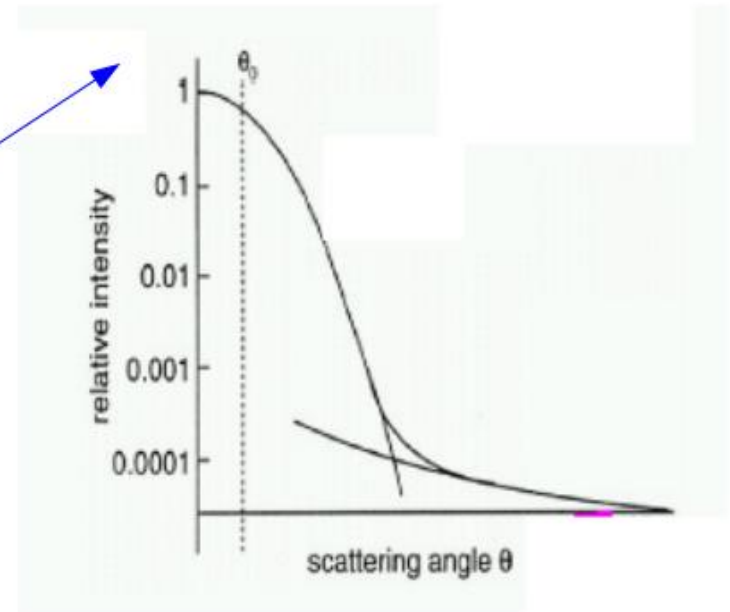


- 98% Gaussian around scattering angle 0
- 2 % large scattering angles

Approximation for standard deviation of projected angles (in plane) of scattering angle:
Moliere theory

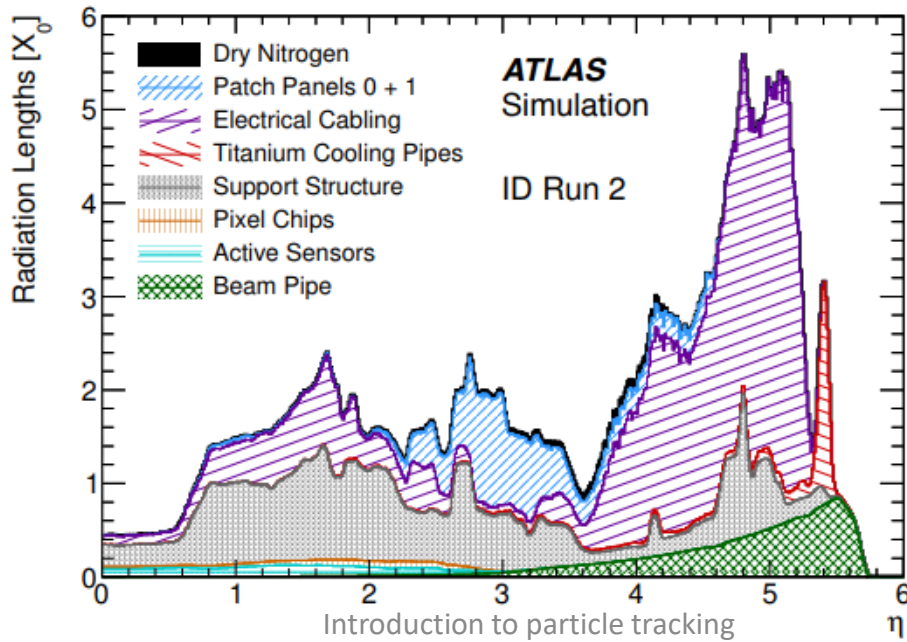
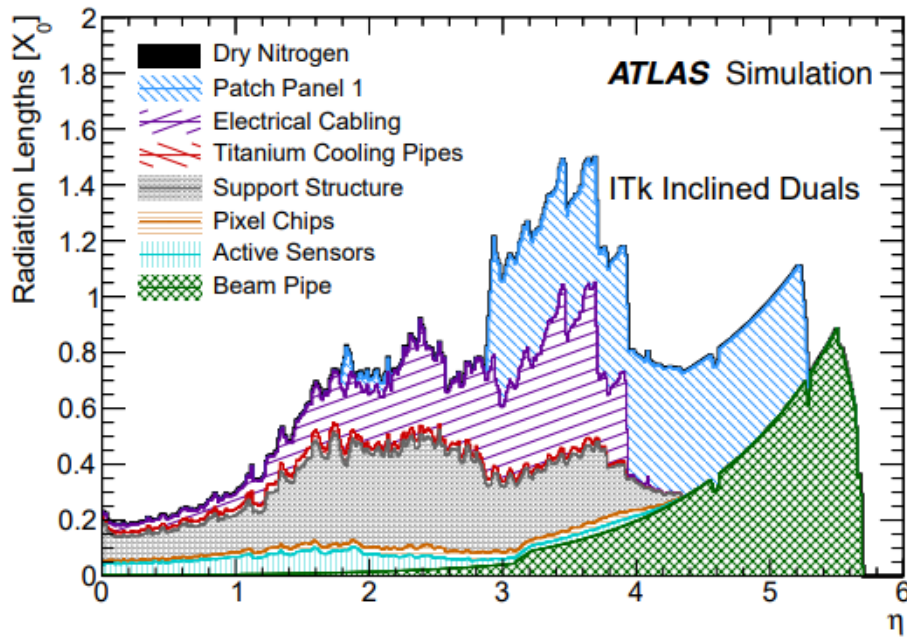
$$\Theta_{rms} = \frac{13.6 \text{ MeV}}{\beta c p} z \sqrt{x/X_0} (1 + 0.038 \ln(x/X_0))$$

$$\text{Radiation length } X_0 = \frac{716.4 \text{ g cm}^{-2} A}{Z(Z+1) \ln(287/\sqrt{Z})}$$



- strength of scattering depends on $1/p \rightarrow$ large for small momenta
- limits mass resolution (momentum, direction)
- importance of detectors with very small material budget
- distribution of spacial scattering angle (diff. btw direction of incoming and outgoing particle)

How Much Material ?

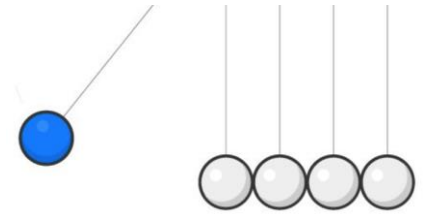


Unit Weight of Building Materials

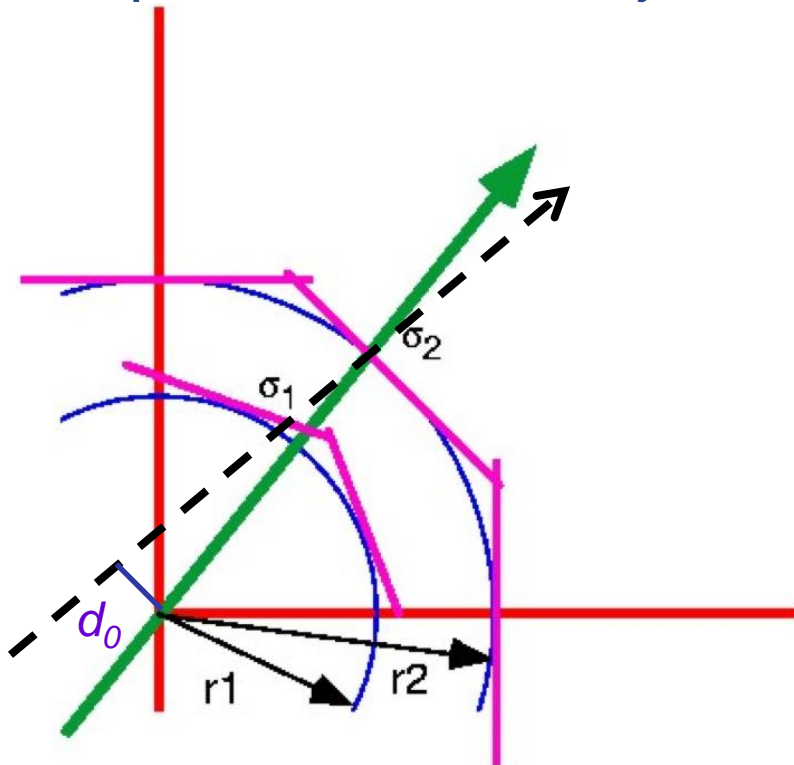


Taken from Pixel Technical Design Report in 2017

Impact parameter resolution



- Uncertainty on the transverse impact parameter, d_0 , depends on the radii and space point precision.
- Simplified formula for just two layers:



$$\sigma_{d_0}^2 = \frac{r_2^2 \sigma_1^2 + r_1^2 \sigma_2^2}{(r_2 - r_1)^2}$$

Suggests small r_1 , large r_2 ,
small σ_1 , σ_2

But precision is degraded
by multiple scattering...

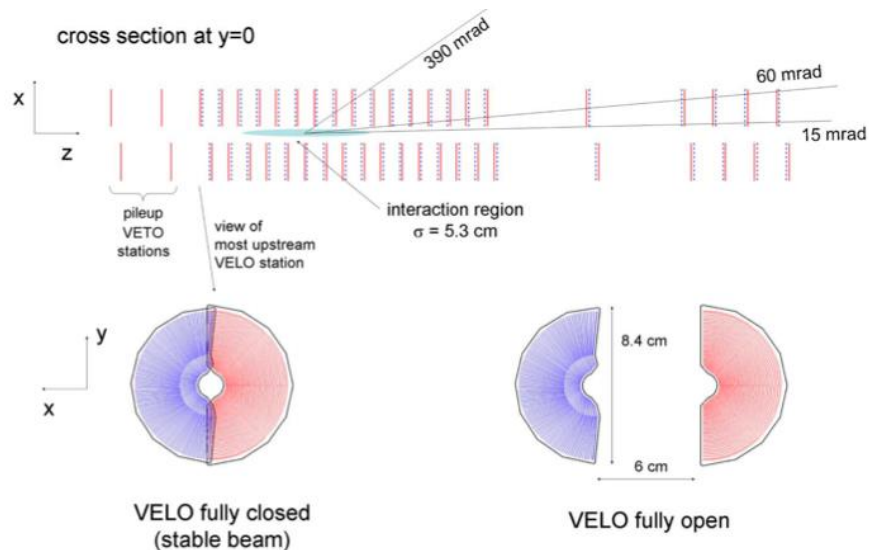
I just need
the main ideas



Summary of some pixel results

	ALICE	ATLAS	CMS
Radii (mm)	39 – 76	50.5 – 88.5 – 122.5	44 – 73 – 102
Pixel size $r\phi \times z$ (μm^2)	50 x 425	40 x 400	100 x 150
Thickness (μm)	200	250	285
Resolution $r\phi / z$ (μm)	12 / 100	10 / 115	~15-20
Channels (million)	9.8	80.4	66
Area (m^2)	0.2	1.8	1

The LHCb VELO: forward geometry strip detector with 42 stations along, inner radius of **7 mm**. Moves close to beam when conditions are stable.



Tracking Efficiency in ITk

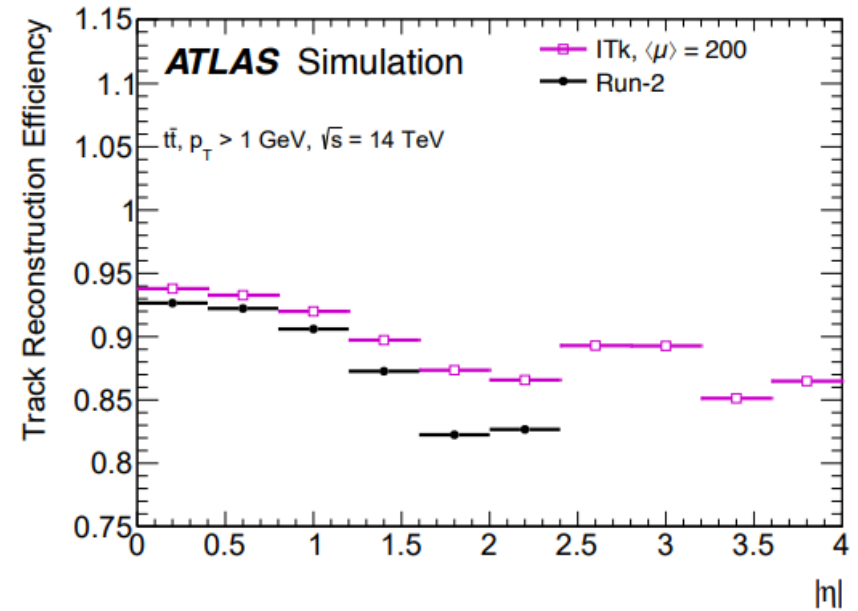
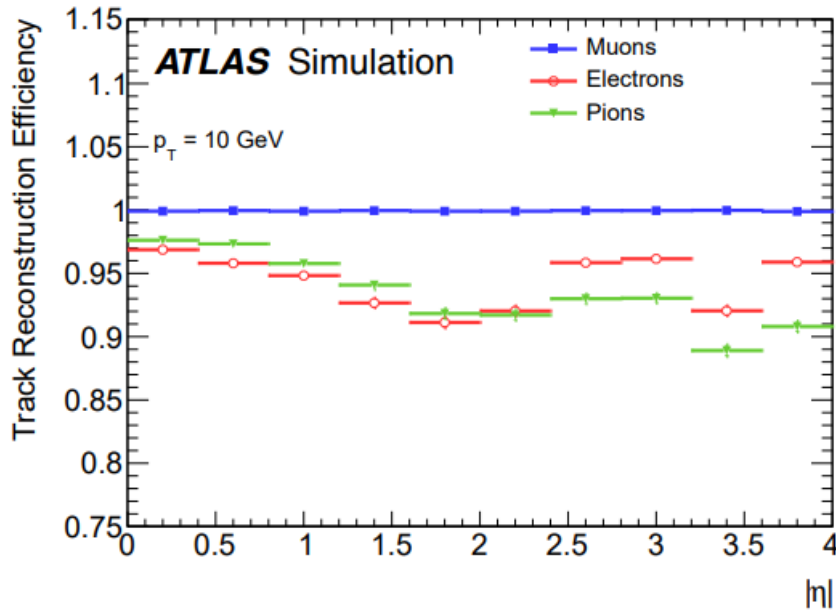


Figure 3.3: **Left:** Track reconstruction efficiency for single muons, pions and electrons with a constant transverse momentum of $p_T = 10 \text{ GeV}$. **Right:** Track reconstruction efficiency for a top-pair sample with an average of 200 pile-up events. Overlaid are the results for the current Run 2 detector.

Rate of Fake Tracks in ITk

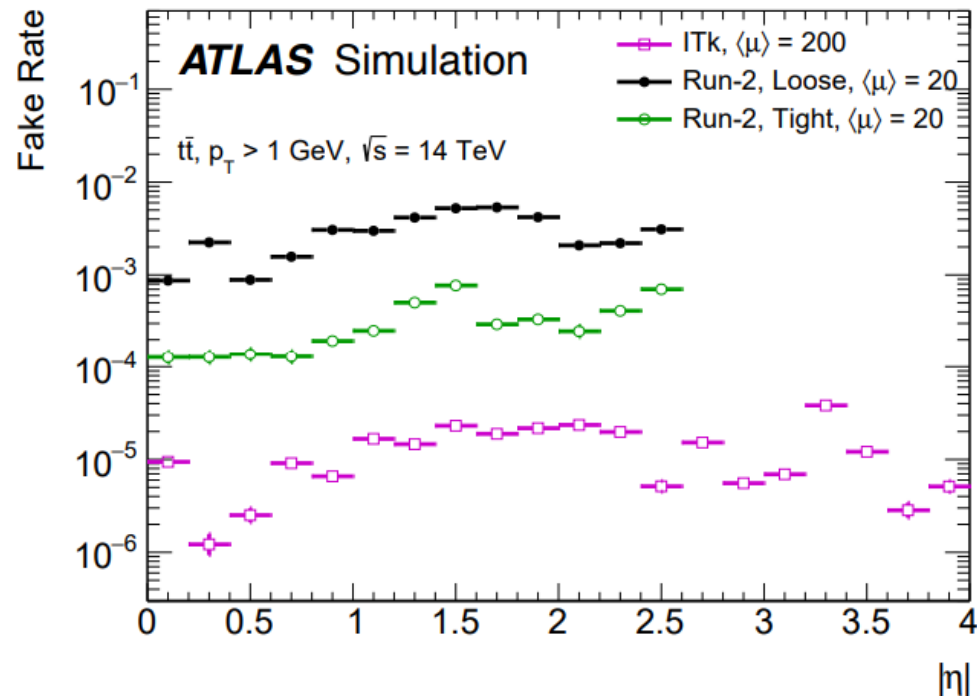
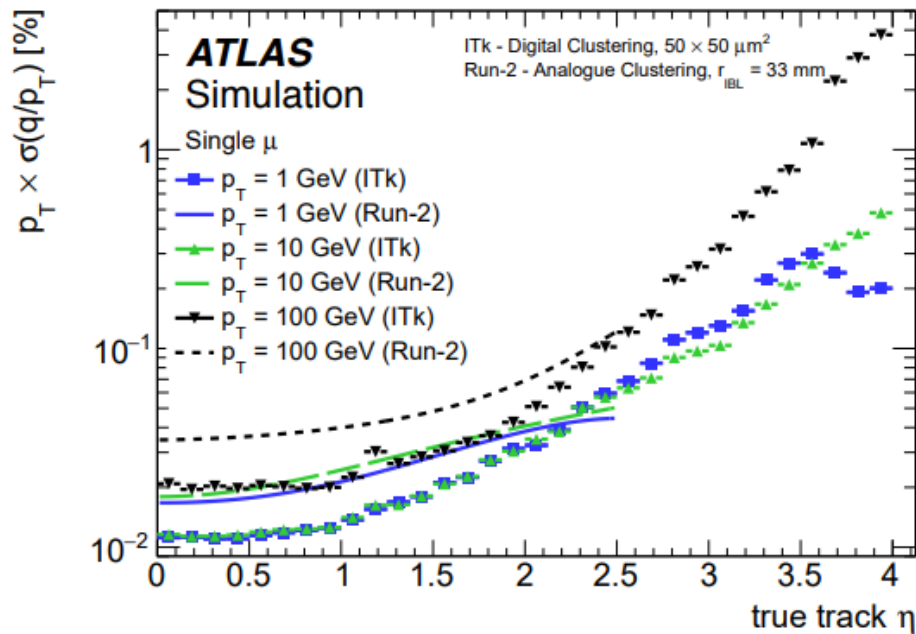
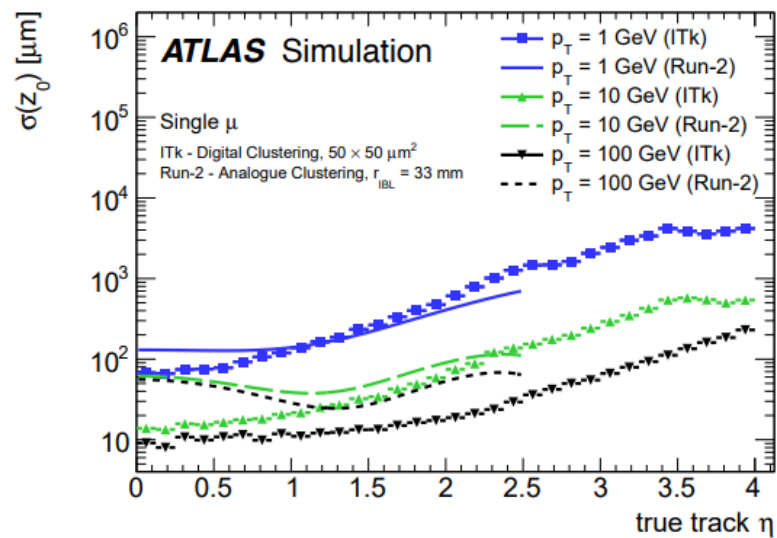
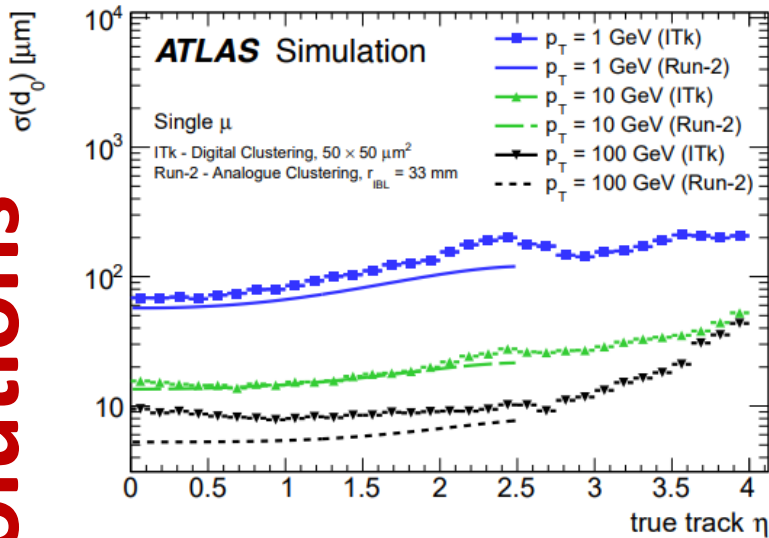


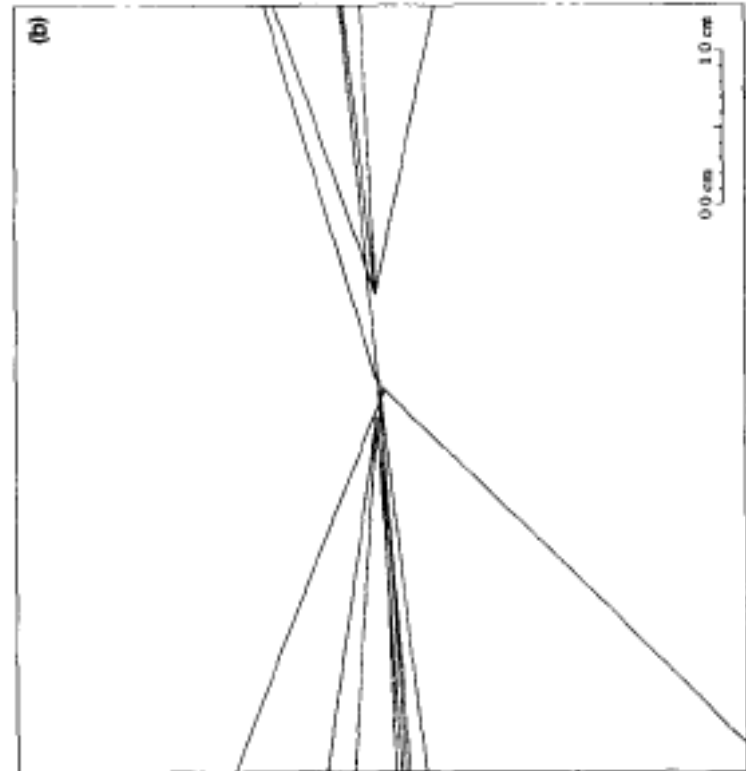
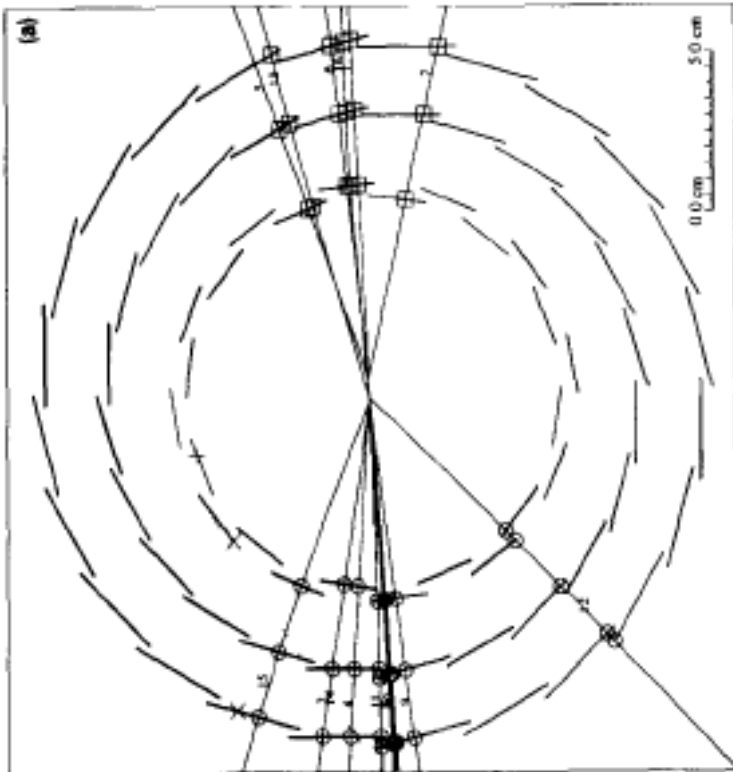
Figure 3.5: Fake rate for reconstructed tracks in $t\bar{t}$ events with $\langle\mu\rangle = 200$ using the truth particle matching criterion P_{match} as define in the text. ITk is compared to the Run 2 detector results for two different levels of track selection (loose and tight, see text for details).

Track Parameter Resolutions

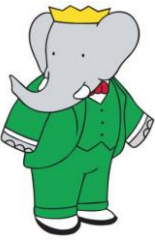


Vertexing at LEP (1990)

- DELPHI (symmetric e^+e^- collisions producing Z^0 bosons)
- LEP - 45 GeV per beam

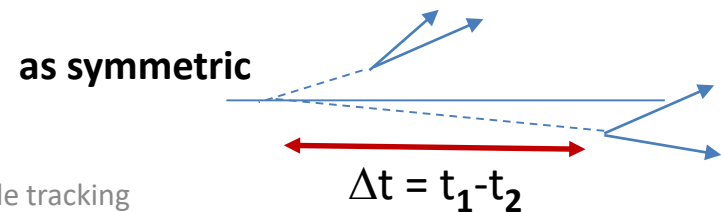
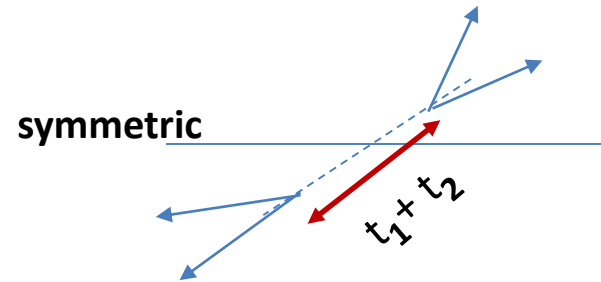
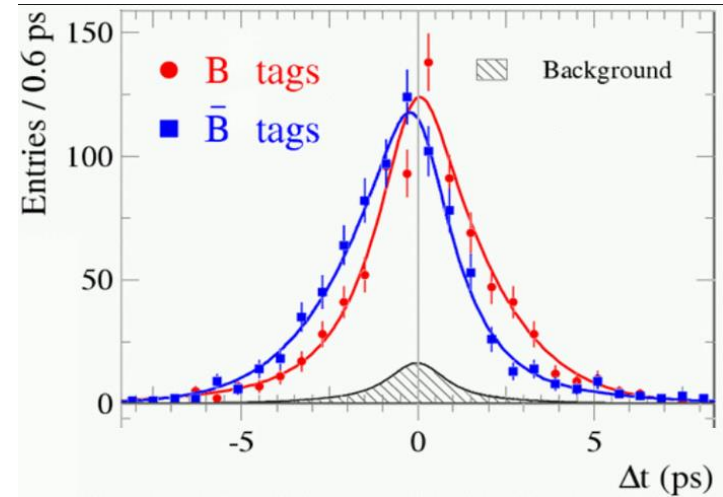
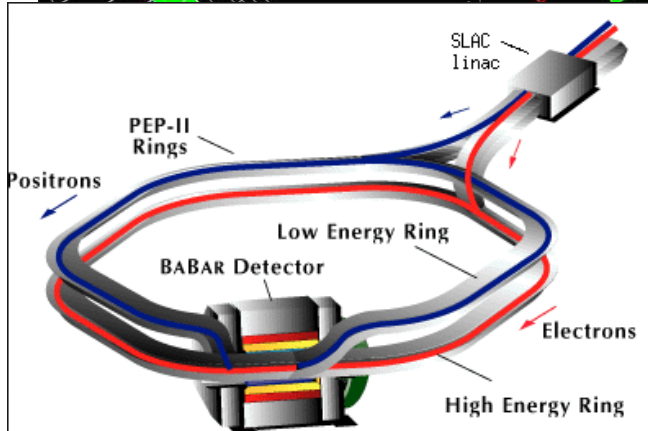
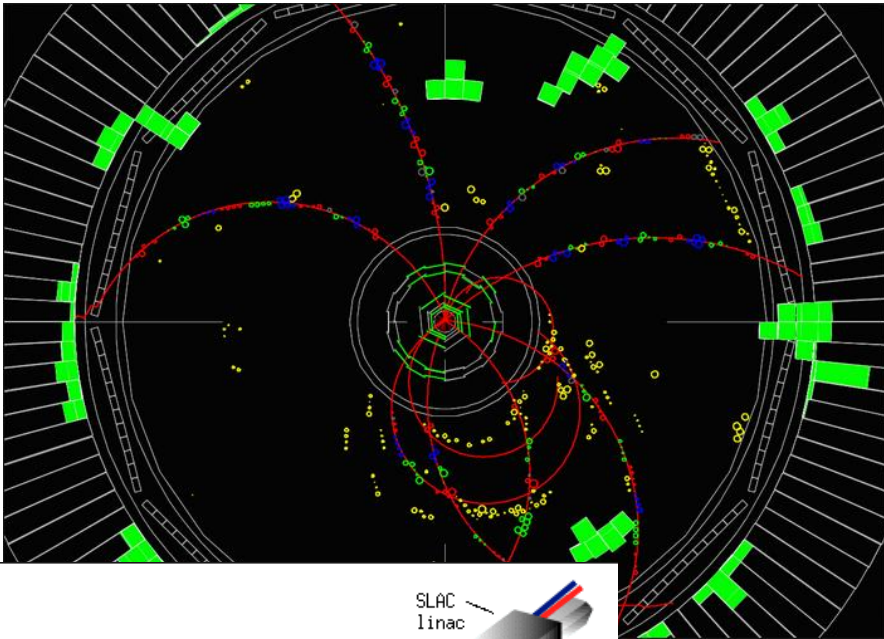


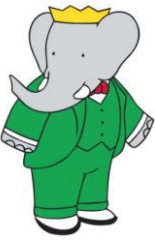
- Need ~ 10 micron precision for separation of vertices



• Vertexing in BaBar (2000)

- BaBar asymmetric collider at SLAC (9GeV e^- on 3.1 e^+)
- Running at the Upsilon(4S) resonance, decaying to $B^0\bar{B}^0$ and B^+B^-





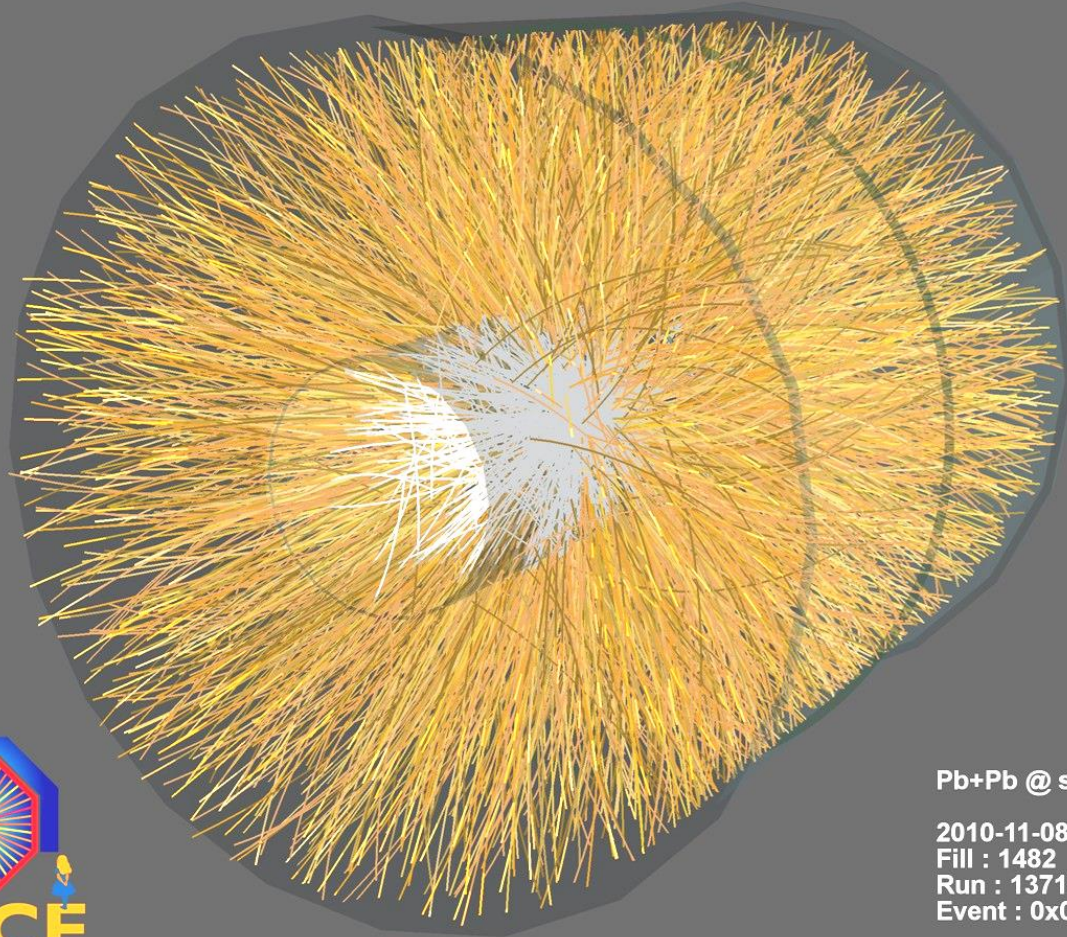
Vertexing in BaBar (2000)

- 5 layers
- two-sided silicon strip
- 300 μm thick
- pitch: 50-200 μm

- space resolution:
10-30 μm



Tracking at ALICE on the LHC



Pb+Pb @ $\sqrt{s} = 2.76$ ATeV

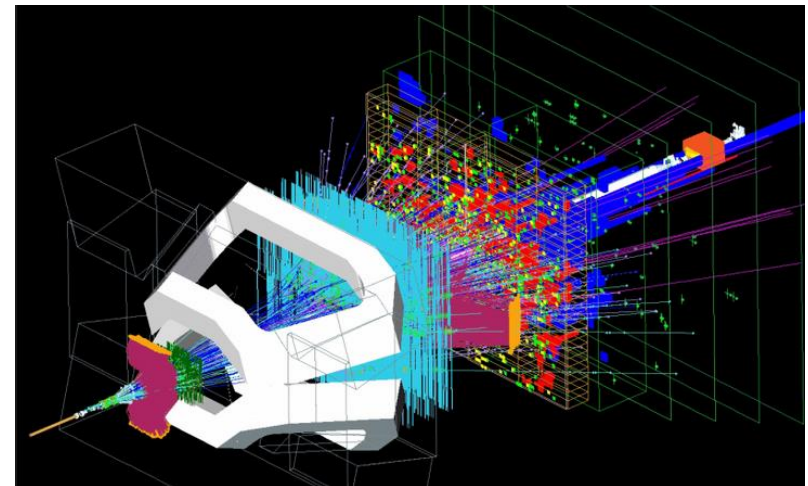
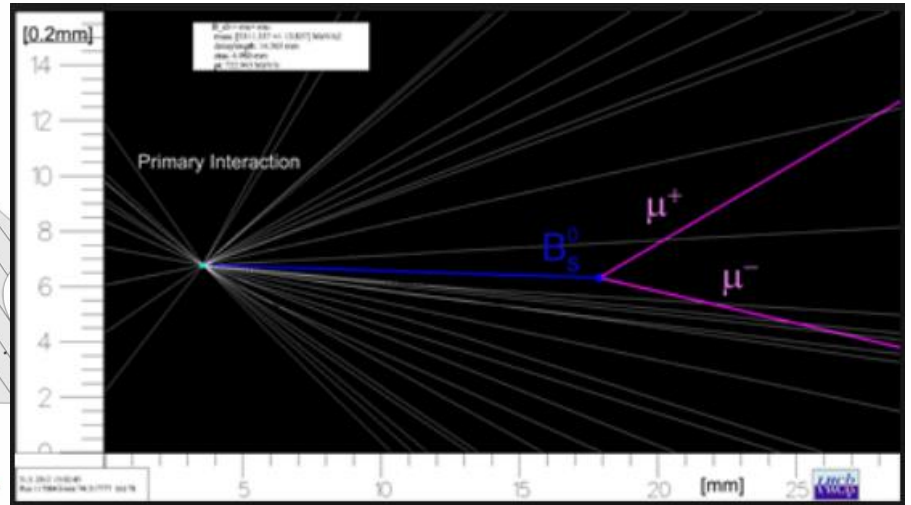
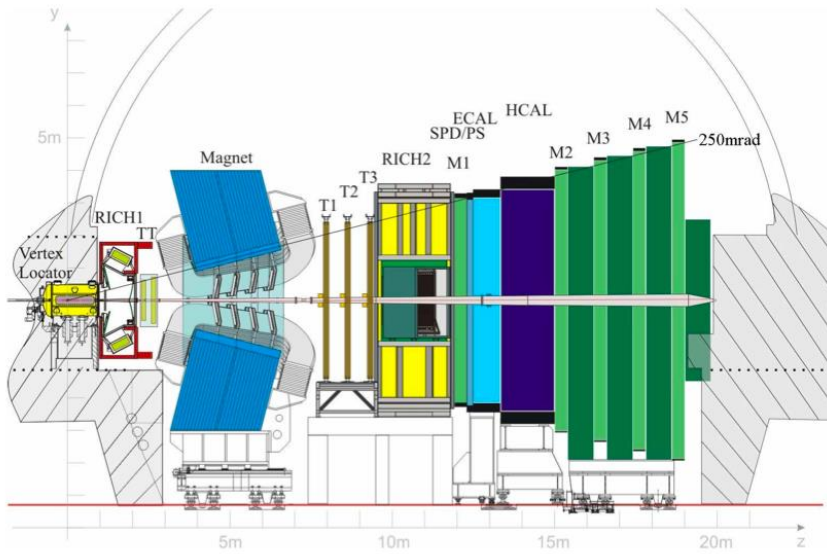
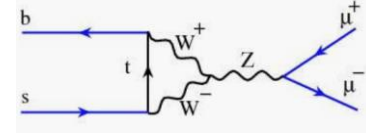
2010-11-08 11:30:46

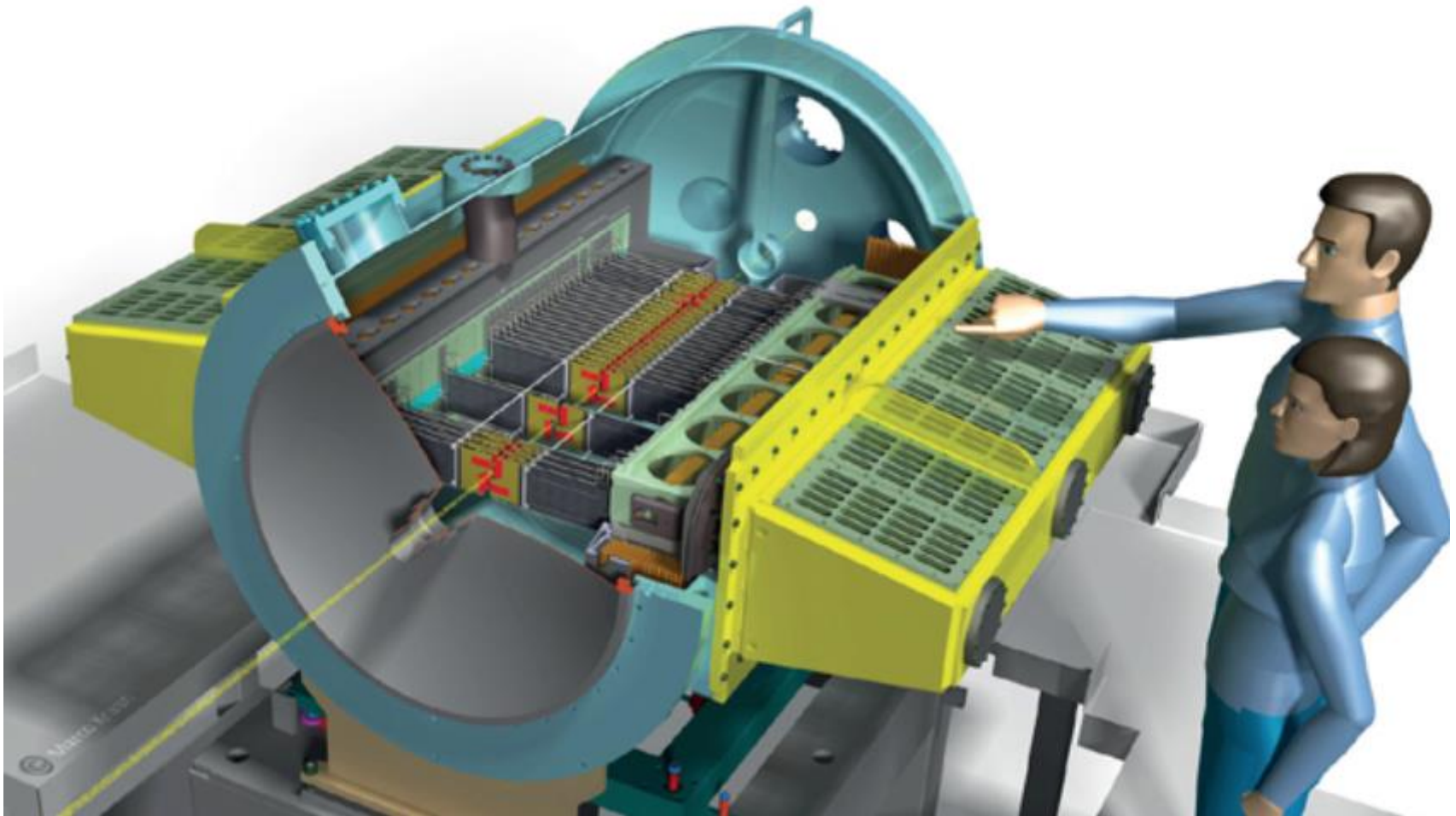
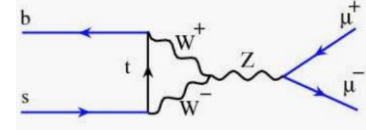
Fill : 1482

Run : 137124

Event : 0x00000000D3BBE693

Charged particle Tracking at LHCb on the LHC

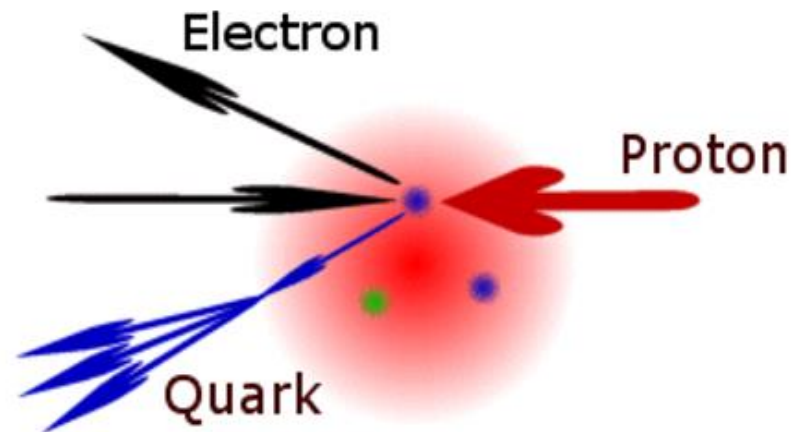
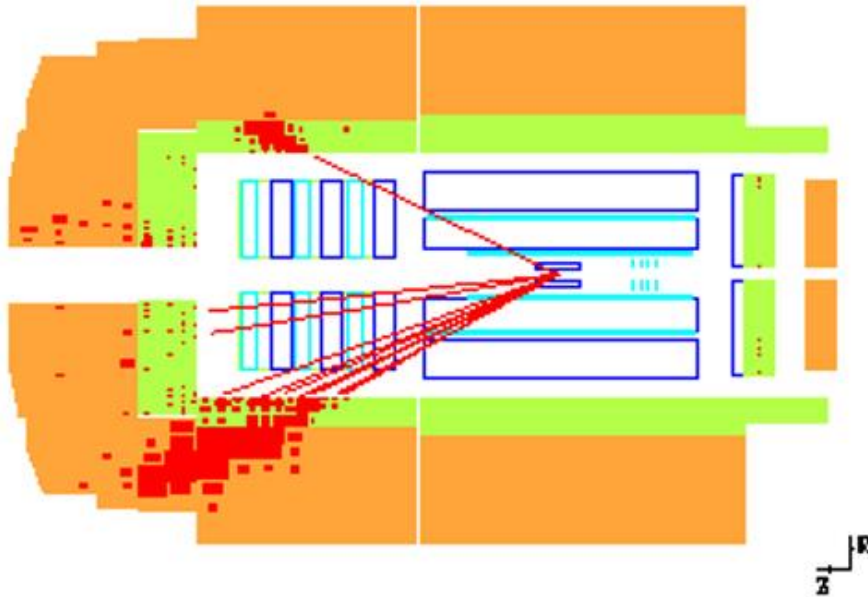






Tracking in H1 at DESY

Asymmetric electron-proton machine colliding 30 GeV electrons with 820 GeV protons
Also note the unique capability of doing Transition Radiation Tracking in forward region



Tracker Requirements



Measurements

charge, transverse momentum, primary-vertices, secondary-vertices, vtx separation

Data Rates and volume

40Mhz beam crossing, Hierarchy of trigger rates and latencies: 1Mhz L0, 400Khz L1

Pattern Recognition

Ability to pick out the parts of the track and «stitch» them together.

Need High intrinsic detector and reconstruction efficiency & Low fake rates.

Track Triggering

Provide input to a Level-1 track trigger

Radiation tolerance

Ionizing Radiation, hadronic radiation

Ease of construction

Relatively short construction times. Distributed production

Ease of maintenance

Need to be able to access and replace inner layers close to the beam

Robustness

No significant deterioration in performance for modest losses of detector system

Other environmental issues

protection of electronics against accidental beam loss

Engineering

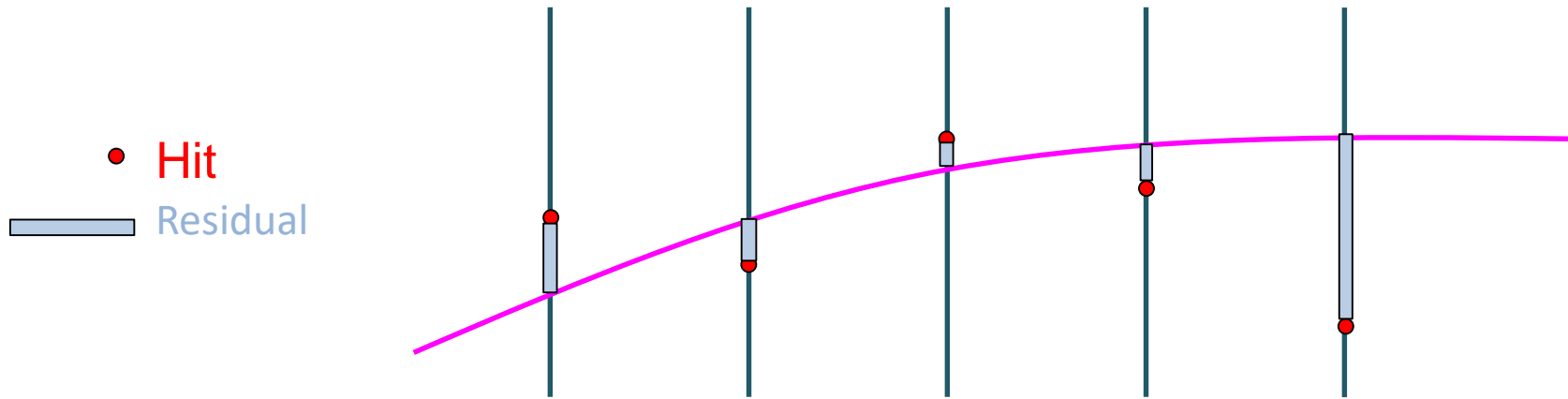
Demanding mechanical, vibrational, thermal requirements

Modest Cost ...

Alignment performance: Strong



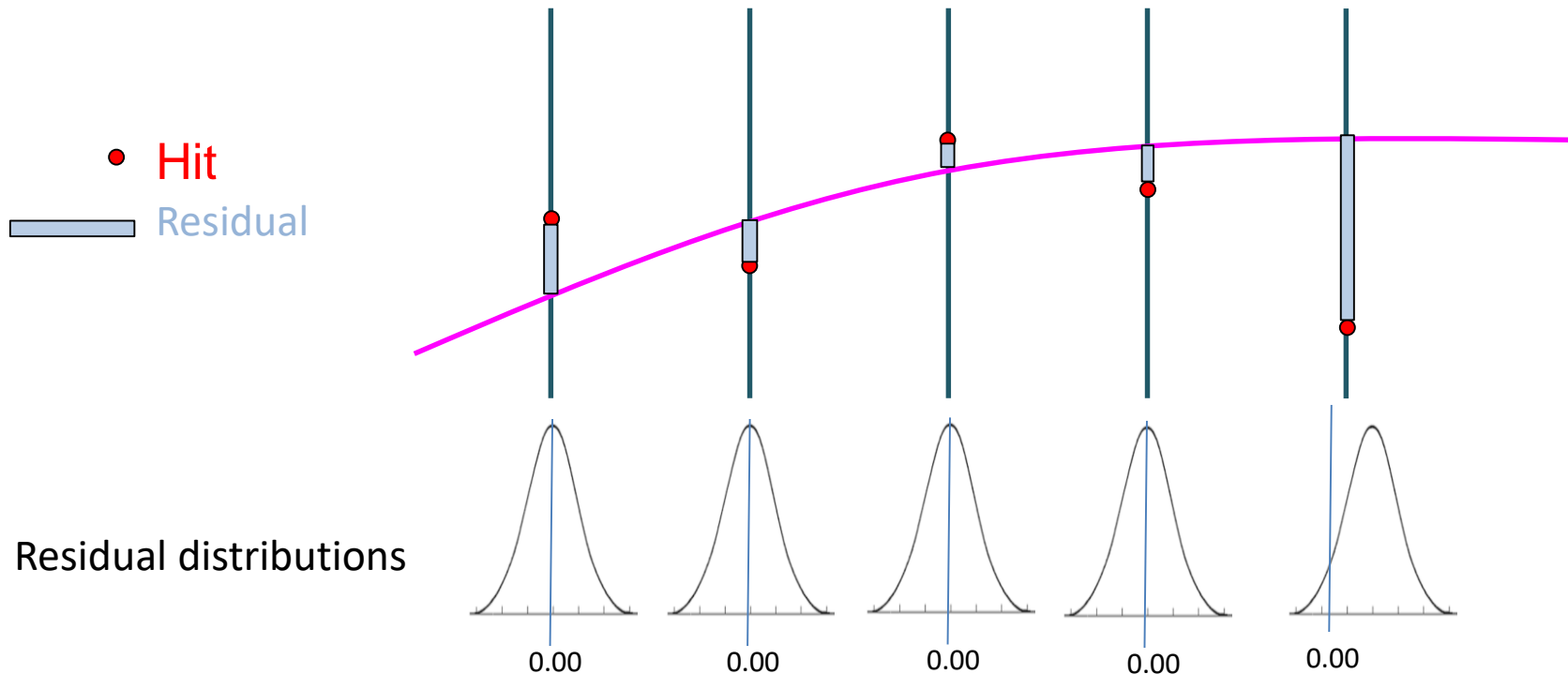
- Track based alignment minimizes residuals for a sample of tracks, by adjusting position of sensitive elements.
- Position and width of known mass objects allows momentum resolution measurement.



Alignment performance: Strong



- Track based alignment minimizes residuals for a sample of tracks, by adjusting position of sensitive elements.
- Position and width of known mass objects allows momentum resolution measurement.



Effect of misalignment on IP resolution

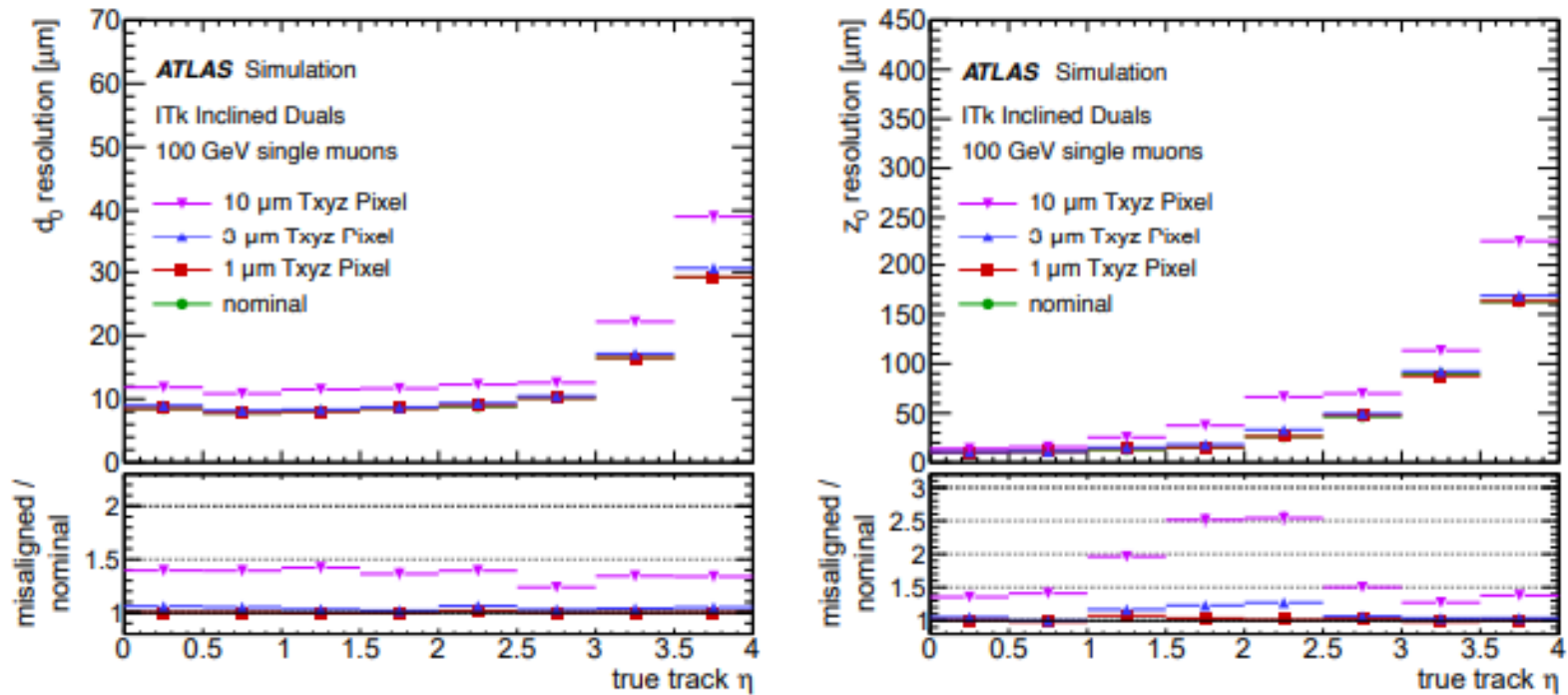
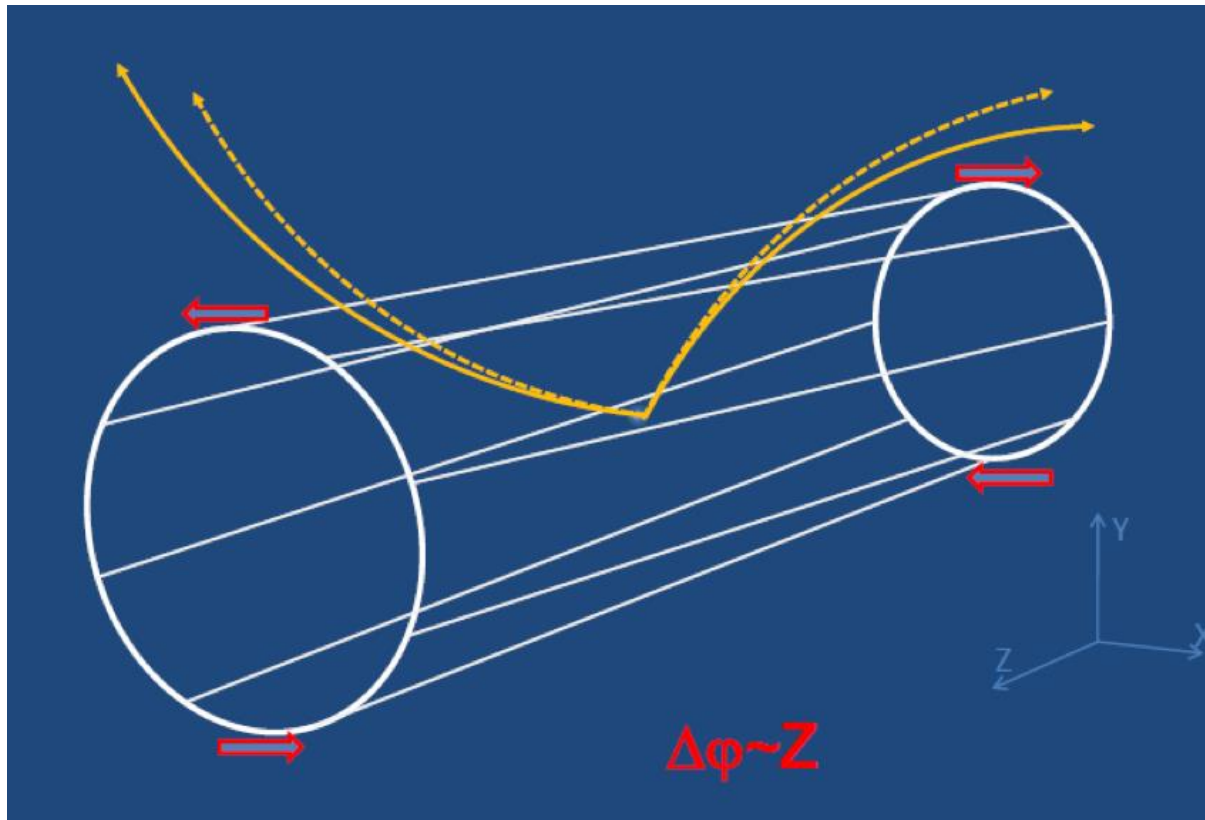


Figure 3.8: Change in impact parameter resolution for single muons with $p_T = 100$ GeV, applying a random misalignment of 1, 3, and 10 μm RMS to all pixel modules in all three local module dimensions. **Left:** Change of transverse impact parameter resolution $\sigma(d_0)$. **Right:** Change in longitudinal impact parameter resolution $\sigma(z_0)$.

Alignment performance: Weak Modes

- Systematic distortions, example a twist, are hard to detect.
- Track residuals can be minimized but p_T is biased.



from P. Brückman de Renstrom

What do silicon trackers do well

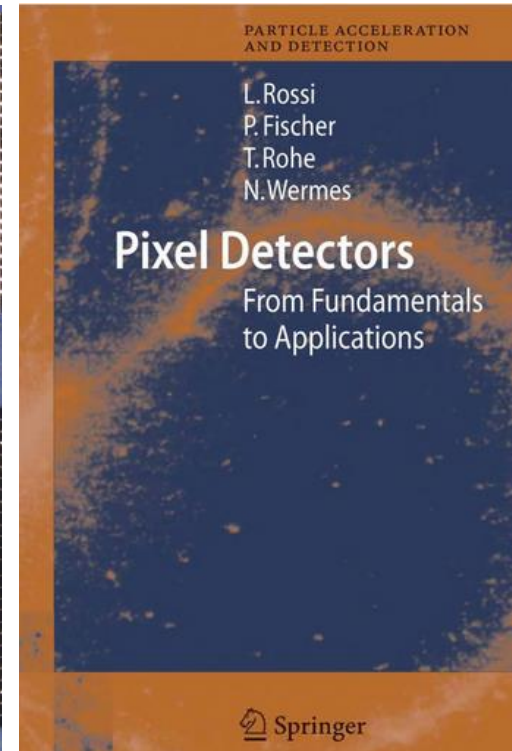
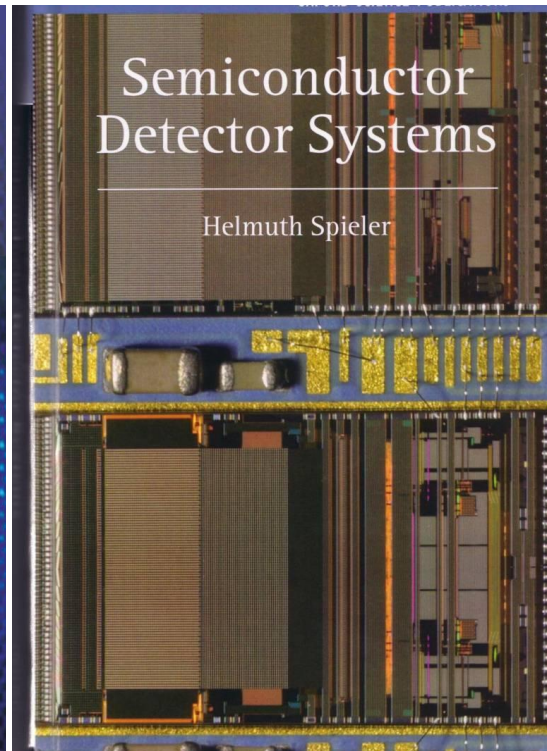
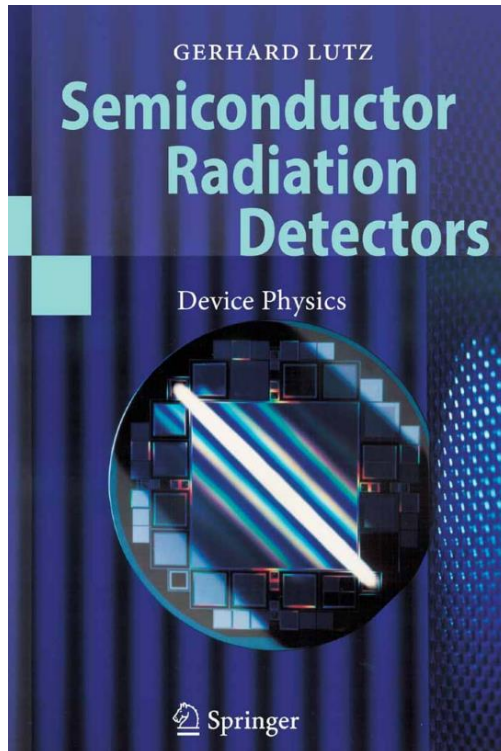


- Advantages of semi-conductor (silicon) detectors
 - Small feature size - good space point precision
 - *Note that in tracking not all co-ordinates are equal, this in the bending plane are more important. This is easily accommodated in silicon technology.*
 - Fast collection times (<20ns over 300 μ m of silicon) (depending on h or e)
 - Thin detectors give large signals
 - Thin detectors implies less multiple scattering
 - Radiation tolerant for both ionizing and non-ionizing radiation
 - Used in conjunction with wire chambers further away from beam
 - Accurate momentum measurement
 - depends on a lot of factors: alignment, layout, construction, stability
 - *The exact requirements on the performance of the silicon detector (which in turn drive the design) all come from the physics under study and will be different from case to case.*

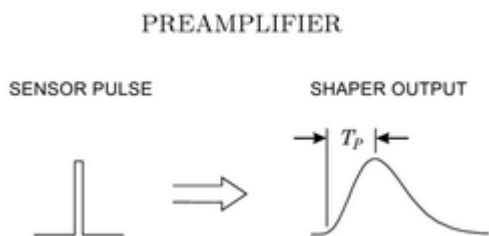
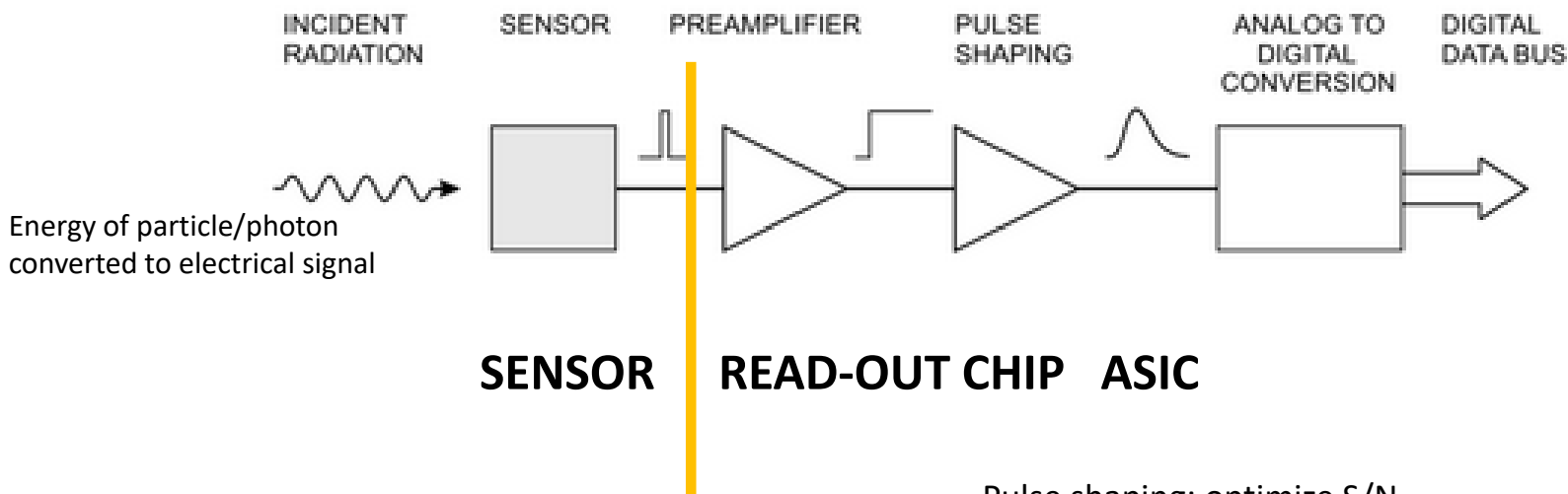
Some Books on silicon systems



- Gerhard Lutz “Semiconductor Radiation Detectors”
- Helmuth Spieler “Semiconductor Detector Systems”
- L. Rossi et al “Pixel Detectors”
- A.S. Grove “Physics and technology of semiconductor devices”



Detector: end-to-end



Combined sensor and pre-amplifier are designed to minimize electronics noise. minimize capacitance at the input

Pulse shaping: optimize S/N
 Frequency spectra of the signal and the noise not the same. This means we can optimize (filter) the S/N.
 This also changes the shape of the pulse and typically lengthens it.
 Sometimes low noise is critical
 Sometimes high speed critical balance required
 Shapers: upper bound frequency -> rise time
 lower bound frequency -> duration

Semiconductors

A small periodic table of elements is shown in the top right corner. The elements Al, Si, Ge, As, Se, and Te are highlighted in orange, indicating they are semiconductors. The table includes element symbols, atomic numbers, and names.

- In semiconductor detectors electrical charge is formed directly by ionization → electron-hole pairs
- Different from other detection mechanisms

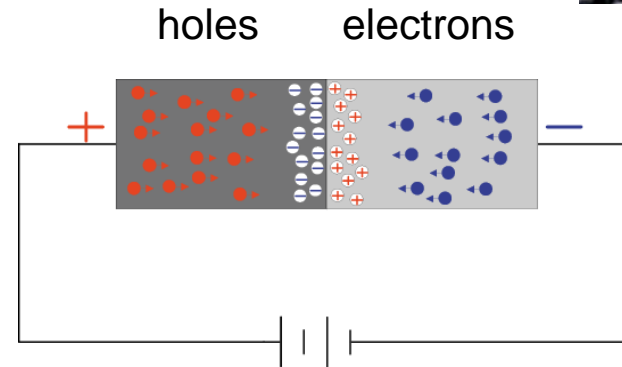
Ionization in gases	30 eV
Ionization in semiconductors	1 – 5 eV
Scintillation	10 – 200 eV
Phonons	meV
Breakup of Cooper pairs	meV

- Phonon or Cooper pairs can be used only at low temperatures
- At room temperatures semiconductors yield largest signal

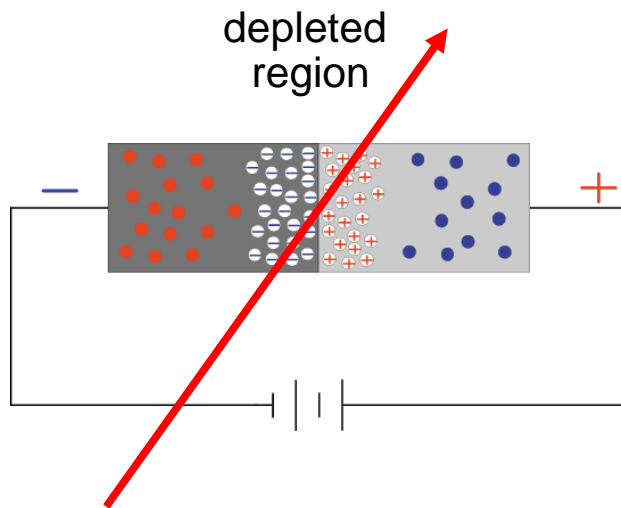
Silicon detectors



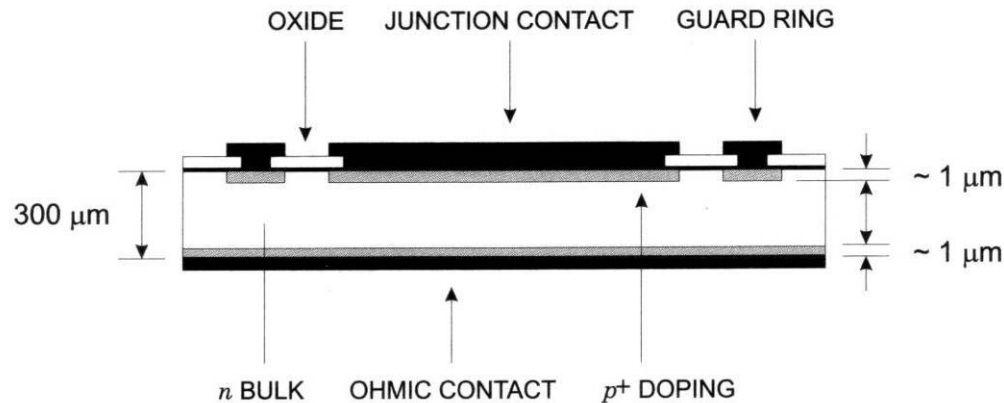
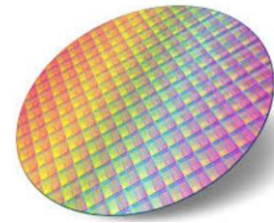
- Silicon detector is a p-n diode
 - p-type (more holes)
 - n-type (more electrons)
 - Current can flow if forward biased



- Reverse bias to create a depletion layer with no mobile charge carriers
 - Passage of a charged particle releases electron-hole pairs by ionisation
 - 20,000 to 30,000 pairs in 300 μm
 - Signal > 10 times more than background noise
 - High enough resistivity to allow full depletion (i.e. full depth of sensor) with a few 100V



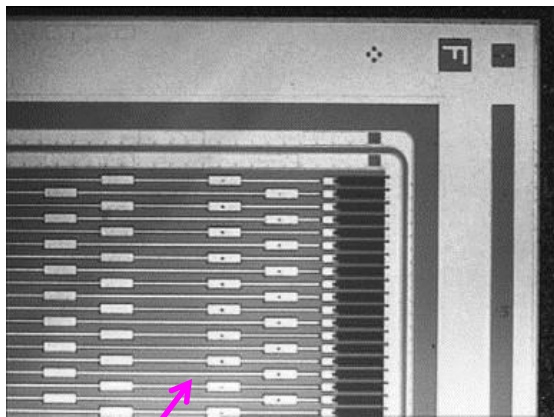
Typical Silicon Sensor Section



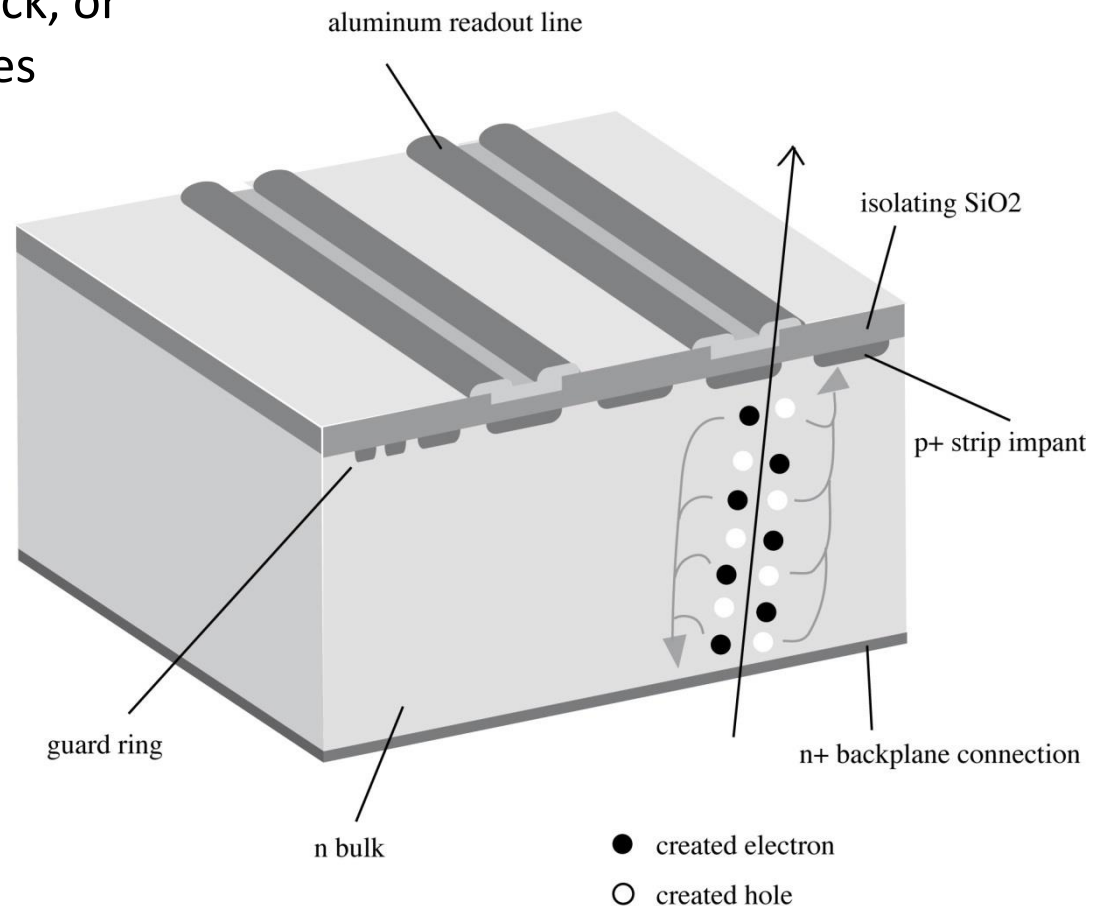
- Cross section of a typical silicon strip sensor
- Junction is asymmetric with highly doped surface layer and lightly doped bulk
- With a reverse bias the junction depletes into the bulk
- The SiO₂ protect the silicon surface.
- Guard ring to protect from surface leakage current due to mechanical damage during dicing

Silicon Microstrip Sensors

- Make many diodes on one wafer
 - $\sim 50 \mu\text{m}$ strip pitch (possible with planar fabrication process)
 - Glue wafers back-to-back, or make strips on two sides
 - eg. p strips in n bulk

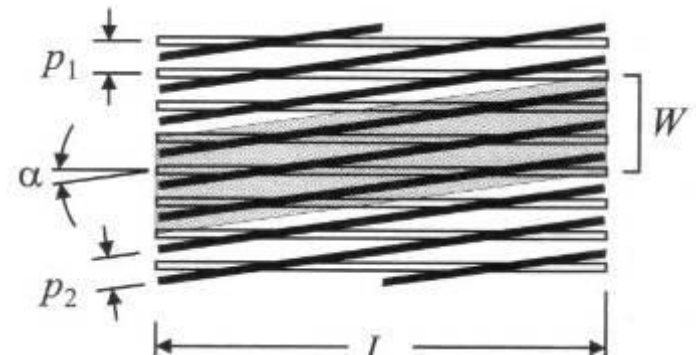
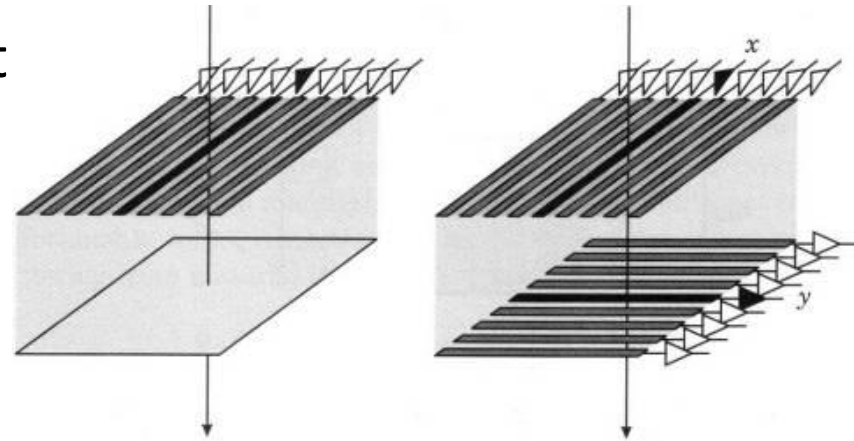


Metalisation above strips,
with bond pads

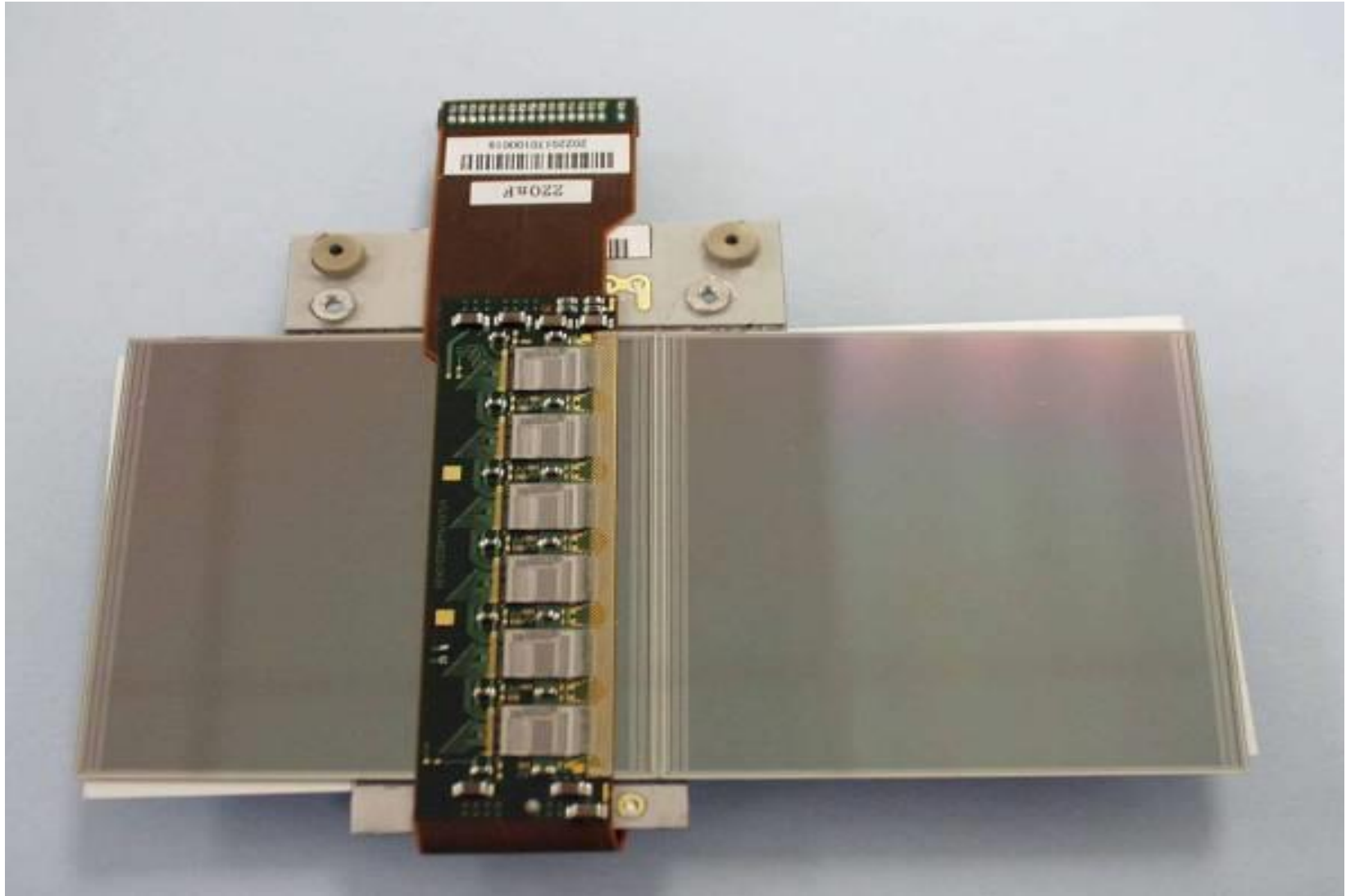


Constructing Two Dimensional Information

- 2D information allows to reconstruct 3D points – advantageous for track reconstruction
 - Good for both precision and pattern recognition
- Pixel detector vs double sided strip detectors
- Segment other side of the sensor in orthogonal direction
 - Gives best resolution
- Small angle stereo
 - Resolution in orthogonal direction \sim pitch / $\sin \alpha$



The ATLAS Silicon-Strip Modules



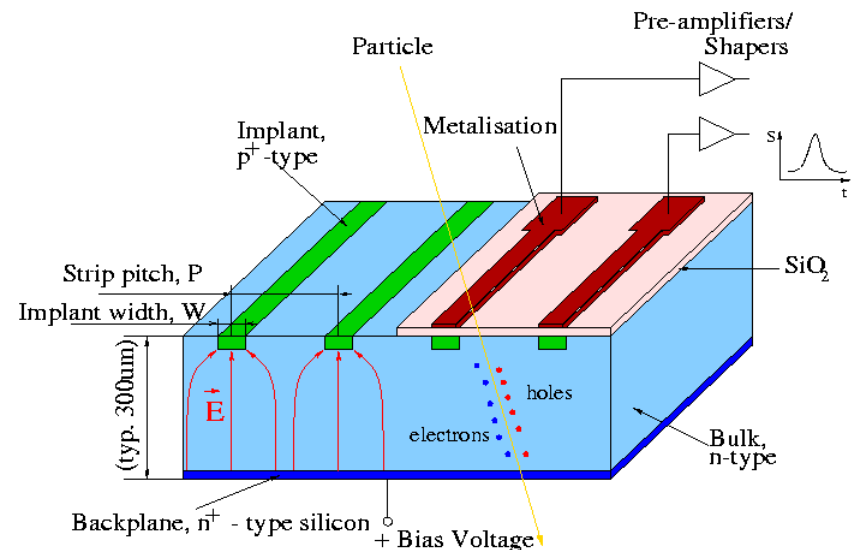
Position Resolution: Geometry

- Strip detectors are 100% efficient despite of gaps between strips – all field lines end on electrodes → electrical segmentation determined by pitch
- If tracks are distributed uniformly and every strip is readout:

$$\sigma^2 = \int_{-p/2}^{p/2} \frac{x^2}{p} dx = \frac{p^2}{12}$$

- If signal is split across strips, charge sharing can improve the resolution

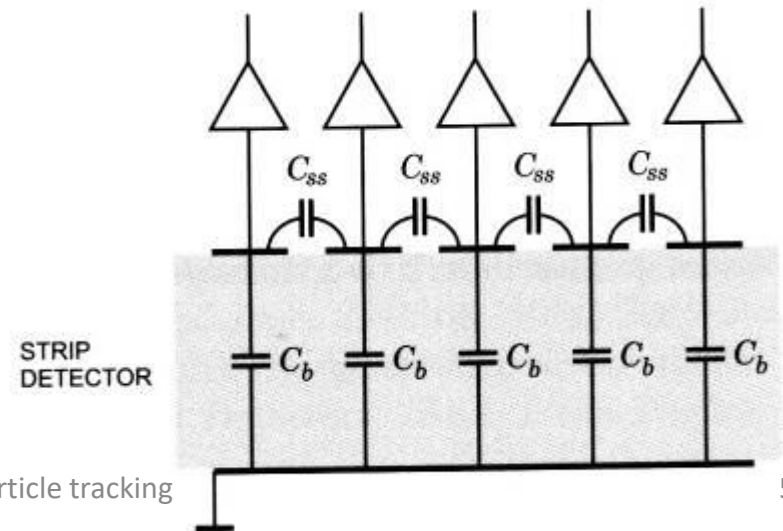
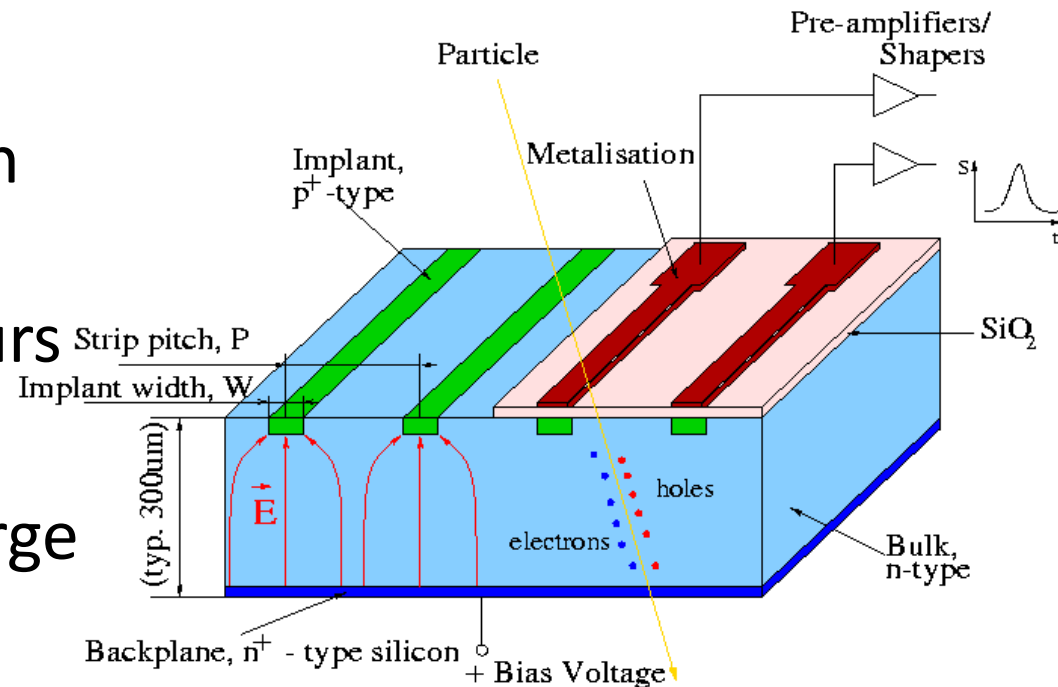
Principles of operation



Signals in Silicon

- In a silicon detector each strip has capacitance to backplane and neighbours
- If amplifier input capacitance high all charge is collected
- If input capacitance low charge flows to neighbours
→ deteriorating position resolution

Principles of operation



Position Resolution: Diffusion



- Diffusion spreads charge transversely

$$\sigma_y = \sqrt{2Dt} \approx \sqrt{2 \frac{kT}{e} \frac{d^2}{V_b}}$$

- Collection time

$$t_c \approx \frac{d}{v} = \frac{d}{\mu \bar{E}} = \frac{d^2}{\mu V}$$

Depends on holes or electrons mobility

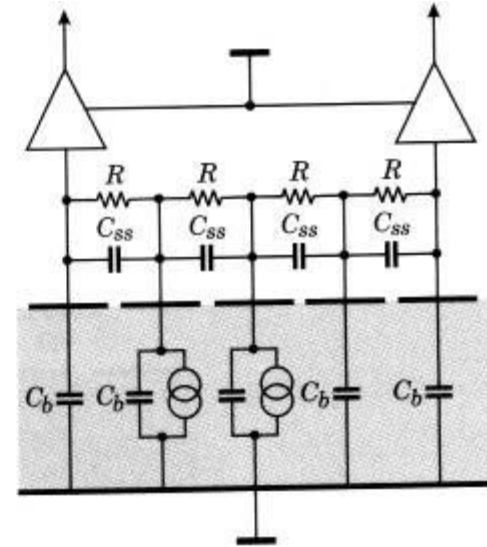
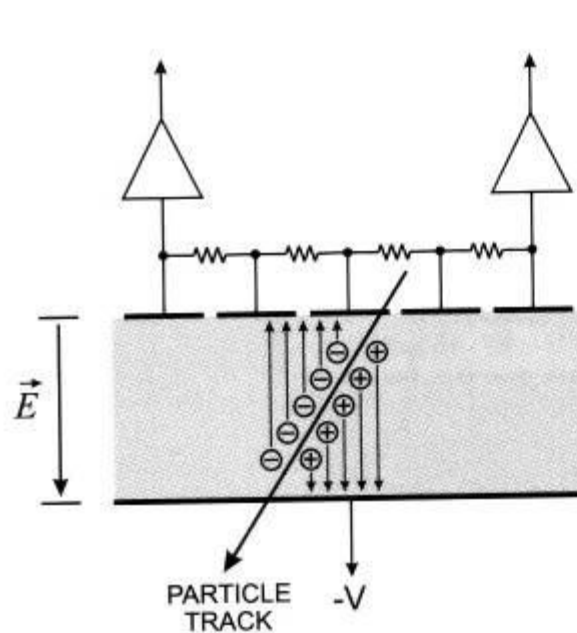
- Diffusion constant is also linked to mobility

$$D = \frac{kT}{e} \mu$$

- Leads to diffusion of $\sim 7 \mu\text{m}$

Intermediate Strips

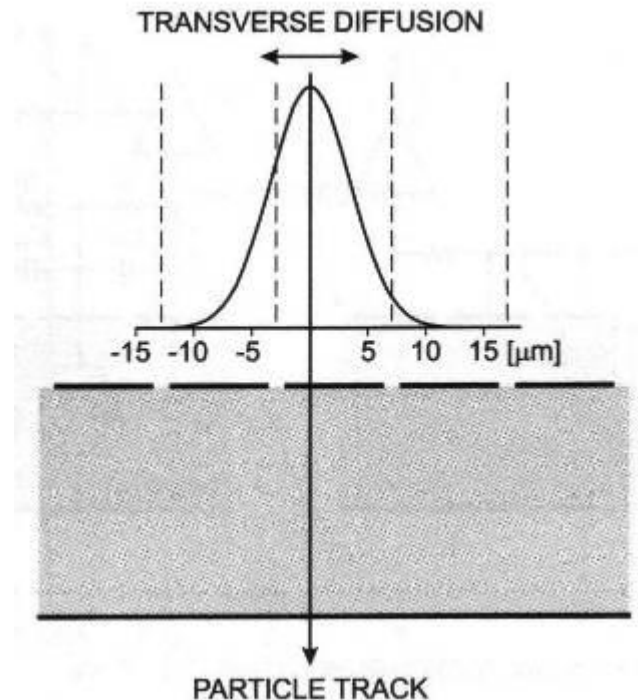
- Charge division can be extended by introducing intermediate strips
- Strips are coupled capacitively to neighbours
- Signal loss to backplane $C_b/C_{ss}=0.1$
→ ~20% loss



Charge Sharing



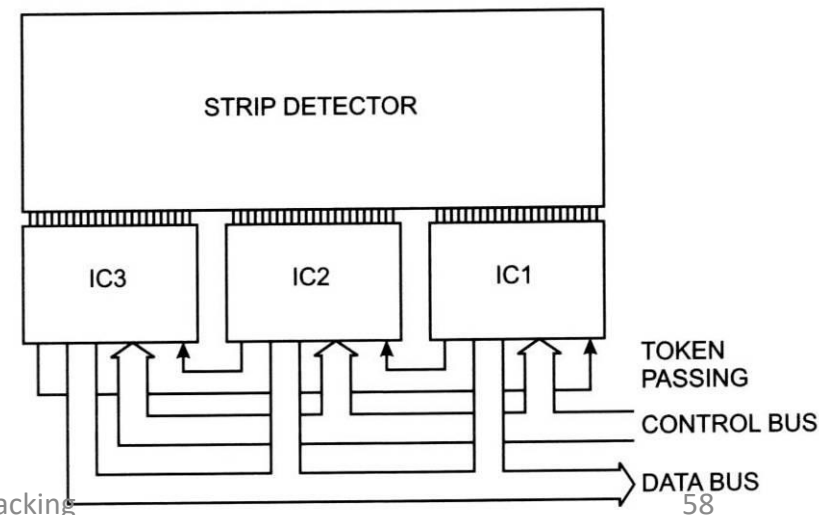
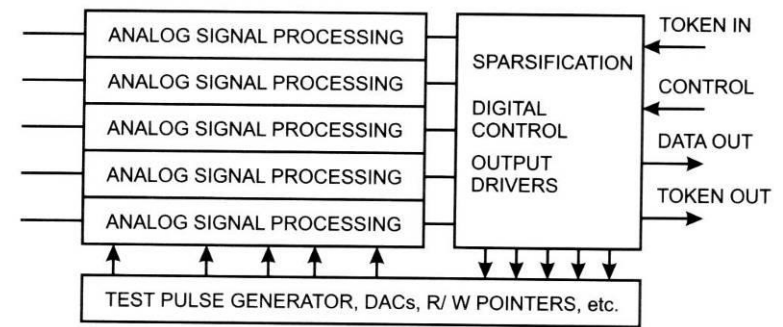
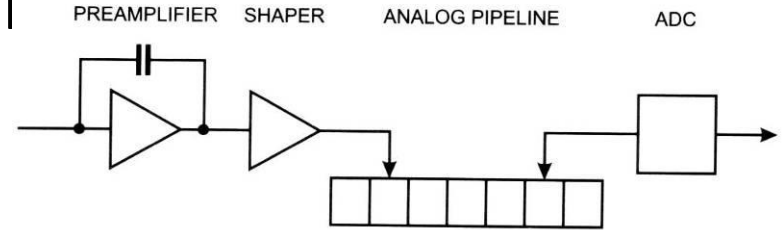
- Charge spreading improves resolution!
 - Centre of gravity interpolation
 - Resolution proportional to S/N
- Allows to beat $\sqrt{12}$ rule
 - Achieved resolutions $1.2 \mu\text{m}$ for $25 \mu\text{m}$ pitch ($25/\sqrt{12}=7 \mu\text{m}$)
 - Requires $S/N > 50$ to achieve this
- Strip pitch should be comparable with diffusion



Silicon Systems : Binary readout

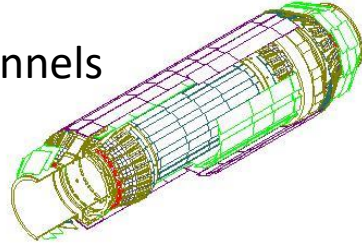
```
001111000111001
000111110011111
111101111011111
011101100000010
100000111011111
100010010011111
```

- Small readout pitch → need special readout IC
- Typically each channel has
 - Front-end amplifier and shaper
 - Analogue pipeline to wait for trigger decision
 - ADC
 - Analogue processing
 - Sparsification (zero suppression)
- All the above in parallel
- Multiple ICs are connected to a common control and data bus
 - Unique address
 - Token passing so bus is used by a single IC
- Data format: header+data+trailer – many variations possible

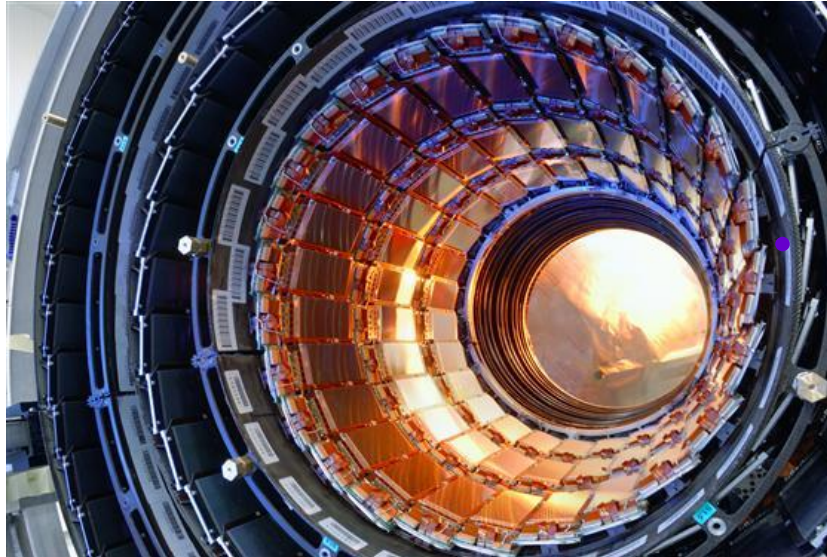
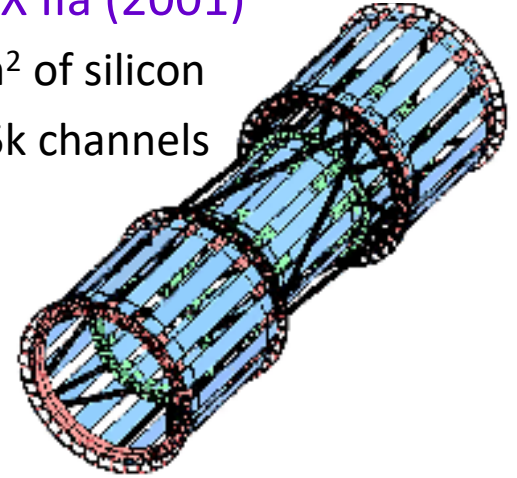


Evolution of silicon strip detectors

- LEP eg. DELPHI (1996)
 - 1.8 m² of silicon
 - 175k readout channels

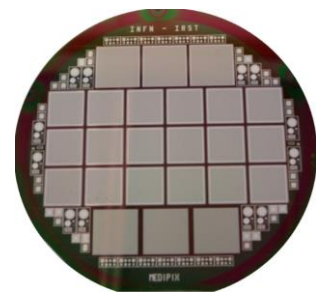


- CDF SVX IIa (2001)
 - 6 m² of silicon
 - 175k channels

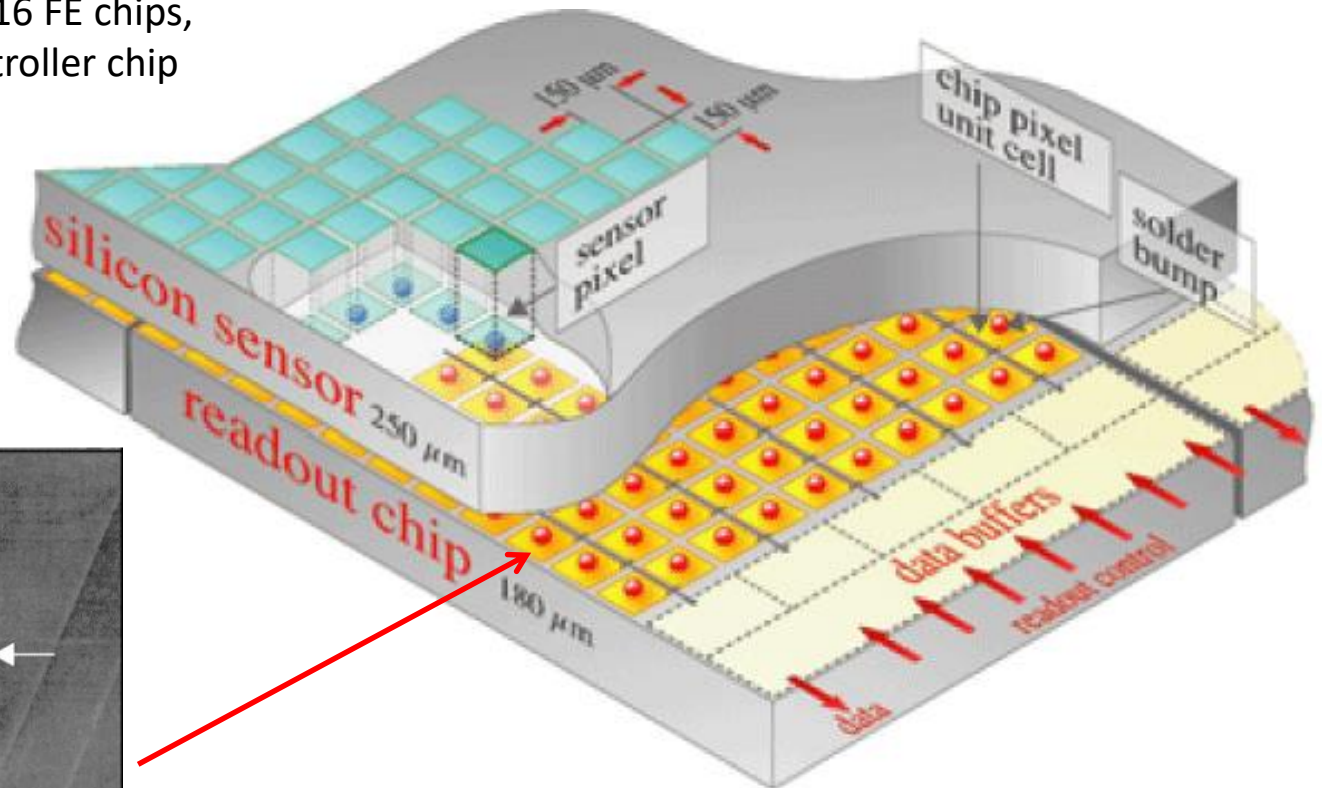


- CMS tracker
 - Full Silicon Tracker
 - 210 m² of silicon
 - 10.7 M channels

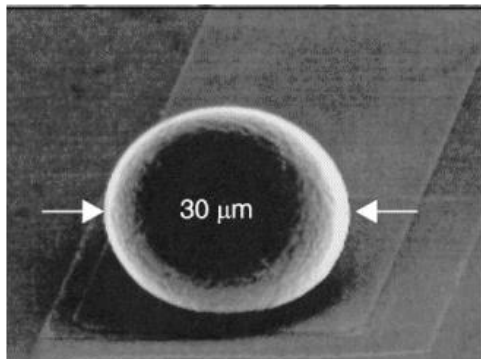
Si Pixel detectors



- 2-d position information with high track density.
 - Back-to-back strips give “ghost” hits. Pixels give unambiguous space-point
- Hybrid pixel detectors with sensors and readout chips bump-bonded together in a module
 - eg. one sensor, 16 FE chips, one master controller chip



bump bond



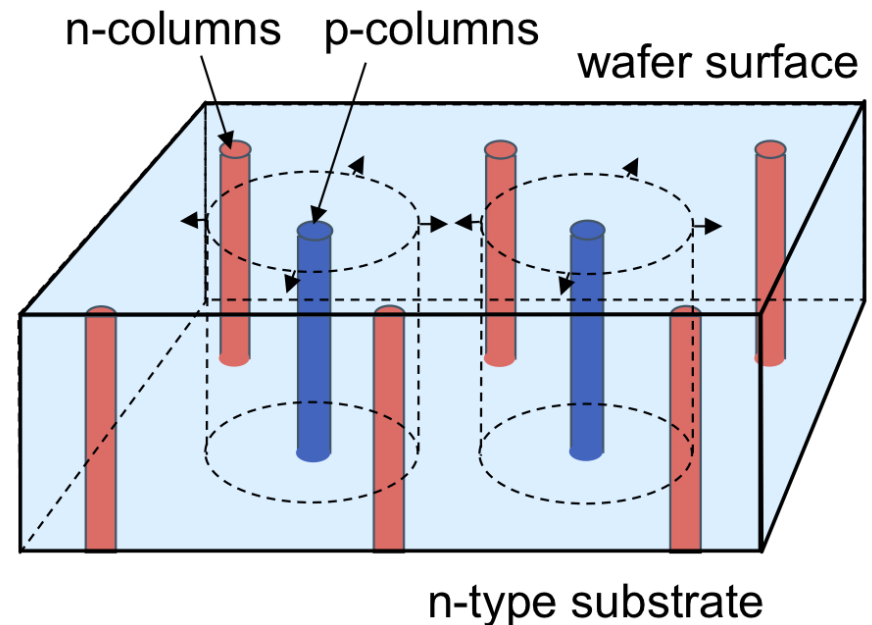
ATLAS Pixel Barrel



Technology Variants – 3D



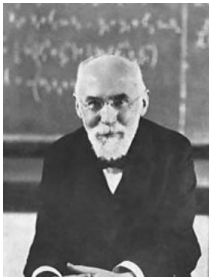
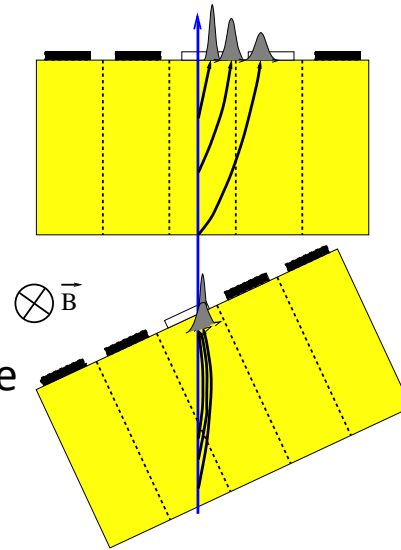
- HL-LHC – larger occupancy and radiation dose
 - Will need higher granularities at larger radius (eg. short strips) for 200 events per bunch crossing.
 - Active R&D programs for improved sensor technology, eg. 3d detectors – deplete between columns → short distance, low depletion voltage and fast signal.
 - Continue to study alternative materials (RD50 for silicon, RD42 for diamond)
 - New interconnects (fuse sensor and FE chip without bump bonds)



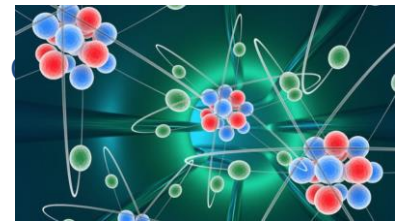
Some odds and sods that should not be left out

Silicon systems

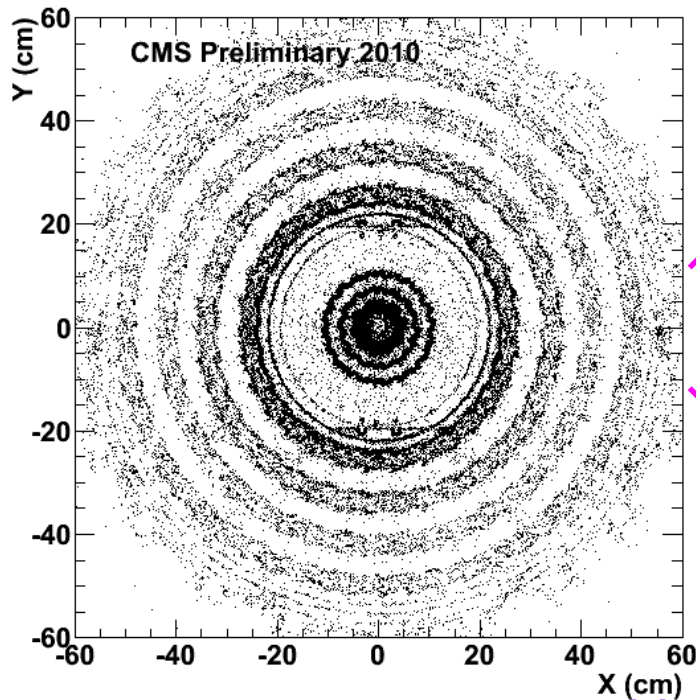
- Sensors have high intrinsic accuracy and mechanical rigidity
 - Tilt detectors to reduce charge spreading by Lorentz effect in B-field
 - Lightweight support structures – must be stable
- HL-LHC Innermost layers must withstand $>2 \times 10^{16}$ neq over ~ 10 years
 - Increased noise and heat load from increased leakage current – risk of thermal runaway
 - May not be able to fully deplete the sensor
 - Type inversion (n-type bulk becomes p-type bulk, so depleted region develops from the opposite side of the sensor)
 - Keep the detectors at -10 °C to reduce leakage current and to reduce reverse annealing (further degradation without irradiation)
 - Low radiation dose received to date – only just starting to see evolution of leakage currents



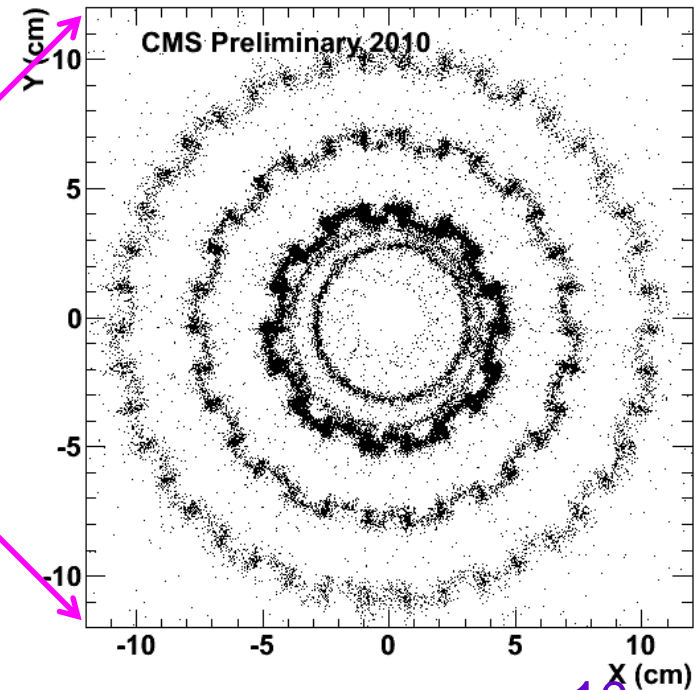
Photon conversions



- Conversions, $\gamma \rightarrow e^+e^-$, example from CMS
 - Two oppositely charged tracks
 - Consistent with coming from the same point
 - Consistent with fit to a common vertex, imposing zero mass



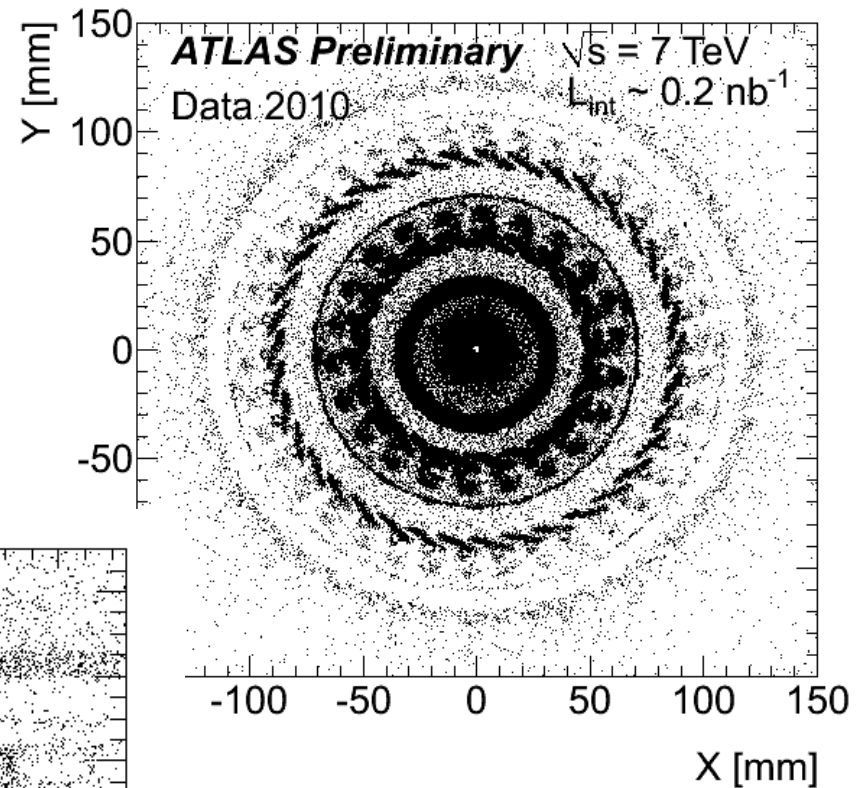
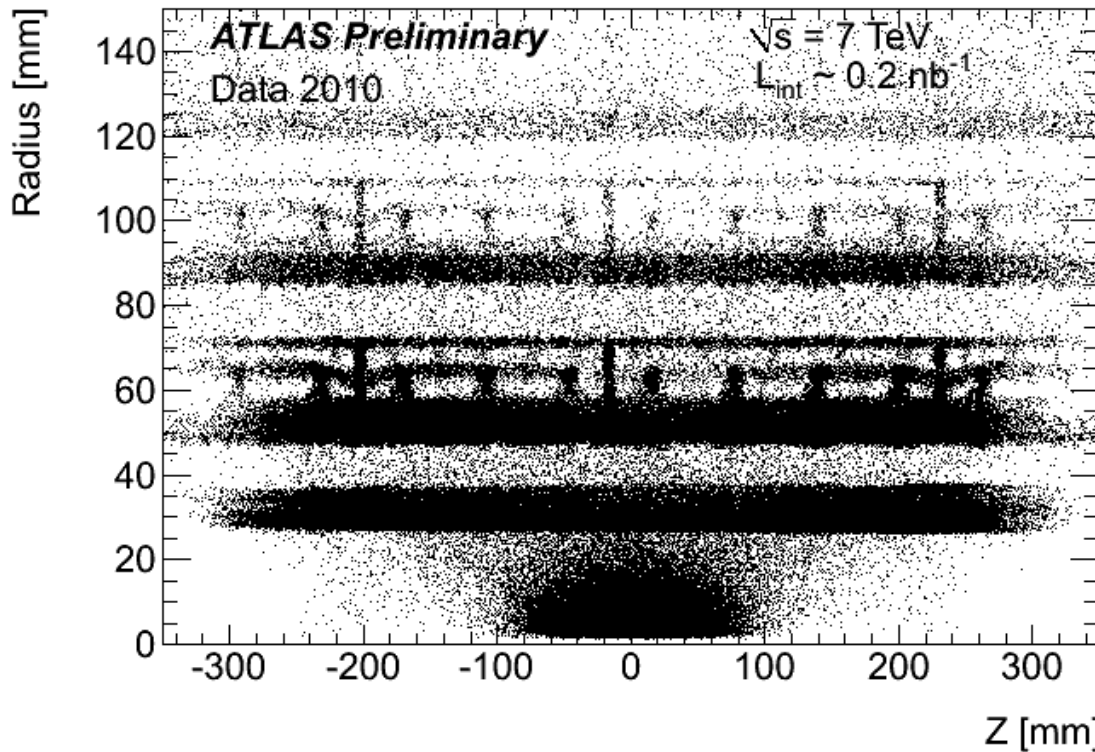
60cm



10cm

Nuclear interactions

- ATLAS example
 - Tracks with $d_0 > 2\text{mm}$ w.r.t

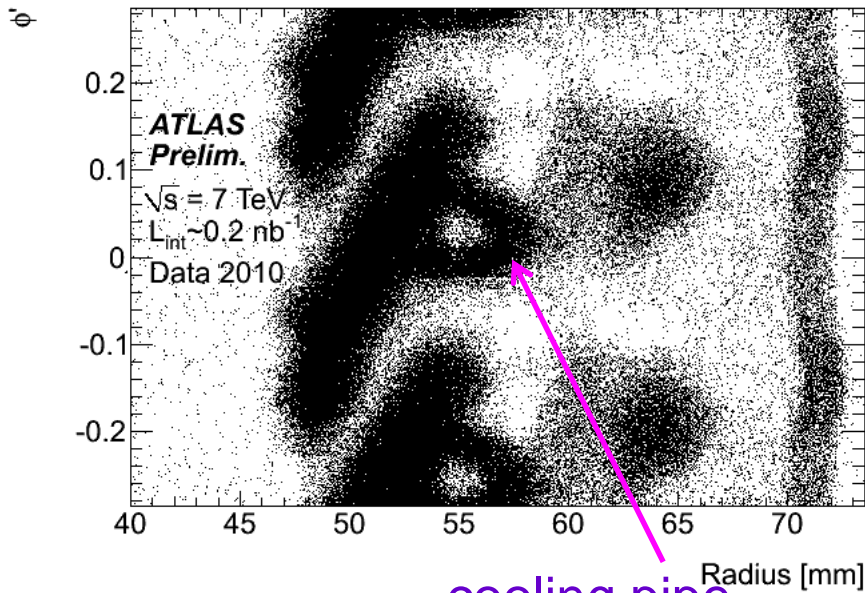
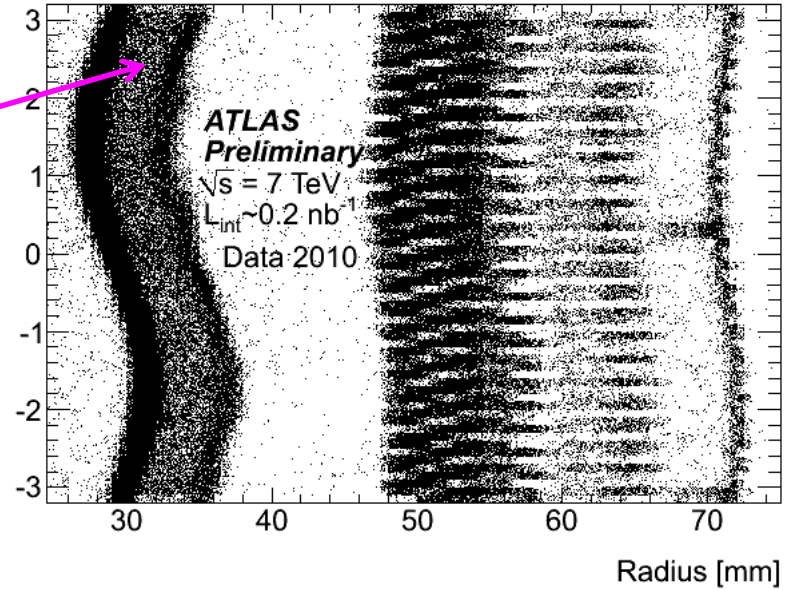


- x-y view for $|z| < 300\text{mm}$
- Sensitive to interaction lengths instead of radiation lengths

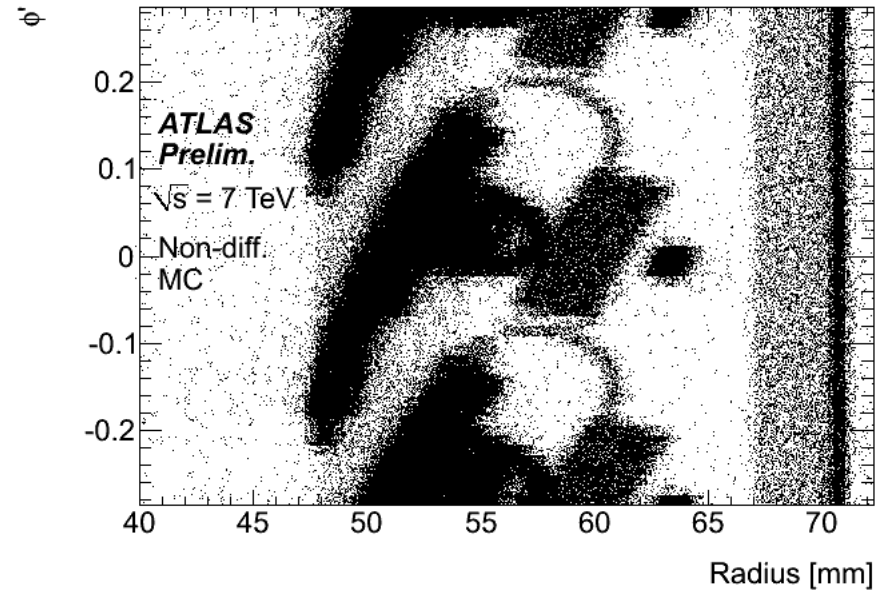
ATLAS-CONF-2010-058

Interactions $r\phi$ plots

- Full ϕ range shows displaced beam pipe (i.e. r varies with ϕ)
- Zoom in, and plot pixel inner layer local ϕ (i.e. pile all modules on one picture)
- Some features more spread out in data than MC.



cooling pipe



Stop Here